

COVID-19 cash transfer (C19-CT)

Midline Survey

Nov/Dec 2020

Questionnaire

COVER

Variable	Question	Response
county	County code [preloaded]	Mombasa 1 Nairobi 2
subcounty	Sub-county [preloaded]	[NEED LIST]
location	Location code [preloaded]	[NEED LIST]
sublocation	Sublocation code [preloaded]	[NEED LIST]
ID	Sample ID [preloaded]	[NEED LIST]
ben_PID	ID from CT Program [preloaded]	
res_name	Name of sampled respondent [preloaded]	
address	Address [preloaded]	
phone_pre1	Primary phone number [preloaded]	
phone_pre2	Alternate phone number [preloaded]	
interview_date	Date of interview [automated]	
start_time	Start time of interview [automated]	
call	Interviewer, did anyone answer the phone?	Yes..... 1 No, nobody answered..... (-> OUTCOME) 2 No, phone switched off..... (-> OUTCOME) ... 3 Number does not exist..... (-> OUTCOME) 4
member	Interviewer, are you speaking to a household member?	Yes... (-> respondent)..... 1 No..... 2 I don't know, I cannot understand the language..... (-> OUTCOME) 3
member_help	Hello, I would like to speak to [res_name] or someone in his/her household. Could you give me their number or visit them so I can call them on your phone?	Yes, phone number... (-> OUTCOME) 1 Yes, you can call them on my phone 2 No, I don't know the household or cannot connect them... (-> OUTCOME) 3
member_helpnow	Now?	Yes..... 1 No..... (-> OUTCOME) 2
respondent	Hello, I would like to speak to [res_name]. Is he/she available?	Yes..... 1 No, not now... (-> OUTCOME)..... 2 No, there is no one with that name in this household... (-> OUTCOME)..... 3
consent	<p>Hello, my name is XXX. I represent Research Guide Africa, an independent research company based in Nairobi. You may remember that you spoke to me or one of my colleagues about a month ago. This is a follow up call as part of the evaluation of the 'COVID-19 Cash Transfer project' implemented by Give Directly, which provides a monthly cash support of 4,000 KSH for 3 months via M-Pesa. Today, we would like to ask you some questions about your employment, living conditions and your experience of being in this programme.</p> <p>If you are willing to participate in this survey, I'd like to remind you that all information you provide will be strictly confidential. Your participation in the study is completely voluntary. You may also agree to take part now and change your mind later. Whatever you decide it will not affect the cash transfers that you receive. The survey should take around 25 minutes of your time. If you agree to participate, we will conduct a final follow-up survey in about one month's time.</p> <p>If you have any questions or concerns about this survey, you may contact [INSERT NAME OF CBO HERE]. We will also provide you details of who you can contact in case of any concerns about coronavirus at the end of this survey.</p> <p>Are you willing to participate?</p>	<p>Yes... 1</p> <p>No, not now... (-> OUTCOME)..... 2</p> <p>No, refused... (-> OUTCOME) 3</p>

BASICS

Variable	Question	Response
name	Can I confirm that your name is [res_name]?	Yes..... (-> COVID2) 1 No..... 2
name_check	Write respondent's name in ALL BLOCK LETTERS	_____

KNOWLEDGE, ATTITUDES AND PRACTICES REGARDING COVID-19

Variable	Question	Response
Now I would like to ask you some questions about coronavirus and the practices adopted to reduce the risk of contracting it.		
covid2	In the last 7 days, have you stayed at home more often, less often or about the same as you did before the schools closed in March due to coronavirus?	More 1 Less..... 2 The same 3 Don't know -99
covid3	In the last 7 days, did you avoid groups of more than 15 people such as family gatherings, parties, religious gatherings, funerals, etc?	Yes..... 1 No..... 2 Refused -97
covid4	In the last 7 days, did you avoid handshakes/ physical greetings (including with your household members)?	Yes..... 1 No..... 2 Refused -97
covid5	Do you have access to enough water for your household needs?	Yes..... 1 No..... 2
covid6	Do you have access to soap or similar agent to wash your hands? Similar agent could include washing powder, dish liquid etc.	Yes, always 1 Yes, sometimes 2 No, never (-> EMPLOYMENT) 3
covid7	How often do you wash hands with soap (or similar agent) and water in a day? Similar agent could include washing powder, dish liquid etc.	Never wash with soap and water (-> EMPLOYMENT) 1 Once per day 2 Twice per day 3 Three times per day 4 Four times per day 5 Five times per day 6 More than five times per day 7
covid8	In the last 7 days, have you washed your hands with soap (or similar agent) and water more often, less often, or about the same as you did before schools closed in March due to coronavirus?	More 1 Less..... 2 The same 3 Don't know -99

EMPLOYMENT

Variable	Question	Response
Now I am going to ask you some questions about your economic activities.		
emp_now	In the last 7 days, did you do any work for pay, do any kind of business, farming, or other activity to generate income, even if only for one hour?	Yes (-> emp_nowsec) 1 No 2
emp_nowcheck	You said you have not worked in the last 7 days. But do you have a job (for pay) that you have not done in the last 7 days, but that you will definitely return to?	Yes (-> emp_nowsec) 1 No 2
(----->INC SOURCE)		
emp_nowsec	What is the main activity of the business or organisation in which you are currently working in your main job? Select ONLY ONE option	Agriculture, hunting, fishing 1 Mining, manufacturing 2 Electricity, gas, water supply 3 Construction 4 Buying and selling goods, repair of goods 5 Hotels, and restaurants, travel agencies 6 Transport, driving, post 7 Professional activities: finance, legal, analysis, IT, real state 8 Government/public sector 9 Personal services: education, health, culture, sport, domestic work 10
emp_nowtype	In your main work, do you currently work? Read answers out loud Select ONLY ONE option	In your own business.... (-> emp_buseaffec) 1 In a business operated by a household or family member..... (-> emp_buseaffec) 2 In a family farm, raising family livestock or fishing..... (-> emp_farmaffec) 3 As an employee for someone else 4 As an apprentice, trainee, intern 5 Casual work (-> emp_casaffec) 6
emp_wageaffec	In the last 7 days, were you able to work as usual in your wage job/paid work?	Yes (-> emp_wagebef1) 1 No 2
emp_wagewhy	What is the main reason you were not able to work as usual? Do not read answers out loud Select ONLY ONE option	Usual place of work closed due to Coronavirus legal restrictions 1 Usual place of work closed due to other reasons 2 Not able to go to place of work due to movement restrictions 3 Not able to go to place of work due to lack of transportation 4 Teleworking was an option, but did not have the resources to work online 5 Furlough 6 Ill/quarantined 7 Need to care for ill relative 8 Seasonal worker 9 Don't want to be exposed to the virus 10
emp_wagebef1	What is the frequency of the payments you receive for the wage job/paid work you do? Read answers out loud Select ONLY ONE option	Monthly 1 Bimonthly (every 2 weeks) 2 Weekly 3 Daily 4 Hourly 5 Refusal (-> INC SOURCE) -97 Don't know (-> INC SOURCE) -99
emp_wagebef2	For the last payment period< emp_wagebef1 >, how much will you be paid/were you paid? Type amount in KSH	_ _ _ _ _ _ _ _ _ _ KSH Refusal -97 Do not know -99
(----->INC SOURCE)		

emp_buseaffec	In the last 7 days, were you able to work as usual in your business?	Yes (->emp_busaft)..... 1 No..... 2
emp_buswhy	What is the main reason you were not able to work as usual? Do not read answers out loud Select ONLY ONE option	Usual place of business closed due to Coronavirus legal restrictions..... 1 Usual place of business closed due to other reasons 2 Not able to go to business due to movement restrictions 3 Not able to go to business due to lack of transportation 4 Could not get inputs..... 5 Cannot transport goods for trade 6 Ill/quarantined 7 Need to care for ill relative 8
emp_busaft	Only ask if emp_now==1 How much will it be the revenue of the sales of your business of the last 7 days? Type amount in KSH	_ _ _ _ _ _ _ _ _ _ KSH Refusal..... -97 Do not know -99
(->INC SOURCE)		
emp_farmaffec	In the last 7 days, have you been able to perform the normal activities on the farm, raising livestock, or fishing?	Yes (->INCOME SOURCES) 1 No..... 2
emp_farmwhy	What is the main reason you have not been able to perform the normal activities on the farm, livestock, or fishing? Do not read answers out loud Select ONLY ONE option	Not able to go to farm due to movement restrictions 1 Not able to go to farm due to lack of transportation 2 Could not get inputs..... 3 Could not transport goods for trade 4 Ill/quarantined 5 Need to care for ill relative 6
(----->INC SOURCE)		
emp_casaffec	In the last 7 days, were you able to work as usual in your casual work?	Yes (->emp_casaft) 1 No..... 2
emp_caswhy	What is the main reason you were not able to work as usual? Do not read answers out loud Select ONLY ONE option	Usual place of work closed due to Coronavirus legal restrictions..... 1 Usual place of work closed due to other reasons 2 Not able to go to work due to movement restrictions 3 Not able to go to work due to lack of transportation 4 Could not get inputs..... 5 Cannot transport goods for trade 6 Ill/quarantined 7 Need to care for ill relative 8
emp_casaft	Only ask if emp_now==1 How much will it be the income of the casual work you did in the last 7 days? Type amount in KSH	_ _ _ _ _ _ _ _ _ _ KSH Refusal..... -97 Do not know -99

INCOME SOURCES

Variable	Question	Response
Now I would like to ask you some questions about the sources of livelihood of this household.		
inc_source	<p>In the last 4 weeks, which of the following were your household's sources of livelihood?</p> <p>Read answers out loud</p> <p>Select ALL that apply</p>	<p>Family farming, livestock, or fishing 1</p> <p>Non-farm family business 2</p> <p>Wage employment of household members 3</p> <p>Remittances from abroad 4</p> <p>Assistance from family or community within the country 5</p> <p>Income from properties, investments, or savings 6</p> <p>Pension 7</p> <p>Assistance from the Government 8</p> <p>Assistance from NGOs / charitable organisation 9</p> <p>Casual work 10</p> <p>Other -96</p> <p>None of the above....(-> food_index1) 0</p>
inc_change	<p>When compared to the previous month (September 2020), has income from [inc_source] ...?</p> <p>[roster format for each 'yes' answer]</p>	<p>Stayed the same 1</p> <p>Increased 2</p> <p>Reduced 3</p> <p>Reduced to zero 0</p>

FOOD INSECURITY

Variable	Question	Response
Now I would like to ask you some questions regarding food consumption in your household in the past four weeks.		
food_index1	In the past four weeks: Did you worry about not having enough food to eat because of lack of money or other resources?	Yes 1 No 2
food_index2	In the past four weeks: Were you or any household member unable to eat preferred foods because of lack of money or other resources?	Yes 1 No 2
food_index3	In the past four weeks: Did you or any household member have to eat a limited variety of foods because of lack of money or other resources?	Yes 1 No 2
food_index4	In the past four weeks: Did you or any household member have to eat some foods that did not want because of lack of money or other resources to obtain other types of food?	Yes 1 No 2
food_index5	In the past four weeks: Did you or any household member have to eat a smaller meal than they felt they needed because there was not enough food?	Yes 1 No 2
food_index6	In the past four weeks: Did you or any household member have to eat fewer meals in a day because there was not enough food?	Yes 1 No 2
food_index7	In the past four weeks: Was there ever no food to eat of any kind in your house because of lack of money or other resources?	Yes 1 No 2
food_index8	In the past four weeks: Did you or any household member go to sleep at night hungry because there was not enough food?	Yes 1 No 2
food_index9	In the past four weeks: Did you or any household member go a whole day and night without eating anything because there was not enough food?	Yes 1 No 2

COPING STRATEGIES

Variable	Question	Response
Now I would like to speak about strategies/actions some people often follow in order to be able to meet the needs of their households.		
cop_out	<p>In trying to meet the needs of your household, have you used any of the following strategies in the last four weeks?</p> <p>Read answers out loud</p> <p>Select ALL that apply</p>	<p>Share costs/ receive financial assistance from family members 1</p> <p>Receive financial assistance from community ... 2</p> <p>Reduce rent or stop paying rent 3</p> <p>Working for remuneration other than pay 4</p> <p>Adult members of the household returning to work or searching for new work 5</p> <p>Ask children to work to earn additional income 6</p> <p>Selling of livestock 7</p> <p>Selling other assets 8</p> <p>Using up savings 9</p> <p>Borrowing money (including using credit to buy food) 10</p> <p>Begging/scavenging 11</p> <p>Sending children to other families/households 12</p> <p>Did not send children to school / did not come back to school even when they reopened 13</p> <p>None of the above 0</p> <p>Refused -99</p>
cop_fut	<p>Looking forward to the next month ahead, are you planning to use any of the following strategies to meet the needs of your household?</p> <p>Read answers out loud</p> <p>Select ALL that apply</p>	<p>Share costs/ receive financial assistance from family members 1</p> <p>Receive financial assistance from community ... 2</p> <p>Reduce rent or stop paying rent 3</p> <p>Working for remuneration other than pay 4</p> <p>Adult members of the household returning to work or searching for new work 5</p> <p>Ask children to work to earn additional income 6</p> <p>Selling of livestock 7</p> <p>Selling other assets 8</p> <p>Using up savings 9</p> <p>Borrowing money (including using credit to buy food) 10</p> <p>Begging/scavenging 11</p> <p>Sending children to other families/households 12</p> <p>Did not send children to school / did not come back to school even when they reopened 13</p> <p>None of the above 0</p> <p>Refused -99</p>

ACCESS TO SAFETY NETS

Variable	Question	Response
I am now going to ask you a question about cash transfers or other form of assistance your household might receive from the government or other institution to help with the difficulties derived from the coronavirus pandemic.		
sn_other	<p>In the last 4 weeks, has any member of your household received any assistance from any institution such as the government, international organisations, religious bodies in order to help with the difficulties derived from the coronavirus pandemic? This assistance needs to be different from the Inua Jamii and the Give Directly COVID-19 cash transfer (of KSH 4,000).</p> <p>Read answers out loud</p> <p>Select ALL that apply</p>	<p>School feeding programme..... 1</p> <p>Other food assistance 2</p> <p>Food or cash for work 3</p> <p>Cash transfers (different from the Inua Jamii and the Give Directly COVID-19)..... 4</p> <p>Other (specify) -96</p> <p>None 97</p>

EXPOSURE TO INTERVENTION

Variable	Question	Response
I am now going to ask you some questions about your participation in the Give Directly COVID-19 cash transfer, which provides a monthly cash support of 4,000 KSH for 3 months via Mpesa.		
exp_int	Did you receive an SMS from Give Directly informing you that in the coming days you would receive an SMS survey in order to confirm your eligibility for their COVID-19 cash transfer programme?	Yes 1 No..... 2
exp_surv	Did you receive an SMS survey from Give Directly asking you about your gender, and requesting permission to collect and use your personal information to check your eligibility for the programme?	Yes 1 No....(-> exp_mon)..... 2
exp_survcom	Did you complete the SMS survey independently (by yourself) or did you receive help?	I completed it independently (-> exp_mon) 1 I completed it with some help 2
exp_help	Who did you receive help from? Select ALL THAT APPLY	Family member 1 Friends/neighbours 2 Give Directly helpline..... 3 CBO 4 Community leaders (e.g. chief, elders, religious leaders) 5 Other.....-96
exp_mon	Have you received money from the Give Directly COVID-19 cash transfer?	Yes 1 No.... (-> OUTCOME)..... 2
exp_freq	How many times have you received a payment?	One 1 Two.... 2 More than two..... 3
exp_quant1	How much money did you receive in the first payment? Type amount in KSH Type -99 if Don't Know	_ _ _ KSH
exp_quant2	Only ask if exp_freq>1 How much money did you receive in the second payment? Type amount in KSH Type -99 if Don't Know	_ _ _ KSH
exp_suff	To what extent do you agree with the following statement? 'The amount received from each payment is enough to help meet my monthly needs'	Strongly agree..... 1 Agree..... 2 Disagree 3 Strongly disagree 4
exp_cost	Did you incur any costs in accessing the money from Give Directly?	Yes 1 No.... (-> exp_mpe)..... 2
exp_which	Which costs? Select ALL THAT APPLY	Cost of converting MPESA to cash 1 Informal fee taken by the agent 2 Forced purchases from the agent..... 3 Transport costs 4 Other.....-96
exp_mpe	How satisfied are you with the choice of MPESA to deliver your cash?	Very satisfied 1 Satisfied..... 2 Dissatisfied..... 3 Very dissatisfied..... 4
exp_con	If you wanted to register a concern or a complaint about the programme, how would you do it or who would you speak to? Read answers out loud Select ALL THAT APPLY	Give Directly call centre / toll free number 1 Other call centre / toll free number such as Inua Jamii, WFP, Oxfam.... 2 CBO.... 3 Local leader (chiefs, religious leaders, etc) 4 Don't know..... (-> USE OF CASH)-99
exp_conyn	Have you registered a concern or a complaint about the programme?	Yes 1 No.... (-> USE OF CASH)..... 2

exp_consol	Have you received a response to your concern/complaint? Read options out loud Select ONLY ONE option	Yes, and the issue was solved 1 Yes, but the issue was not solved 2 No 3
------------	--	--

USE OF CASH

Variable	Question	Response
I am now going to ask you some questions about how you spent the money you received from the Give Directly COVID-19 cash transfer.		
use_c19	Did you spend the money received as part of the Give Directly COVID-19 cash transfer? Read options out loud Select ONLY ONE option	Yes, spent it all.... (-> use_type)1 Yes, spent part of it.... (-> use_type)2 No, have not spent any3
use_why	What is the main reason why you have not spent any of the money? Read options out loud Select ONLY ONE option	Planning for household expenditures over the month, including food, school fees and water and sanitation expenditures1 Planning for business expenses over the month 2 Saving3 Other -96
(----->OUTCOME)		
use_type	What did you use the money for? Select ALL THAT APPLY	Food1 Water2 Energy (electricity, kerosene)3 Communication (airtime)4 Transport.....5 Hygiene (soap, sanitary pads)6 Education (school fees, school material)7 Health (clinic fees, medicines, masks)8 Rent.....9 Paying back loans/debts10 Other -96
use_type_m	Which of these is the main one?	Food1 Water2 Energy (electricity, kerosene)3 Communication (airtime)4 Transport.....5 Hygiene (soap, sanitary pads)6 Education (school fees, school material)7 Health (clinic fees, medicines, masks)8 Rent.....9 Paying back loans/debts10 Other -96
use_how	How did you spend the money? Read answers out loud Select ONLY ONE option	Only via MPESA1 Mostly via MPESA2 Mostly in cash3 Only in cash4

OUTCOME

Variable	Question	Response
outcome	Record outcome of the interview	No one answered the call..... 1 Phone was switched off..... 2 Number does not exist 3 Someone answered the phone, but cannot understand the language 4 Non-member will provide phone number for respondent (-> phone_respondent) 5 Non-member accepts to be called on his phone again (-> phone_nonres) 6 Non-member does not know household/cannot contact them..... 7 Respondent is not available now (-> phone_nonres)..... 8 Respondent does not exist 9 Respondent refuses content 10 Interview complete 11
end_text	Thank you very much for your participation in this survey! As part of this survey, we have spoken about the coronavirus. To help stop the spread of coronavirus (COVID-19), avoid close contact with anyone you do not live with and wash your hands regularly. If you feel unwell, have a cold or a cough or fever, please call 719 or text *719# which is a toll-free number provided by the Government of Kenya. I may try to contact you in future for another short interview. Before you go, I have a couple of questions to help in case I need to contact you in future.	
phone_check	Is this number the best number to reach you in the future?	Yes (-> time_end) 1 No (-> phone_correct) 2
phone_nonres	Can you confirm that I can call this number again to contact [res_name] at another moment?	Yes (-> time_end) 1 No 2
phone_correct	Provide correct phone number for respondent	_____
time_end	Time end of call automated	
future_day	What day of the week will be best to call again?	Monday 1 Tuesday 2 Wednesday..... 3 Thursday..... 4 Friday..... 5 Saturday 6 Sunday..... 7
future_time	What time of the day will best to call again?	Morning..... 1 Afternoon 2 Evening 3