

**SERIAL NUMBER**

**Financial Crisis Survey**  
The World Bank  
(2009)

**A. CONTROL INFORMATION (to be filled out from the existing information, except for a4c )**

**A.1 Country**

<b>a0</b>
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**A.1a Language of the interview**

<b>a1a</b>
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**A.2**

**Sampling Region**

	<b>a2</b>
Region 1	1
Region 2	2
Region 3	3
Region 4	4
Region 5	5

**A.3x City/town/village**

<b>a3x</b>
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**A.3 Size of locality**

**a3**

	<b>a3</b>
Capital city	1
City with population over 1 million – other than capital	2
Over 250.000 to 1 million	3
50.000 to 250.000	4
Less than 50.000	5

**A.3a**

**Region of the establishment**

**a3a**

	<b>a3a</b>
Region 1	1
Region 2	2
Region 3	3
Region 4	4
Region 5	5
Region 6	6
Region 7	7
Region 8	8

**A.4 Industry**

		Screener sector <b>a4b</b>	Revised sector <b>a4c</b>
Manufacturing :Section D	Food	15	15
	Textiles	17	17
	Garments	18	18
	Chemicals	24	24
	Plastics & rubber	25	25
	Non metallic mineral products	26	26
	Basic metals	27	27
	Fabricated metal products	28	28
	Machinery and equipment	29	29
	Electronics (31 & 32)	31	31
Service	Retail	52	52
Other	Other manufacturing	2	2
	Wholesale	51	51
	IT	72	72
	Hotel and restaurants: section H	55	55
	Services of motor vehicles	50	50
	Construction Section F:	45	45
	Transport Section I: (60-64)	60	60

**A.6 Size**

**Screener size**

**a6b**

	<b>a6b</b>
Less than 5 (panel only)	0
Small >=5 and <=19	1
Medium >=20 and <=99	2
Large >=100	3

**READ THE FOLLOWING TO THE TARGET RESPONDENT**

Good morning. I'm calling you from [Insert name of the contractor] to thank you for the participation in the survey for the World Bank that we undertook a few months ago and I would like to inform you that we are sending you a brief report of the results. We would like to take this opportunity to ask you a few questions to follow up on the effect of the current economic crisis on your establishment.

[The information obtained here will be held in the strictest confidentiality. Neither your name nor the name of your business will be used in any document based on this survey]

**B. SALES AND SUPPLIES**

<b>b.1</b>	If you compare this establishment's sales for the last completed month in 2009 with the same month in 2008, how did they change?
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Increased	1	
Remained the same	2	GO TO QUESTION B.2
Decreased	3	
Don't know (spontaneous)	-9	GO TO QUESTION B.2
	b1a	

  

	Percent
Increased by how much?	b1b %
Don't know (spontaneous)	-9

  

	Percent
Decreased by how much?	b1d %
Don't know (spontaneous)	-9

INTERVIEWER:  
Record either answer

LCU
b1c
-9

  

LCU
b1e
-9

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**b.2** What do you expect will happen with this establishment's sales one year from now?

Increased	1	
Remained the same	2	GO TO QUESTION B.3
Decreased	3	
Don't know (spontaneous)	-9	GO TO QUESTION B.3
	<b>b2a</b>	

	Percent		LCU
Increased by how much?	<b>b2b</b> %	Interviewer:	<b>b2c</b>
Don't know (spontaneous)	-9	Record one answer	-9

	Percent		LCU
Decreased by how much?	<b>b2d</b> %	Interviewer:	<b>b2e</b>
Don't know (spontaneous)	-9	Record one answer	-9

**b.3** In the last completed month, what percent of this establishment's sales were:

	Percent	Don't know
National sales	<b>b3a</b> %	-9
Indirect exports (sold domestically to third party that exports products)	<b>b3b</b> %	-9
Direct exports	<b>b3c</b> %	-9
	<b>100%</b>	

**b.4** In the last completed month, what was this establishment's output in comparison with the maximum output possible using all resources available (capacity utilization)?

	Percent
Capacity utilization	<b>b4</b> %
Don't know (spontaneous)	-9

**c. LABOR**

<b>c.1</b>	At the end of the last completed month, how many permanent, full-time employees did this establishment employ? Please include all employees and managers <b>(INTERVIEWER: include respondent if applicable).</b>
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**INTERVIEWER: Permanent, full-time employees are defined as all paid employees that are contracted for a term of one or more fiscal years and/or have a guaranteed renewal of their employment contract and that work 8 or more hours per day.**

	Number
Permanent, full-time employees end of last month	<b>c1</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>c.1a</b>	Does this establishment plan to reduce the number of permanent full-time employees in the next 6 months due to the financial crisis?
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Yes	1
No	2
<b>Don't know (spontaneous)</b>	<b>-9</b>

**c1a**

<b>c.2</b>	How many full-time temporary employees worked in this establishment in the last 6 months? <b>(Interviewer: include all temporary workers not only new hires)</b>
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**INTERVIEWER: Full-time, temporary workers are all paid short-term (i.e. for less than a fiscal year) employees with no guarantee of renewal of contract employment and work 8 or more hours per day.**

	Number
Full-time seasonal/temporary workers employed last 6 months	<b>c2</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

**IF 0, GO TO QUESTION d.1**

<b>c.3</b>	What was the average length of employment of all full-time temporary employees in the last 6 months?
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	Months
Average length full-time seasonal/temporary employment last 6 months	<b>c3</b>
<b>Less than one month</b>	<b>1</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>c.3a</b>	Does this establishment plan to change the number of temporary workers it employs in the next 6 months due to the financial crisis?
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Yes, increase	1
Yes, decrease	2
No	3
<b>Don't know (spontaneous)</b>	<b>-9</b>

**c3a**

## D. FINANCE

<b>d.1</b>	In the last completed month, did this establishment sell goods or services on credit?
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Yes	1
No	2
<b>Don't know (spontaneous)</b>	<b>-9</b>

**GO TO QUESTION d.3**

**GO TO QUESTION d.3**

**d1**

<b>d.2</b>	Comparing last month's sales on credit with the month before did they?
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Increased	1
Remained the same	2
Decreased	3
<b>Don't know (spontaneous)</b>	<b>-9</b>

**d2**

<b>d.3</b>	In the last completed month, did this establishment delay payments for more than one week to tax authorities or suppliers?
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Yes	1
No	2
<b>Don't know (spontaneous)</b>	<b>-9</b>

**d3**

<b>d.4</b>	In the last completed month please estimate the proportion of this establishment's working capital that was financed from internal funds or retained earnings?
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	<b>Percent</b>
Proportion of working capital financed with internal funds or retained earnings	<b>d4%</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>d.5</b>	In the last completed month please estimate the proportion of this establishment's working capital that was financed from banks?
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	Percent
Proportion of working capital financed from banks	<b>d5%</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>d.6</b>	And going back to the end of fiscal year 2008, please estimate the proportion of this establishment's working capital financed from internal funds or retained earnings?
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	Percent
Proportion of working capital financed with internal funds or retained earnings at the end of fiscal year 2008	<b>d6%</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>d.7</b>	What is the current level of total liabilities (debt) of this establishment?
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	LCU
Total current level of liabilities	<b>d7</b>
No liabilities	0
<b>Refusal (spontaneous)</b>	<b>-8</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

**GO TO QUESTION d.10**  
**GO TO QUESTION d.10**

<b>d.8</b>	What percentage of the total level of liabilities (debt) of this establishment is denominated in foreign currency?
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	%
Share of debt in foreign currency	<b>d8</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>d.9</b>	What percentage of the total level of liabilities (debt) of this establishment has a term to maturity of less than one year (short term)?
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	%
Share of short term liabilities	<b>d9</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

<b>d.10</b>	Has this establishment been overdue on its obligations to any financial institution in the last 12 months?
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Yes	1	
No	2	<b>GO TO d.12</b>
<b>Refuse to respond</b>	<b>-8</b>	<b>GO TO d.12</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>	<b>GO TO d.12</b>

**d10**

<b>d.11</b>	Has this establishment been overdue in the last 12 months for 90 days or more?
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Yes	1
No	2
<b>Refuse to respond</b>	<b>-8</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

**d11**

<b>d.12</b>	Has this establishment restructured any of its outstanding liabilities in the last 12 months?
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Yes	1
No	2
<b>Not applicable (no liabilities)</b>	<b>-7</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>

**d12**

<b>d.13</b>	Do you anticipate that this establishment will fall in arrears in any of its outstanding liabilities in the course of the next 6 months?
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Yes	1	
No	2	<b>GO TO QUESTION e.1</b>
<b>Don't know (spontaneous)</b>	<b>-9</b>	<b>GO TO QUESTION e.1</b>

**d13**

<b>d.14</b>	Do you anticipate that this establishment will not be able to repay its outstanding liabilities that will be due in the course of the next 6 months?
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Yes	1
No	2
<b>Don't know (spontaneous)</b>	<b>-9</b>

**d14**

## E. FINANCIAL CRISIS

**e.1** Has the financial crisis affected this establishment?

Yes	1
No	2
Don't know (spontaneous)	-9

**GO TO e.6**  
**GO TO e.6**

**e1**

**e.2** Choosing from the following list what has been the **main** effect the financial crisis on this establishment? **INTERVIEWER: READ OUT**

Increase the level of debt	1
Increase input cost	2
Reduce access to credit	3
Drop in demand for its products or services	4
Other. (Specify <b>e2x</b> )	5
Don't know (spontaneous)	-9

**e2**

**e.3** Has the establishment filed for reorganization that is revaluing the value of the assets to reflect their actual market value, during the last 12 months?

Yes	1
No	2
Don't know (spontaneous)	-9

**e3**

**e.4** Has the establishment filed for insolvency or bankruptcy during the last 12 months?

Yes	1
No	2
Don't know (spontaneous)	-9

**e4**

**e.5** Has this establishment applied for direct state aid in the last 12 months?

Yes	1
No	2
Don't know (spontaneous)	-9

**e5**

**e.6** What were this establishment's total annual sales for fiscal year 2008?



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	LCU's	
Total annual sales fiscal year finishing on December 2008	e6	TERMINATE
Refuse to respond (spontaneous)	-8	
Don't know (spontaneous)	-9	

e.7	If you compare this establishment's sales for the fiscal year 2008 with fiscal year 2007, how did they change?
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Increased	1	
Remained the same	2	TERMINATE
Decreased	3	
Don't know (spontaneous)	-9	TERMINATE
		e7a

  

	Percent		LCU
Increased by how much?	e7b %	INTERVIEWER:	e7c
Don't know (spontaneous)	-9	Record either answer	-9

  

	Percent		LCU
Decreased by how much?	e7d %	INTERVIEWER:	e7e
Don't know (spontaneous)	-9	Record either answer	-9

THE SURVEY ENDS HERE

THANK YOU VERY MUCH FOR YOUR COOPERATION.

INTERVIEWER COMMENTS: (Problems occurred or extraordinary circumstances which could influence results)

a17x

**SERIAL NUMBER**

**INTERVIEW PROTOCOL**

**Name of the respondent**

 **a20x**

	<b>Day (dd)</b>	<b>Month (mm)</b>	<b>Year (yyyy)</b>
Date of the interview	<b>a14d</b>	<b>a14m</b>	<b>a14y</b>

**Position of the respondent**

 **a21x**

<b>a.22</b>	Interviewee was the same person as in baseline survey?
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Yes	1
No	2

**a22**

<b>a.23</b>	Gender of the respondent
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Male	1
Female	2

**a23**

<b>a.24</b>	Number of minutes the interview lasted
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**Minutes**

**a24**

<b>a.25</b>	Respondent cooperation
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Excellent	1
Fair	2
Average	3
Bad	4

**a25**

<b>a.26</b>	Interviewer number	<b>a26</b>
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<b>a.27</b>	Full Firm Contact information	
	Full address	<b>a27ax</b>
	Town/City	<b>a27ay</b>
	County -Judet	<b>a27aw</b>
	Phone number(s)	<b>a27b</b>
	Fax number	<b>a27c</b>
	email	<b>a27dx</b>