

Service Availability and Readiness Assessment (SARA)

An annual monitoring
system for service delivery

Reference Manual



**World Health
Organization**

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The service availability and readiness assessment (SARA) methodology was developed through a joint World Health Organization (WHO) – United States Agency for International Development (USAID) collaboration. The methodology builds upon previous and current approaches designed to assess service delivery including the service availability mapping (SAM) tool developed by WHO, and the service provision assessment (SPA) tool developed by ICF International under the USAID-funded MEASURE DHS project (monitoring and evaluation to assess and use results, demographic and health surveys) project, among others. It draws on best practices and lessons learned from the many countries that have implemented health facility assessments as well as guidelines and standards developed by WHO technical programmes and the work of the International Health Facility Assessment Network (IHFAN).

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Abbreviations

| | |
|----------------|--|
| AIDS | acquired immunodeficiency syndrome |
| ALT | alanine aminotransferase |
| CBR | crude birth rate |
| CSV | comma-separated values |
| DBS | dried blood spot |
| DCMI | Dublin Core Metadata Initiative |
| DDI | Data Documentation Initiative |
| DQRC | Data quality report card |
| DV | Data verification |
| EDC | electronic data collection device |
| FBO | faith-based organization |
| GIS | geographical information system |
| GPS | global positioning system |
| HIV | human immunodeficiency virus |
| HMIS | health management information system |
| HRIS | human resources information system |
| ID | identification |
| IHFAN | International Health Facility Assessment Network |
| IHP+ | International Health Partnership and related initiatives |
| IHSN | International Household Survey Network |
| M&E | monitoring and evaluation |
| MDG | Millennium Development Goal |
| MFL | master facility list |
| MNCH | maternal, newborn and child health |
| MoH | ministry of health |
| NADA | national data archive |
| NGO | nongovernmental organization |
| OECD | Organisation for Economic Co-operation and Development |
| PMTCT | prevention of mother-to-child transmission (of HIV) |
| RDT | rapid diagnostic test |
| SAM | service availability mapping |
| SARA | service availability and readiness assessment |
| SPA | service provision assessment |
| UNAIDS | Joint United Nations Programme on HIV/AIDS |
| UNDP | United Nations Development Programme |
| UNICEF | United Nations Children’s Fund |
| USAID | United States Agency for International Development |
| WHO | World Health Organization |
| XML | extensible markup language |

1. Overview

1.1 Background

1.1.1 Why measure service availability and readiness?

Sound information on the supply and quality of health services is necessary for health systems management, monitoring and evaluation. Efforts to achieve the Millennium Development Goals (MDGs) and to scale up interventions for HIV/AIDS, malaria, safe motherhood and child health through global health partnerships, have drawn attention to the need for strong country monitoring of health services, covering the public, private-for-profit and private not-for-profit sectors, and their readiness to deliver key interventions.

With the increased demand for accountability and the need to demonstrate results at country and global levels, information is needed to track how health systems respond to increased inputs and improved processes over time, and the impact such inputs and processes have on improved health outcomes and better health status. However, despite heightened investments in health systems, few countries have up-to-date information on the availability of health systems that covers both the public and private sectors. Fewer still have accurate, up-to-date information required to assess and monitor the "readiness" of health facilities to provide quality services.

Ensuring access to quality health services is one of the main functions of a health system. Service access includes different components: availability, which refers to the physical presence or reach of the facilities; affordability, which refers to the ability of the client to pay for the services; and acceptability, which refers to the sociocultural dimension.

The quality of services is yet another dimension. A prerequisite to service quality is service readiness, i.e. the health facilities should have the capacity to deliver the services offered. This capacity includes the presence of trained staff, guidelines, infrastructure, equipment, medicines and diagnostic tests. Service availability and readiness are prerequisites to quality services, but do not guarantee the delivery of quality services.

1.1.2 The global and country context

Building upon principles derived from the Paris Declaration on Aid Effectiveness and the International Health Partnership and related initiatives (IHP+), global partners and countries have developed a general framework for the monitoring and evaluation (M&E) of health system strengthening (1). This framework centres on country health strategies and related M&E processes such as annual health sector reviews, and at its core is the strengthening of a common monitoring and review platform to improve the availability, quality and use of data to inform health sector review processes and global monitoring (2).

Within this context, WHO has been working with USAID, MEASURE Evaluation, MEASURE DHS, ICF International, and other country and global partners to develop tools to fill critical data gaps in measuring and tracking progress in health systems strengthening. Service availability and readiness assessment (SARA) is one tool available to fill data gaps on service delivery.

SARA relies on a rapid data collection and analysis methodology, and can be combined with a record review to assess data quality of the facility reporting system. Ideally, SARA is conducted approximately three to five months prior to a health sector review to allow for the results to feed into the health sector review process.

1.1.3 Related surveys and initiatives

The service availability and readiness assessment (SARA) effort builds on previous and current approaches designed to assess health facility service delivery including the service availability mapping (SAM) tool developed by WHO (3), and the service provision assessment (SPA) tool developed by ICF International under the USAID-funded MEASURE DHS project (4).

The SARA methodology takes into account best practices and lessons learned from the many countries that have implemented health facility assessments of service availability and readiness. It also draws heavily on the work of the International Health Facility Assessment Network (IHFAN) and on experiences from programme- and service-specific facility assessment work.

The training materials for SARA draw on best practices and materials developed for survey methods such as the SPA and the WHO/Health Action International (HAI) methodology for measuring medicine prices, availability, affordability and price components (5).

1.2. Survey overview

1.2.1 Survey objectives

SARA is designed as a systematic survey to assess health facility service delivery. The objective of the survey is to generate reliable and regular information on service delivery including service availability, such as the availability of key human and infrastructure resources, and on the readiness of health facilities to provide basic health-care interventions relating to family planning, child health services, basic and comprehensive obstetric care, HIV/AIDS, tuberculosis, malaria and noncommunicable diseases.

The SARA survey generates a set of tracer indicators of service availability and readiness that can be used to:

- detect change and measure progress in health system strengthening over time;
- plan and monitor the scale-up of interventions that are key to achieving the MDGs, such as implementing interventions to reduce child and maternal mortality, HIV/AIDS, tuberculosis and malaria, and to respond to the increasing burden of noncommunicable diseases;
- generate the evidence base to feed into country annual health reviews, to better inform the development of annual operational plans and to guide more effective country and partner investments;
- support national planners in planning and managing health systems (e.g. assessing equitable and appropriate distribution of services, human resources and availability of medicines and supplies).

Key outputs from SARA form the basis for national and subnational monitoring systems of general service availability and readiness, and service-specific readiness (maternal and child health, HIV/AIDS, tuberculosis, malaria, noncommunicable diseases, surgical care, etc.). SARA products include a regularly updated national database of public and private facilities, and an analytical report of core indicators to assess and monitor availability of health services and readiness to provide services.

QUESTIONS ANSWERED BY SERVICE AVAILABILITY AND READINESS ASSESSMENT (SARA)

- What is the availability of basic packages of essential health services offered by public and private health facilities?
- Is there an adequate level of qualified staff?
- Are resources and support systems available to assure a certain quality of services?
- How well prepared are facilities to provide high-priority services such as reproductive health services, maternal and child health services, and infectious disease diagnosis and treatment (e.g. HIV, sexually transmitted infections, tuberculosis and malaria)?
- Are facilities ready to respond to the increasing burden of noncommunicable diseases?
- What are the strengths and weaknesses in the delivery of key services at health-care facilities?

1.2.2 Key topics, indicators and indices

The SARA survey is designed to generate a set of core indicators on key inputs and outputs of the health system, which can be used to measure progress in health system strengthening over time (6). Tracer indicators aim to provide objective information about whether or not a facility meets the required conditions to support provision of basic or specific services with a consistent level of quality and quantity. Summary or composite indicators, also called indices, can be used to summarize and communicate information about multiple indicators and domains of indicators. Indices can be used for general and service-specific availability and readiness.

There are three main focus areas of SARA.

- I. **Service availability** refers to the physical presence of the delivery of services and encompasses health infrastructure, core health personnel and aspects of service utilization. This does not include more complex dimensions such as geographical barriers, travel time and user behaviour, which require more complex input data. Service availability is described by an index using the three areas of tracer indicators (see Table 1.2.1). This is made possible by expressing the indicators as a percentage score compared with a target or benchmark, then taking the mean of the area scores.
- II. **General service readiness** refers to the overall capacity of health facilities to provide general health services. Readiness is defined as the availability of components required to provide services, such as basic amenities, basic equipment, standard precautions for infection prevention, diagnostic capacity and essential medicines. General service readiness is described by an index using the five general service readiness domains (see Table 1.2.1). A score is generated per domain based on the number of domain elements present, then an overall general readiness score is calculated based on the mean of the five domains.
- III. **Service-specific readiness** refers to the ability of health facilities to offer a specific service, and the capacity to provide that service measured through consideration of tracer items that include trained staff, guidelines, equipment, diagnostic capacity, and medicines and commodities.

TABLE 1.2.1: SUMMARY OF TRACER INDICATORS, ITEMS AND SERVICES FOR SERVICE AVAILABILITY AND SERVICE READINESS

| Domain | Tracer indicators, items or services |
|--------------------------------|---|
| I. Service availability | |
| 1. Health infrastructure | <ul style="list-style-type: none"> • Number of health facilities per 10 000 population • Number of inpatient beds per 10 000 population • Number of maternity beds per 1000 pregnant women |
| 2. Health workforce | <ul style="list-style-type: none"> • Number of health workers per 10 000 population |
| 3. Service utilization | <ul style="list-style-type: none"> • Outpatient visits per capita per year • Hospital discharges per 100 population per year |

| Domain | Tracer indicators, items or services |
|--|--|
| II. General service readiness | |
| 1. Basic amenities | Mean availability of seven basic amenities items (%): power, improved water source, room with privacy, adequate sanitation facilities, communication equipment, access to computer with Internet, emergency transportation |
| 2. Basic equipment | Mean availability of six basic equipment items (%): adult scale, child scale, thermometer, stethoscope, blood pressure apparatus, light source |
| 3. Standard precautions for infection prevention | Mean availability of 9 standard precautions items (%): safe final disposal of sharps, safe final disposal of infectious wastes, appropriate storage of sharps waste, appropriate storage of infectious waste, disinfectant, single-use disposable/auto-disable syringes, soap and running water or alcohol-based hand rub, latex gloves and guidelines for standard precautions |
| 4. Diagnostic capacity | Mean availability of 8 laboratory tests available on-site and with appropriate equipment (%): haemoglobin, blood glucose, malaria diagnostic capacity, urine dipstick for protein, urine dipstick for glucose, HIV diagnostic capacity, syphilis RDT and urine pregnancy test |
| 5. Essential medicines | Mean availability of 20 essential medicines (%): amitriptyline tablet, amlodipine tablet or alternative calcium channel blocker, amoxicillin (syrup/suspension or dispersible tablets AND tablet), ampicillin powder for injection, beclometasone inhaler, ceftriaxone injection, enalapril tablet or alternative ACE inhibitor, fluoxetine tablet, gentamicin injection, glibenclamide tablet, ibuprofen tablet, insulin regular injection, metformin tablet, omeprazole tablet or alternative, oral rehydration solution, paracetamol tablet, salbutamol inhaler, simvastatin tablet or other statin and zinc sulphate (tablet or syrup) |
| III. Service-specific readiness | |
| For each service, the readiness score is computed as the mean availability of service-specific tracer items in four domains: staff and training, equipment, diagnostics, and medicines and commodities | <ul style="list-style-type: none"> • Family planning • Antenatal care • Basic obstetric care • Comprehensive obstetric care • Child health immunization • Child health preventative and curative care • Adolescent health services • Lifesaving commodities for women and children • Malaria diagnosis or treatment • Tuberculosis services • HIV counselling and testing • HIV/AIDS care and support services • Antiretroviral prescription and client management • Prevention of mother-to-child transmission (PMTCT) of HIV • Sexually transmitted infections diagnosis or treatment • Noncommunicable diseases diagnosis or management: diabetes, cardiovascular disease, chronic respiratory disease and cervical cancer screening • Basic and comprehensive surgical care • Blood transfusion • Laboratory capacity |

1.2.3 Core instrument

The basic approach to SARA is to collect data that are comparable both across countries and within countries (i.e. across regions and/or districts). To achieve this, a standard core questionnaire has been developed. The core questionnaire was pretested in a variety of settings in two countries. The first pretest occurred in Sierra Leone in April, 2011. A second pretest occurred in Kenya in June, 2011. This second pretest was part of a larger pretest of the revised MEASURE DHS Service Provision Assessment (SPA) questionnaire, which includes all core SARA questions as they are embedded in the SPA Inventory questionnaire. Following the pilot test experience, adjustments were made to the questionnaire to account for the information gained, resulting in the standard core questionnaire.

Typically, a country adopts the core questionnaire with adaptations to certain elements such as:

- types of facilities
- managing authority of facilities
- national guidelines for services
- staffing categories
- national policies for medicines (e.g. for tuberculosis, HIV/AIDS).

The questionnaire does not attempt to measure the quality of services or resources, but it can be used in conjunction with additional modules such as management assessment or quality of care.

1.2.4 Survey design methodology

The SARA survey requires visits to health facilities with data collection based on key informant interviews and observation of key items. The survey can either be carried out as a sample or a census; the choice between these methodologies will depend on a number of elements including the country's resources, the objectives of the survey and the availability of a master facility list (MFL). For example, if the objective of the survey is to have nationally representative estimates, a sample survey would be appropriate. However, if the objective is to have district estimates, the sampling methodology must be adjusted to either a larger sample or in some cases a full census.

Service availability

The recommended data source for information on service availability is a national master facility list (MFL) of all public and private facilities (7). A facility census is usually required to establish and maintain a national MFL. A facility census aims to cover **ALL** public and private health facilities in a country. The census is designed to form the basis for a national and subnational monitoring system of service delivery, which can be supplemented by quality ascertainment through facility surveys and further in-depth assessments. A census is the recommended methodology for forming the baseline of service availability and readiness data. Service availability data should be updated annually through routine, facility-based reporting and validated approximately every five years through a facility census.

Service readiness

The recommended design methodology for measuring service readiness is a sample survey. Sampling is done in a systematic way to ensure that the findings are representative of the country and region/district in which the survey is being conducted. Drawing a random sample of health facilities is much more complicated if the country does not have a comprehensive and up-to-date MFL. Therefore, it is highly recommended to invest in establishing a MFL that includes all public and private facilities. In cases where a national list of facilities is not available or up-to-date, the service readiness survey can be carried out at the same time as the facility census for service availability.

Master facility list (MFL)

Regardless of the method selected, a complete MFL is required. Therefore, it is highly recommended to invest in establishing a MFL that includes all public and private health facilities. In many countries there are already lists of public facilities and sometimes also nongovernmental facilities. However, private facilities are often excluded or only partially included in these lists. WHO and partners have developed a guide to support countries in creating a MFL. Please refer to the document *Creating a master facility list (7)* for more information on best practices in establishing a MFL.

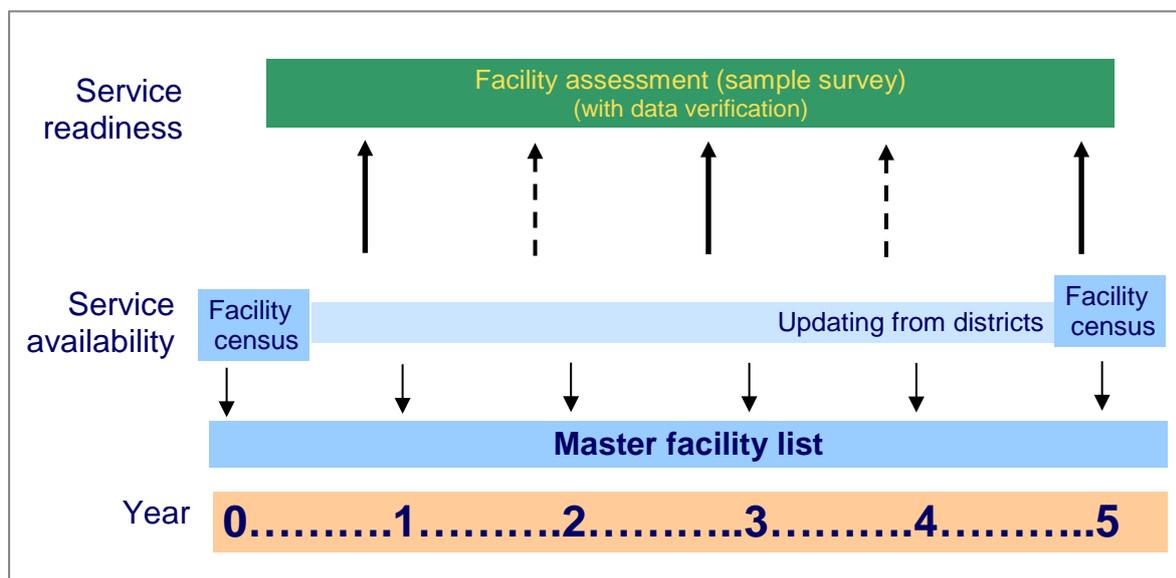
Data quality assessment

The service availability and readiness assessment can be used for to assess data quality of the routine system by comparing results with aggregated routine health information data at district, provincial and national level. In addition, the service readiness assessment can be combined with a record review for data verification purposes, to ascertain the completeness and quality of facility reporting. The data quality report card (DQRC) (8) can be used to verify the quality of routinely reported data for some key coverage indicators, quantifying problems of data completeness accuracy and external consistency.

1.2.5 Timeline of implementation

Service availability and readiness assessments should be planned on a yearly or biennial basis to coincide with and feed into national health planning cycles. Sample surveys should be organized every year about three to five months in advance of the annual review. The national MFL should be used to provide the sampling frame (see Figure 1.2.1).

FIGURE 1.2.1: TIMELINE OF IMPLEMENTATION FOR SERVICE AVAILABILITY AND READINESS ASSESSMENT



The time needed to complete a service availability and readiness assessment depends on the size of the country and whether or not there is a need for a full facility census. From the initial country-adaptation of the assessment tool to the dissemination of data and production of country reports, the entire process generally takes from three to six months.

1. Overview

Table 1.2.2 below provides an overview of the survey steps and the activities to be undertaken at each step.

TABLE 1.2.2: SUMMARY OF SURVEY STEPS AND ACTIVITIES

| Steps | Survey activities |
|---|---|
| 1. Survey planning and preparation | <ul style="list-style-type: none"> • Establish a survey coordinating group of country stakeholders to oversee and facilitate the objectives, scope, design, implementation and analysis • Obtain a list of all health facility sites (public, private, nongovernmental organizations (NGOs) and faith-based organizations (FBOs)), including country facility registry codes • Determine appropriate design methodology (census or sample), develop an implementation plan and budget, and secure funding • Adapt questionnaires to meet country-specific needs • Recruit survey personnel (survey manager, field supervisors, data collectors, data entry/processing personnel, data analysts) • Prepare a survey schedule • Identify the survey sites (sampling frame). Select the sample size and sample of health facilities (if sampling methodology is chosen) • Procure logistics including equipment and transport, taking into consideration the number of sites to be visited, the number of data collection teams, drivers, vehicles, petrol, etc. • Plan and conduct training courses for interviewers and field supervisors • Pilot test the survey in a selected number of health facilities, evaluate results and make amendments if necessary |
| 2. Data collection in the field | <ul style="list-style-type: none"> • Plan the data collection visits (prepare a letter of introduction, contact each site, prepare a schedule of visits) • Prepare materials and tools for data collectors • Arrange for transport and regular communications during fieldwork • Assemble materials necessary for local data collection • Confirm appointments with health facilities • Visit health facilities and collect SARA data in teams (usually two interviewers and a driver) • At the end of the interview, check questionnaire and resolve missing/unreliable information • Return completed forms and/or transfer electronic files to field supervisor at the conclusion of each day • Return forms (paper and/or electronic) to survey manager when data collection is complete |
| 3. Data entry, analysis and interpretation | <ul style="list-style-type: none"> • Enter data using the CSPro application • Edit, validate and clean data set, checking for consistency and accuracy • Export the data set for analysis (SARA indicators) • Conduct analyses of SARA data using the standard core indicators (SARA automated tool for results graphs and tables) as well as any country-specific indicators of interest |
| 4. Results dissemination | <ul style="list-style-type: none"> • Meet with survey coordinating group to analyze and interpret survey results and to finalize recommendations • Prepare the final report • Plan and implement dissemination activities. The results should be used to support annual health reviews and feed into the M&E platform for the national health plan • Document and archive the survey using metadata standards |

1.3 Pre-survey preparation

1.3.1 Establishing a survey coordinating group

Bringing partners together and mobilizing them around the survey is a critical first step towards successful implementation. One of the first activities is to identify and establish a group of core stakeholders at country level to oversee, coordinate and facilitate the planning, implementation and follow-up of the facility assessment process. In general, partners include those groups, individuals, and/or organizations that are carrying out or planning similar efforts as well as those for whom the outputs of the health facility assessment will be of interest. These often include:

- ministries of health (as well as national institutes of statistics, geographical information system (GIS) units, health management information systems (HMIS) units, health services and other public research institutions);
- universities and other academic institutions involved in research;
- NGOs and other organizations involved in data collection;
- United Nations health-related organizations present in the country (e.g. WHO, UNICEF, UNDP, UNAIDS);
- international funders active in the country (i.e. the Global Fund to Fight AIDS, Tuberculosis and Malaria, government agencies for international development).

The role of the survey coordinating group should include:

- clarifying the objectives of the survey;
- supporting the survey manager in planning, preparing and conducting the study, and identifying important policy issues that should inform the survey protocol;
- advising on any matters that arise during survey preparation, fieldwork and data analysis;
- assisting in interpreting data and developing policy recommendations;
- promoting the findings of the survey and advocating for appropriate policy recommendations.

It is important to hold regular meetings with the survey coordinating group throughout the survey process. At least one meeting should be held to support the planning and preparation of the SARA survey, and one meeting should be held post-survey for interpreting survey results and developing recommendations. A second post-survey meeting may be beneficial to discuss the results and their policy implications, consolidate all survey results and finalize recommendations.

1.3.2 Compiling a master facility list (MFL)

Before beginning a health facility assessment, a situation analysis assessing the availability of health facility information must be carried out. An important prerequisite for conducting a SARA survey is the existence of a MFL. The analysis should therefore aim to ascertain the existence and reliability of an official MFL.

Regardless of the survey methodology (census or sample), a complete master list of facilities is required. Therefore, it is highly recommended to invest in establishing a MFL that includes public, private for-profit, and NGO facilities. In many countries there are already lists of public facilities and sometimes also nongovernmental facilities. However, the private facilities are often excluded or only partially included in these lists.

Before a health facility assessment can be implemented, **ALL** health facilities in a country must be identified and a health facility list created. This list must include health facilities in all sectors including the public sector, the private sector, FBOs and NGOs. In some countries, a MFL may be available containing all the required information. In most cases however, this information is not readily available and must be compiled. The ministry of health (MoH) generally maintains information on public health facilities and can serve as the basis for the MFL. Other contacts will need to be identified to retrieve information on private, FBO, NGO and other facilities.

All available health facility listings have to be reconciled to identify a single, comprehensive list, with each facility assigned a unique identification (ID) code. Facilities should be classified by level of service provision (from hospital at the highest level through clinic at the lowest level) and by ownership (MoH, mission, NGO or private). Locational information should be included in the MFL when available. The geographical coordinate collection method should also be recorded (i.e. global positioning system (GPS) remote device, digital place names, gazetteers, etc.).

A key component of the MFL is the unique ID code assigned to each facility. A set of data must be gathered with the specific purpose of uniquely identifying each survey site. In database terminology this set of identifier data is referred to as a "primary key" or a "unique key": a code uniquely identifying a row or column of a database. Without specific ID attached to each survey site, there is a risk of duplicate data collection. In addition to greatly lessening the risk of data duplication, site ID fields allow for cross-survey comparisons as well as comparisons over time.

Please refer to the document *Creating a master facility list (7)* for more information on best practices in establishing a MFL.

1.3.3 Designing a methodology and implementation plan

Design methodology

There are two potential design methodologies for the SARA survey:

- a facility census (i.e. assessment of all health facilities)
- a sample survey (i.e. a representative sample of facilities).

Service availability requires a denominator that includes all public and private health facilities in the country (i.e. a census). Service readiness can be measured through a representative sample of facilities.

Facility census

The recommended data source for information on service availability is a national MFL of all public and private facilities. A facility census is usually required to establish and maintain the MFL. Service availability data should be updated annually through routine, facility-based reporting, and data should be validated approximately every five years through a facility census.

A facility census aims to cover **ALL** public and private health facilities in a country. The census is designed to form the basis for a national and subnational monitoring system of service delivery, which can be supplemented by quality ascertainment through facility surveys and further in-depth assessments. A census is the recommended methodology for forming the baseline of service availability and readiness data.

Sample survey

The recommended design methodology for measuring service readiness is a sample survey. Sampling is done in a systematic way to ensure that the findings are representative of the country or state/province in which the survey is being conducted. Drawing a random sample of health facilities will be much more complicated if the country does not have a comprehensive and up-to-date MFL. Therefore, it is highly recommended to invest in establishing a MFL that includes public, private for-profit, and nongovernmental facilities. If a fairly complete master list of facilities already exists, a sampling approach can be used.

Implementation plan and budget

An implementation plan should be drafted based on the objectives of the survey and the results of the situation analysis of health facility information. The implementation plan serves as a comprehensive outline of the operational plan for implementing a SARA survey and is key to ensuring the success of the survey. The plan must lay out the reason for carrying out the survey, how the survey will be executed and how to oversee the survey to ensure that it will be completed on time and within budget. The objectives of the survey will help to determine the design methodology, which will in turn drive much of the operational plan and budget for the survey. When designing the budget, it is essential to ensure that the following items are accounted for.

Financial and human resources

- human resources
 - survey manager
 - field supervisors
 - data collectors
 - data entry personnel
 - data analysts
- training
 - training venue
 - daily allowance and accommodation
 - transport
 - materials
 - expenses related to pilot testing
- data collection and validation
 - daily field allowance and accommodation for data collectors
 - transport
 - materials (paper, pens, etc.)
 - photocopying
 - communication (e.g. telephone charges)
- meetings of the survey coordinating group
- report production and dissemination
- advocacy and communications
- overheads
- contingency.

Technical resources

- mobile electronic data collection devices (EDCs) e.g. personal digital assistants (PDAs), tablet computers or laptop computers: one for each data collection team
- GPS devices (if the facility coordinates need to be taken): one for each data collection team
- batteries for GPS devices
- memory cards for EDCs
- computer(s) for data entry
- data entry application (CSPro¹ recommended)
- data analysis program.

Once a comprehensive budget has been developed, funding should be secured to cover all survey costs.

1.3.4 Adapting the SARA instrument to country-specific needs

A standard core questionnaire for measuring service availability and readiness is available. However, the questionnaire must be adapted for country use to reflect the needs of each country and specificities of each health-care system. When adapting the health facility questionnaire, consideration should be given to how changes will affect data collection, and adjustments should be made to ascertain that definitions are specific enough to assure comparability across the country and within districts. Training, data collection and analysis are carried out, even in larger countries, within one month, and adding more to the tool will make it slower and could create problems at the analysis stage if not carefully considered. SARA is not intended to provide comprehensive data on all aspects of health system functioning. Rather, it focuses on key "tracer" elements that are critical to programmes that are scaling up or that are indicative of the essential health system underpinnings or "readiness" to do so. This should be kept in mind while adapting the questionnaire and adding additional modules or questions.

The following areas of the SARA tool must always be adapted to the country context:

- types of facilities
- managing authority of facilities
- national guidelines for services
- staffing categories
- tuberculosis medicines
- HIV/AIDS medicines
- other country-specific medicines.

The questionnaire can be implemented as either a paper questionnaire or an electronic questionnaire.

Paper questionnaire: any changes should be made according to the country adaptation process prepared for the survey training.

Electronic questionnaire: once a mobile EDC has been selected, the appropriate software can be chosen. This software generally comprises a desktop forms designer and database, a synchronization conduit and the handheld forms application. Once the software is uploaded, the survey form can be designed on a desktop computer and then synchronized with the handheld device. For the SARA survey, the recommended software for electronic questionnaires is CSPro.

¹ For information about the Census and Survey Processing System (CSPro), including free download, visit: <http://www.census.gov/population/international/software/cspro/>

1.3.5 Recruiting survey personnel

The SARA survey will require involvement of the following personnel:

- survey manager
- field supervisors
- data collectors
- data entry personnel
- data analysts.

Survey manager

The survey manager plans and coordinates the survey at the central (national) level. This includes planning the survey's technical and logistical aspects, recruiting and training survey personnel, supervising data collection and data entry, conducting data quality assurance and data analysis, interpreting results and preparing a survey report.

Wherever possible, the survey manager should have experience in conducting surveys and should be very familiar with the health-care system. The survey manager should be familiar with basic statistics and interpreting data. Successful communication of the survey results also requires an understanding of the policy-making process and different advocacy strategies. Where the survey manager does not possess all of these qualities, he or she should select the survey coordinating group members to ensure that the survey management team includes the necessary health, surveying, statistics, policy and advocacy skills.

Field supervisors

Field supervisors are responsible for overseeing all aspects of data collection in the survey area(s) for which they are responsible. In a small country or in a survey that is conducted in a single region of a country, it may be possible for all fieldwork to be undertaken by a single team. Experience has shown that in larger-scale studies, however, it is advisable to designate a field supervisor in each of the geographical areas that will be surveyed.

Field supervisors have a crucial role to play in ensuring data quality and consistency. They should be experienced in data collection and be familiar with health terminology. They are also instrumental in gaining access to facilities; if any field supervisor is unfamiliar with their designated area, a local contact may be needed to assist. Field supervisors may also be responsible for choosing local data collectors when they are not sent from the central level.

Data collectors

Data collectors are responsible for visiting health facilities and collecting SARA data with a high degree of accuracy. The survey methodology has been designed to minimize as far as possible the need for a high level of technical expertise.

However, data collectors should, wherever possible, have the following qualifications, skills and capabilities:

- a health qualification (nurse, midwife or medical student) and familiarity with the organization and functioning of health facilities;
- some understanding of the principles of sample surveys, ideally with some previous experience in conducting surveys;
- an appreciation of the logistics requirements for carrying out field studies;
- post-secondary school education as a minimum;
- familiarity with the locality and local language or dialect.

Data collection requires an aptitude for concentration and attention to detail. The best data collectors combine the discipline of collecting data in a standardized way with the ability to identify unusual situations that require advice from the field supervisor or survey manager. Data collectors must be available to work full time for the duration of the fieldwork. They should be willing to work extended hours if necessary and be able to stay away from their homes for extended periods of time.

The number of data collectors required depends on the sample size of the survey. Data collectors should work in pairs. Each visit to a health facility is likely to require about two hours plus transport time. In practice, this means that a team of two data collectors can survey two to four facilities per day. The number of data collectors will also depend on the budget available, the locations of the survey areas, the travel conditions and the number of health facilities to be surveyed. It is better to have a smaller number of better qualified data collectors than to have a large team where some data collectors lack the necessary skills.

Data entry and data processing personnel

Accurate data entry is vital to ensure the reliability of the results. Two data processing personnel with experience in using the selected data entry software are required: one to enter the data, and the other to re-enter the same data to check that the entries are correct. If data are being entered from paper questionnaires, double entry is essential to ensuring the accuracy of the data entry process. If data are collected both electronically and on paper, then the first instance of data entry has already occurred during the electronic data collection and the data entry personnel would only be responsible for the second entry of data for validation purposes. In some cases, it may be possible to use the same personnel for both data collection and data entry, provided they have the necessary expertise to undertake both functions.

Data analysts

The primary tasks of the data analyst(s) are to inspect, clean, transform, analyse and visualize data with the goal of highlighting useful information, suggesting conclusions and supporting decision-making. It is vital that the data analyst has an advanced knowledge of the chosen analysis software for the SARA survey. A working knowledge of health service delivery and the specific country's health system is important for interpretation of the results and is required of at least one member of the data analysis team.

1.3.6 Preparing the survey schedule

The complete survey should generally take between three and six months to complete, including survey preparation, data collection, data entry, data analysis and report writing. Further time should be allotted for dissemination and follow-up activities. Given that the information gathered from SARA should be used to inform decision-making, it is important that data collection be conducted rapidly and the report generated as soon as possible once data collection is complete. This will ensure that the survey results are relevant and informative for decision-makers. A survey schedule should be developed and consulted regularly to ensure that activities are proceeding according to plan. This schedule should detail the amount of time allotted for each step in the survey process, and should serve as a timeline for all survey activities.

1.4. Planning the survey

1.4.1 Selecting the sample size and sample

Determining the sample size and selecting the sample for a facility survey is a complex subject, which will vary considerably from case to case depending on the desired precision and type of estimates, the number of facilities in the country as well as the specific objectives of the assessment. For example, a SARA conducted to produce national estimates will require a much smaller sample size than if district-level estimates are desired. In order to ensure that the sample is representative, it is best to consult with a sampling expert or a statistician to select an appropriate sampling methodology. For the SARA, the most common sampling strategy is Option 1 in the table below—a nationally representative sample obtained by taking a simple random sample of facilities within each stratum (facility type and managing authority) at the national level. The table below presents different sampling options that could be used to conduct a SARA based on the desired level of estimates:

| Domains of estimation | Sampling method | Sample size (estimate) ² | Approximate cost |
|---|--|---|----------------------------|
| Option 1: National estimates only National estimates with disaggregation by facility type (3 levels) and managing authority (public/private) | Small country Stratification by facility type and managing authority, simple/systematic random sampling within each stratum with census or oversampling of hospitals (design effect = 1) | 150 – 250 facilities | \$60K-100K |
| | Medium country Blend of list and area sampling: list sampling for large health facilities, and area sampling for small facilities (census of facilities in sampled area PSUs ³) (deff = 1.2) | 250 – 500 facilities | \$100K-200K |
| Option 2: Subnational estimates Regional and national estimates with disaggregation by facility type (3 levels) and managing authority (public/private) | Small country Stratification by region, facility type and managing authority, simple/systematic random sampling within each stratum, with census or oversampling of hospitals (deff = 1) | 5 regions: 250 – 500 facilities 10 regions: 500 – 800 facilities | \$100K-130K \$130K-180K |
| | Medium/large country Blend of list and area sampling: list sampling for large health facilities, and area sampling for small facilities (census of facilities in sampled area PSUs ²) (deff = 1.2) | Medium country 4 regions: 300 – 500 facilities Large country 4 regions: 400 – 800 facilities | \$120K-200K \$180K-360K |
| Option 3: Subnational estimates Regional estimates for a subset of regions, with disaggregation by facility type (3 levels) and managing authority (public/private) for selected regions; no national estimates | Large country Purposive sample of regions, simple/systematic random sample with oversampling of hospitals for each region | 4 regions (150 facilities per region): 600 facilities | \$60-100K per region |

² Sample size estimates assume a margin of error of 0.1 and 95% level of confidence

³ Administrative units that form the PSUs (Primary Sampling Units) for the area sample should contain approximately 1-5 health facilities each (communes, sub-counties, villages)

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| Domains of estimation | Sampling method | Sample size (estimate) ² | Approximate cost |
|--|---|--|------------------|
| Option 4: District sample District estimates for sampled districts; national estimates if sufficiently many facilities are sampled | Small, medium and large countries List sampling for regional and national hospitals plus sampling of districts (two-level cluster sample: selection of districts as first level, selection of facilities within these districts as the second level) (deff = 2) | Small country 300-500 facilities (10-30 districts ⁴) | \$100K-200K |
| | | Medium country 400-800 facilities (20+ districts) | \$160K-320K |
| | | Large country 600-1000 facilities (30+ districts) | \$270K-470K |
| Option 5: Facility census All possible domains of estimation | Small, medium and large countries Census of all facilities | | Very expensive |

Small country: 50 – 100 hospitals, 1000 – 2000 health facilities total, 10 – 80 districts (e.g. Sierra Leone, Togo, Burkina Faso)

Medium country: 100-500 hospitals, 2000 – 5000 health facilities total, 80 – 500 districts (e.g. Uganda, Tanzania)

Large country: 500 – 1000 hospitals, 5000 – 10000 health facilities total, 500 – 1000 districts (e.g. DRC, Nigeria)

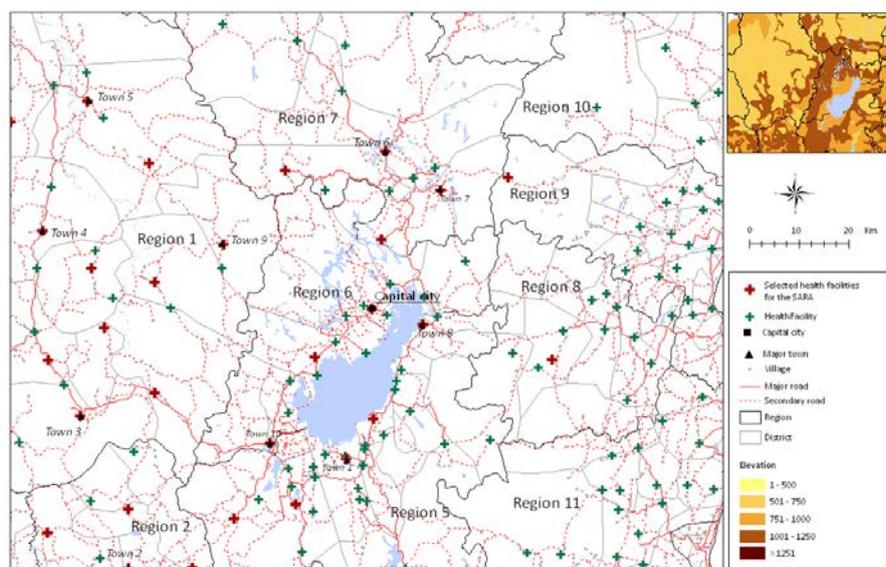
1.4.2 Procuring logistics

Planning for data collection requires consideration of the logistics needs for data collection teams as well as an assessment of the hardware and software needs for data collection. Equipment should be considered for a base camp as well as for fieldwork, and for operations as well as for training. The guiding principle that should be kept in mind when compiling equipment for the field is redundancy, i.e. to have backup components and a contingency plan in case equipment fails, breaks or is lost. All equipment should have one or more backups, depending on the equipment type and survey requirements. If feasible, paper forms and printing capabilities provide a viable contingency plan for the worst-case scenario of mobile device failure. Equipment requirements are also determined according to country-specific needs, as well as the availability of resources and budget.

Assigning facilities to teams

It is recommended to map all facilities in the survey sample to assist with logistics planning for the data collection. This map can be made either on paper or electronically. The map should include information such as roads, topography, basic geographical features, elevation and location of health facilities, which are useful in determining survey areas. Teams should be assigned to facilities based on the geographical distribution of the selected health facilities. Figure 1.4.1 gives an example of a map that would be useful for SARA logistics planning.

FIGURE 1.4.1 SERVICE AVAILABILITY AND READINESS ASSESSMENT (SARA) EXAMPLE MAP



⁴ Number of districts in sample depends on the number of facilities per district

Survey team requirements

The duration of the field survey depends on the availability of resources, the number of teams, the number of health facilities to be visited, and the size of the country and population.

As a general guide, data collection teams consist of two interviewers/data collectors plus a driver. On average, one team can cover at least two health facilities per day.

The estimated duration of the survey is calculated during the planning phase and is unique to the needs and resources available in the country. The following examples illustrate the planning that is required.

Example 1. A country consisting of 50 districts with on average 40 health facilities per district.

One team covers one district (40 health facilities) over 20 days (two facilities per day), and so 10 teams cover 10 districts over 20 days.

Therefore 10 teams will cover one country (50 districts) over 100 days (or three months).

Example 2. An urban area with an average of 200 health facilities.

One team covers 200 health facilities over 100 days (two facilities per day), or 10 teams cover 200 health facilities over 10 days.

For all surveys, logistics planning needs to take into account the following:

- car hire and fuel for the duration of the survey
- per diem for the driver(s)
- per diem for the data collectors.

Equipment requirements

Equipment requirements are also determined according to country-specific needs, as well as the availability of resources and budget.

1.5. Training field supervisors data collectors and data entry personnel

This chapter provides practical guidance on conducting a training workshop for field supervisors, data collectors and data entry personnel. Training is an important element of survey preparation because it helps to ensure the accuracy and reliability of the data gathering and data entry procedures. Consequently, this chapter also covers the issue of ensuring data quality. This chapter has been developed to assist survey managers in conducting training workshops for their survey personnel, regardless of whether they have attended any previous training.

1.5.1 The importance of data quality

It is important to ensure data quality for several reasons:

- solid data support conclusions and recommendations;
- future policy decisions may rely on the evidence generated in the survey;
- critics and opponents will look for weaknesses in the survey methods and results;
- results will be publicly accessible and may be used by others, e.g. in conducting international comparisons.

There are several reasons for data problems commonly encountered in a survey:

- field supervisors, data collectors and data entry personnel receive insufficient or poor-quality training;
- the pilot survey is not conducted properly;
- work in the field is of poor quality (insufficient supervision, no quality control for submission of completed forms, misunderstanding of instructions, etc.);
- data are not checked at every stage of the survey process;
- data are entered incorrectly;
- there are problems with uniquely identifying facilities;
- there are problems of human error;
- there is non-response to questions.

Data problems can therefore be avoided by:

- carefully studying the survey manual and accompanying materials at every step, and following instructions;
- selecting capable and reliable personnel and ensuring they are well trained in the survey methodology;
- encouraging personnel to communicate openly about uncertainties in survey procedures and questionable data;
- double-checking data collection forms for accuracy and completeness after each data collection visit, at the end of each day of fieldwork, and prior to data entry;
- conducting double entry of the survey data – data are entered twice by different people and then cross-checked.

Thorough training of survey personnel is one of the most important ways of ensuring accurate data collection and good-quality data. Experience from previous surveys has shown that poor survey preparation, including inadequate training of survey personnel, results in onerous and time-consuming data checking that can significantly delay the survey's completion. It is therefore more effective and efficient to apply rigorous data collection methods than to try to clean or correct data once they are already collected.

1.5.2 Overview of training

All personnel involved in data collection, supervision and data entry require training to ensure reliable and accurate data collection, completion of questionnaires and data entry.

A training workshop for survey personnel should be held as part of the survey preparation. The overall objective of the training workshop is to provide field supervisors, data collectors and data entry personnel with the knowledge and skills required to carry out a SARA survey in an accurate and reliable manner.

Upon completion of the training, participants should:

- be familiar with the key aspects of the survey and how it is conducted;
- understand their roles and responsibilities in the survey, including specific tasks, timelines and reporting requirements;
- understand the critical content required to do their job effectively and possess the skills required to undertake each of their activities;
- be aware of common issues that may arise during survey activities, and understand troubleshooting/problem-solving strategies to address these issues;
- recognize the intrinsic value of good-quality data and be motivated to ensure data quality as part of their activities.

Training should therefore focus on teaching the following to the participants:

- the overall purpose of the survey;
- the consequences of poor-quality data;
- how to administer and record responses using the SARA questionnaire, the purpose and meaning of each question, and how to develop good rapport with the respondent;
- ethical issues involved in conducting a health facility survey, the importance of administering the informed consent statement, and how to maintain the privacy and confidentiality of the respondent;
- problem-solving in the field;
- how to enter data for both paper and electronic questionnaires;
- how to collect geographical coordinates of visited sites using GPS;
- common data collection and data entry mistakes.

It is recommended that the duration of a training workshop, which covers both data collection and data entry, is at least five days.

Training should include a data collection pilot test in which survey personnel visit public and private sector health facilities and collect data in the same way they would during actual fieldwork. This will not only provide survey personnel with practical experience in collecting data, but will also serve as a check of the appropriateness of the SARA questionnaire.

The trainer is usually the survey manager but could be a resource person with technical assistance from partner implementing agencies. The participants should include all field supervisors, data collectors and data entry personnel. For paper-based data collection, training on data entry is required. This can be held as a separate workshop or session for data entry personnel, however, there may be some advantage in holding a combined training session on data collection and data entry, since it will sensitize field supervisors and data collectors to the difficulties in entering poor-quality data. It is also recommended that the members of the survey coordinating group be invited to the introductory session of the training workshop to meet survey personnel and discuss the survey methodology.

The training workshop should be held as close as possible to the initiation of data collection – immediate departure for data collection can be scheduled if the survey manager has prepared well. Time lags between training and data collection should be avoided so that survey personnel have better recall of the data collection protocol.

1.5.3 Preparing for the training workshop

Planning the training workshop can require substantial time and preparation. Workshop preparations should begin early in the survey development process and should run in parallel to other survey planning and preparation activities. In preparing the training, it is essential to ensure that there is an adequate budget to cover costs for the training venue, transport, materials, and a daily allowance and accommodation for participants.

Select a training venue

A training venue should be selected based on the following criteria:

- availability of a room of appropriate size to hold the workshop;
- availability of essential technical resources (printer, photocopier, projector for presentations, electricity to charge mobile EDCs, etc.);
- proximity to health facilities that can be surveyed during the data collection pilot test;
- accessibility by routine modes of transport;
- on-site or nearby refreshments and accommodation for out-of-town participants;
- reasonable cost.

It is useful to check with survey coordinating group members to see if a meeting room can be made available for the training workshop at low or no cost.

Schedule dates of the training workshop

The training workshop should be scheduled close to the anticipated start of data collection. Do not plan the workshop during a time when weather or other conditions may delay the initiation of data collection. All survey personnel must attend the workshop and should be advised of the dates as early as possible. Invitations to attend the introductory session of the workshop should also be sent to survey coordinating group members.

Plan data collection pilot test

During the data collection pilot test, each data collection team will visit at least one health facility and collect data by following the survey procedures. It is recommended that each team visit one public health facility and one private health facility during the pilot test. The participation of pilot sites should be secured well in advance of the training workshops. The appointments should be made in advance and reconfirmed before the training session, avoiding peak periods when health facilities may be busy with patients.

Prior to the training workshop a written schedule should be prepared for each data collection team, indicating the time and location of each health facility visit, and including the name and contact details of the person in charge at the facility. The schedule should also contain the survey manager's telephone number so that survey personnel can call if there is a query or problem.

Secure equipment

All necessary equipment should be procured prior to the training session. This includes:

- projector, computer, etc. for the training session;
- pens, notepads, clipboards;
- mobile EDCs loaded with software and electronic forms;
- GPS devices for data collection teams;
- mobile phones for data collection teams to carry during the pilot test;
- access to a printer and photocopier for reproducing the SARA questionnaire.

Prepare training materials

Each training participant should receive:

- one copy of the SARA questionnaire;
- one copy of the SARA data collectors' guide;
- training handouts.

In addition, sufficient copies of the SARA questionnaire should be available for use in the pilot test.

1.5.4 Conducting the training workshop, including the data collection pilot test

The SARA data collectors' guide is provided as **Annex 5**. The guide provides:

- an overview of data collection processes;
- general guidance on interviewing practices and techniques;
- detailed explanations and definitions for each question in the questionnaire to provide a uniform understanding of the meaning of each question and response choices, and to improve the consistency of the data collected by different data collectors in different facilities.

This manual should be used during the training of all data collectors. In addition, slide presentations and accompanying handouts to complement *the SARA data collectors' guide* are available as tools for trainers to use during the training workshop.

The quality of data collection is controlled at several points in the data collection process. The first point of quality control is the thorough training of data collectors and the exclusion from fieldwork of any trainees who do not exhibit competency in applying the data collection questionnaires at the end of training.

Conducting the data collection pilot test

During the pilot test, data collection teams and their field supervisors will visit health facilities and collect data in the same way they would during the actual survey. Each field supervisor and data collector should complete their own SARA questionnaire to gain hands-on experience. Field supervisors should also supervise and watch out for common mistakes. It may be necessary to hold a preliminary pilot test with field supervisors to ensure

that they are sufficiently knowledgeable about the survey protocol to supervise data collectors and identify mistakes. During the pilot test, any questions or uncertainties should be noted for clarification during the training workshop.

The data collection pilot test also serves as a pre-test of the questions in the SARA questionnaire and should help to highlight any country-specific adaptations that should be made to the survey including issues such as question format, wording and order. The pilot test allows for an opportunity to uncover any defects in the questions, glitches in wording of questions, lack of clarity of instructions, etc. The survey questionnaire should be piloted in all languages in which it will be administered. In addition to testing the paper questionnaire, the pilot test also tests field logistics, supervisory capacity and the application functionality for electronic data entry.

1.5.5 Finalizing the questionnaire

After piloting the SARA questionnaire, changes should be made to its format and/or content based upon any issues discovered during the piloting phase. All changes must be made to both paper and electronic versions of the questionnaire.

1.6. Preparing for data Collection in the field

The success of the SARA survey depends largely on the data collectors in the field, who are gathering and recording accurate, reliable data. Data collection requires careful planning and preparation, involving the following activities:

- planning the data collection visits
- preparing materials and tools for data collectors
- arranging transport and regular communications.

1.6.1 Planning the data collection visits

Who? Survey manager

The survey manager is responsible for planning the data collection visits. Before data collection starts, a schedule of visits to health facilities should be prepared for each survey area. The number of days required to collect the data can be estimated on the basis of the number of facilities to be visited in each geographical area, the distance between them and the mode of transport available. In general, two data collectors will require two hours plus travelling time for data collection in each facility.

Prepare a letter of introduction

Who? Survey manager

A letter of introduction from the survey manager is invaluable in introducing field supervisors – and later data collectors – to staff in the health facilities being surveyed. The survey manager should prepare a letter of introduction containing the following information:

- the name of the organization conducting the survey and the name of the survey manager
- contact details
- the purpose of the study
- the names of the data collectors who will visit the facility
- the time required for data collection in each facility.

The letter should also provide reassurance that the anonymity of the respondent will be maintained. The survey manager should provide field supervisors with sufficient signed copies for use during both the scheduling of field visits and the data collection visits.

Make initial contact with health facilities

Who? Field supervisors

It is essential that good relations be established with the person in charge of each facility to be surveyed, since they will have to set aside considerable time to provide information for the survey. Ideally, field supervisors should visit the heads of facilities personally, in advance, to seek their permission for data collection in their facility. Field supervisors should show them the letter of and introduction, and make an appointment for data collection on a date and at a time that is convenient for the head of the health facility, avoiding peak periods

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when he or she may be busy with patients. Field supervisors should note the contact person's name and telephone number at each health facility. If visits are not possible, then those in charge of the facility should be contacted by phone. The day before the scheduled data collection visit, field supervisors should telephone the health facility to confirm the appointment.

The following checklist should be used by field supervisors when contacting health facilities.

- Contact each health facility (sample and backup) to introduce the survey.
- Introduce the survey using the letter of introduction
- Make an appointment for data collection at a date and time that is convenient for the facility, avoiding peak hours. Allow two hours for data collection at a primary level facility, plus travel time. For larger facilities and hospitals, allow for additional time.
- Note the name and telephone number of the contact person at each health facility.
- Explain about the possibility of a second visit for 'validation', which should ideally take place in 10% of the sampled health facilities.
- Before data collection starts, telephone each health facility to confirm the appointment.

Prepare a schedule of data collection visits

Who? Field supervisors

Field supervisors are responsible for preparing a written schedule for each data collection team. For each facility, the schedule should include the following:

- date and time of appointment
- name of facility
- contact person
- location
- administrative unit
- unique ID number for the facility (provided by survey manager)
- name and contact details of a backup facility.

EXAMPLE OF A SCHEDULE FOR DATA COLLECTION VISITS

Survey area: Region 1

Data collection Team 1

| Date/time of appointment | Name of facility | Contact person | Location | Managing authority | ID number | Backup site contact details |
|--------------------------|-------------------|----------------|--|--------------------|-----------|--|
| 20 April 2012 10:00 | ABC health centre | Mrs Nguyen | 45 Main Street Eastern City Tel: +22 414 000 | Private | 01234 | XYZ health centre 59 Main Street Eastern City Mr Shah |
| | | | | | | |
| | | | | | | |

Data collection Team 2

| Date/time of appointment | Name of facility | Contact person | Location | Managing authority | ID number | Backup site contact details |
|--------------------------|------------------|----------------|----------|--------------------|-----------|-----------------------------|
| | | | | | | |
| | | | | | | |

1.6.2 Preparing materials and tools for data collectors

Finalize and print questionnaire

Who? Survey manager

Following the data collection pilot test conducted as part of the training workshop, the survey manager should review and, if necessary, revise the SARA questionnaire. Both the paper and electronic versions of the questionnaire will need to be updated. Once the questionnaire has been finalized, the survey manager will need to print sufficient copies and also deploy the electronic forms to the mobile data collection devices.

Prepare data collection forms for each facility to be visited

Who? Field supervisor

The survey manager should provide the field supervisor with a separate questionnaire (data collection form) for:

- each sample health facility in the assigned survey area
- each backup facility
- each validation visit.

The survey manager should also provide the field supervisor with a list of the sample facilities in the survey area. Ideally, about 10% of the sampled facilities should be visited a second time for validation. The field supervisor will identify the validation sites by randomly selecting at least one public facility and one private facility from the list of sample facilities.

The field supervisor should prepare the data collection forms for each facility by completing the front page of the form (see Figure 1.6.1) with the identifying information of each sample facility, backup facility and validation facility, i.e. completing the following fields:

- name of health facility
- health facility unique ID
- name of town/village
- region and district
- type of facility
- managing authority

The following fields should not be completed by the field supervisor, as these will be completed by data collectors during the facility visits:

- date;
- name(s) of person(s) who provided information;
- name(s) of data collectors.

The verification at the top of the page should only be completed once the data collection form has been completed.

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FIGURE 1.6.1: FRONT PAGE OF THE SARA DATA COLLECTION FORM

| COVER PAGE | | | | | | | | | | | | | | | | | | | | |
|-------------------------|--|---|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| INTERVIEWER VISITS | | | | | | | | | | | | | | | | | | | | |
| 001 | Is this a supervisor validation check of a facility? | YES | 1 | | | | | | | | | | | | | | | | | |
| | | NO | 2 | | | | | | | | | | | | | | | | | |
| Date | 1 | 2 | 3 | FINAL VISIT DAY MONTH YEAR INT. NUMBER <table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td></td><td></td></tr> </table> | | | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | | | | | | | |
| Interviewer Name | | | | | | | | | | | | | | | | | | | | |
| FACILITY IDENTIFICATION | | | | | | | | | | | | | | | | | | | | |
| 002 | Facility number | <input type="text"/> | | | | | | | | | | | | | | | | | | |
| 003 | Name of facility | <input type="text"/> | | | | | | | | | | | | | | | | | | |
| 004 | Location of facility | <input type="text"/> | | | | | | | | | | | | | | | | | | |
| 005 | Region/Province | <input type="text"/> | | | | | | | | | | | | | | | | | | |
| 006 | District | <input type="text"/> | | | | | | | | | | | | | | | | | | |
| 007 | Type of facility* | NATIONAL REFERRAL HOSPITAL 1 DISTRICT/PROVINCIAL HOSPITAL 2 HEALTH CENTRE/CLINIC 3 HEALTH POST 4 MATERNAL/CHILD HEALTH CLINIC 5 OTHER (SPECIFY) 99 | | | | | | | | | | | | | | | | | | |
| 008 | Managing Authority (Ownership) | GOVERNMENT/PUBLIC 1 NGO/NOT-FOR-PROFIT 2 PRIVATE-FOR-PROFIT 3 MISSION/FAITH-BASED 4 OTHER (SPECIFY) 99 | | | | | | | | | | | | | | | | | | |
| 009 | Urban/Rural | URBAN 1 RURAL 2 | | | | | | | | | | | | | | | | | | |
| 010 | Outpatient only | YES 1 NO 2 | | | | | | | | | | | | | | | | | | |

The field supervisor should complete this section before distributing to data collectors

Arrange for storage of completed questionnaires

Who? Field supervisor and Survey manager

Field supervisors should arrange to store completed questionnaires until all fieldwork is completed, at which time they are transferred to the survey manager. A copy of all paper forms should be made by the field supervisor, and all paper forms should be stored in sealed plastic bags to prevent damage. Electronic forms should be synchronized daily to a central computer and a copy of all records should be stored on a memory card as backup. Field supervisors should always keep a copy of all data collection forms, in case those sent to the survey manager are lost or damaged. The survey manager should arrange for the safe storage of all completed forms in secure conditions for an indefinite period, in the event that data need to be checked at a later date.

Prepare materials and tools for data collectors

Who? Field supervisor

Data collectors need to bring tools and information with them on each day of data collection. Field supervisors should prepare resource kits containing all needed items for each data collection team. Before each day of data collection, the field supervisor should ensure that the data collectors have all the necessary tools and information with them including the following.

- A list of data collection teams and contact information.
- Contact details of the field supervisor, including a mobile phone number to call in case of difficulty in the field.
- A schedule of visits to survey sites.
- Contact details of the sites to be visited.
- Details of backup facilities to be visited if scheduled visits are not possible.
- Copies of letter of introduction.
- Data collector's guide and relevant handouts.
- A SARA data collection form for each health facility to be visited that day.
- A SARA data collection form for each backup site that may need to be visited that day.
- An EDC (fully charged and loaded with the SARA questionnaire), batteries and power cable
- A memory card for data backup (if applicable, depending on EDC selected) or USB key.
- A fully charged and accurately configured GPS unit.
- Pens (pencils should not be used to record data), a clipboard and other supplies.
- A notebook to record any significant events or findings.
- A field allowance for local expenses.
- An identity document with a photograph for each data collector.
- A mobile phone for each team and credit.

Where feasible, each data collection team should also be equipped with a mobile phone and credit to contact the field supervisor. Additional supplies may include a local map and extra batteries.

1.6.3 Arranging transport and regular communications

Arranging transport

Who? Survey manager or Field supervisor

Once all the survey sites are known, the survey manager or field supervisor should arrange transportation according to the number of sites to be visited, the number of teams going into the field, and the number of people per team.

Arranging regular communications

Who? Survey manager and Field supervisor

Throughout the data collection process, field supervisors should be available to provide advice to data collectors and answer any questions they may have. Providing data collectors with their field supervisor's mobile phone number, when feasible, is one way of ensuring timely communication.

Data collectors should also meet with their field supervisor on a regular basis so that completed forms can be checked and any issues can be resolved. Ideally, this should occur at the end of each day of data collection so that errors do not carry over into future data collection visits. In addition, data collectors will be better able to recall the data collection visit, which may be useful in clarifying erroneous or illegible data. During data collection, data collectors should record how problems were solved or how data collection was simplified. These notes should be reviewed with the field supervisor during the debriefing.

The survey manager should also be available throughout the data collection process to respond to questions from field supervisors, and the survey manager should provide field supervisors with his/her mobile phone number for this purpose. Ideally, the survey manager should visit each survey area during data collection to supervise activities. If this is not possible, he or she should arrange for regular communications with each field supervisor to receive updates on the data collection process.

1.7. Data collection in the field

This chapter describes procedures for data collection in the field. Table 1.7.1 shows the activities involved for each day of data collection.

TABLE 1.7.1 DAILY ACTIVITIES FOR DATA COLLECTION

| When? | What? | Who? |
|---|---|-----------------------------------|
| Before going out to collect data each day | Check that the data collection teams have all the materials necessary for field visits and confirm transport arrangements | Field supervisors/data collectors |
| | Call each facility to be visited and confirm appointment | Field supervisors |
| On arrival at the facility | Introduce survey team and remind facility staff of the purpose of the visit | Data collectors |
| | Verify and complete the SARA questionnaire | Data collectors |
| | Check that all data are entered on the SARA questionnaire before leaving the facility. | Data collectors |
| At the end of each day | Conduct meeting between field supervisors and their data collectors, and discuss any difficulties | Field supervisors/data collectors |
| | Review each SARA questionnaire and clarify missing/unreliable information | Field supervisors |
| | Sign, copy and store all checked data collection forms | Field supervisors |

Each step of data collection is described below according to the personnel responsible, namely field supervisors and data collectors.

1.7.1 Field supervisors: fieldwork responsibilities

Field supervisors are responsible for ensuring the accuracy and reliability of data collection. This involves the following activities.

Field supervision

Field supervisors should meet with their data collectors at the end of each day to check completed data collection forms, get feedback on the data collection process and resolve any problems. They should visit the health facilities regularly with the data collection teams to ensure that the agreed procedures are being followed.

Daily check of completed SARA questionnaires

It is important that field supervisors review completed SARA questionnaires at the end of each day to check that the data are complete, consistent and legible. Once the team has left the field, it becomes difficult to verify information that may be missing or incomplete.

The supervisors should highlight any missing or unreliable information on the form and identify the source of the problem. If necessary, data collectors should return to the facility to collect any further data required. Once the field supervisor is satisfied with the completeness and reliability of a SARA questionnaire, he or she should

sign the form in the designated place to record that it has been checked. Forms should then be safely stored until completion of data collection, at which time they are transferred to the survey manager.

Validation of data collection

Field supervisors should validate data collection by repeating the survey at selected health facilities and checking their results against those of their data collectors. Where possible, health facilities visited for validation should be selected at random. Ideally, the validation should be done on the same day as data collection (soon after the data collectors have left the facility) to avoid changes in the availability of the items. Any discrepancies between the results of the field supervisor and those of their data collectors should be discussed with the data collectors, and the data collection protocol should be clarified as necessary. Any problems that cannot be resolved in the field should be discussed with the survey manager.

Storing of completed SARA questionnaires

Completed paper questionnaires should be copied and stored in sealed waterproof plastic bags, in a location that is protected from moisture, direct sunlight, rodents and insects. Originals should be stored in a separate location from copies. Electronic questionnaires should be synchronized with a central computer and saved both on the computer hard drive and on an external memory card for safe keeping. All original data collection questionnaires, including those for validation visits, should be transferred to the survey manager upon completion of fieldwork. Field supervisors should retain the copies for use in the event that the originals become lost or damaged.

In order to accomplish these tasks, each field supervisor should have the following materials:

- A full list of sample sites (and backup sites) for survey area and contact details.
- An assignment of sites by data collection team.
- A list of data collection teams and contact information when in the field.
- A schedule of visits to survey sites and contact details of the sites.
- Copies of letter of introduction.
- Copies of the supervisor and data collector's guides and other relevant documents/material.
- Extra copies of the SARA data collection form.
- A data collection form for data validation at each facility that may need to be visited that day.
- A fully-charged laptop computer with appropriate software (CSPro)
- Extra EDCs as backup (fully charged and loaded with the SARA questionnaire) in case of loss or damage, with extra batteries and power cables.
- Extra memory cards for data backup or USB keys (depending on the EDC used).
- Extra GPS units as backup (fully charged and accurately configured).
- Pens (pencils should not be used to record data), a clipboard and other supplies.
- A notebook to record any significant events or findings.
- A field allowance for local expenses.
- An identity document with a photograph.
- A cell phone with credit

1.7.2 Data collectors: fieldwork responsibilities

Before visiting the facilities each day

Before visiting the facilities each day, data collectors should check that they have all the materials they will need for data collection.

-
- A list of data collection teams and contact information.
- Contact details of the field supervisor, including a mobile phone number to call in case of difficulty in the field.
- A schedule of visits to survey sites.
- Contact details of the sites to be visited.
- Details of backup facilities to be visited if scheduled visits are not possible.
- Copies of letter of introduction.
- Data collector's guide and relevant handouts.
- A SARA data collection form for each health facility to be visited that day.
- A SARA data collection form for each backup site that may need to be visited that day.
- An EDC (fully charged and loaded with the SARA questionnaire), batteries and power cable
- A memory card for data backup (if applicable, depending on EDC selected) or USB key.
- A fully charged and accurately configured GPS unit.
- Pens (pencils should not be used to record data), a clipboard and other supplies.
- A notebook to record any significant events or findings.
- A field allowance for local expenses.
- An identity document with a photograph for each data collector.
- A mobile phone for each team and credit.

Where feasible, each data collection team should also be equipped with a mobile phone and credit to contact the field supervisor. Additional supplies may include a local map and extra batteries.

On arrival at the facility

On arrival at the health facility, data collectors should do the following.

- Introduce themselves and remind health facility staff of the survey's purpose as well as the scheduled data collection visit. Data collectors should also thank the staff for their cooperation and, if necessary, remind them that the respondents' identity will be kept confidential.
- Check that the facility information on the first page of the SARA questionnaire is complete and correct, informing the field supervisor at the end of the day if there were any inaccuracies.
- Fill in the date and names of the data collectors on the cover page.
- Take the GPS coordinates of the health facility.
- Obtain informed consent to begin the survey.
- Fill out the SARA questionnaire making sure to speak to the most knowledgeable person in the health facility for each section of the questionnaire. One data collector should complete the SARA data collection paper form and another should complete the SARA electronic form, paying close attention to the instructions on the forms. Data collectors should not leave the SARA data collection form at the facility to be filled in later. A separate SARA data collection form should be completed at each facility.

Before leaving the facility

Before leaving the health facility, data collectors should do the following.

- Double-check that the data collection form is legible, accurate and complete.

NOTE: Backup facilities to be visited are identified in the schedule. The field supervisor should determine when it is necessary to visit a backup facility. When visiting a backup facility, questionnaires should be completed in exactly the same way as in other facilities, making sure to complete the SARA data collection form that corresponds to that facility.

- Thank staff at the facility for their participation.

At the daily meeting with the field supervisor

At the end of each day, data collectors should meet with the field supervisor and do the following:

1. back-up electronic data on the memory card in the EDC
2. submit the data collection forms and files completed that day
3. transfer data from the EDC to the central computer
4. report on the activities of the day
5. recharge the battery of the EDC to be ready for the next day
6. check the battery life of the GPS unit and get a second set of batteries if necessary
7. recharge mobile phone if necessary.

Data collectors should alert their field supervisor of any problems or uncertainties regarding data collection procedures. They should also report any problems with electronic equipment and arrange to get replacements if necessary.

1.7.3 Ensuring data quality

The quality of the information that the SARA survey generates depends on the accuracy of data collection. The survey manager has overall responsibility for the quality of the data, although all survey personnel have a role to play in ensuring the accuracy of the data collected. The field supervisors and data collectors should receive regular supervision. Rigorous enforcement of data collection procedures will pay off with the ease with which data entry and analysis occur. The following steps will also help to ensure greater accuracy of data collection.

1. Ensure that there is thorough preparation and training as a first step in minimizing errors.
2. Establish procedures to check for data completeness, consistency, plausibility and legibility in the field when it is still possible to correct errors or to fill in missing information. Field supervisors should review data collection forms every day after completion of the fieldwork and resolve any problems before the next day of data collection.
3. Plan random checks to ensure the quality of data collection. The field supervisor should return to randomly-selected health facilities to collect the same data so as to check the accuracy of the first data set. Ideally, the validation should be done on the same day as data collection (soon after the data collectors have left the facility) to avoid changes in the availability of survey items.
4. Double-check all completed SARA questionnaires; verify any suspicious, incomplete or illegible data prior to the initiation of data entry.

1.8 Data entry and processing

If data are collected on paper forms, they must be entered electronically before proceeding with data processing and analysis. If data are collected in electronic forms, then one can proceed directly to the data processing step.

Once in electronic form, the data need to be checked for accuracy, completeness and consistency before the data set can be finalized. Any errors or inconsistencies must be flagged and resolved prior to analysis. The purpose of editing is to eliminate omissions and invalid entries, e.g. by changing inconsistent entries, and should be kept to a minimum: data should never be changed to conform to expectations. It is good practice to always preserve an unedited copy of the data set and to document in detail the data editing process.

Finally, once the data have been checked and verified, it is customary to export the final data set in some commonly-used file format, such as a spreadsheet file format or CSV (comma-separated values). This is useful for sharing the data with other parties, and to perform analysis in other statistical software packages.

1.8.1 Data entry

Any data collected on paper must be entered electronically before it can be processed and analysed. With input from technical members of the survey coordinating group, the survey manager selects the appropriate data entry software and sets up a data entry operation. Transferring the data from paper to electronic form can be a source of error; therefore, it is important to have the appropriate data validation processes in place to ensure accurate data entry. If electronic data collection has been used, the data already exist in an electronic format and this step is complete.

Selecting data entry software

When selecting data entry software, there are two main principles to consider:

1. use software that speeds up data entry and minimizes errors
2. have a thorough knowledge of the software selected.

Keeping these principles in mind when thinking about data entry software options helps to narrow down the potential options and results in selection of an appropriate solution.

While it is possible to use many types of software for data entry (including statistical programs, database management systems and spreadsheets), it is recommended that a specialized data entry software such as CSPro be used to minimize the possibility of entry errors and to facilitate validation.

Statistical software

Statistical software package programs are software packages that are specialized for data analysis. Some include data entry and data checking functions in addition to data analysis (e.g. CSPro), while others are useful primarily for data analysis and visualization. Some advantages of using a software package with built-in data entry and verification functionality are (1) data entry clerks are less likely to make mistakes when entering data, and (2) mistakes are much easier to identify and fix. In particular, the software can be programmed to provide a highly-structured data entry environment so that only valid values are accepted and skip patterns⁵ are automatically integrated. In addition, such software facilitates independent data verification, in which the data are entered manually twice and differences are later reconciled. Once the data have been entered, it may be

⁵ Skip patterns are a particular type of survey branching logic that will jump a respondent over a group of questions that isn't relevant to them.

1. Overview

necessary to use a different statistical software package to perform analysis, depending on whether the package offers the desired data analysis and graphing functions. These types of tools require some advanced technical knowledge, but overall result in improved data quality.

For SARA, the recommended data entry software is CPro: a statistical software package with built-in data collection/entry functionality that allows for speedy data entry while also providing sufficient checks and data validation to ensure quality data. CPro includes all the necessary functionality for SARA and can be downloaded free of cost.

Database management systems

A database management system is an application that allows the creation and management of databases, including storage and retrieval of data. There are different types of databases, but the most popular is a relational database that stores data in tables where each row ("record") in the table holds the same sort of information. Each record has a unique identifier ("key"), which allows retrieval of information from related tables. Databases are more difficult to set up than spreadsheets, but they allow more sophisticated data retrieval and search. In addition, it is possible to write scripts using a query language such as SQL to perform simple data checking and other functions. However, these programs are designed mainly for data storage and retrieval, and are usually not designed to facilitate manual data entry. For the SARA survey, it is recommended that a database system be used to store the data once it has been entered, but not for data entry itself.

Spreadsheets

Spreadsheet programs offer the most basic option for data entry. Although spreadsheets are easy to use and many people are knowledgeable about how to use the software programs, there are many disadvantages of using spreadsheets for data entry: it is very easy to make a mistake, data entry is slow, and there is no built-in checking for valid values. As a result, for the SARA survey, it is not recommended to use a spreadsheet for data entry unless there are no other viable options. Spreadsheet software is often useful to view data once it has been digitized and stored in a database.

Preparing for data entry

The data entry application must be designed using the selected data entry software. Valid values should be defined for certain responses and entry of data should be restricted to these values alone. Furthermore, special keys for missing data should be included in the value set and may use a standard identifying digit. Open-ended questions or the selection of the broad category of "other" can also be programmed to allow for entering the written response. This keying of open-ended questions will require the manual coding of these responses at some future date.

A centralized system for data entry should be set up, with one or more groups of data entry clerks managed by a supervisor. The number of data entry clerks will depend on a number of factors, including (1) budget, (2) timeline, (3) the availability of qualified personnel, and (4) the availability of computers and other equipment for data entry. Generally, the more data entry clerks there are, the quicker the data can be entered.

Part of the management and organization of a data entry operation requires establishing a specified work schedule. Monitoring the productivity of the individual data entry clerks should be part of a data entry system as well. Like other process, the data entry process requires good organizational and project management skills.

Entering data

Data should be entered using the software that has been selected.

In the data entry process, it is important to consider the following issues.

Missing data

In general, it is not good practice to use blanks as missing data codes. Missing data can arise in a number of ways, and it is important to distinguish among these different instances. There are at least five missing data situations, each of which should have a distinct missing data code.

- **Refusal/no answer.** The subject explicitly refused to answer the question or did not answer the question when he or she should have.
- **Don't know.** The subject was unable to answer the question, either because he or she had no opinion or because the required information was not available (e.g. a respondent could not provide information on the functionality of equipment due to inaccessibility).
- **Processing error.** For some reason, there is no answer to the question although the subject provided one. This can result from interviewer error, incorrect coding, machine failure or other problems.
- **Not applicable.** For one reason or another, the subject was never asked the question. Sometimes this results from "skip patterns"⁶ that occur (e.g. for facilities that do not have a generator, questions regarding generator functionality and availability of fuel would be not applicable).
- **No match.** This situation may arise when data are drawn from different sources (e.g. a survey questionnaire and an administrative database), and information from one source cannot be located.

Selecting missing data codes

Missing data codes should always match the content of the field. If the field is numeric, the codes should be numeric, and if the field is alphabetic, the codes may be numeric or alphabetic. Most researchers use codes for missing data that are above the maximum valid value for the variable (e.g. 97, 98 and 99). Missing data codes should be standardized so that only one code is used for each missing data type across all variables in the data file or across the entire collection if the study produced multiple data files.

Not applicable and skip patterns

Handling skip patterns is a constant source of error in both data management and analysis. On the management side, deciding what to do about codes for respondents who are not asked certain questions is crucial. "Not applicable" codes, as noted above, should be distinct from other missing data codes. It is not good practice to leave the record blank. Data set documentation should clearly show for every item exactly who was asked and who was not asked the question. At the data cleaning stage, all "filter items" should be checked against items that follow to make sure that no one provides answers to the item who should not, and that those who did not answer the item have the correct kind of missing data code.

⁶ Skip patterns are a particular type of survey branching logic that will jump a respondent over a group of questions that isn't relevant to them.

1.8.2 Data processing

After data entry, data should be checked for inconsistencies and possible errors. If the data are collected electronically, field supervisors should, for the duration of the data collection phase, check data for all health facilities that were visited each day. If the data are transferred from paper to electronic versions, the data should be checked for entry errors. It is particularly important to check that the facility ID items such as the facility number, name, location, facility type and managing authority have been entered correctly, and that there are no inconsistent or missing data. Usually errors can be resolved by reviewing all of the information provided by a respondent or by referring to the paper copy of the questionnaire responses.

Edit and correct data

The purpose of editing is to make the data as representative of the real life situation as possible; this can be done by eliminating omissions and invalid entries, and by changing inconsistent entries. Below are some important principles that should be followed.

- The fewest number of changes should be made to the originally recorded data. The goal is to make a record or questionnaire acceptable, not to make it conform to what one thinks should be acceptable.
- For certain items it may be acceptable to have a "not reported [NR]" or "not stated [NS]" category. Thus, in case of an omission or an inconsistent, impossible or unreasonable entry, a code for "NR" or "NS" can be assigned.
- Obvious inconsistencies among the entries should be eliminated.
- Providing corrected values for erroneous or missing items should be supplied by using other values as a guide, and always in accordance with specified procedures.
- Specifications for editing the questionnaire data should be developed at the same time as the questionnaire itself.

Remove any duplicate records

It is possible that a facility has been entered in the database twice and thus the duplicate record must be removed. For any records that are identical, one should be removed. If two records appear to be duplicates according to facility name, but do not contain the same data, a list of criteria must be used to determine if it is a true duplicate. The following data elements could be used as the criteria for determining duplicates:

- district
- facility code/name
- GPS coordinates
- facility type
- managing authority
- interviewer's name.

If these are all the same it is safe to consider the records as duplicates. At this point, the most complete record should stay in the data set. If both records are complete, the record with latest time stamp should be kept.

Check validity of GPS coordinates

GPS coordinates should be checked to ensure that they fall within the boundaries for the country and region. Sometimes latitude and longitude coordinates can be entered incorrectly (they can be inverted and +/- signs can be reversed, or an incorrect format can be entered). All GPS coordinates should be double-checked to ensure they are valid for the area being surveyed.

Check validity of responses

Data entry software often has built-in functionality to check data as it is being entered, such as range checks and within-record consistency checks. Data editing programs can be written to check the validity of responses after data entry, including whether the data follow the appropriate skip patterns.

Recode values for “other”

Questions where "other" is a possible response option should be checked, and the written responses reviewed to determine if the response actually corresponds with one of the pre-coded options. If this is the case, these responses should be recoded to the appropriate response category.

Review comments sections

At the end of the survey, there are several questions allowing for the interviewer to provide comments. Please review these sections for any relevant information.

Data validation and verification

Verification is a process of double entry of the same questionnaire and comparing the responses. This can either be the paper questionnaire entered twice or validation between paper and electronic versions if electronic data collection is used with paper questionnaires as a backup. Differences in keyed data of the same questionnaire need to be reconciled. A system of verification can virtually assure that the information presented in the questionnaire is faithfully keyed. Verification can be dependent or independent. Dependent data entry uses one data file and reconciles any identified error with the original data file. Independent verification is the process of keying to fully independent data files of the same questionnaire or cluster and comparing the two files. A report of inconsistencies is issued and the differences between the two data files must be fully reconciled.

Data clean-up

Before finalizing and exporting the data set, the following steps should be taken to clean the data set as applicable.

Rename the variables

The variable should be named according to the corresponding question number in the survey. This may already be the case if the database is set up in this way. If electronic software is used, variables are often assigned names based on category headings, which are sometimes long and cumbersome to use and do not provide a good description of the variable and thus require renaming. For example, the variable “_2_001_date” which corresponds to question 001 in the survey will be renamed “q001”.

Label the variables

Adding a label to a variable allows a text description to be associated with the variable name. For example, the new variable “q001” can have a label called "date." This enables the user to more easily identify what each variable represents.

Remove variables for which no data exist

If data are collected using electronic software, there may be variables in the data set which are actually instructions from the questionnaire and do not include any data. These variables must be removed from the data set.

Define the data type associated with variables

There are generally two data types associated with variables: numeric and string. Numeric variables are simple: they contain numbers. String variables contain text that can contain any characters on the keyboard: letters, numbers and special characters. It is important to define each variable according to the appropriate type in order for statistical analysis to be carried out on the data.

Adjust variables in which two numeric responses have been chosen

All variables with numeric responses should contain only one response. It is possible that a single select numeric variable is erroneously assigned two values. In some programs, this is represented in the data set as #,# and causes the variable to be categorized as a string variable due to the non-numeric character. These values must be imputed so that only one numeric value is recorded and then the data type of the variable must be converted from a string to a numeric value.

1.8.3 Exporting the data set

Once the data set has been processed and verified, it is good practice to export the finalized data set into some commonly used file format such as a spreadsheet format or CSV (.csv). This is useful when sharing the data and for analysis of the data using statistical software packages.

1.9. Data analysis

Once data have been verified, data analysis can begin. There are many different types of results that can be obtained from surveys. The types of analysis used depend to a large extent on the design determined in the planning phase of the SARA survey. Some data analyses are standard and are included in most survey reports. However, not all of the analyses of the survey data need to be included in the final report, as the focus should be on the most important and relevant results. Therefore, survey managers should generate the full range of survey results, and together with the survey coordinating group, select the most significant findings for inclusion in the final report. It is only by conducting a complete analysis of the survey data that it can be assured that important findings have not been overlooked. Based on the initial set of results from the standard analyses, there is often further analysis in areas of interest. Following data analysis, a meeting with the survey coordinating group should be held to assist in interpreting the results and developing recommendations.

Survey indicators are important in providing crucial information for informed policy choices, especially to decision-makers, programme planners and policy-makers. Serving as baselines, indicators are important for setting goals and targets for the future and allow for a certain level of comparability between surveys of different location and time period. Moreover, indicators help place focus on predetermined areas of a survey that are deemed to be most useful, relevant and important to the current health system. Having a consistent indicator set also contributes to standardized analytical reporting.

SARA uses both tracer indicators and composite indicators in data analysis. Tracer indicators aim to provide objective information about whether or not a facility meets the required conditions to support provision of basic or specific services with a consistent level of quality and quantity. Summary or composite indicators, also called indices, are a useful means to summarize and communicate information about multiple indicators and domains of indicators. Composite indices are useful to help get an overall view of the situation and to summarize multiple pieces of information. For SARA, composite indices are useful to compare districts or regions or to look at change over time. However, composite indices also have limitations. It can be difficult to understand the individual factors contributing to an index score, and thus it is important to have information on individual indicator items in addition to composite index scores.

The following sections provide an overview of how to calculate SARA indicators and indices.

1.9.1 Calculating the service availability indicators and index

Overview

An important note regarding service availability: although this information is collected through the SARA questionnaire, these indicators should not be calculated for a sample of facilities. **Data must be available for ALL facilities in an administrative unit in order to calculate service availability.** All service availability measures require data that link the numerator (e.g. number of facilities) to the denominator (e.g. population size). A sample survey would not allow computation of the service availability indicators as it is not clear what the corresponding population size to be used as the denominator should be.

The information needed to calculate service availability can be gathered from multiple sources in addition to the SARA questionnaire, namely the HMIS and other routine information systems, and should be collated for all facilities before calculating the service availability indicators. If SARA is implemented as a census, then it can be used to calculate service availability.

Service availability is described by three domains of tracer indicators: health infrastructure, health workforce and service utilization.

Health infrastructure indicators

- **Facility density (number per 10 000 population):** the facility density is primarily an indicator of outpatient service access.
- **Inpatient bed density (number per 10 000 population):** inpatient bed density provides an indicator of the inpatient services access. Paediatric beds (cots) are included, but maternity beds are excluded.
- **Maternity bed density (number per 1000 pregnant women):** maternity bed density provides an indicator of access to delivery services. Data on maternity beds can be used calculate the density of maternal beds per 1000 pregnant women per year. The denominator is estimated from the population data. The indicator does not include delivery beds.

Health workforce indicator

- **Health workforce density (number per 10 000 population):** the health workforce density is the number of core medical professionals per 10 000 population: physicians, non-physician clinicians, registered nurses and midwives. This includes part-time physicians who are given the value of 0.5 in the scoring.

Service utilization indicators

In populations with poor or suboptimal health infrastructure, the service utilization rate is an indicator of access.

- **Outpatient service utilization (number of outpatient visits per capita per year):** the number of visits for ambulant care, not including immunization, over the total population.
- **Inpatient service utilization (number of hospital discharges per 100 population per year, excluding deliveries):** this indicator provides additional information on the availability and access to inpatient services.

These indicators must all be expressed as a percentage score compared with a target or benchmark. Table 1.9.1 shows the target and computation of each indicator. If the tracer indicator score exceeds the target, it is scored as 100%.

TABLE 1.9.1 SERVICE AVAILABILITY INDICATORS

| Domain | Indicator | Target* | Score (%) ($n / \text{target} \times 100$) | |
|------------------------------|--------------------------------|--|---|---------------------|
| Health infrastructure | | | | |
| <i>a</i> | Facility density | Number per 10 000 population (<i>n</i>) | 2 | $n / 2 \times 100$ |
| <i>b</i> | Inpatient bed density | Number per 10 000 population (<i>n</i>) | 25 | $n / 25 \times 100$ |
| <i>c</i> | Maternity bed density | Number per 1000 pregnant women (<i>n</i>) | 10 | $n / 10 \times 100$ |
| Health workforce | | | | |
| <i>d</i> | Core health workforce density | Number per 10 000 population (<i>n</i>) | 23 | $n / 23 \times 100$ |
| Service utilization | | | | |
| <i>e</i> | Outpatient service utilization | Outpatient visits per person per year (<i>n</i>) | 5 | $n / 5 \times 100$ |
| <i>f</i> | Inpatient service utilization | Hospital discharges per 100 per year (<i>n</i>) | 10 | $n / 10 \times 100$ |

Health infrastructure targets and scores

The rationale for the targets can be summarized as follows.

Facility density (a): usually there is a country target, such as at least one facility per 5000 population, or two facilities per 10 000 population. A major limitation is that this indicator does not take into account the size of the facilities. The indicator is scored as $n / 2 \times 100\%$ (maximum 100), where n is the number of facilities per 10 000 population.

Inpatient bed density (b): the global average is 27 per 10 000 (10). Lower- and upper-middle-income countries have 18 and 39 hospital beds per 10 000, respectively (10). For SARA, an arbitrary benchmark of 25 per 10 000 is selected. The indicator is scored as $n / 25 \times 100\%$ (maximum 100), where n is the number of inpatient beds per 10 000 population.

Maternity bed density (c): under the assumption that there should be sufficient beds for all pregnant women with an occupancy rate of 80% (to account for the uneven spread of demand over time) and a mean duration of stay of 3 days, the target should be $(1000 / 0.8) \times (3 / 365) = 10$ per 1000 pregnant women. The indicator is scored as $n / 10 \times 100\%$ (maximum 100), where n is the number of maternity beds per 1000 pregnant women.

An estimation for the number of pregnant women in the population can be derived from the CBR (crude birth rate) for the country of interest and the following equations:⁷

A = estimated number of live births = (CBR per 1000 \times total population)

B = estimated live births expected per month = ($A / 12$)

C = estimated number of pregnancies ending in stillbirths or miscarriages = ($A \times 0.15$)

D = estimated pregnancies expected in the year = ($A + C$)

E = estimated number of women pregnant in a given month = ($0.70 \times D$)

F = estimated % of total population who are pregnant at a given period = ($E / \text{total population} \times 100$).

Health workforce target and score

Health worker density (d): The published figure by WHO is 23 per 10 000 population (9). The indicator is scored as $n / 23 \times 100\%$ (maximum 100), where n is the number of core health workers per 10 000 population.

Service utilization targets and scores

Outpatient service utilization (e): in countries of the Organisation for Economic Co-operation and Development (OECD), the average number of physician consultations per person per year is about six (10). For SARA, the proposed benchmark is five visits per person per year. The indicator is scored as $n / 5 \times 100\%$ (maximum 100), where n is the number of outpatient visits per person per year.

Inpatient service utilization (f): in OECD countries, which have an ageing population, there are about 15 discharges per 100 population per year (11). For SARA, the proposed benchmark is 10 discharges per 100 people per year. The indicator is scored as $n / 10 \times 100\%$ (maximum 100), where n is the number of hospital discharges per 100 people per year.

The service availability index is calculated using the six above mentioned indicators. First, indices are calculated for health services infrastructure, health workforce and service utilization. The calculations for creating those indices are shown in Table 1.9.2 (please refer Table 1.9.1 for the definitions of indicators a – f). The service availability index is the unweighted average of the three areas: infrastructure, health workforce and utilization: $[(a + b + c) / 3] + d + [(e + f) / 2] / 3$, and is a percentage score.

⁷ These equations can be found at:

http://www.who.int/reproductivehealth/publications/emergencies/field_manual_rh_humanitarian_settings.pdf
Chapter 5, Annex 3.

1. Overview

TABLE 1.9.2: SERVICE AVAILABILITY INDICES

| Index | Indicator | Target | Score |
|------------------------------------|---|------------|---|
| Health infrastructure index | Average score of the three indicators: facility density, inpatient bed density, maternity bed density | 100 | $(a + b + c) / 3$ |
| Health workforce index | Core health worker density | 100 | d |
| Service utilization index | Average score of the two indicators: outpatient visits, hospital discharges | 100 | $(e + f) / 2$ |
| Service availability index | Unweighted average of the three areas: infrastructure, workforce and utilization | 100 | $[(a + b + c) / 3] + d + [(e + f) / 2] / 3$ |

Required data sources

Table 1.9.3 shows the required information and potential data sources for calculating service availability.

TABLE 1.9.3: DATA SOURCES

| Information needed | Potential data source |
|--|---|
| List of all health facilities | MFL |
| Service utilization data | HMIS |
| Health workforce data | Human resources information system (HRIS) |
| Inpatient and maternity beds data | Varies by country |
| Population data (national and regional/district depending on how results will be reported) | National Bureau of Statistics |

Example calculation

Table 1.9.4 shows the data used for this example.

TABLE 1.9.4: EXAMPLE DATA

| Data item | Value |
|--------------------------------------|-----------|
| Number of facilities | 400 |
| Number of inpatient beds | 5500 |
| Number of maternity beds | 800 |
| Number of core health workers | 4600 |
| Number of outpatient visits per year | 9 000 000 |
| Number of hospital discharges per | 225 000 |
| Population | 3 000 000 |
| Crude birth rate (CBR) | 40 |

There are three main steps to calculate the service availability index.

Step 1. Calculate service availability indicators

The first step is to calculate the six service availability indicators. The following example (Table 1.9.5) shows the equations used to calculate each of the six indicators using the example data values.

TABLE 1.9.5: CALCULATING THE INDICATORS

| Indicator | Value |
|--|---|
| Facility density (number per 10 000 population) | $\text{number of facilities} / \text{population} = n / 10\,000$ $400 / 3\,000\,000 = n / 10\,000$ $n = 1.33$ |
| Inpatient bed density (number per 10 000 population) | $\text{number of inpatient beds} / \text{population} = n / 10\,000$ $5500 / 3\,000\,000 = n / 10\,000$ $n = 18.33$ |
| Maternity bed density (number per 1000 pregnant women) | $\text{number of maternity beds} / \text{pregnant population}^* = n / 1000$ $800 / 96\,600 = n / 1000$ $n = 8.28$ *see Table 1.9.6 for how to calculate number of pregnant women |
| Health workforce density (number per 10 000 population) | $\text{number of core health workers} / \text{population} = n / 10\,000$ $4600 / 3\,000\,000 = n / 10\,000$ $n = 15.33$ |
| Outpatient service utilization (outpatient visits per capita per year) | $\text{number of outpatient visits per year} / \text{population} = n$ $9\,000\,000 / 3\,000\,000 = n$ $n = 3.00$ |
| Inpatient service utilization (hospital discharges per 100 population, excluding deliveries) | $\text{number of hospital discharges per year} / \text{population} = n / 100$ $225\,000 / 3\,000\,000 = n / 100$ $n = 7.50$ |

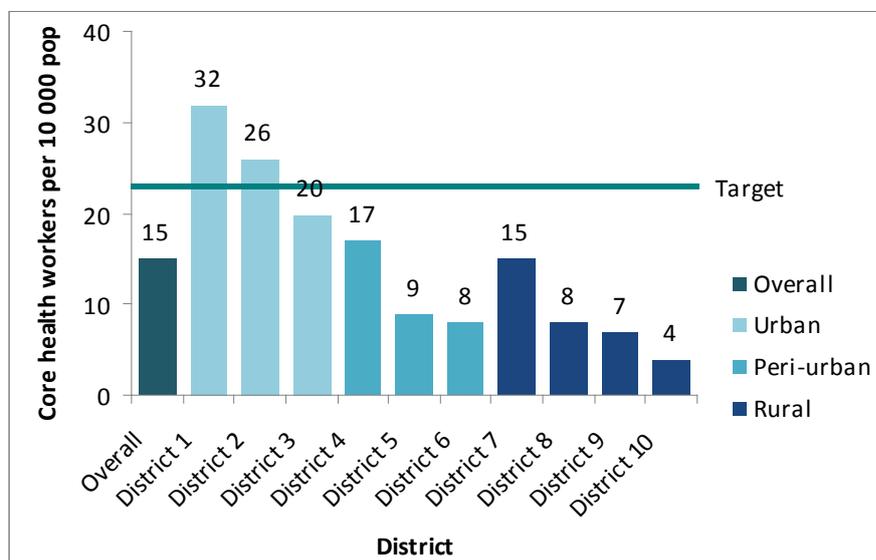
TABLE 1.9.6: CALCULATING THE NUMBER OF PREGNANT WOMEN

| | |
|---|---|
| $A = \text{Estimated number of live births} = (\text{CBR per } 1000 \times \text{total population})$ | $(40 / 1000) \times 3\,000\,000 = 120\,000$ |
| $B = \text{Estimated live births expected per month} = (A / 12)$ | $120\,000 / 12 = 10\,000$ |
| $C = \text{Estimated number of pregnancies ending in stillbirths or miscarriages} = (A \times 0.15)$ | $120\,000 \times 0.15 = 18\,000$ |
| $D = \text{Estimated pregnancies expected in the year} = (A + C)$ | $120\,000 + 18\,000 = 138\,000$ |
| $E = \text{Estimated number of women pregnant in a given month} = (0.70 \times D)$ | $0.7 \times 138\,000 = 96\,600$ |
| $F = \text{Estimated \% of total population who are pregnant at a given period} = (E / \text{total population} \times 100)$ | $(96\,600 / 3\,000\,000) \times 100 = 3.22$ |

1. Overview

Service availability indicators can each be displayed in a graph such as the one for health workforce density in Figure 1.9.1.

FIGURE 1.9.1: CORE HEALTH WORKERS PER 10 000 POPULATION



Step 2. Calculate service availability indicator scores

Next, use the values obtained from Step one to calculate the service availability indicator scores. The scores compare the indicator to a target and are expressed as a percentage. Table 1.9.7 shows the calculations for each of the six service availability indicator scores.

TABLE 1.9.7: CALCULATING THE SERVICE AVAILABILITY INDICATOR SCORES

| Domain | <i>n</i> | Target | Score (%) (n / target) x 100 (maximum 100) |
|---|----------|--------|--|
| Health infrastructure | | | |
| <i>a</i> Facility density | 1.33 | 2 | $(1.33 / 2) \times 100$ 66.5 |
| <i>b</i> Inpatient bed density | 18.33 | 25 | $(18.33 / 25) \times 100$ 73.3 |
| <i>c</i> Maternity bed density | 8.28 | 10 | $(8.28 / 10) \times 100$ 82.8 |
| Health workforce | | | |
| <i>d</i> Core health workforce density | 15.33 | 23 | $(15.33 / 23) \times 100$ 66.7 |
| Service utilization | | | |
| <i>e</i> Outpatient service utilization | 3.00 | 5 | $(3 / 5) \times 100$ 60.0 |
| <i>f</i> Inpatient service utilization | 7.50 | 10 | $(7.5 / 10) \times 100$ 75.0 |

Step 3. Calculate service availability indices

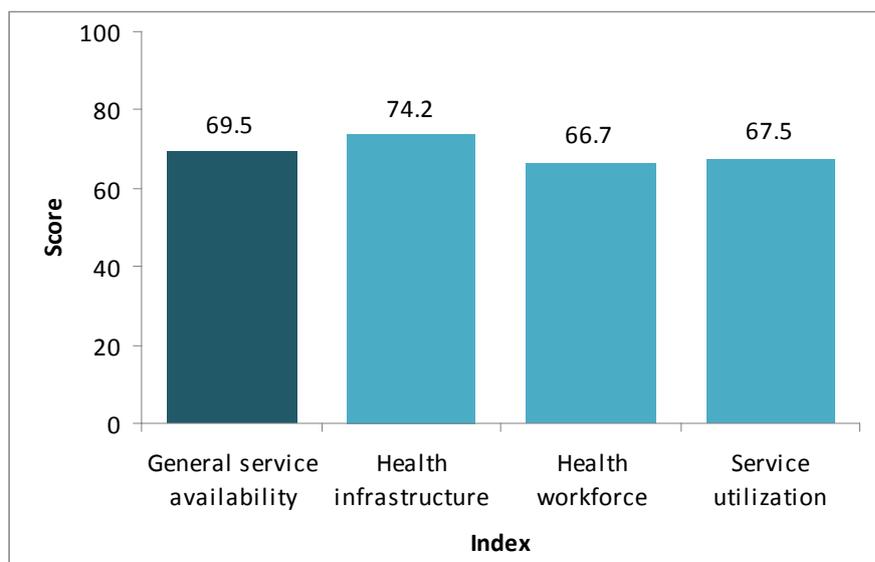
Lastly, use the service availability indicator scores to create the health infrastructure index, the health workforce index, the service utilization index and the overall service availability index. Table 1.9.8 shows these four index calculations using the example data.

TABLE 1.9.8: CALCULATING THE SERVICE AVAILABILITY INDEX

| Index | Indicator | Score (%) | |
|-----------------------------------|---|---|-----------------------------------|
| Health infrastructure index | Average score of the three indicators: facility density, inpatient bed density, maternity bed density | $(a + b + c) / 3$ | $(66.5 + 73.3 + 82.8) / 3 = 74.2$ |
| Health workforce index | Core health worker density | d | 66.7 |
| Service utilization index | Average score of the two indicators: outpatient visits, hospital discharges | $(e + f) / 2$ | $(60.0 + 75.0) / 2 = 67.5$ |
| Service availability index | Unweighted average of the three areas: infrastructure, workforce and utilization | $[(a + b + c)/3] + d + [(e + f) / 2] / 3$ | $(74.2 + 66.7 + 67.5) / 3 = 69.5$ |

The service availability indices can be displayed in a graph such as the one in Figure 1.9.2.

FIGURE 1.9.2: SERVICE AVAILABILITY INDICES



1.9.2 Calculating the general service readiness indicators and index

Overview

General service readiness is described by the following five domains of tracer indicators:

- Basic amenities
- Basic equipment
- Standard precautions for infection prevention
- Diagnostic capacity
- Essential medicines.

1. Overview

Each domain consists of a set of tracer items. Table 1.9.9 lists the tracer indicators for each domain.

TABLE 1.9.9: GENERAL SERVICE READINESS ITEMS AND INDEX

| General service domains | Tracer items | Domain score (mean availability of items) |
|---|--|---|
| (a) Basic amenities | <ul style="list-style-type: none"> Power (electricity for lights and communication from any power source with break less than 2hours/per day) | $n / 7 \times 100$, where n is the total number of items available in the domain |
| | <ul style="list-style-type: none"> Improved water source within 500 m of facility | |
| | <ul style="list-style-type: none"> Room with auditory and visual privacy for patient consultations | |
| | <ul style="list-style-type: none"> Access to adequate sanitation facilities for clients | |
| | <ul style="list-style-type: none"> Communication equipment (phone or short-wave radio) | |
| | <ul style="list-style-type: none"> Access to computer with e-mail and Internet | |
| | <ul style="list-style-type: none"> Emergency transportation | |
| (b) Basic equipment | <ul style="list-style-type: none"> Adult scale | $n / 6 \times 100$ where n is the total number of items available in the domain |
| | <ul style="list-style-type: none"> Child scale | |
| | <ul style="list-style-type: none"> Thermometer | |
| | <ul style="list-style-type: none"> Stethoscope | |
| | <ul style="list-style-type: none"> Blood pressure apparatus | |
| | <ul style="list-style-type: none"> Light source | |
| (c) Standard precautions for infection prevention | <ul style="list-style-type: none"> Safe final disposal of sharps | $n / 9 \times 100$ where n is the total number of items available in the domain |
| | <ul style="list-style-type: none"> Safe final disposal of infectious wastes | |
| | <ul style="list-style-type: none"> Appropriate storage of sharps waste (sharps box/container) | |
| | <ul style="list-style-type: none"> Appropriate storage of infectious waste (waste receptacle with lid and plastic bin liner) | |
| | <ul style="list-style-type: none"> Disinfectant | |
| | <ul style="list-style-type: none"> Single-use, standard disposable or auto-disable syringes | |
| | <ul style="list-style-type: none"> Soap and running water or alcohol-based hand rub | |
| | <ul style="list-style-type: none"> Latex gloves | |
| | <ul style="list-style-type: none"> Guidelines for standard precautions | |
| (d) Diagnostic capacity | <ul style="list-style-type: none"> Haemoglobin | $n / 8 \times 100$ where n is the total number of items available in the domain |
| | <ul style="list-style-type: none"> Blood glucose | |
| | <ul style="list-style-type: none"> Malaria diagnostic capacity | |
| | <ul style="list-style-type: none"> Urine dipstick - protein | |
| | <ul style="list-style-type: none"> Urine dipstick - glucose | |
| | <ul style="list-style-type: none"> HIV diagnostic capacity | |
| | <ul style="list-style-type: none"> Syphilis RDT | |
| | <ul style="list-style-type: none"> Urine pregnancy test | |
| (e) Essential medicines | <ul style="list-style-type: none"> Amitriptyline tablet | $n / 20 \times 100$ where n is the total number of items available in the domain |
| | <ul style="list-style-type: none"> Amlodipine tablet or alternative calcium channel blocker | |

| General service domains | Tracer items | Domain score (mean availability of items) |
|--|---|--|
| | <ul style="list-style-type: none"> • Amoxicillin syrup/suspension or dispersible tablet • Amoxicillin tablet • Ampicillin powder for injection • Beclometasone inhaler • Ceftriaxone injection • Enalapril tablet or alternative ACE inhibitor e.g. lisinopril, ramipril, perindopril • Fluoxetine tablet • Gentamicin injection • Glibenclamide tablet • Ibuprofen tablet • Insulin injection • Metformin tablet • Omeprazole tablet or alternative such as pantoprazole, rabeprazole • Oral rehydration solution • Paracetamol tablet • Salbutamol inhaler • Simvastatin tablet or other statin e.g. atorvastatin, pravastatin, fluvastatin • Zinc sulphate tablet or syrup | |
| General service readiness index | | (Mean score of the five domains) $(a + b + c + d + e) / 5$ |

Required data source

Facility assessment information is needed to calculate general service readiness; the source for this information is the SARA survey.

1.10. Data archiving

Data archiving includes the acquisition, preservation, documentation, cataloguing and dissemination of microdata.⁸ Archives are useful for promoting research and instruction in the social sciences; ensuring the continued viability and usability of microdata in the future; and providing equitable access to these data within the framework of the national legislation in the interest of all citizens, by protecting confidentiality and following international recommendations and good practices.

Fully documenting and archiving data sets helps ensure that important survey data and metadata are preserved for future reference and analysis. The data documentation, or metadata, helps researchers and other audiences to find the data, understand what the data are measuring and assess the quality of the data.

- **Finding** the data. Names, abstracts, keywords and other important metadata elements help individuals and organizations locate the data sets and variables that meet their needs.
- **Understanding** what the data are measuring and how the data have been created. Descriptions of the survey design and the methods used when collecting and processing the data, allow users to fully comprehend the context of the data.
- **Assessing** the quality of the data. Information about the data collection standards, as well as any deviations from the planned standards, is important for gauging whether the data are useful for specific uses.

1.10.1 Elements of data documentation

There are three main types of material that constitute ideal documentation for a data set: explanatory material, contextual information and cataloguing material. This represents the minimum to create and preserve a data set, and can be described as the material required to ensure the long-term viability and functionality of a data set. Full understanding of the data set and its contents cannot be achieved without this material.

Explanatory material

Information about the data collection methods

This information describes the data collection process, whether it is a survey; the collection of administrative information; or the transcription of a document source. It should describe the questionnaires used, the methods employed and how these were developed. If applicable, details of the sampling design and sampling frames should be included. It is also useful to include information on any monitoring process undertaken during the data collection as well as details of quality controls.

Information about the structure of the data set

Key to this type of information is a detailed document describing the structure of the data set and including information about relationships between individual files or records within the study. It should include, for example, key variables required for unique identification of subjects across files. It should also include the number of cases and variables in each file and the number of files in the data set. For relational models, a diagram showing the structure and the relations between the records and elements of the data set should be constructed.

⁸ Microdata refers to data on the characteristics of units of a population, such as individuals, households, facilities, or establishments, collected by census, survey or experiment.

Technical information

This information relates to the technical framework and should include:

- the computer system used to generate the files
- the software packages with which the files were created
- the medium on which the data were stored
- a complete list of all data files present in the data set.

Variables, values, coding, and classification schemes

The documentation should contain a full list describing all variables (or fields) in the data set, including a complete explanation and full details about the coding and classifications used for the information allocated to those fields. It is especially important to have blank and missing fields explained and accounted for. It is helpful to identify variables to which standard coding classifications apply, and to record the version of the classification scheme used – preferably with a bibliographic reference to that code.

Information about derived variables

Many data producers derive new variables from original data. This may be as simple as grouping raw age data (age in years) according to groups of years appropriate for the needs of the survey, or it may be much more complex and require the use of sophisticated algorithms. When grouped or derived variables are created, it is important that the logic for the grouping or derivation be clear. Simple grouping, such as for age, can be included within the data dictionary. More complex derivations require other means of recording the information. The best method of describing these is by using flow charts or accurate Boolean statements. It is recommended that sufficient supporting information be provided to allow an easy link between the core variables used and the resultant, derived variables. It is also recommended that the computer algorithms used to create the derivations be saved together with information about the software.

Weighting

The weighting of variables needs to be fully documented, explaining the construction of the variables with a clear indication of the circumstances in which weights should be used. This is particularly important when different weights need to be applied for different purposes.

Data source

Details about the source the data is derived from should be included. For example, when the data source is made up of responses to survey questionnaires, each question should be carefully recorded in the documentation. Ideally, the text will include a reference to the generated variable(s). It is also useful to explain the conditions under which a question would be asked to a respondent, including if possible, the cases to which it applies, and ideally, a summary of response statistics.

Confidentiality and anonymization

It is important to note if the data contain any confidential information on individuals, households, organizations or institutions. Whenever this occurs, it is recommended to record such information together with any agreement on how to use the data, for example, with survey respondents. Issues of confidentiality may restrict the analyses to be undertaken or the results to be published, particularly if the data are to be made available for secondary use. If the data were anonymized to prevent subjects' identification, it is recommended to record the anonymization procedure and its impact on the data, as such modification may restrict subsequent analysis.

Contextual information

Contextual information provides users with material about the context in which the data were collected, and how data were put to use. This type of information adds richness and depth to the documentation, and enables the secondary user to fully understand the background and processes behind the data collection exercise. This also forms a vital historical record for future researchers.

Description of the originating project

Details should be provided about the history of the project or about the process that gave rise to the data set. This should offer information on the intellectual and substantive framework. For example, the description could cover topics such as:

- why the data collection was felt necessary
- the aims and objectives of the project
- who or what was being studied
- the geographical and temporal coverage
- publications or policy developments it contributed to or that arose as a response
- any other relevant information.

Provenance of the data set

Information on the origin of the data set relates to aspects such as the history of the data collection process, changes and developments that occurred in the data themselves and the methodology, or any adjustments made. The following can also be provided:

- details of data errors
- problems encountered in the process of data collection, data entry, data checking and cleaning
- conversion to a different software or operating system
- bibliographic references to reports or publications that stem from the study
- any other useful information on the life-cycle of the data set.

Serial and time-series data sets, new editions

For repeated cross-sectional, panel or time-series data sets, it is helpful to obtain additional information describing, for example, changes in the question text, variable labelling or sampling procedures.

Cataloguing material

Cataloguing material serves two purposes. First, it serves as a bibliographic record of the data set. This allows for the data set to be properly acknowledged and cited in publications, and for the material to act as a formal record for long preservation purposes. Second, it is the basic instrument used for resource discovery, allowing the data set to be uniquely identified within the collection by providing appropriate information to help secondary users identify the study as being useful to their purpose.

1.10.2 Metadata standards

Traditionally, data producers and archivists produced expansive, text-based codebooks. Today, various metadata alternatives, such as the Data Documentation Initiative (DDI) and the Dublin Core Metadata Initiative (DCMI), have been developed for the documentation and cataloguing of microdata and related materials

according to international standards. These new type of 'codebooks' are based on Extensible Markup Language (XML), a type of regular text file that tags for meaning – rather than appearance – and can be viewed and edited using any standard text editor. XML files can be searched and queried like a regular database and can be edited.

Data Documentation Initiative (DDI)

The Data Documentation Initiative (DDI) is an effort to establish an international XML-based standard for microdata documentation. Its aim is to provide a straightforward means to record and communicate to others all the salient characteristics of microdata sets. By creating a consistent framework for microdata documentation, the DDI has several key features: interoperability, richer content, multi-purpose documentation, online analytical capability and search capability.

The DDI elements are organized in five sections.

Section 1.0. Document description

A study (survey, census or other) is not always documented and disseminated by the same agency as the one that produced the data. It is therefore important to provide information (metadata) not only on the study itself, but also on the documentation process. The document description consists of overview information describing the DDI-compliant XML document, or, in other words, "metadata about the metadata".

Section 2.0. Study description

The study description consists of overview information about the study. This section includes information about how the study should be cited; who collected, compiled and distributes the data; a summary (abstract) of the content of the data; and information on data collection methods and processing.

Section 3.0. Data file description

This section is used to describe each data file in terms of content; record and variable counts; version; producer; and so on.

Section 4.0. Variable description

This section presents detailed information on each variable, including literal question text; universe, variable and value labels; and derivation and imputation methods.

Section 5.0. Other material

This section allows for the description of other materials related to the study. These can include resources such as documents (e.g. questionnaires, coding information, technical and analytical reports, interviewer's manuals), data processing and analysis programs, photos and maps. However, the DCMI (described below) provides a standard for documenting digital resources such as questionnaires and reports.

Dublin Core Metadata Initiative (DCMI)

The Dublin Core Metadata Initiative (DCMI) is an open forum to develop the Dublin Core metadata standard, which is a simple set of elements for describing digital resources. This standard is particularly useful to describe resources related to microdata such as questionnaires, reports, manuals, data processing scripts and programs. A major reason behind the success of the Dublin Core metadata standard is its simplicity. From the outset it has been the goal of the designers to keep the element set as small and simple as possible to allow the standard to be used by non-specialists. In its simplest form the Dublin Core consists of 15 metadata elements, all of which are optional and repeatable. The 15 elements are:

1. title
2. subject (topic)
3. description: an abstract, a table of contents, or a free-text account of the content
4. type: the nature or genre of the content of the resource
5. source
6. relation: a reference to a related resource (rarely used)
7. coverage: the extent or scope of the content of the resource (e.g. spatial location or time period)
8. creator
9. publisher
10. contributor
11. rights: a rights management statement for the resource
12. date
13. format
14. identifier
15. language.

1.10.3 Creating metadata for SARA

Metadata can be created through a multitude of media including simple word processing programs and software application programs. This section provides guidance on creating metadata for SARA by identifying key elements to be included and by providing information on tools available to assist in creation of metadata.

Required elements

When creating a metadata document using a simple word processing program, the following elements need to be included. Much of this information will have been generated as part of the data processing steps.

Survey description

DOCUMENT DESCRIPTION

The document description serves as an introduction to the metadata as a whole. It provides background information such as the study title, document producer(s), date of production and version number.

STUDY DESCRIPTION

The study description serves to identify the study itself and to provide overview information, as well as the project scope, coverage and sampling, and information on data collection, editing, appraisal and access. This section also names producers and sponsors, and describes points of contact, and disclaimers and copyrights.

Data set(s)

FILE DESCRIPTION

The file description of a data set provides the data set contents, its producer and the version. It should also include an explanation of how missing data are coded or accounted for, as well as any other relevant notes. When applicable, a section on processing checks should be included. This element serves to provide information about the types of checks and operations that have been performed on the data file to make sure that the data are as correct as possible, e.g. consistency checking.

VARIABLES

The variables section of an archive consists of detailed descriptions of the actual data.

The *variables list* is typically a table listing every variable in the data set and providing for each the variable number, name and label. This list also provides the literal question associated with the variable, the variable format (character or numeric, number of units), and the number of valid and invalid cases (see Table 1.10.3).

TABLE 1.10.3: VARIABLES LIST

| # | Name | Label | Type | Format | Valid | Invalid | Question |
|---|-------|---------------|----------|--------------|-------|---------|---------------------------------|
| 1 | V_001 | Facility Name | Discrete | Character-12 | 97 | 0 | Record the name of the facility |

The *variables description* is more detailed than the variable list. It includes variable information (type, format, missing value coding), statistics (valid and invalid), literal question, and any notes (see Table 1.10.4).

TABLE 1.10.4: VARIABLES DESCRIPTION

| #1 V_001: Facility name | |
|-------------------------|---|
| Information | [Type= discrete] [Format=character] [Missing=*] |
| Statistics | [Valid=97 /-] [Invalid=0 /-] |
| Literal question | Record the name of the facility |
| Notes | |

External resources

TYPES OF RESOURCES

External resources encompass all of the documents contributing to the implementation of the survey or stemming from the results of the survey. Examples include:

- questionnaires
- reports
- databases
- photos, videos, etc.
- maps or geospatial data
- technical documents
- analytical or administrative documents.

RESOURCE INFORMATION

Each external resource should be accompanied by relevant descriptive information.

Identification

- type of resource
- title
- authors: the individuals or organization primarily responsible for creating the resource
- date: the date on which the resource was created or last modified
- country: all countries within the scope of a resource
- language
- format
- an ID number, if applicable: an unambiguous reference to the resource.

Contributor and rights

- contributor(s): individuals or organizations who have supported or contributed to the development of the resource (including funding agencies)
- publisher(s): individuals or organizations responsible for disseminating the resource
- rights: a clear and complete description of the usage rights, if relevant.

Content

- description: an account of the content of the resource
- abstract
- table of contents: a listing of all sections of the resource
- subjects: key topics discussed in the resource.

Available tools

The Microdata Management Toolkit⁹ developed by the World Bank Data Group is designed to address the technical issues facing data producers. It provides one of the most straightforward ways to create comprehensive metadata that adhere to international standards. The aim in developing the Toolkit was to promote the adoption of standards for international microdata documentation, dissemination and preservation, as well as to foster best practices by data producers in developing countries.

The Toolkit consists of:

- a Metadata Editor, which documents data in accordance with international standards;
- an International Household Survey Network (IHSN) Report Center, which generates metadata reports from inputs into the Metadata Editor;
- an Explorer, which allows users to view metadata and to re-export data in common formats;
- a CD-Rom Builder, which generates user-friendly outputs (CD-ROM, web) for dissemination and archiving.

Templates for SARA survey archiving are publicly available through the IHFAN web site at http://www.ihfan.org/home/index.php?editable=no&page_type=catalog.

⁹ The Microdata Management Toolkit is free and available for download along with a user manual at: <http://www.ihsn.org/home/index.php?q=tools/toolkit>

1.10.4 Data archiving

Today, data archives are most always digital and are ideally web-based or are made publicly available through the Internet. While this can be accomplished through many different types of media, SARA makes use of the National Data Archive (NADA) which is a free, standardized application for publishing data archives.¹⁰

National Data Archive (NADA) tool

The International Household Survey Network (IHSN) developed the national data archive (NADA) as a complement to the Microdata Management Toolkit. NADA is a web-based survey cataloguing system that serves as a portal for researchers to browse, search, apply for access, and download relevant census or survey data and metadata.

NADA makes use of the XML-based international standards such as the DDI and Dublin Core and is a powerful instrument that facilitates the process of releasing study metadata and microdata to the user community. NADA is a tool for informing users about the existence and characteristics of survey, census or other microdata sets, and for sharing metadata and (optional) disseminating microdata files. NADA does not provide tools for data tabulation or analysis. It aims to provide users with detailed and searchable documentation of microdata sets, along with information on policies and procedures for their access and use. NADA comes as a pre-packaged but fully customizable web site. At the core of NADA is the data catalogue, which:

- provides summary information on each survey;
- provides access to reports, tables and other analytical output;
- provides data access policies to the user community and facilitates access by serving as an implementing tool of the data access policy;
- provides links to related survey metadata;
- facilitates searches at the variable level and displays variable-level information;
- provides authorized users with access to the data (via direct access or through online forms), with conditions for access clearly stated;
- keeps a log of user requests;
- links to the HTML output as provided by the CD-ROM Builder of the Microdata Management Toolkit;
- includes an automatically-generated history of added/updated data sets via an RSS feed;
- is easy to maintain and use.

The data catalogue interface is interactive, allowing users to sort and search the catalogue by study elements and/or data variables, or find out detailed information through the survey's metadata.

WHO has created a national data archive for SARA surveys, which can be located at <http://apps.who.int/healthinfo/systems/datacatalog/index.php/catalog>.

This site serves as an example of how a data archive can be created using the NADA software.

¹⁰ NADA is available to download free of charge at: <http://www.ihsn.org/home/index.php?q=tools/nada>.

References

1. *International Health Partnership and related initiatives (IHP+)*. Geneva, World Health Organization and Washington DC, The World Bank (<http://www.internationalhealthpartnership.net/en/home>, accessed 17 December 2011).
2. *Monitoring, evaluation and review of national health strategies. A country-led platform for information and accountability*. Geneva, World Health Organization, 2011.
3. *Service availability mapping (SAM)*. Geneva, World Health Organization (<http://www.who.int/healthinfo/systems/samintro/en/index.html>, accessed 17 December 2011).
4. *Service provision assessment (SPA) overview*. Maryland, MEASURE DHS, ICF International (<http://www.measuredhs.com/aboutsurveys/spa/start.cfm>, accessed 17 December 2011).
5. *Measuring medicine prices, availability, affordability and price components*, 2nd ed. Geneva, World Health Organization and Health Action International, 2008 (<http://www.haiweb.org/medicineprices/manual/documents.html> and http://www.who.int/medicines/areas/access/medicines_prices08/en/, accessed 17 December 2011).
6. *Monitoring the building blocks of health systems: a handbook of indicators and their measurement strategies*. Geneva, World Health Organization, 2010 (<http://www.who.int/healthinfo/systems/monitoring/en/index.htm>, accessed 17 December 2011).
7. *Creating a master facility list*. Draft document. Geneva, World Health Organization, 2012
8. *Data Quality Report Card (DQRC): guide to assessment of health facility data*. Draft document. Geneva, World Health Organization, 2013
9. Health workforce target reference
10. Outpatient service utilization target reference
11. Inpatient service utilization target reference

2. Core instrument

SARA core instrument

Version 2.1, September 2013

The SARA core instrument is a questionnaire broken down into the following sections:

- **Section 1: Cover page**
 - Interviewer visits
 - Facility identification
 - General information
- **Section 2: Staffing**
- **Section 3: Inpatient and observation beds**
- **Section 4: Infrastructure**
 - Communications
 - Ambulance/transport
 - Power supply
 - Basic client amenities
 - Infection control
 - Processing of equipments for reuse
 - Health care waste management
 - Supervision
 - Basic equipment
 - Infection control precautions
- **Section 5: Available services**
 - Family planning
 - Antenatal care
 - Prevention of mother-to-child transmission of HIV
 - Obstetric and newborn care
 - Caesarean section
 - Child immunization
 - Child preventative and curative care
 - Adolescent health
 - HIV counselling and testing
 - HIV treatment
 - HIV care and support
 - Sexually transmitted diseases
 - Tuberculosis
 - Malaria
 - Non-communicable diseases
 - Surgery
 - Blood transfusion
- **Section 6: Diagnostics**
- **Section 7: Medicines and commodities**
- **Section 8: Interviewer's observations**

| Number | Question | Result | | | | | | | | | | | | | |
|--|--|---|--|---|--|---|--|--|--|--|--|--|--|--|--|
| SECTION 1: COVER PAGE | | | | | | | | | | | | | | | |
| INTERVIEWER VISITS | | | | | | | | | | | | | | | |
| 001 | Facility number | <input type="text"/> | | | | | | | | | | | | | |
| 002 | Is this a supervisor validation check of a facility? | <table border="1"> <tr> <td>DATA COLLECTION FOR FACILITY ASSESSMENT.....</td> <td>1</td> </tr> <tr> <td>SUPERVISOR VALIDATION</td> <td>2</td> </tr> </table> | DATA COLLECTION FOR FACILITY ASSESSMENT..... | 1 | SUPERVISOR VALIDATION | 2 | | | | | | | | | |
| DATA COLLECTION FOR FACILITY ASSESSMENT..... | 1 | | | | | | | | | | | | | | |
| SUPERVISOR VALIDATION | 2 | | | | | | | | | | | | | | |
| Date | 1 | 2 | 3 | FINAL VISIT DAY MONTH YEAR INT. NUMBER | | | | | | | | | | | |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> | | <table border="1"> <tr> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </table> | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| Interviewer Name | <input type="text"/> | <input type="text"/> | <input type="text"/> | | | | | | | | | | | | |
| FACILITY IDENTIFICATION | | | | | | | | | | | | | | | |
| 003 | Name of facility | <input type="text"/> | | | | | | | | | | | | | |
| 004 | Location of facility | <input type="text"/> | | | | | | | | | | | | | |
| 005 | Region/Province | <input type="text"/> | | | | | | | | | | | | | |
| 006 | District | <input type="text"/> | | | | | | | | | | | | | |
| 007 | Type of facility* * These should be adapted at country level prior to implementation* | NATIONAL REFERRAL HOSPITAL 1 DISTRICT/PROVINCIAL HOSPITAL 2 HEALTH CENTRE/CLINIC 3 HEALTH POST 4 MATERNAL/CHILD HEALTH CLINIC 5 OTHER (SPECIFY) _____ 96 | | | | | | | | | | | | | |
| 008 | Managing Authority | GOVERNMENT/PUBLIC 1 NGO/NOT-FOR-PROFIT 2 PRIVATE-FOR-PROFIT 3 MISSION/FAITH-BASED 4 OTHER (SPECIFY) _____ 96 | | | | | | | | | | | | | |
| 009 | Urban/Rural | URBAN 1 RURAL 2 | | | | | | | | | | | | | |
| 010 | Outpatient only | YES 1 NO 2 | | | | | | | | | | | | | |

| Number | Question | Result |
|--|------------------------------------|---|
| <u>GEOGRAPHIC COORDINATES</u> | | |
| <p>COLLECT GEOGRAPHIC COORDINATES INFORMATION FOLLOWING THE INSTRUCTIONS*.</p> <p>SET DEFAULT SETTINGS FOR GPS:</p> <ol style="list-style-type: none"> 1. SET COORDINATE FORMAT TO DECIMAL DEGREES (HDDD.DDDDD) 2. SET "DATUM" TO WGS84 3. SET "UNITS" TO METRIC, "NORTH REF" TO MAGNETIC AND "ANGLE" TO DEGREE <p>MOVE TO MAIN ENTRANCE OF THE BUILDING. STAND WITHIN 30 METERS OF DOOR WHERE ENTRANCE IS IN PLAIN VIEW TO THE SKY.</p> <ol style="list-style-type: none"> 1. TURN GPS RECEIVER ON AND WAIT UNTIL SATELLITE PAGE INDICATES "READY TO NAVIGATE" AND ACCURACY IS AT A RECOMMENDED LEVEL 2. GO TO THE "MENU" PAGE AND SELECT "MARK" 3. HIGHLIGHT THE WAYPOINT NUMBER AND PRESS "ENTER" 4. HIGHLIGHT "WAYPOINT NUMBER" AND PRESS "ENTER" 5. ENTER FACILITY CODE AND PRESS "ENTER" TO GO BACK TO THE "MARK" PAGE 6. HIGHLIGHT "OK" AND PRESS "ENTER" TO REGISTER THE WAYPOINT 7. GO TO THE MENU PAGE, HIGHLIGHT "WAYPOINT" AND PRESS "ENTER" 8. HIGHLIGHT THE WAYPOINT AND PRESS "ENTER" TO OPEN ITS DETAILED INFORMATION 9. COPY INFORMATION FROM WAYPOINT LIST PAGE IN THE FORM BELOW <p>BE SURE TO COPY THE WAYPOINT NAME (FACILITY NUMBER) FROM THE WAYPOINT LIST PAGE TO VERIFY THAT YOU ARE ENTERING THE CORRECT WAYPOINT INFORMATION ON THE DATA FORM</p> | | |
| 011 | Waypoint name (Facility number) | <input type="text"/> |
| 012 | Altitude | <input type="text"/> Meters |
| 013 | Latitude | N/S..... a <input type="text"/> DEGREES/DEC b <input type="text"/> <input type="text"/> . c <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| 014 | Longitude | E/W..... a <input type="text"/> DEGREES/DEC b <input type="text"/> <input type="text"/> <input type="text"/> . c <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |

*Detailed information is available in the data collector's guide

| Number | Question | Result | Skip |
|--|--|---|---|
| GENERAL INFORMATION | | | |
| FACILITY NUMBER | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | INTERVIEWER CODE | <input type="text"/> <input type="text"/> |
| <p>FIND THE MANAGER, THE PERSON IN-CHARGE OF THE FACILITY, OR MOST SENIOR HEALTH WORKER RESPONSIBLE FOR OUTPATIENT SERVICES WHO IS PRESENT AT THE FACILITY. READ THE FOLLOWING GREETING:</p> <p>Good day! My name is _____. We are here on behalf of [IMPLEMENTING AGENCY] conducting a survey of health facilities to assist the government in knowing more about health services in [COUNTRY].</p> <p>Now I will read a statement explaining the study.</p> <p>Your facility was selected to participate in this study. We will be asking you questions about various health services. Information about your facility may be used by the [MOH], organizations supporting services in your facility, and researchers, for planning service improvement or for conducting further studies of health services.</p> <p>Neither your name nor that of any other health worker respondents participating in this study will be included in the dataset or in any report; however, there is a small chance that any of these respondents may be identified later. Still, we are asking for your help to ensure that the information we collect is accurate.</p> <p>You may refuse to answer any question or choose to stop the interview at any time. However, we hope you will answer the questions, which will benefit the services you provide and the nation.</p> <p>If there are questions for which someone else is the most appropriate person to provide the information, we would appreciate if you introduce us to that person to help us collect that information.</p> <p>At this point, do you have any questions about the study? Do I have your agreement to proceed?</p> | | | |
| _____ | | <input type="text"/> | |
| INTERVIEWER'S SIGNATURE INDICATING CONSENT OBTAINED | | DAY | MONTH |
| 015 | May I begin the interview? | 2 | 01 |
| | | YES 1 | |
| | | NO 2 | →5001 |
| 016 | INTERVIEW START TIME (use the 24 hour-clock system) | <input type="text"/> <input type="text"/> : | <input type="text"/> <input type="text"/> |

2. Core instrument

| Indicator code | Number | Question | Result | Skip |
|--|------------|--|--|-------------------------|
| <u>MODULE 1: SERVICE AVAILABILITY</u> | | | | |
| <u>SECTION 2: STAFFING</u> | | | | |
| | 200 | I have a few questions on staffing for this facility. Please tell me how many staff with each of the following qualifications are currently assigned to, employed by, or seconded to this facility. Please count each staff member only once, on the basis of the highest technical or professional qualification. For doctors, I would also like to know, of the total number, how many are part-time in this facility. | A) ASSIGNED/ EMPLOYED/ SECONDED (INCLUDING PART TIME) | B) PART TIME |
| S4 | 01 | Generalist (non-specialist) medical doctors | □ □ □ | □ □ □ |
| S4 | 02 | Specialist medical doctors | □ □ □ | □ □ □ |
| S4 | 03 | Non-physician clinicians/paramedical professionals | □ □ □ | |
| S4 | 04 | Nursing professionals | □ □ □ | |
| S4 | 05 | Midwifery professionals | □ □ □ | |
| | 08 | Pharmacists | □ □ □ | |
| | 11 | Laboratory technicians (medical and pathology) | □ □ □ | |
| | 12 | Community health workers | □ □ □ | |
| <u>SECTION 3: INPATIENT AND OBSERVATION BEDS</u> | | | | |
| S2 | 301 | Excluding any delivery beds, how many overnight/inpatient beds in total does this facility have, both for adults and children? | # OF OVERNIGHT/ INPATIENT BEDS. □ □ □ □ □ | |
| S3 | 302 | Of the overnight/inpatient beds in this facility, how many are dedicated maternity beds? THIS DOES NOT INCLUDE DELIVERY BEDS | # OF DEDICATED MATERNITY BEDS. □ □ □ | |

| Indicator code | Number | Question | Result | Skip |
|---|------------|---|--|--------------|
| MODULE 2: SERVICE READINESS | | | | |
| SECTION 4: INFRASTRUCTURE | | | | |
| This section will focus on questions related to infrastructure. | | | | |
| COMMUNICATIONS | | | | |
| 15 | 400 | Does this facility have a <i>functioning land line telephone</i> that is available to call outside at all times client services are offered? CLARIFY THAT IF FACILITY OFFERS 24-HOUR EMERGENCY SERVICES, THEN THIS REFERS TO 24-HOUR AVAILABILITY. | YES 1 NO 2 | |
| 15 | 401 | Does this facility have a <i>functioning cellular telephone or a private cellular phone</i> that is supported by the facility? | YES 1 NO 2 | |
| 15 | 402 | Does this facility have a <i>functioning short-wave radio</i> for radio calls? | YES 1 NO 2 | |
| 16 | 403 | Does this facility have <i>a functioning computer?</i> | YES 1 NO 2 | |
| 16 | 404 | Is there access to email or internet within the facility today? | YES 1 NO 2 | |
| AMBULANCE/TRANSPORT FOR EMERGENCIES | | | | |
| 17 | 405 | Does this facility have a <i>functional ambulance</i> or other vehicle for emergency transportation for clients that is stationed at this facility or operates from this facility? | YES 1 NO 2 | →407 |
| 17 | 406 | Does this facility have access to an ambulance or other vehicle for emergency transport for clients that is stationed at another facility or that operates from another facility in near proximity? | YES 1 NO 2 | →408 →408 |
| 17 | 407 | Is fuel for the ambulance or other emergency vehicle available today? | YES 1 NO 2 DON'T KNOW 98 | |
| POWER SUPPLY | | | | |
| 11 | 408 | Does your facility have electricity from any source (e.g. electricity grid, generator, solar, or other) including for stand-alone devices (EPI cold chain)? | YES 1 NO 2 | →417 |

2. Core instrument

| Indicator code | Number | Question | Result | Skip | | | | | | | | |
|--|------------|---|--|--|---|--|---|---|---|---------------------------------------|---|--|
| 11 | 409 | What is the electricity used for in the facility? | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">ONLY STAND-ALONE ELECTRIC MEDICAL DEVICES/APPLIANCES (e.g. EPI cold room, refrigerator, suction apparatus, etc.)</td> <td style="text-align: right; padding: 2px;">1</td> </tr> <tr> <td style="padding: 2px;">ELECTRIC LIGHTING (EXCLUDING FLASHLIGHTS) AND COMMUNICATIONS</td> <td style="text-align: right; padding: 2px;">2</td> </tr> <tr> <td style="padding: 2px;">ELECTRIC LIGHTING, COMMUNICATIONS, AND 1 TO 2 ELECTRIC MEDICAL DEVICES/APPLIANCES.....</td> <td style="text-align: right; padding: 2px;">3</td> </tr> <tr> <td style="padding: 2px;">ALL ELECTRICAL NEEDS OF FACILITY.....</td> <td style="text-align: right; padding: 2px;">4</td> </tr> </table> | ONLY STAND-ALONE ELECTRIC MEDICAL DEVICES/APPLIANCES (e.g. EPI cold room, refrigerator, suction apparatus, etc.) | 1 | ELECTRIC LIGHTING (EXCLUDING FLASHLIGHTS) AND COMMUNICATIONS | 2 | ELECTRIC LIGHTING, COMMUNICATIONS, AND 1 TO 2 ELECTRIC MEDICAL DEVICES/APPLIANCES..... | 3 | ALL ELECTRICAL NEEDS OF FACILITY..... | 4 | |
| ONLY STAND-ALONE ELECTRIC MEDICAL DEVICES/APPLIANCES (e.g. EPI cold room, refrigerator, suction apparatus, etc.) | 1 | | | | | | | | | | | |
| ELECTRIC LIGHTING (EXCLUDING FLASHLIGHTS) AND COMMUNICATIONS | 2 | | | | | | | | | | | |
| ELECTRIC LIGHTING, COMMUNICATIONS, AND 1 TO 2 ELECTRIC MEDICAL DEVICES/APPLIANCES..... | 3 | | | | | | | | | | | |
| ALL ELECTRICAL NEEDS OF FACILITY..... | 4 | | | | | | | | | | | |
| | 410 | What is the facility's main source of electricity? | CENTRAL SUPPLY OF ELECTRICITY (e.g. national or community grid).... 1 GENERATOR (FUEL OR BATTERY OPERATED GENERATOR)..... 2 SOLAR SYSTEM 3 OTHER _____ 96 (SPECIFY) | | | | | | | | | |
| | 411 | Other than the main or primary source, does the facility have a secondary or backup source of electricity? IF YES: What is the secondary source of electricity? | NO SECONDARY SOURCE 0 CENTRAL SUPPLY OF ELECTRICITY (e.g. national or community grid).... 1 GENERATOR (FUEL OR BATTERY OPERATED GENERATOR)..... 2 SOLAR SYSTEM 3 OTHER _____ 96 (SPECIFY) | | | | | | | | | |
| 11 | 412 | During the past 7 days, was electricity available at all times from the main or any backup source when the facility was open for services? | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">ALWAYS AVAILABLE (NO INTERRUPTIONS).....</td> <td style="text-align: right; padding: 2px;">1</td> </tr> <tr> <td style="padding: 2px;">OFTEN AVAILABLE (INTERRUPTIONS OF LESS THAN 2 HOURS PER DAY)</td> <td style="text-align: right; padding: 2px;">2</td> </tr> <tr> <td style="padding: 2px;">SOMETIMES AVAILABLE (FREQUENT OR PROLONGED INTERRUPTIONS OF MORE THAN 2 HOURS PER DAY).....</td> <td style="text-align: right; padding: 2px;">3</td> </tr> </table> | ALWAYS AVAILABLE (NO INTERRUPTIONS)..... | 1 | OFTEN AVAILABLE (INTERRUPTIONS OF LESS THAN 2 HOURS PER DAY) | 2 | SOMETIMES AVAILABLE (FREQUENT OR PROLONGED INTERRUPTIONS OF MORE THAN 2 HOURS PER DAY)..... | 3 | | | |
| ALWAYS AVAILABLE (NO INTERRUPTIONS)..... | 1 | | | | | | | | | | | |
| OFTEN AVAILABLE (INTERRUPTIONS OF LESS THAN 2 HOURS PER DAY) | 2 | | | | | | | | | | | |
| SOMETIMES AVAILABLE (FREQUENT OR PROLONGED INTERRUPTIONS OF MORE THAN 2 HOURS PER DAY)..... | 3 | | | | | | | | | | | |
| | | CHECK Q410 AND Q411: FACILITY HAS A GENERATOR ("2" CIRCLED FOR EITHER QUESTION) <div style="text-align: center;"></div> | FACILITY DOES NOT HAVE A GENERATOR ("2" NOT CIRCLED FOR BOTH QUESTIONS) <div style="text-align: center;"></div> | Q415 | | | | | | | | |
| | 413 | Is the generator functional? | YES 1 NO 2 DON'T KNOW 98 | →415 →415 | | | | | | | | |

| Indicator code | Number | Question | Result | Skip |
|-------------------------------|--------|---|---|--|
| | 414 | Is there fuel or a charged battery available today? | YES 1 NO 2 DON'T KNOW 98 | |
| | 415 | CHECK Q410 AND Q411: FACILITY HAS A SOLAR SYSTEM ("3" CIRCLED FOR EITHER QUESTION)  | FACILITY DOES NOT HAVE A SOLAR SYSTEM ("3" NOT CIRCLED FOR BOTH QUESTIONS)  | Q417 |
| | 416 | Is the solar system functional? | YES, FUNCTIONING..... 1 PARTIALLY, BATTERY NEEDS SERVICING/REPLACEMENT 2 NO, NOT FUNCTIONAL 3 DON'T KNOW 98 | |
| BASIC CLIENT AMENITIES | | | | |
| | 417 | On average, how many hours per day is this facility open? | 4 HOURS OR LESS..... 1 5 TO 8 HOURS 2 9 TO 16 HOURS 3 17 TO 23 HOURS 4 24 HOURS 5 | |
| 12 | 418 | What is the most commonly used source of water for the facility at this time ? | PIPED INTO FACILITY 1 PIPED ONTO FACILITY GROUNDS ... 2 PUBLIC TAP/STANDPIPE 3 TUBEWELL/BOREHOLE 4 PROTECTED DUG WELL 5 UNPROTECTED DUG WELL 6 PROTECTED SPRING 7 UNPROTECTED SPRING 8 RAINWATER COLLECTION 9 BOTTLED WATER 10 CART W/SMALL TANK/DRUM 11 TANKER TRUCK 12 SURFACE WATER 13 OTHER _____ 96 (SPECIFY) DON'T KNOW 98 NO WATER SOURCE 00 | →420 →420 →420 →420 →420 →420 →420 |
| 12 | 419 | Is a water outlet from this source available within 500 meters of the facility? | YES 1 NO 2 | |

2. Core instrument

| Indicator code | Number | Question | Result | Skip |
|---|------------|--|---|-----------------------------|
| I3 | 420 | Is there a room with auditory and visual privacy available for patient consultations? | AUDITORY PRIVACY ONLY 1 VISUAL PRIVACY ONLY 2 BOTH AUDITORY AND VISUAL PRIVACY 3 NO PRIVACY 4 | |
| I4 | 421 | Is there a toilet (latrine) in functioning condition that is available for general outpatient client use? IF YES: What type of toilet? IF MULTIPLE TOILETS ARE AVAILABLE, CONSIDER THE MOST MODERN TYPE. | FLUSH TOILET 1 VENTILATED IMPROVED PIT LATRINE (VIP) 2 PIT LATRINE WITH SLAB 3 PIT LATRINE WITHOUT SLAB/OPEN PIT 4 COMPOSTING TOILET 5 BUCKET 6 HANGING TOILET/ HANGING LATRINE 7 NO FACILITIES/BUSH/FIELD 8 | |
| INFECTION CONTROL | | | | |
| T1 | 422 | Does this facility have any guidelines on standard precautions for infection prevention? | YES 1 NO 2 | |
| PROCESSING OF EQUIPMENTS FOR REUSE | | | | |
| | 423 | Please tell me if the following items used for processing of equipment for reuse are available and functional in the facility today. | A) AVAILABLE | B) FUNCTIONING |
| | | | YES NO | YES NO DON'T KNOW |
| I8 | 01 | Electric autoclave (pressure & wet heat) | 1 → B 2 02 ↙ | 1 2 8 |
| I8 | 02 | Non-electric autoclave | 1 → B 2 03 ↙ | 1 2 8 |
| I8 | 03 | Electric dry heat sterilizer | 1 → B 2 04 ↙ | 1 2 8 |
| | 04 | Electric boiler or steamer (no pressure) | 1 → B 2 05 ↙ | 1 2 8 |
| | 05 | Non-electric pot with cover for boiling/steam | 1 2 06 ↙ 06 ↙ | |
| I8 | 06 | Heat source for non-electric equipment | 1 → B 2 424 ↙ | 1 2 8 |
| HEALTH CARE WASTE MANAGEMENT | | | | |

| Indicator code | Number | Question | Result | Skip |
|----------------|--------|---|---|------|
| 19 | 424 | <p>Now I would like to ask you a few questions about waste management practices for sharps waste, such as needles or blades.</p> <p>How does this facility <i>finally</i> dispose of sharps waste (e.g., filled sharps boxes)?</p> <p>PROBE TO ARRIVE AT CORRECT RESPONSE.</p> <p>NOTE: IF ANY OF THE RESPONSES 2-9 TAKE PLACE OUTSIDE THE FACILITY, THEN THE CORRECT RESPONSE TO CIRCLE WILL BE IN THE CATEGORY OF "REMOVE OFFSITE".</p> | <p>BURN INCINERATOR</p> <p>2-chamber industrial (800-1000+° C). 2 1-chamber drum/brick 3</p> <p>OPEN BURNING</p> <p>Flat ground - no protection 4 Pit or protected ground 5</p> <p>DUMP WITHOUT BURNING</p> <p>Flat ground - no protection 6 Covered pit or pit latrine 7 Open-pit - no protection 8 Protected ground or pit 9</p> <p>REMOVE OFFSITE</p> <p>Stored in covered container 10 Stored in other protected environment 11 Stored unprotected 12 Other _____ 96 (SPECIFY)</p> <p>Never has sharp waste 95</p> | |
| 110 | 425 | <p>Now I would like to ask you a few questions about waste management practices for medical waste other than sharps, such as used bandages.</p> <p>How does this facility <i>finally</i> dispose of medical waste other than sharps boxes?</p> <p>PROBE TO ARRIVE AT CORRECT RESPONSE.</p> <p>NOTE: IF ANY OF THE RESPONSES 2-9 TAKE PLACE OUTSIDE THE FACILITY, THEN THE CORRECT RESPONSE TO CIRCLE WILL BE IN THE CATEGORY OF "REMOVE OFFSITE".</p> | <p>Same as for sharp items 1</p> <p>BURN INCINERATOR</p> <p>2-chamber industrial (800-1000+° C) 2 1-chamber drum/brick 3</p> <p>OPEN BURNING</p> <p>Flat ground - no protection 4 Pit or protected ground 5</p> <p>DUMP WITHOUT BURNING</p> <p>Flat ground - no protection 6 Covered pit or pit latrine 7 Open-pit - no protection 8 Protected ground or pit 9</p> <p>REMOVE OFFSITE</p> <p>Stored in covered container 10 Stored in other protected environment 11 Stored unprotected 12 Other _____ 96 (SPECIFY)</p> <p>Never has medical waste 95</p> | |
| | 426 | <p>CHECK Q424 AND Q425: INCINERATOR USED (EITHER "2" OR "3" CIRCLED)</p>  | <p>INCINERATOR NOT USED (NEITHER "2" NOR "3" CIRCLED)</p>  | Q430 |

2. Core instrument

| Indicator code | Number | Question | Result | | | Skip | |
|-----------------------------------|------------|--|------------------------------|-----------|-----------------------|------|------------|
| I9 I10 | 427 | Is the incinerator functional today? | YES | 1 | | | |
| | | | NO | 2 | | →430 | |
| | | | DON'T KNOW | 98 | | →430 | |
| I9 I10 | 428 | Is fuel for the incinerator available today? | YES | 1 | | | |
| | | | NO | 2 | | | |
| | | | DON'T KNOW | 98 | | | |
| SUPERVISION | | | | | | | |
| | 430 | When was the last time this facility received a supervision visit from the higher level (DHMT or other)? | THIS MONTH..... | 1 | | | |
| | | | IN THE LAST 3 MONTHS..... | 2 | | | |
| | | | MORE THAN 3 MONTHS AGO | 3 | | →500 | |
| | | | DON'T KNOW | 98 | | →500 | |
| | 431 | During the supervision visit, did the supervisor assess the following? | YES | | NO | | |
| | 01 | Drug stock out and expiry | 1 | | 2 | | |
| | 02 | Staff availability and training | 1 | | 2 | | |
| | 03 | Data completeness, quality, and timely reporting | 1 | | 2 | | |
| GENERAL OUTPATIENT SECTION | | | | | | | |
| BASIC EQUIPMENT | | | | | | | |
| | 500 | Please tell me if the following basic equipment and supplies used in the provision of client services are available and functional in this facility today. | A) AVAILABLE | | B) FUNCTIONING | | |
| | | | YES | NO | YES | NO | DON'T KNOW |
| E1 | 01 | Adult weighing scale | 1 → B | 2 02 ↙ | 1 | 2 | 8 |
| E2 E38 | 02 | Child weighing scale- 250 gram gradation | 1 → B | 2 03 ↙ | 1 | 2 | 8 |
| E38 | 03 | Infant weighing scale – 100 gram gradation | 1 → B | 2 04 ↙ | 1 | 2 | 8 |
| E18 | 04 | Measuring tape-height board/stadiometre | 1 → B | 2 05 ↙ | 1 | 2 | 8 |
| E3 | 05 | Thermometer | 1 → B | 2 06 ↙ | 1 | 2 | 8 |
| E4 | 06 | Stethoscope | 1 → B | 2 07 ↙ | 1 | 2 | 8 |
| E5 | 07 | Blood pressure apparatus (may be digital or manual sphygmomanometer with stethoscope) | 1 → B | 2 08 ↙ | 1 | 2 | 8 |
| E6 | 08 | Light source (flashlight acceptable) | 1 → B | 2 09 ↙ | 1 | 2 | 8 |

| Indicator code | Number | Question | Result | | | | Skip |
|--------------------------------------|------------|--|------------------|----------------------|---|---|------|
| E45 | 09 | Oxygen concentrators | 1 → B | 2 10 ↩ | 1 | 2 | 8 |
| E45 | 10 | Oxygen cylinders | 1 → B | 2 11 ↩ | 1 | 2 | 8 |
| M27 | 11 | Intravenous infusion kits | 1 600 ↩ | 2 600 ↩ | | | |
| INFECTION CONTROL PRECAUTIONS | | | | | | | |
| | 600 | Please tell me if the following resources/supplies used for infection control are available in the general outpatient area of this facility today. | AVAILABLE | NOT AVAILABLE | | | |
| I15 | 01 | Clean running water (piped, bucket with tap, or pour pitcher) | 1 | 2 | | | |
| I15 | 02 | Hand-washing soap/liquid soap | 1 | 2 | | | |
| I15 | 03 | Alcohol based hand rub | 1 | 2 | | | |
| I16 | 04 | Disposable latex gloves | 1 | 2 | | | |
| I12 | 05 | Waste receptacle (pedal bin) with lid and plastic bin liner | 1 | 2 | | | |
| I11 | 06 | Sharps container ("safety box") | 1 | 2 | | | |
| I13 | 07 | Environmental disinfectant (e.g., chlorine, alcohol) | 1 | 2 | | | |
| I14 | 08 | Disposable syringes with disposable needles | 1 | 2 | | | |
| I14 | 09 | Auto-disable syringes | 1 | 2 | | | |

2. Core instrument

| Indicator code | Number | Question | Result | | Skip |
|------------------|---|---|---------------------------|-----------|-------|
| | <u>SECTION 5: AVAILABLE SERVICES</u> | | | | |
| | This section will focus on questions related to available services. | | | | |
| | <u>A. REPRODUCTIVE, MATERNAL AND NEWBORN HEALTH</u> | | | | |
| | <i>FAMILY PLANNING SERVICES</i> | | | | |
| S7 | 700 | Does this facility offer family planning services? | YES 1 NO 2 | | → 800 |
| | ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE FAMILY PLANNING SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT FAMILY PLANNING SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | |
| | 701 | Does this facility provide or prescribe any of the following modern methods of family planning: | YES | NO | |
| S7_01 | 01 | Combined estrogen progesterone oral contraceptive pills | 1 | 2 | |
| S7_02 | 02 | Progestin-only contraceptive pills | 1 | 2 | |
| S7_03 | 03 | Combined estrogen progesterone injectable contraceptives | 1 | 2 | |
| S7_04 | 04 | Progestin-only injectable contraceptives | 1 | 2 | |
| S7_05 | 05 | Male condoms | 1 | 2 | |
| S7_06 | 06 | Female condoms | 1 | 2 | |
| S7_07 | 07 | Intrauterine contraceptive device (IUCD) | 1 | 2 | |
| S7_08 | 08 | Implants | 1 | 2 | |
| S7_09 | 09 | Cycle beads for standard days method | 1 | 2 | |
| S7_10 | 10 | Emergency contraceptive pills | 1 | 2 | |
| S7_11 | 11 | Male sterilization | 1 | 2 | |
| S7_12 | 12 | Female sterilization | 1 | 2 | |
| | 702 | Does this facility provide or prescribe any of the following modern methods of family planning for unmarried adolescents : | YES | NO | |
| S12_02 S12_03 | 01 | Combined estrogen progesterone oral contraceptive pills | 1 | 2 | |
| S12_02 S12_04 | 02 | Male condoms | 1 | 2 | |
| S12_02 S12_06 | 03 | Emergency contraceptive pills | 1 | 2 | |
| S12_02 S12_07 | 04 | Intrauterine contraceptive device (IUCD) | 1 | 2 | |
| | 703 | Please tell me if the following documents are available in the facility today: | YES | NO | |

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|--|--------------------------------|-------------------------------|---------------------------------|---------------------|-------------------------------|------|
| T2 | 01 | National family planning guidelines | 1 | 2 | | | | |
| | 02 | Family planning check-lists and/or job-aids | 1 | 2 | | | | |
| | 704 | Have you or any provider(s) of family planning services: | YES | | NO | | | |
| T3 | 01 | Received any family planning training in the last two years? | 1 | 2 | | | | |
| T16 | 02 | Received any training in adolescent sexual and reproductive health in the last two years? | 1 | 2 | | | | |
| | 705 | Does this facility stock contraceptive commodities at this service site? | YES 1 NO 2 | | | | → 800 | |
| | 706 | Are any of the following reproductive health medicines and commodities available in this service site today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M15 | 01 | Combined estrogen progesterone oral contraceptive pills | 1 | 2 | 3 | 4 | 5 | |
| M96 | 02 | Progestin-only contraceptive pills | 1 | 2 | 3 | 4 | 5 | |
| M16 M97 | 03 | Combined estrogen progesterone injectable contraceptives | 1 | 2 | 3 | 4 | 5 | |
| M16 M98 | 04 | Progestin-only injectable contraceptives | 1 | 2 | 3 | 4 | 5 | |
| M17 | 05 | Male condoms | 1 | 2 | 3 | 4 | 5 | |
| M99 | 06 | Female condoms | 1 | 2 | 3 | 4 | 5 | |
| M100 M108 | 07 | Levonorgestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M101 M108 | 08 | Etonogestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M102 M109 | 09 | Levonorgestrel tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M103 M109 | 10 | Ulipristal acetate tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M104 M109 | 11 | Mifepristone tablet 10-25 mg (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M105 | 12 | Intrauterine contraceptive device (IUCD) | 1 | 2 | 3 | 4 | 5 | |
| | 707 | For each of the following items, please check in the facility records if there has been a stock-out in the past 3 months: | STOCK-OUT IN THE PAST 3 MONTHS | NO STOCK-OUT IN PAST 3 MONTHS | NOT INDICATED | PRODUCT NOT OFFERED | FACILITY RECORD NOT AVAILABLE | |
| M99_A | 01 | Female condoms | 1 | 2 | 3 | 4 | 5 | |
| M100_A | 02 | Levonorgestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M101_A | 03 | Etonogestrel implant | 1 | 2 | 3 | 4 | 5 | |

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| Indicator code | Number | Question | Result | | | | | Skip |
|---|------------|--|---------------------------|---|-----------|---|---|-------|
| M102_A | 04 | Levonorgestrel tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M103_A | 05 | Ulipristal acetate tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M104_A | 06 | Mifepristone tablet 10-25 mg (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| ANTENATAL CARE SERVICES | | | | | | | | |
| S8 | 800 | Does this facility offer antenatal care (ANC) services? | YES 1 NO 2 | | | | | →900 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE ANTENATAL CARE SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT ANTENATAL CARE SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| | 801 | Do ANC providers provide any of the following services to pregnant women as part of routine ANC services? | YES | | NO | | | |
| S8_01 | 01 | Iron supplementation | 1 | | 2 | | | |
| S8_02 | 02 | Folic acid supplementation | 1 | | 2 | | | |
| S8_03 | 03 | Intermittent preventive treatment in pregnancy (IPTp) for malaria | 1 | | 2 | | | |
| S8_04 | 04 | Tetanus toxoid immunization | 1 | | 2 | | | |
| S8_05 | 05 | Monitoring for hypertensive disorder of pregnancy | 1 | | 2 | | | |
| S8_06 | 06 | Provision of misoprostol tablets for home births | 1 | | 2 | | | |
| | 802 | Please tell me if the following documents are available in the facility today: | YES | | NO | | | |
| T4 | 01 | National ANC guidelines | 1 | | 2 | | | |
| | 02 | ANC check-lists and/or job-aids | 1 | | 2 | | | |
| T19 | 03 | IPTp guidelines, check-lists and/or job-aids (including wall charts) ACCEPTABLE IF PART OF ANC GUIDELINES. | 1 | | 2 | | | |
| | 803 | Have you or any provider(s) of ANC services: | YES | | NO | | | |
| T5 | 01 | Received any ANC training in the last two years? | 1 | | 2 | | | |
| T21 | 02 | Received any training in IPTp in the last two years? | 1 | | 2 | | | |
| PREVENTION OF MOTHER-TO-CHILD TRANSMISSION | | | | | | | | |
| S20 | 900 | Does this facility offer services for the prevention of mother-to-child transmission of HIV (PMTCT)? | YES 1 NO 2 | | | | | →1000 |

| Indicator code | Number | Question | Result | | Skip |
|--|-------------|---|--|-----------|-------|
| | | ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE PMTCT SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT PMTCT SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | |
| | 901 | As part of PMTCT services, please tell me if this facility provides the following services to clients: | YES | NO | |
| S20_01 | 01 | Provide HIV counselling and testing services to HIV positive pregnant women for PMTCT | 1 | 2 | |
| S20_02 | 02 | Provide HIV counselling and testing services to infants born to HIV positive pregnant women for PMTCT | 1 | 2 | |
| S20_03 | 03 | Provide ARV prophylaxis to HIV positive pregnant women for PMTCT | 1 | 2 | |
| S20_04 | 04 | Provide ARV prophylaxis to newborns of HIV positive pregnant women for PMTCT | 1 | 2 | |
| S20_05 | 05 | Provide infant and young child feeding counselling for PMTCT | 1 | 2 | |
| S20_06 | 06 | Provide nutritional counselling for HIV positive pregnant women and their infants for PMTCT | 1 | 2 | |
| S20_07 | 07 | Provide family planning counselling to HIV positive pregnant women for PMTCT | 1 | 2 | |
| | 902 | Please tell me if the following guidelines are available in the facility today: | YES | NO | |
| T37 | 01 | National guidelines for PMTCT | 1 | 2 | |
| T38 | 02 | Guidelines for infant and young child feeding counselling | 1 | 2 | |
| | 903 | Have you or any provider(s) of PMTCT services: | YES | NO | |
| T39 | 01 | Received any training in PMTCT in the last two years? | 1 | 2 | |
| T40 | 02 | Received any training in infant and young child feeding in the last two years? | 1 | 2 | |
| I24 | 904 | Is the PMTCT service room or area a private room/area with auditory and visual privacy? | AUDITORY PRIVACY ONLY 1 VISUAL PRIVACY ONLY 2 BOTH AUDITORY AND VISUAL PRIVACY 3 NO PRIVACY 4 | | |
| OBSTETRIC AND NEWBORN CARE SERVICES | | | | | |
| S9 | 1000 | Does this facility offer delivery (including normal delivery, basic emergency obstetric care, and/or comprehensive emergency obstetric care) and/or newborn care services? | YES 1 NO 2 | | →1100 |

2. Core instrument

| Indicator code | Number | Question | Result | | Skip |
|----------------|-------------|---|---------------------------|-----------------------|------|
| | | ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE OBSTETRIC AND NEWBORN CARE SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT OBSTETRIC AND NEWBORN CARE SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | |
| | 1001 | Does this facility routinely administer oxytocin injection immediately after birth to all women for the prevention of post-partum haemorrhage? | YES 1 NO 2 | | |
| | 1002 | Please tell me if any of the following interventions for the management of complications during and after pregnancy and childbirth have been carried out in the last 12 months by providers of delivery services as part of their work in this facility. | YES | NO | |
| S9_01 | 01 | Parenteral administration of antibiotics (IV or IM) | 1 | 2 | |
| S9_02 | 02 | Parenteral administration of oxytocic for treatment of post-partum haemorrhage (IV or IM) | 1 | 2 | |
| S9_03 | 03 | Parenteral administration of magnesium sulphate for management of preeclampsia and eclampsia (IV or IM) | 1 | 2 | |
| S9_04 | 04 | Assisted vaginal delivery | 1 | 2 | |
| S9_05 | 05 | Manual removal of placenta | 1 | 2 | |
| S9_06 | 06 | Removal of retained products of conception | 1 | 2 | |
| S9_07 | 07 | Neonatal resuscitation | 1 | 2 | |
| S26_01 | 08 | Caesarean section | 1 | 2 | |
| S26_02 | 09 | Blood transfusion | 1 | 2 | |
| | 1003 | Are the following documents available in the facility today: | YES | NO | |
| T6 | 01 | National guidelines for Integrated Management of Pregnancy and Childbirth (IMPAC) | 1 | 2 | |
| | 02 | Check-lists and/or job-aids for IMPAC | 1 | 2 | |
| | 1004 | Have you or any provider(s) of delivery services: | YES | NO | |
| T7 | 01 | Received training in Integrated Management of Pregnancy and Childbirth (IMPAC) in the last two years | 1 | 2 | |
| | 02 | Ever received training in newborn resuscitation | 1 | 2 | |
| | 1005 | I would like to know if the following basic equipment items are available in this service | A) AVAILABLE | B) FUNCTIONING | |

| Indicator code | Number | Question | Result | | | | Skip |
|----------------|--------|--|-----------|-----------|-----|----|------------|
| | | | YES | NO | YES | NO | DON'T KNOW |
| | | area today. For each equipment or item, please tell me if it is available today and functioning. | | | | | |
| E7 | 01 | Examination light (flashlight ok) | 1 → B | 2 02 ↵ | 1 | 2 | 8 |
| E8 | 02 | Delivery pack | 1 → B | 2 03 ↵ | 1 | 2 | 8 |
| E8 | 03 | Cord clamp | 1 → B | 2 04 ↵ | 1 | 2 | 8 |
| E8 | 04 | Episiotomy scissors | 1 → B | 2 05 ↵ | 1 | 2 | 8 |
| E8 | 05 | Scissors or blade to cut cord | 1 → B | 2 06 ↵ | 1 | 2 | 8 |
| E8 | 06 | Suture material with needle | 1 07 ↵ | 2 07 ↵ | | | |
| E8 | 07 | Needle holder | 1 → B | 2 08 ↵ | 1 | 2 | 8 |
| E10 | 08 | Manual vacuum extractor | 1 → B | 2 09 ↵ | 1 | 2 | 8 |
| E11 | 09 | Vacuum aspirator or D&C kit | 1 → B | 2 10 ↵ | 1 | 2 | 8 |
| E30 | 10 | Incubator | 1 → B | 2 11 ↵ | 1 | 2 | 8 |
| I20 | 11 | Disposable latex gloves | 1 12 ↵ | 2 12 ↵ | | | |
| E13 | 12 | Blank partograph | 1 13 ↵ | 2 13 ↵ | | | |
| E37 | 13 | Delivery bed | 1 → B | 2 14 ↵ | 1 | 2 | 8 |
| | 14 | Resuscitation table (with heat source) (for newborn resuscitation) | 1 → B | 2 15 ↵ | 1 | 2 | 8 |
| E12 E43 | 15 | Newborn bag and mask size 1 for term babies (for newborn resuscitation) | 1 → B | 2 16 ↵ | 1 | 2 | 8 |
| E12 E43 | 16 | Newborn bag and mask size 0 for pre-term babies (for newborn resuscitation) | 1 → B | 2 17 ↵ | 1 | 2 | 8 |
| E9 E43 | 17 | Electric suction pump (for suction apparatus) | 1 → B | 2 18 ↵ | 1 | 2 | 8 |
| E9 E43 | 18 | Suction catheter (for suction apparatus) | 1 → B | 2 19 ↵ | 1 | 2 | 8 |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------------------|--------|---|---------------------------|---------------------|---------------------------------|---------------------|-----------------|-------|
| E9 E43 | 19 | Suction bulb, single use (for suction apparatus) | 1 → B | 2 20 ↙ | 1 | 2 | 8 | |
| E9 E43 | 20 | Suction bulb, sterilizable multi-use (for suction apparatus) | 1 → B | 2 1006 ↙ | 1 | 2 | 8 | |
| | 1006 | Does this facility stock any medicines for obstetric care in this service site? | YES 1 NO 2 | | | | | →1011 |
| | 1007 | Are any of the following medicines and commodities available in this service site today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M21 | 01 | Antibiotic eye ointment for newborn | 1 | 2 | 3 | 4 | 5 | |
| M72 M23 M110 | 02 | Gentamicin injection 40mg/ml in 1ml or 2ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M72 M23 M141 M110 | 03 | Gentamicin injection 20mg/ml in 1ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M72 M23 M141 M110 | 04 | Gentamicin injection 10mg/ml in 1ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M71 M23 | 05 | Ampicillin powder for injection | 1 | 2 | 3 | 4 | 5 | |
| M106 | 06 | Hydralazine injection | 1 | 2 | 3 | 4 | 5 | |
| M23 M73 | 07 | Metronidazole injection | 1 | 2 | 3 | 4 | 5 | |
| M75 | 08 | Azithromycin cap/tab or oral liquid | 1 | 2 | 3 | 4 | 5 | |
| M76 | 09 | Cefixime cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M77 | 10 | Benzathine benzylpenicillin powder for injection | 1 | 2 | 3 | 4 | 5 | |
| M79 | 11 | Nifedipine cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M107 | 12 | Methyldopa tablet | 1 | 2 | 3 | 4 | 5 | |
| M70 | 13 | Calcium gluconate injection | 1 | 2 | 3 | 4 | 5 | |
| M24 | 14 | Magnesium sulphate injectable | 1 | 2 | 3 | 4 | 5 | |
| M26 | 16 | Skin disinfectant | 1 | 2 | 3 | 4 | 5 | |
| M111 | 17 | Chlorhexidine 4% gel or solution | 1 | 2 | 3 | 4 | 5 | |
| M27 | 18 | Intravenous solution with infusion set | 1 | 2 | 3 | 4 | 5 | |
| M69 | 19 | Sodium chloride injectable solution | 1 | 2 | 3 | 4 | 5 | |
| M78 | 20 | Betamethasone injection | 1 | 2 | 3 | 4 | 5 | |

| Indicator code | Number | Question | Result | | | | | Skip |
|--|-------------|---|--|---|----------|---|---|-------|
| | | | 1 | 2 | 3 | 4 | 5 | |
| M78 M129 | 21 | Dexamethasone injection | 1 | 2 | 3 | 4 | 5 | |
| M22 | 22 | Oxytocin injection | 1 | 2 | 3 | 4 | 5 | |
| | | IF OXYTOCIN IS OBSERVED AVAILABLE (Q1007_22 is "1" OR "2")  | IF OXYTOCIN IS NOT OBSERVED AVAILABLE (Q1007_22 is "3", "4", OR "5")  | | | | | Q1011 |
| | 1008 | Is the oxytocin stored in cold storage? | YES | 1 | NO | 2 | | |
| | 1009 | Is the product stored so that identification labels and expiry dates and manufacturing dates are visible? | YES | 1 | NO | 2 | | |
| | 1010 | Check the expiry dates of the stored product. Are they stored in first-to-expire, first-out (FEFO) order (i.e. the stock that will expire first is the closest to the front)? CHECK THE EXPIRY DATES OF THE STORED PRODUCT AT THE FRONT AND AT THE BACK OF THE SHELF. IF THE PRODUCT AT THE FRONT EXPIRES FIRST, ANSWER "YES". IF THE PRODUCT AT THE BACK EXPIRES FIRST, ANSWER "NO". | YES | 1 | NO | 2 | | |
| CESAREAN SECTION | | | | | | | | |
| | 1011 | CHECK Q1002_08: CESAREAN SECTION OFFERED  | CESAREAN SECTION NOT OFFERED  | | | | | Q1100 |
| T51 | 1012 | Do you have the national guidelines for Comprehensive Emergency Obstetric Care (CEmOC) available in this facility today? | YES | 1 | NO | 2 | | |
| T52 | 1013 | Have you or any provider(s) of delivery service received any training in Comprehensive Emergency Obstetric Care (CEmOC) in the last two years? | YES | 1 | NO | 2 | | |
| T53 | 1014 | Does this facility have a health professional who can perform caesarean section present in the facility or on call 24 hours a day (including weekends and on public holidays)? | YES | 1 | NO | 2 | | |
| T54 | 1015 | Does this facility have an anaesthetist (or doctor with anaesthetics training) present in the facility or on call 24 hours a day (including weekends and on public holidays)? | YES | 1 | NO | 2 | | |
| <u>B. CHILD AND ADOLESCENT HEALTH</u> | | | | | | | | |
| CHILD IMMUNIZATION | | | | | | | | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | Skip |
|---|----------------|--|---|-----------------------------|----------------------|----------------------------|-------|
| S10 | 1100 | Does this facility offer child immunization services, either at the facility or as outreach? | YES 1 | | NO 2 | | →1200 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE CHILD IMMUNIZATION SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT CHILD IMMUNIZATION SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | |
| | 1100_01 | How often does this facility offer child immunization services, either at the facility or as outreach? | DAILY..... 1 | | WEEKLY..... 2 | | |
| | | | MONTHLY..... 3 | | QUARTERLY..... 4 | | |
| | | | OTHER (SPECIFY)..... 5 | | | | |
| | 1101 | Does this facility provide any of the following immunization services for children under 5 years of age in the facility only, as outreach only, or both? | BOTH IN THE FACILITY AND AS OUTREACH | IN THE FACILITY ONLY | OUTREACH ONLY | SERVICE NOT OFFERED | |
| S10_01 | 01 | Routine measles immunization | 1 | 2 | 3 | 4 | |
| S10_02 | 02 | Routine DPT-Hib+HepB immunization (pentavalent) | 1 | 2 | 3 | 4 | |
| S10_03 | 03 | Routine polio immunization | 1 | 2 | 3 | 4 | |
| S10_04 | 04 | BCG immunization | 1 | 2 | 3 | 4 | |
| S10_05 | 05 | Rotavirus immunization | 1 | 2 | 3 | 4 | |
| S10_06 | 06 | Pneumococcal immunization | 1 | 2 | 3 | 4 | |
| T8 | 1102 | Do you have the national guidelines for child immunizations available in this facility today? | YES 1 | | NO 2 | | |
| | 1103 | Have you or any provider(s) of immunization services received any training in any of the following child immunization services in the last two years? | YES | | NO | | |
| T9 | 01 | Immunization services | 1 | | 2 | | |
| | 02 | Vaccine management and logistics | 1 | | 2 | | |
| | 03 | Data reporting and monitoring | 1 | | 2 | | |
| | 04 | Disease surveillance | 1 | | 2 | | |
| | 05 | Injection safety and waste management | 1 | | 2 | | |
| | 1104 | I would like to know if the following items for immunization are available in this service area today. For each item, please tell me if it is available today. | YES | | NO | | |
| I14 I22 | 01 | Disposable syringes with disposable needles | 1 | | 2 | | |
| I14 I22 | 02 | Auto-disable syringes | 1 | | 2 | | |

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|--|--|--------------------------|-------------------------------------|---------------------|-----------------|-------|
| I21 | 03 | Sharps container | 1 | 2 | | | | |
| E14 | 04 | Vaccine carrier(s) | 1 | 2 | | | | |
| E14 | 05 | Set of ice packs for vaccine carriers (Note: 4-5 ice packs make one set) | 1 | 2 | | | | |
| E41 | 06 | Immunization cards | 1 | 2 | | | | |
| E42 | 07 | Official immunization tally sheets | 1 | 2 | | | | |
| | 08 | Official immunization registers (or child health registers with immunization information) | 1 | 2 | | | | |
| E15 | 1105 | Does this facility have a refrigerator available and functioning for the storage of vaccines? | AVAILABLE AND FUNCTIONAL..... 1 AVAILABLE NOT FUNCTIONAL..... 2 AVAILABLE DON'T KNOW IF FUNCTIONING..... 3 NOT AVAILABLE..... 4 | | | | | →1110 |
| E40 | 1106 | What type of energy source is used for the vaccine refrigerator? | ELECTRICITY (GRID OR GENERATOR) 1 SOLAR (WITH OR WITHOUT BATTERIES)..... 2 GAS 3 KEROSENE..... 4 MIXED (ELECTRIC WITH GAS KEROSENE) 5 OTHER 6 | | | | | |
| E40 | 1107 | Does this energy source supply power to the refrigerator for 24 hours a day and for 7 days in the week? | YES 1 NO 2 | | | | | |
| E39 | 1108 | Is there a continuous temperature monitoring device in the refrigerator? | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | | |
| | | | 1 | 2 | 3 | 4 1110 ↻ | | |
| | 1109 | Have the temperatures of refrigerator units been recorded in a manual record for the past 30 days? PLEASE LOOK AT THE TEMPERATURE RECORDING MANUAL. | OBSERVED AND COMPLETE 1 OBSERVED BUT NOT COMPLETE 2 REPORTED COMPLETE BUT NOT SEEN 3 RECORD NOT MAINTAINED 4 | | | | | |
| | 1110 | Are any of the following vaccines available in this service site today? SELECT ONE OF EACH VACCINE AT RANDOM AND CHECK IF THE VACCINE IS VALID: 1. VIAL MONITOR (VVM) ON THE VACCINE VIAL HAS NOT TURNED AND 2. THE EXPIRY DATE HAS NOT PASSED | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M28 | 01 | Measles vaccine and diluent | 1 | 2 | 3 | 4 | 5 | |
| M29 | 02 | DPT-Hib+HepB (pentavalent) | 1 | 2 | 3 | 4 | 5 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|---|-------------|---|---------------------------|----|---------------|---------------------|-------------------------------|-------|
| M30 | 03 | Oral polio vaccine | 1 | 2 | 3 | 4 | 5 | |
| M31 | 04 | BCG vaccine and diluent | 1 | 2 | 3 | 4 | 5 | |
| M92 | 05 | Rotavirus vaccine | 1 | 2 | 3 | 4 | 5 | |
| M93 | 06 | Pneumococcal vaccine | 1 | 2 | 3 | 4 | 5 | |
| | 1111 | In the past three months were you unable to give any of the vaccines listed below because of unavailable stock? For each of the following items, please check in the facility records if there has been a stock-out in the past 3 months: | YES | NO | NOT INDICATED | PRODUCT NOT OFFERED | FACILITY RECORD NOT AVAILABLE | |
| M28_A | 01 | Measles vaccine and diluent | 1 | 2 | 3 | 4 | 5 | |
| M29_A | 02 | DPT-Hib-HepB (pentavalent) vaccine | 1 | 2 | 3 | 4 | 5 | |
| M30_A | 03 | Oral polio vaccine | 1 | 2 | 3 | 4 | 5 | |
| M31_A | 04 | BCG vaccine and diluent | 1 | 2 | 3 | 4 | 5 | |
| M92_A | 05 | Rotavirus vaccine | 1 | 2 | 3 | 4 | 5 | |
| M93_A | 06 | Pneumococcal vaccine | 1 | 2 | 3 | 4 | 5 | |
| CHILD PREVENTATIVE AND CURATIVE CARE SERVICES | | | | | | | | |
| S11 | 1200 | Does this facility offer preventative and curative care services for children under 5? | YES 1 NO 2 | | | | | →1300 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE CHILD PREVENTATIVE AND CURATIVE CARE SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT CHILD PREVENTATIVE AND CURATIVE CARE SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| | 1201 | Please tell me if this facility provides the following services: | YES | | NO | | | |
| S11_01 | 01 | Diagnose and/or treat child malnutrition | 1 | | 2 | | | |
| S11_02 | 02 | Provide vitamin A supplementation | 1 | | 2 | | | |
| S11_03 | 03 | Provide iron supplementation | 1 | | 2 | | | |
| S11_04 | 04 | Provide ORS to children with diarrhoea | 1 | | 2 | | | |
| S11_04 | 05 | Provide zinc supplementation to children with diarrhoea | 1 | | 2 | | | |
| S11_05 | 06 | Child growth monitoring | 1 | | 2 | | | |
| S11_06 | 07 | Treatment of pneumonia | 1 | | 2 | | | |
| S11_07 | 08 | Administration of amoxicillin for the treatment of pneumonia in children | 1 | | 2 | | | |
| S11_08 | 09 | Treatment of malaria in children | 1 | | 2 | | | |
| | 1202 | Please tell me if the following documents are available in the facility today: | YES | | NO | | | |
| T10 | 01 | IMCI guidelines for the diagnosis and management of childhood illnesses | 1 | | 2 | | | |

| Indicator code | Number | Question | Result | | | | | Skip |
|---|-------------|---|---------------------------|-------------|-----------------------|----|------------|------|
| T11 | 02 | National guidelines for growth monitoring | 1 | | 2 | | | |
| | 03 | Check-lists and/or job-aids for IMCI | 1 | | 2 | | | |
| | 1203 | Have you or any provider(s): | YES | | NO | | | |
| T12 | 01 | Of curative care services for sick children received any training in the Integrated Management of Childhood Illnesses (IMCI) in the last two years? | 1 | | 2 | | | |
| T13 | 02 | Of growth monitoring services for children received any training in growth monitoring in the last two years? | 1 | | 2 | | | |
| | 1204 | Please tell me if the following basic equipment items are available and functional in this service area today. | A) AVAILABLE | | B) FUNCTIONING | | | |
| | | | YES | NO | YES | NO | DON'T KNOW | |
| E16 | 01 | Length/height measuring equipment | 1 → B | 2 02 ← | 1 | 2 | 8 | |
| E17 | 02 | Growth charts | 1 1300 ← | 2 1300 ← | | | | |
| ADOLESCENT HEALTH SERVICES | | | | | | | | |
| S12 | 1300 | Does this facility offer adolescent health services? | YES 1 NO 2 | | | | →1400 | |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE ADOLESCENT HEALTH SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT ADOLESCENT HEALTH SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| T14 | 1301 | Do you have the national guidelines for service provision to adolescents available in this facility today? | YES 1 NO 2 | | | | | |
| T15 | 1302 | Have you or any providers of adolescent health services received any training on the provision of adolescent health services in the last two years? | YES 1 NO 2 | | | | | |
| C. COMMUNICABLE DISEASES | | | | | | | | |
| HIV COUNSELLING & TESTING | | | | | | | | |
| S17 | 1400 | Does this facility offer HIV counselling and testing services? | YES 1 NO 2 | | | | →1500 | |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE HIV COUNSELLING AND TESTING SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT HIV COUNSELLING AND TESTING SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| T30 | 1401 | Do you have the national HIV counselling and testing guidelines available in this facility today? | YES 1 NO 2 | | | | | |

2. Core instrument

| Indicator code | Number | Question | Result | | Skip |
|---|-------------|---|--|----------------------|-------|
| | 1402 | Have you or any provider(s) of HIV/AIDS counselling and testing services: | YES | NO | |
| T31 | 01 | Received any training in voluntary counselling and testing (VCT) in the last two years? | 1 | 2 | |
| T17 | 02 | Received any training in HIV/AIDS prevention, care, and management for adolescents in the last two years? | 1 | 2 | |
| S12_01 | 1403 | Does this facility provide HIV counselling and testing services to minor adolescents? | YES 1 NO 2 | | |
| I23 | 1404 | Is the HIV testing and counselling service room or area a private room/area with auditory and visual privacy? | AUDITORY PRIVACY ONLY 1 VISUAL PRIVACY ONLY 2 BOTH AUDITORY AND VISUAL PRIVACY 3 NO PRIVACY 4 | | |
| D6 | 1405 | Do you have HIV rapid test kits (with valid expiration date) in stock in this service site today? CHECK TO SEE IF VALID (NOT EXPIRED) | YES 1 NO 2 | | |
| M17 M91 | 1406 | Do you have condoms available in this service site today to give to clients receiving services? | YES 1 NO 2 | | |
| | 1407 | Please tell me if the following resources/supplies used for infection control are available in this service area today. | AVAILABLE | NOT AVAILABLE | |
| I15 | 01 | Clean running water (piped, bucket with tap, or pour pitcher) | 1 | 2 | |
| I15 | 02 | Hand-washing soap/liquid soap | 1 | 2 | |
| I15 | 03 | Alcohol based hand rub | 1 | 2 | |
| I16 | 04 | Disposable latex gloves | 1 | 2 | |
| I12 | 05 | Waste receptacle (pedal bin) with lid and plastic bin liner | 1 | 2 | |
| I11 | 06 | Sharps container ("safety box") | 1 | 2 | |
| I13 | 07 | Environmental disinfectant (e.g., chlorine, alcohol) | 1 | 2 | |
| I14 | 08 | Disposable syringes with disposable needles | 1 | 2 | |
| I14 | 09 | Auto-disable syringes | 1 | 2 | |
| HIV TREATMENT | | | | | |
| S19 | 1500 | Does this facility offer HIV & AIDS antiretroviral prescription or antiretroviral treatment follow-up services? | YES 1 NO 2 | | →1600 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE HIV TREATMENT SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT HIV TREATMENT SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | |

| Indicator code | Number | Question | Result | | Skip |
|---|-------------|---|---------------------------|-----------|-------|
| | 1501 | Do providers in this facility: | YES | NO | |
| S19_01 | 01 | Prescribe ART? | 1 | 2 | |
| S12_09 | 02 | Prescribe ART to adolescents? | 1 | 2 | |
| S19_02 | 1502 | Does this facility provide treatment follow-up services for persons on ART, including providing community-based services? | YES 1 NO 2 | | |
| T35 | 1503 | Do you have the national ART guidelines available in this facility today? | YES 1 NO 2 | | |
| T36 | 1504 | Have you or any provider(s) of ART received any training in ART prescription and management in the last two years? | YES 1 NO 2 | | |
| HIV CARE AND SUPPORT | | | | | |
| S18 | 1600 | Does this facility offer HIV & AIDS care and support services, including treatment of opportunistic infections and provisions of palliative care? | YES 1 NO 2 | | →1700 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE HIV CARE AND SUPPORT SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT HIV CARE AND SUPPORT SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | |
| | 1601 | Please tell me if this facility provides the following services for HIV/AIDS clients: | YES | NO | |
| S18_01 | 01 | Prescribe treatment for any opportunistic infections or symptoms related to HIV/AIDS? This includes treating topical fungal infections. | 1 | 2 | |
| S18_02 | 02 | Provide or prescribe palliative care for patients, such as symptom or pain management, or nursing care for the terminally ill, or severely debilitated clients? | 1 | 2 | |
| S18_03 | 03 | Provide systemic intravenous treatment of specific fungal infections such as cryptococcal meningitis? | 1 | 2 | |
| S18_04 | 04 | Provide treatment for Kaposi's sarcoma? | 1 | 2 | |
| S18_05 | 05 | Provide nutritional rehabilitation services? e.g., client education and provision of nutritional supplements? | 1 | 2 | |
| S18_06 | 06 | Prescribe or provide fortified protein supplementation (FPS)? | 1 | 2 | |
| S18_07 | 07 | Care for paediatric HIV/AIDS patients? | 1 | 2 | |
| S18_08 | 08 | Prescribe or provide preventive treatment for TB (INH + Pyridoxine)? | 1 | 2 | |
| S18_09 | 09 | Primary preventive treatment for opportunistic infections, such as co-trimoxazole preventive treatment (CPT)? | 1 | 2 | |

2. Core instrument

| Indicator code | Number | Question | Result | | Skip |
|---|-------------|--|---------------------------|-----------|-------|
| S18_10 | 10 | Provide or prescribe micronutrient supplementation, such as vitamins or iron? | 1 | 2 | |
| S18_11 | 11 | Family planning counselling for HIV/AIDS clients? | 1 | 2 | |
| S18_12 | 12 | Provide condoms for preventing further transmission of HIV? | 1 | 2 | |
| D14 | 1602 | Do providers in this facility screen or test HIV clients for TB or have a system for diagnosis of TB among HIV positive clients? | YES 1 NO 2 | | |
| | 1603 | Please tell me if the following guidelines are available in the facility today: | YES | NO | |
| T32 | 01 | National guidelines for the clinical management of HIV/AIDS | 1 | 2 | |
| T33 | 02 | Guidelines for palliative care | 1 | 2 | |
| T34 | 1604 | Have you or any provider(s) of HIV care and support services received any training in the clinical management of HIV/AIDS in the last two years? | YES 1 NO 2 | | |
| SEXUALLY TRANSMITTED INFECTIONS | | | | | |
| S21 | 1700 | Does this facility offer diagnosis or treatment of STIs other than HIV? | YES 1 NO 2 | | →1800 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE STI SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT STI SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | |
| S21_01 | 1701 | Do providers in this facility diagnose STIs? | YES 1 NO 2 | | |
| S21_02 | 1702 | Do providers in this facility prescribe treatment for STIs? | YES 1 NO 2 | | |
| T41 | 1703 | Do you have the national guidelines for the diagnosis and treatment of STIs available in this facility today? | YES 1 NO 2 | | |
| T42 | 1704 | Have you or any provider(s) of STI services received any training in STI diagnosis and treatment in the last two years? | YES 1 NO 2 | | |
| TUBERCULOSIS | | | | | |
| S16 | 1800 | Does this facility offer diagnosis, treatment prescription, or treatment follow-up of tuberculosis? | YES 1 NO 2 | | →1900 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE TUBERCULOSIS SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT TUBERCULOSIS SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | |

| Indicator code | Number | Question | Result | | Skip |
|------------------|-------------|---|---------------------------|-----------|-------|
| S16_01 | 1801 | Do providers in this facility diagnose TB? | YES 1 NO 2 | | →1803 |
| | 1802 | Which of the following methods are used at this facility for diagnosing TB? | YES | NO | |
| S16_03 | 01 | Clinical symptoms | 1 | 2 | |
| S16_02 S16_04 | 02 | Sputum smear microscopy examination | 1 | 2 | |
| S16_02 S16_05 | 03 | Culture | 1 | 2 | |
| S16_02 S16_06 | 04 | Rapid test (GeneXpert MTB/RIF) | 1 | 2 | |
| S16_02 S16_07 | 05 | Chest X-ray | 1 | 2 | |
| S16_08 | 1803 | Does this facility prescribe drugs for TB patients? | YES 1 NO 2 | | |
| S16_09 | 1804 | Does this facility provide drugs to TB patients? | YES 1 NO 2 | | |
| S16_10 | 1805 | Does this facility manage and provide treatment follow-up for TB patients? | YES 1 NO 2 | | |
| D13 | 1806 | Do providers in this facility screen or test TB patients for HIV or have a system for diagnosis of HIV among TB patients? | YES 1 NO 2 | | |
| | 1807 | Does this facility have the following national guidelines for TB available in this facility today? | YES | NO | |
| T22 | 01 | Diagnosis and treatment of TB | 1 | 2 | |
| T23 | 02 | Management of HIV and TB co-infection | 1 | 2 | |
| T24 | 03 | MDR-TB | 1 | 2 | |
| T25 | 04 | TB infection control | 1 | 2 | |
| | 1808 | Have any providers of TB services at this facility received training in the following topics in the last two years? | YES | NO | |
| T26 | 01 | Diagnosis and treatment of TB | 1 | 2 | |
| T27 | 02 | Management of HIV and TB co-infection | 1 | 2 | |
| T28 | 03 | MDR-TB | 1 | 2 | |
| T29 | 04 | TB infection control | 1 | 2 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|---|-------------|---|---|---------------------|---------------------------------|---------------------|-----------------|--------------------|
| | 1809 | Does this facility stock any medicines for TB treatment? | YES, IN SERVICE SITE1 YES, ELSEWHERE (E.G. BULK STORE/ PHARMACY)2 YES, IN BOTH LOCATIONS3 NO, TB MEDS NOT STOCKED.....4 | | | | | →1900 →1900 |
| | 1810 | Are any of the following medicines available in this service site today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M41 | 01 | Ethambutol | 1 | 2 | 3 | 4 | 5 | |
| M41 | 02 | Isoniazid | 1 | 2 | 3 | 4 | 5 | |
| M41 | 03 | Pyrazinamide | 1 | 2 | 3 | 4 | 5 | |
| M41 | 04 | Rifampicin | 1 | 2 | 3 | 4 | 5 | |
| M41 | 05 | Isoniazid + Rifampicin (2FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 06 | Isoniazid + Ethambutol (EH) (2FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 07 | Isoniazid + Rifampicin + Pyrazinamide (RHZ) (3FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 08 | Isoniazid + Rifampicin + Ethambutol (RHE) (3FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 09 | Isoniazid + Rifampicin + Pyrazinamide + Ethambutol (4FDC) | 1 | 2 | 3 | 4 | 5 | |
| | 10 | Streptomycin Injectable | 1 | 2 | 3 | 4 | 5 | |
| MALARIA | | | | | | | | |
| S15 | 1900 | Does this facility offer diagnosis or treatment of malaria? | YES 1 NO 2 | | | | | →2000 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE MALARIA SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT MALARIA SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| S15_01 | 1901 | Do providers in this facility diagnose malaria? | YES 1 NO 2 | | | | | →1906 |
| | 1902 | Which of the following methods are used at this facility for diagnosing malaria? | YES | | NO | | | |
| S15_05 | 01 | Clinical symptoms | 1 | | 2 | | | |
| S15_02 S15_06 | 02 | Rapid diagnostic testing (RDT) | 1 | | 2 | | | |
| S15_02 S15_07 | 03 | Microscopy | 1 | | 2 | | | |

| Indicator code | Number | Question | Result | Skip |
|--|-------------|---|--|-------|
| | | CHECK Q1902_02: IF FACILITY CONDUCTS MALARIA RDTS:  | IF FACILITY DOES NOT CONDUCT MALARIA RDTS:  | Q1906 |
| D3 D34 D36 | 1903 | Do you have malaria rapid diagnostic test kits (with valid expiration date) in stock in this service site today? CHECK TO SEE IF VALID (NOT EXPIRED) | YES 1 NO 2 | |
| D36_A | 1904 | Has there been a stock-out of malaria RDT kits in the past 4 weeks? | YES 1 NO 2 | →1906 |
| D36_B | 1905 | How many days of stock-out? | LESS THAN 7 DAYS 1 7 TO 14 DAYS 2 MORE THAN 14 DAYS 3 | |
| S15_03 | 1906 | Do providers in this facility prescribe treatment for malaria? | YES 1 NO 2 | |
| T18 | 1907 | Do you have the national guidelines for the diagnosis and treatment of malaria available in this facility today? | YES 1 NO 2 | |
| T20 D34 | 1908 | Have you or any provider(s) of malaria services received any training in malaria diagnosis with RDTs in the last two years? | YES 1 NO 2 | |
| T20 | 1909 | Have you or any provider(s) of malaria services received any training in malaria treatment in the last two years? | YES 1 NO 2 | |
| S15_04 | 1910 | Does this facility provide Intermittent preventive treatment for malaria? | YES 1 NO 2 | |
| <u>D. NON-COMMUNICABLE DISEASES</u> | | | | |
| S22 S23 S24 S29 | 2000 | Does this facility offer diagnosis or management of non-communicable diseases, such as diabetes, cardiovascular disease, chronic respiratory disease, or cervical cancer? | YES 1 NO 2 | →2100 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE NON-COMMUNICABLE DISEASE SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT NCD SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | |
| S22 | 2001 | Do providers in this facility diagnose and/or manage diabetes in patients? | YES 1 NO 2 | →2004 |
| T43 | 2002 | Do you have the national guidelines for the diagnosis and management of diabetes available in this facility today? | YES 1 NO 2 | |
| T44 | 2003 | Have you or any provider(s) of diabetes services received any training in the diagnosis and management of diabetes in the last two years? | YES 1 NO 2 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|--|---------------------|-------------|-----------------------|----|------------|------|
| S23 | 2004 | Do providers in this facility diagnose and/or manage cardiovascular diseases such as hypertension in patients? | YES | | 1 | | → 2007 | |
| | | | NO | | 2 | | | |
| T45 | 2005 | Do you have the national guidelines for the diagnosis and management of cardiovascular diseases available in this facility today? | YES | | 1 | | | |
| | | | NO | | 2 | | | |
| T46 | 2006 | Have you or any provider(s) of services for cardiovascular diseases received any training in the diagnosis and management of cardiovascular diseases such as hypertension in the last two years? | YES | | 1 | | | |
| | | | NO | | 2 | | | |
| S24 | 2007 | Do providers in this facility diagnose and/or manage chronic respiratory diseases in patients? | YES | | 1 | | → 2011 | |
| | | | NO | | 2 | | | |
| T47 | 2008 | Do you have the national guidelines for the diagnosis and management of chronic respiratory disease available in this facility today? | YES | | 1 | | | |
| | | | NO | | 2 | | | |
| T48 | 2009 | Have you or any provider(s) of chronic respiratory disease services received any training in the diagnosis and management of chronic respiratory diseases in the last two years? | YES | | 1 | | | |
| | | | NO | | 2 | | | |
| | 2010 | Please tell me if the following basic equipment items are available and functional in this service area today. | A) AVAILABLE | | B) FUNCTIONING | | | |
| | | | YES | NO | YES | NO | DON'T KNOW | |
| E19 | 01 | Peak flow meters | 1 → B | 2 02 ← | 1 | 2 | 8 | |
| E20 | 02 | Spacers for inhalers | 1 → B | 2 2011 ← | 1 | 2 | 8 | |
| S29 | 2011 | Do providers in this facility diagnose cervical cancer in patients? | YES | | 1 | | → 2100 | |
| | | | NO | | 2 | | | |
| T60 | 2012 | Do you have the national guidelines for cervical cancer prevention and control? | YES | | 1 | | | |
| | | | NO | | 2 | | | |
| T61 | 2013 | Have you or any provider(s) received any training in cervical cancer prevention and control? | YES | | 1 | | | |
| | | | NO | | 2 | | | |
| | 2014 | Please tell me if the following basic equipment/items are available in this service area today. | A) AVAILABLE | | B) FUNCTIONING | | | |
| | | | YES | NO | YES | NO | DON'T KNOW | |

| Indicator code | Number | Question | Result | | | | Skip |
|---|--------|---|---------------------------|-------------|--------------------|-----------|-------|
| D37 | 01 | Acetic acid | 1 02 ↙ | 2 02 ↙ | | | |
| E44 | 02 | Speculum | 1 → B | 2 2100 ↙ | 1 | 2 | 8 |
| E. SURGERY | | | | | | | |
| SURGICAL SERVICES | | | | | | | |
| S25 S28 | 2100 | Does this facility offer any surgical services (including minor surgery such as suturing, circumcision, wound debridement, etc.), or caesarean section? | YES 1 NO 2 | | | | →2200 |
| ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE SURGICAL SERVICES ARE PROVIDED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT SURGICAL SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | |
| | 2101 | Please tell me if this facility provides the following services: | | | YES | NO | |
| S25_01 | 01 | Incision and drainage of abscesses | | | 1 | 2 | |
| S25_02 | 02 | Wound debridement | | | 1 | 2 | |
| S25_03 | 03 | Acute burn management | | | 1 | 2 | |
| S25_04 | 04 | Suturing | | | 1 | 2 | |
| S25_05 | 05 | Closed treatment of fracture | | | 1 | 2 | |
| S25_06 | 06 | Cricothyroidotomy | | | 1 | 2 | |
| S25_07 | 07 | Male circumcision | | | 1 | 2 | |
| S25_08 | 08 | Hydrocele reduction | | | 1 | 2 | |
| S25_09 | 09 | Chest tube insertion | | | 1 | 2 | |
| | | CHECK Q007: IF HOSPITAL: ↙ | | | IF NOT HOSPITAL: ↘ | | Q2102 |
| S28_01 | 10 | Tracheostomy | | | 1 | 2 | |
| S28_02 | 11 | Tubal ligation | | | 1 | 2 | |
| S28_03 | 12 | Vasectomy | | | 1 | 2 | |
| S28_04 | 13 | Dilatation & Curettage | | | 1 | 2 | |
| S28_05 | 14 | Obstetric fistula repair | | | 1 | 2 | |
| S28_06 | 15 | Episiotomy, cervical and vaginal laceration | | | 1 | 2 | |
| S28_07 | 16 | Appendectomy | | | 1 | 2 | |
| S28_08 | 17 | Hernia repair (strangulated, elective) | | | 1 | 2 | |
| S28_09 | 18 | Cystostomy | | | 1 | 2 | |
| S28_10 | 19 | Urethral stricture dilatation | | | 1 | 2 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | Skip | |
|----------------|--------|--|--|---------|----------------|------|------------|
| S28_11 | 20 | Laparotomy (uterine rupture, ectopic pregnancy, acute abdomen, intestinal obstruction, perforation, injuries) | 1 | | 2 | | |
| S28_12 | 21 | Congenital hernia repair | 1 | | 2 | | |
| S28_13 | 22 | Neonatal surgery (abdominal wall defect, colostomy imperforate anus, intussusceptions) | 1 | | 2 | | |
| S28_14 | 23 | Cleft lip repair | 1 | | 2 | | |
| S28_15 | 24 | Contracture release | 1 | | 2 | | |
| S28_16 | 25 | Skin grafting | 1 | | 2 | | |
| S28_17 | 26 | Open treatment of fracture | 1 | | 2 | | |
| S28_18 | 27 | Amputation | 1 | | 2 | | |
| S28_19 | 28 | Cataract surgery | 1 | | 2 | | |
| | 2102 | Please tell me if the following surgical equipment and supplies are available and functional in this facility today. | A) AVAILABLE | | B) FUNCTIONING | | |
| | | | YES | NO | YES | NO | DON'T KNOW |
| E29 E27 | 01 | Resuscitator bag and mask- adult | 1 → B | 2 02 | 1 | 2 | 8 |
| E29 E27 | 02 | Resuscitator bag and mask- paediatric | 1 → B | 2 03 | 1 | 2 | 8 |
| E21 | 03 | Needle holder | 1 → B | 2 04 | 1 | 2 | 8 |
| E22 | 04 | Scalpel with blades | 1 → B | 2 05 | 1 | 2 | 8 |
| E23 | 05 | Retractor | 1 → B | 2 06 | 1 | 2 | 8 |
| E24 | 06 | Surgical scissors | 1 → B | 2 07 | 1 | 2 | 8 |
| E25 | 07 | Nasogastric tubes | 1 → B | 2 08 | 1 | 2 | 8 |
| E26 | 08 | Tourniquet | 1 → B | 2 09 | 1 | 2 | 8 |
| E28 | 09 | Suction pump (manual or electric) with catheter | 1 → B | 2 10 | 1 | 2 | 8 |
| | 10 | CHECK Q007 AND Q1002_08: IF HOSPITAL OR HEALTH FACILITY OFFERS CESAREAN SECTION:  | IF NOT HOSPITAL AND CESAREAN SECTION NOT OFFERED:  | | | | Q2103 |
| E29 | 11 | Oropharyngeal airway- adult | 1 → B | 2 12 | 1 | 2 | 8 |

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|---|---|---------------------|---------------------------------|---------------------|-----------------|------|
| E29 | 12 | Oropharyngeal airway- paediatric | 1 → B | 2 13 ↙ | 1 | 2 | 8 | |
| E29 | 13 | Magills forceps- adult | 1 → B | 2 14 ↙ | 1 | 2 | 8 | |
| E29 | 14 | Magills forceps- paediatric | 1 → B | 2 15 ↙ | 1 | 2 | 8 | |
| E29 | 15 | Endotracheal tube- uncuffed sizes 3.0 to 5.0 | 1 → B | 2 16 ↙ | 1 | 2 | 8 | |
| E29 | 16 | Endotracheal tube- cuffed sizes 5.5 to 9.0 | 1 → B | 2 17 ↙ | 1 | 2 | 8 | |
| E29 | 17 | Laryngoscope handle and blade- adult | 1 → B | 2 18 ↙ | 1 | 2 | 8 | |
| E29 | 18 | Laryngoscope handle and blade- paediatric | 1 → B | 2 19 ↙ | 1 | 2 | 8 | |
| E29 | 19 | Anaesthesia machine | 1 → B | 2 20 ↙ | 1 | 2 | 8 | |
| E29 | 20 | Tubings and connectors (to connect endotracheal tube) | 1 → B | 2 21 ↙ | 1 | 2 | 8 | |
| E29 | 21 | Stylet | 1 → B | 2 22 ↙ | 1 | 2 | 8 | |
| E32 | 22 | Spinal needle | 1 → B | 2 2103 ↙ | 1 | 2 | 8 | |
| | 2103 | Please tell me if any of the following materials or medicines are available in this service site today. I would like to see those that are available. CHECK TO SEE IF AT LEAST ONE OF EACH MATERIAL/MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M63 | 01 | Absorbable suture material | 1 | 2 | 3 | 4 | 5 | |
| M63 | 02 | Non-absorbable suture material | 1 | 2 | 3 | 4 | 5 | |
| M26 | 03 | Skin disinfectant | 1 | 2 | 3 | 4 | 5 | |
| M64 | 04 | Ketamine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M65 | 05 | Lidocaine 1% or 2% (anaesthesia) | 1 | 2 | 3 | 4 | 5 | |
| | | CHECK Q007 AND Q1002_08: IF HOSPITAL OR HEALTH FACILITY OFFERS CESAREAN SECTION:  | IF NOT HOSPITAL AND CESAREAN SECTION NOT OFFERED:  | | | | Q2104 | |
| M84 | 06 | Thiopental (powder) | 1 | 2 | 3 | 4 | 5 | |
| M85 | 07 | Suxamethonium bromide (powder) | 1 | 2 | 3 | 4 | 5 | |
| M86 | 08 | Atropine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M25 | 09 | Diazepam (injection) | 1 | 2 | 3 | 4 | 5 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|--------------------------|--------|--|---------------------------|---|----------------------|---|---|-------|
| M87 | 10 | Halothane (inhalation) | 1 | 2 | 3 | 4 | 5 | |
| M88 | 11 | Bupivacaine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M89 | 12 | Lidocaine 5% (heavy spinal solution) | 1 | 2 | 3 | 4 | 5 | |
| M62 | 13 | Epinephrine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M90 | 14 | Ephedrine (injection) | 1 | 2 | 3 | 4 | 5 | |
| T49 | 2104 | Do you have guidelines on Integrated management of emergency and essential surgical care (IMEESC) available in this facility today? | YES 1 NO 2 | | | | | |
| T50 | 2105 | Have you or any provider(s) of basic surgical services received any training in IMEESC in the last two years? | YES 1 NO 2 | | | | | |
| T57 | 2106 | Does this facility have a staff member trained in surgery, including caesarean section, (clinical officer, general physician, or surgeon) present in the facility or on call 24 hours a day (including weekends and on public holidays)? | YES 1 NO 2 | | | | | |
| T58 | 2107 | Does this facility have a staff member trained in anaesthesia (nurse, clinical officer, general physician, surgeon, or anaesthesiologist) present in the facility or on call 24 hours a day (including weekends and on public holidays)? | YES 1 NO 2 | | | | | |
| | 2108 | I am interested in knowing if the following resources/supplies used for infection control are available in this service area today. | AVAILABLE | | NOT AVAILABLE | | | |
| I15 | 01 | Clean running water (piped, bucket with tap, or pour pitcher) | 1 | | 2 | | | |
| I15 | 02 | Hand-washing soap/liquid soap | 1 | | 2 | | | |
| I15 | 03 | Alcohol based hand rub | 1 | | 2 | | | |
| I16 | 04 | Disposable latex gloves | 1 | | 2 | | | |
| I12 | 05 | Waste receptacle (pedal bin) with lid and plastic bin liner | 1 | | 2 | | | |
| I11 | 06 | Sharps container ("safety box") | 1 | | 2 | | | |
| I13 | 07 | Environmental disinfectant (e.g., chlorine, alcohol) | 1 | | 2 | | | |
| I14 | 08 | Disposable syringes with disposable needles | 1 | | 2 | | | |
| I14 | 09 | Auto-disable syringes | 1 | | 2 | | | |
| BLOOD TRANSFUSION | | | | | | | | |
| S2 | 2200 | Does this facility offer blood transfusion services? | YES 1 NO 2 | | | | | →3000 |

| Indicator code | Number | Question | Result | Skip |
|----------------|-------------|--|---|-------|
| | | ASK TO BE SHOWN THE LOCATION IN THE FACILITY WHERE BLOOD IS COLLECTED, PROCESSED, TESTED, STORED, OR HANDLED PRIOR TO TRANSFUSION. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT BLOOD TRANSFUSION SERVICES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | |
| M66 | 2201 | Have there been any interruptions in blood availability during the past 3 months? | YES 1 NO 2 | |
| M67 | 2202 | Does this facility obtain blood from a national or regional blood centre? | YES 1 NO 2 | |
| M67 | 2203 | Does this facility obtain ANY blood from sources other than the national or regional blood centre? | YES 1 NO 2 | |
| M67 | 2204 | Does any place in this facility do blood screening for infectious diseases prior to transfusion? | YES 1 NO 2 | →2206 |
| | 2205 | Please tell me if the blood that is transfused in the facility is "always", "sometimes", "rarely", or "never" screened for any of the following infectious diseases. | ALWAYS SOMETIMES RARELY NEVER | |
| M67 | 01 | HIV | 1 2 3 4 | |
| M67 | 02 | Syphilis | 1 2 3 4 | |
| M67 | 03 | Hepatitis B | 1 2 3 4 | |
| M67 | 04 | Hepatitis C | 1 2 3 4 | |
| E31 | 2206 | Does this facility have a refrigerator available and functioning in this service area for the storage of blood? | AVAILABLE AND FUNCTIONAL AVAILABLE NOT FUNCTIONAL AVAILABLE DON'T KNOW IF FUNCTIONING NOT AVAILABLE 1 2 3 4 | |
| T55 | 2207 | Do you have any guidelines on the appropriate use of blood and safe transfusion practices? | YES 1 NO 2 | |
| T56 | 2208 | Have any provider(s) of blood transfusion services received any training in the appropriate use of blood and safe transfusion practices in the last two years? | YES 1 NO 2 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|--|-------------|--|---|---------------------|---------------------------------|---------------------|-----------------|-------|
| SECTION 6: DIAGNOSTICS | | | | | | | | |
| | 3000 | Does this facility conduct any diagnostic testing including any rapid diagnostic testing? | YES 1 NO 2 | | | | | →4000 |
| ASK TO BE SHOWN THE MAIN LABORATORY OR LOCATION IN THE FACILITY WHERE MOST TESTING IS DONE TO START DATA COLLECTION. INTRODUCE YOURSELF AND EXPLAIN THE PURPOSE OF THE SURVEY, THEN ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| I would like to know if the following diagnostic tests and associated equipment are available today in this facility. | | | | | | | | |
| | 3100 | Does this facility offer any of the following tests on-site? | YES (ONSITE) | | NO | | | |
| D9 | 02 | Rapid syphilis testing | 1 | | 2 | | | |
| D6 | 03 | HIV rapid testing | 1 | | 2 | | | |
| D11 | 04 | Urine rapid tests for pregnancy | 1 | | 2 | | | |
| D4 | 05 | Urine protein dipstick testing | 1 | | 2 | | | |
| D5 | 06 | Urine glucose dipstick testing | 1 | | 2 | | | |
| D20 | 07 | Urine ketone dipstick testing | 1 | | 2 | | | |
| D7 | 08 | Dry Blood Spot (DBS) collection for HIV viral load or EID | 1 | | 2 | | | |
| | 3101 | I would like to know if the following items for rapid diagnostic testing are available or not available today. CHECK TO SEE IF AT LEAST ONE OF EACH RDT IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| D3 D34 D36 | 01 | Malaria rapid diagnostic kit | 1 | 2 | 3 | 4 | 5 | |
| D9 | 02 | Syphilis rapid test kit | 1 | 2 | 3 | 4 | 5 | |
| D6 | 03 | HIV rapid test kit | 1 | 2 | 3 | 4 | 5 | |
| D11 | 04 | Urine pregnancy test kit | 1 | 2 | 3 | 4 | 5 | |
| D4 | 05 | Dipsticks for urine protein | 1 | 2 | 3 | 4 | 5 | |
| D5 | 06 | Dipsticks for urine glucose | 1 | 2 | 3 | 4 | 5 | |
| D20 | 07 | Dipsticks for urine ketone bodies | 1 | 2 | 3 | 4 | 5 | |
| D7 | 08 | Filter paper for collecting DBS | 1 | 2 | 3 | 4 | 5 | |
| | | CHECK Q3101_01: IF FACILITY CONDUCTS MALARIA RDTS (Q3101_01 = 1, 2, 3, OR 4): | IF FACILITY DOES NOT CONDUCT MALARIA RDTS (Q3101_01 = 5): | | | | | Q3200 |
| D36_A | 3102 | Has there been a stock-out of malaria RDT kits in the past 4 weeks? | YES 1 NO 2 | | | | | →3200 |

| Indicator code | Number | Question | Result | | | Skip |
|---|-------------|---|---|--------------------------|-------------------------------------|---------------|
| D36_B | 3103 | How many days of stock-out? | LESS THAN 7 DAYS 1 7 TO 14 DAYS 2 MORE THAN 14 DAYS 3 | | | |
| | 3200 | Does this facility conduct the following tests onsite or offsite? | YES, ONSITE | YES, OFFSITE | DON'T CONDUCT THE TEST | |
| D2 | 01 | Blood glucose tests using a glucometer | 1 | 2 | 3 | |
| D1 | 02 | Haemoglobin testing | 1 | 2 | 3 | |
| D10 | 03 | General microscopy/wet-mounts | 1 | 2 | 3 | |
| D3 | 04 | Malaria smear tests | 1 | 2 | 3 | |
| D6 D23 | 05 | HIV antibody testing by ELISA | 1 | 2 | 3 | |
| | 3201 | I would like to know if the following general equipment items are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE |
| D3 D10 D35 D8 D31 D32 D33 | 01 | Light microscope | 1 | 2 | 3 | 4 |
| D3 D10 D35 D8 D32 | 02 | Glass slides and cover slips | 1 | 2 | 3 | 4 |
| | 03 | Refrigerator | 1 | 2 | 3 | 4 |
| D2 | 04 | Glucometer | 1 | 2 | 3 | 4 |
| D2 | 05 | Glucometer test strips (with valid expiration date) | 1 | 2 | 3 | 4 |
| D1 | 06 | Colorimeter or haemoglobinometer | 1 | 2 | 3 | 4 |
| D1 | 07 | HemoCue | 1 | 2 | 3 | 4 |
| D3 D35 | 08 | Wright-Giemsa stain or other acceptable malaria parasite stain (e.g. Field Stain A and B) | 1 | 2 | 3 | 4 |
| D6 D23 | 09 | ELISA washer | 1 | 2 | 3 | 4 |
| D6 D23 | 10 | ELISA reader | 1 | 2 | 3 | 4 |
| D6 D23 | 11 | Incubator | 1 | 2 | 3 | 4 |
| D6 D23 | 12 | Specific assay kit- HIV antibody testing by ELISA | 1 | 2 | 3 | 4 |
| T59 D35 | 3202 | Does this facility have an accredited/certified microscopist? | YES 1 NO 2 | | | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | Skip |
|----------------|-------------|---|--|--------------------------|-------------------------------------|---------------|-------|
| | 3300 | CHECK Q1800: TB SERVICES OFFERED  | TB SERVICES NOT OFFERED  | | | | Q3400 |
| D8 | 3301 | Does this facility do Ziehl-Neelsen testing for TB (AFB) onsite or offsite? | YES, ONSITE | | 1 | | |
| | | | YES, OFFSITE | | 2 | → 3303 | |
| | | | NO | | 3 | → 3303 | |
| | 3302 | I would like to know if the following equipment items for TB testing are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| D8 | 01 | Fluorescence microscope (FM) | 1 | 2 | 3 | 4 | |
| D8 | 02 | Ziehl-Neelsen stain | 1 | 2 | 3 | 4 | |
| D8 | 03 | Auramine Rhodamine stain for fluorescent microscopy | 1 | 2 | 3 | 4 | |
| | 3303 | Does this facility conduct Xpert MTB/RIF diagnostic testing for TB onsite or offsite? | YES, ONSITE | | 1 | | |
| | | | YES, OFFSITE | | 2 | → 3400 | |
| | | | NO | | 3 | → 3400 | |
| | 3304 | Please tell me if the following equipment items for Xpert MTB/RIF diagnostic testing for TB are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| | 01 | GeneXpert 4 module unit with laptop | 1 | 2 | 3 | 4 | |
| | 02 | TB rapid test cartridge | 1 | 2 | 3 | 4 | |
| | 3400 | Does this facility conduct liver function /renal function tests and/or white blood counts onsite or offsite? | YES, ONSITE | | 1 | | |
| | | | YES, OFFSITE | | 2 | | |
| | | | NO | | 3 | → 3500 | |
| | 3401 | Does this facility conduct the following liver and renal function tests onsite or offsite? | YES, ONSITE | YES, OFFSITE | DON'T CONDUCT THE TEST | | |
| D19 | 01 | ALT testing | 1 | 2 | 3 | | |
| D19 | 02 | Other liver function testing (such as bilirubin) | 1 | 2 | 3 | | |
| D18 | 03 | Serum creatinine testing | 1 | 2 | 3 | | |
| D18 | 04 | Other renal function testing (such as urea nitrogen) | 1 | 2 | 3 | | |
| | | CHECK Q3401 liver function/renal function: IF "YES, ONSITE" CIRCLED FOR ANY TEST  | IF ONLY "YES, OFFSITE" OR "NO" ARE CIRCLED  | | | Q3403 | |
| | 3402 | Please tell me if the following equipment items and reagents for liver and kidney function testing are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| D18 D19 | 01 | Biochemistry analyzer | 1 | 2 | 3 | 4 | |

| Indicator code | Number | Question | Result | | | | Skip |
|----------------|-------------|---|--|--------------------------|-------------------------------------|---------------|-------|
| D18 D19 | 02 | Centrifuge | 1 | 2 | 3 | 4 | |
| D19 | 03 | Specific assay kit(s)- liver function test | 1 | 2 | 3 | 4 | |
| D18 | 04 | Specific assay kit(s)- renal function test | 1 | 2 | 3 | 4 | |
| D15 D25 | 3403 | Does this facility do full blood count and differential testing onsite or offsite? | YES, ONSITE 1 YES, OFFSITE 2 → 3405 NO 3 → 3405 | | | | |
| | 3404 | Please tell me if the following equipment items and reagents for full blood count testing are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| D15 D25 | 01 | Haematology analyzer (for full blood count) | 1 | 2 | 3 | 4 | |
| D15 D25 | 02 | Stains for full blood count and differential | 1 | 2 | 3 | 4 | |
| D16 | 3405 | Does this facility do CD4 count (absolute and percentage) testing onsite or offsite? | YES, ONSITE 1 YES, OFFSITE 2 → 3500 NO 3 → 3500 | | | | |
| | 3406 | Please tell me if the following equipment items for CD4 testing are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| D16 | 01 | CD4 counter | 1 | 2 | 3 | 4 | |
| D16 | 02 | Specific assay kit- CD4 test | 1 | 2 | 3 | 4 | |
| D21 D22 | 3500 | Does this facility conduct blood group serology onsite or offsite? | YES, ONSITE 1 YES, OFFSITE 2 NO 3 → 3600 | | | | |
| | 3501 | Does this facility conduct the following blood group serology tests onsite or offsite? | YES, ONSITE | YES, OFFSITE | DON'T CONDUCT THE TEST | | |
| D21 | 01 | ABO blood grouping testing | 1 | 2 | 3 | | |
| D21 | 02 | Rhesus blood grouping testing | 1 | 2 | 3 | | |
| D22 | 03 | Cross-match testing by direct agglutination | 1 | 2 | 3 | | |
| D22 | 04 | Cross-match testing by indirect anti-globulin testing or other test with equivalent sensitivity | 1 | 2 | 3 | | |
| | | CHECK Q3501 Blood typing and cross match: IF "YES, ONSITE" CIRCLED FOR ANY TEST  | IF ONLY "YES, OFFSITE" OR "NO" ARE CIRCLED  | | | | Q3600 |
| | 3502 | Please tell me if the following equipment items and reagents for blood typing and cross match are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| D21 D22 | 01 | Centrifuge | 1 | 2 | 3 | 4 | |
| D22 | 02 | 37° C incubator | 1 | 2 | 3 | 4 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | Skip |
|----------------|-------------|--|--------------------------|--------------------------|-------------------------------------|-------------------|------|
| D22 | 03 | Grouping sera | 1 | 2 | 3 | 4 | |
| | 3600 | CHECK Q007: IF HOSPITAL: | IF NOT HOSPITAL: | | | Q4000 | |
| | 3601 | Does this facility conduct the following tests onsite or offsite? | YES, ONSITE | YES, OFFSITE | DON'T CONDUCT THE TEST | | |
| D24 | 01 | Serum electrolyte testing | 1 | 2 | 3 | | |
| D32 | 02 | Urine microscopy testing | 1 | 2 | 3 | | |
| D29 | 03 | Syphilis serology testing | 1 | 2 | 3 | | |
| D31 | 04 | Gram stain testing | 1 | 2 | 3 | | |
| D33 | 05 | CSF/ body fluid counts | 1 | 2 | 3 | | |
| D30 | 06 | Cryptococcal antigen testing | 1 | 2 | 3 | | |
| D17 | 07 | Molecular biological technique for HIV viral load or HIV early-infant diagnosis (PCR) | 1 | 2 | 3 | | |
| | 3602 | Please tell me if the following equipment items and reagents are available and functional today: | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| D24 | 01 | Specific assay kit- serum electrolyte test | 1 | 2 | 3 | 4 | |
| D29 | 02 | Specific assay kit- syphilis serology | 1 | 2 | 3 | 4 | |
| D31 | 03 | Gram stains | 1 | 2 | 3 | 4 | |
| | 04 | White blood counting chamber | 1 | 2 | 3 | 4 | |
| D30 | 05 | Specific assay kit- cryptococcal antigen test | 1 | 2 | 3 | 4 | |
| D17 | 06 | Assay specific automated system for estimating HIV viral load | 1 | 2 | 3 | 4 | |
| D17 D24 | 07 | Centrifuge | 1 | 2 | 3 | 4 | |
| D17 | 08 | Vortex mixer | 1 | 2 | 3 | 4 | |
| D17 | 09 | Pipettes | 1 | 2 | 3 | 4 | |
| D24 | 10 | Biochemistry analyzer | 1 | 2 | 3 | 4 | |
| | 3603 | Does this facility perform diagnostic x-rays, ultrasound, or computerized tomography? | YES 1 | | | NO 2 → 4000 | |
| | 3604 | Please tell me if the following imaging equipment items are available and functional today. | AVAILABLE AND FUNCTIONAL | AVAILABLE NOT FUNCTIONAL | AVAILABLE DON'T KNOW IF FUNCTIONING | NOT AVAILABLE | |
| E33 | 01 | X-ray machine | 1 | 2 | 3 | 4 | |
| E35 | 02 | Ultrasound equipment | 1 | 2 | 3 | 4 | |
| E36 | 03 | CT scan | 1 | 2 | 3 | 4 | |
| E34 | 04 | ECG | 1 | 2 | 3 | 4 | |

| Indicator code | Number | Question | Result | | | | | Skip |
|---|-------------|---|---------------------------|---------------------|---------------------------------|---------------------|-----------------|-------|
| SECTION 7: MEDICINES AND COMMODITIES | | | | | | | | |
| | 4000 | Does this facility stock medicines, vaccines, or contraceptive commodities? | YES 1 NO 2 | | | | | →5000 |
| ASK TO BE SHOWN THE MAIN LOCATION IN THE FACILITY WHERE MEDICINES AND OTHER SUPPLIES ARE STORED. FIND THE PERSON MOST KNOWLEDGEABLE ABOUT STORAGE AND MANAGEMENT OF MEDICINES AND SUPPLIES IN THE FACILITY. INTRODUCE YOURSELF, EXPLAIN THE PURPOSE OF THE SURVEY AND ASK THE FOLLOWING QUESTIONS. | | | | | | | | |
| I would like to know if the following medicines are available today in this facility. I would also like to observe the medicines that are available. If any of the medicines I mention is stored in another location in the facility, please tell me where in the facility it is stored so I can go there to verify. | | | | | | | | |
| | 4001 | Are any of the following medicines for the treatment of infectious diseases available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M43 | 01 | Co-trimoxazole cap/tab (Oral antibiotic) | 1 | 2 | 3 | 4 | 5 | |
| M135 | 02 | Fluconazole cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M35 | 03 | Albendazole or Mebendazole cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M49 | 04 | Metronidazole cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M2 | 05 | Amoxicillin cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M5 M23 M110 | 06 | Ceftriaxone injection | 1 | 2 | 3 | 4 | 5 | |
| M6 | 07 | Ciprofloxacin cap/tab | 1 | 2 | 3 | 4 | 5 | |
| | 4002 | Are any of the following medicines for the management of non-communicable diseases available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M50 | 01 | Metformin cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M51 | 02 | Insulin regular injection | 1 | 2 | 3 | 4 | 5 | |
| M52 | 03 | Glucose 50% injection | 1 | 2 | 3 | 4 | 5 | |
| M53 | 04 | ACE inhibitor (e.g. enalapril, lisinopril, ramipril, perindopril) | 1 | 2 | 3 | 4 | 5 | |
| M54 | 05 | Thiazide (e.g. hydrochlorothiazide) | 1 | 2 | 3 | 4 | 5 | |
| M55 | 06 | Beta blocker (e.g. bisoprolol, metoprolol, carvedilol, atenolol) | 1 | 2 | 3 | 4 | 5 | |
| M56 | 07 | Calcium channel blocker (e.g. amlodipine) | 1 | 2 | 3 | 4 | 5 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|---|---------------------------|---------------------|---------------------------------|---------------------|-----------------|------|
| M57 | 08 | Aspirin cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M59 | 09 | Beclomethasone inhaler | 1 | 2 | 3 | 4 | 5 | |
| M60 | 10 | Prednisolone cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M61 | 11 | Hydrocortisone injection | 1 | 2 | 3 | 4 | 5 | |
| M62 | 12 | Epinephrine injection | 1 | 2 | 3 | 4 | 5 | |
| M114 | 13 | Furosemide cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M10 | 14 | Glibenclamide cap/tab | 1 | 2 | 3 | 4 | 5 | |
| M115 | 15 | Gliclazide tablet or glipizide tablet | 1 | 2 | 3 | 4 | 5 | |
| M116 | 16 | Glyceryl trinitrate sublingual tablet | 1 | 2 | 3 | 4 | 5 | |
| M95 M44 | 17 | Ibuprofen tablet | 1 | 2 | 3 | 4 | 5 | |
| M118 | 18 | Isosorbide dinitrate sublingual tablet | 1 | 2 | 3 | 4 | 5 | |
| M11 | 19 | Omeprazole tablet or alternative such as pantoprazole, rabeprazole | 1 | 2 | 3 | 4 | 5 | |
| M38 | 20 | Paracetamol cap/tab (adult oral formulation) | 1 | 2 | 3 | 4 | 5 | |
| M13 | 21 | Salbutamol inhaler | 1 | 2 | 3 | 4 | 5 | |
| M14 | 22 | Simvastatin tablet or other statin e.g. atorvastatin, pravastatin, fluvastatin | 1 | 2 | 3 | 4 | 5 | |
| | 4003 | Are any of the following reproductive health medicines and commodities available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M15 | 01 | Combined estrogen progesterone oral contraceptive pills | 1 | 2 | 3 | 4 | 5 | |
| M96 | 02 | Progestin-only contraceptive pills | 1 | 2 | 3 | 4 | 5 | |
| M16 M97 | 03 | Combined estrogen progesterone injectable contraceptives | 1 | 2 | 3 | 4 | 5 | |
| M16 M98 | 04 | Progestin-only injectable contraceptives | 1 | 2 | 3 | 4 | 5 | |
| M17 | 05 | Male condoms | 1 | 2 | 3 | 4 | 5 | |
| M99 | 06 | Female condoms | 1 | 2 | 3 | 4 | 5 | |
| M100 M108 | 07 | Levonorgestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M101 M108 | 08 | Etonogestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M102 M109 | 09 | Levonorgestrel tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M103 M109 | 10 | Ulipristal acetate tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------------------|-------------|---|--------------------------------|-------------------------------|---------------------------------|---------------------|-------------------------------|------|
| M104 M109 | 11 | Mifepristone tablet 10-25 mg (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M105 | 12 | Intrauterine contraceptive device (IUCD) | 1 | 2 | 3 | 4 | 5 | |
| | 4004 | For each of the following items, please check in the facility records if there has been a stock-out in the past 3 months: | STOCK-OUT IN THE PAST 3 MONTHS | NO STOCK-OUT IN PAST 3 MONTHS | NOT INDICATED | PRODUCT NOT OFFERED | FACILITY RECORD NOT AVAILABLE | |
| M99_A | 01 | Female condoms | 1 | 2 | 3 | 4 | 5 | |
| M100_A | 02 | Levonorgestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M101_A | 03 | Etonogestrel implant | 1 | 2 | 3 | 4 | 5 | |
| M102_A | 04 | Levonorgestrel tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M103_A | 05 | Ulipristal acetate tablet (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| M104_A | 06 | Mifepristone tablet 10-25 mg (emergency contraceptive) | 1 | 2 | 3 | 4 | 5 | |
| | 4005 | Are any of the following maternal health medicines available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M18 | 01 | Iron tablets | 1 | 2 | 3 | 4 | 5 | |
| M19 | 02 | Folic acid tablets | 1 | 2 | 3 | 4 | 5 | |
| M18 M19 | 03 | Iron and folic acid combined tablets | 1 | 2 | 3 | 4 | 5 | |
| M20 | 04 | Tetanus toxoid vaccine | 1 | 2 | 3 | 4 | 5 | |
| M69 | 06 | Sodium chloride injectable solution | 1 | 2 | 3 | 4 | 5 | |
| M70 | 07 | Calcium gluconate injection | 1 | 2 | 3 | 4 | 5 | |
| M24 | 08 | Magnesium sulphate injectable | 1 | 2 | 3 | 4 | 5 | |
| M71 M23 | 09 | Ampicillin powder for injection | 1 | 2 | 3 | 4 | 5 | |
| M72 M23 M110 | 10 | Gentamicin injection 40mg/ml in 1ml or 2ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M72 M23 M141 M110 | 11 | Gentamicin injection 20mg/ml in 1ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M72 M23 M141 M110 | 12 | Gentamicin injection 10mg/ml in 1ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M106 | 13 | Hydralazine injection | 1 | 2 | 3 | 4 | 5 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip | |
|----------------|--------|---|--|-------------------------------|---------------|---------------------|-------------------------------|------|-------|
| M23 M73 | 14 | Metronidazole injection | 1 | 2 | 3 | 4 | 5 | | |
| M74 | 15 | Misoprostol 200µg tablets | 1 | 2 | 3 | 4 | 5 | | |
| M75 | 16 | Azithromycin cap/tab or oral liquid | 1 | 2 | 3 | 4 | 5 | | |
| M76 | 17 | Cefixime cap/tab | 1 | 2 | 3 | 4 | 5 | | |
| M77 | 18 | Benzathine benzylpenicillin powder for injection | 1 | 2 | 3 | 4 | 5 | | |
| M78 | 19 | Betamethasone injection | 1 | 2 | 3 | 4 | 5 | | |
| M78 M129 | 20 | Dexamethasone injection | 1 | 2 | 3 | 4 | 5 | | |
| M111 | 21 | Chlorhexidine 4% gel or solution | 1 | 2 | 3 | 4 | 5 | | |
| M79 | 22 | Nifedipine cap/tab | 1 | 2 | 3 | 4 | 5 | | |
| M107 | 23 | Methyldopa tablet | 1 | 2 | 3 | 4 | 5 | | |
| M22 | 24 | Oxytocin injection | 1 | 2 | 3 | 4 | 5 | | |
| | | IF OXYTOCIN IS OBSERVED AVAILABLE (Q4005_24 is "1" OR "2")  | IF OXYTOCIN IS NOT OBSERVED AVAILABLE (Q4005_24 is "3", "4", OR "5")  | | | | | | Q4009 |
| | 4006 | Is the oxytocin stored in cold storage? | YES 1 NO 2 | | | | | | |
| | 4007 | Is the product stored so that identification labels and expiry dates and manufacturing dates are visible? | YES 1 NO 2 | | | | | | |
| | 4008 | Check the expiry dates of the stored product. Are they stored in first-to-expire, first-out (FEFO) order (i.e. the stock that will expire first is the closest to the front)? CHECK THE EXPIRY DATES OF THE STORED PRODUCT AT THE FRONT AND AT THE BACK OF THE SHELF. IF THE PRODUCT AT THE FRONT EXPIRES FIRST, ANSWER "YES". IF THE PRODUCT AT THE BACK EXPIRES FIRST, ANSWER "NO". | YES 1 NO 2 | | | | | | |
| | 4009 | For each of the following items, please check in the facility records if there has been a stock-out in the past 3 months: | STOCK-OUT IN THE PAST 3 MONTHS | NO STOCK-OUT IN PAST 3 MONTHS | NOT INDICATED | PRODUCT NOT OFFERED | FACILITY RECORD NOT AVAILABLE | | |
| M22_A | 01 | Oxytocin injection | 1 | 2 | 3 | 4 | 5 | | |
| M74_A | 02 | Misoprostol 200µg tablets | 1 | 2 | 3 | 4 | 5 | | |
| M24_A | 03 | Magnesium sulphate injection | 1 | 2 | 3 | 4 | 5 | | |
| M72_A | 04 | Gentamicin injection 40mg/ml in 1ml or 2ml ampoules | 1 | 2 | 3 | 4 | 5 | | |

| Indicator code | Number | Question | Result | | | | | Skip |
|--------------------|--------|--|---|---------------------|---------------------------------|---------------------|-----------------|-------|
| M72_B | 05 | Gentamicin injection 20mg/ml in 1ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M72_C | 06 | Gentamicin injection 10mg/ml in 1ml ampoules | 1 | 2 | 3 | 4 | 5 | |
| M80_A | 07 | Procaine benzylpenicillin injection | 1 | 2 | 3 | 4 | 5 | |
| M5_A | 08 | Ceftriaxone injection | 1 | 2 | 3 | 4 | 5 | |
| M78_A | 09 | Betamethasone injection | 1 | 2 | 3 | 4 | 5 | |
| M78_B | 10 | Dexamethasone injection | 1 | 2 | 3 | 4 | 5 | |
| M111_A | 11 | Chlorhexidine 4% gel or solution | 1 | 2 | 3 | 4 | 5 | |
| | 4010 | Are any of the following child health medicines available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M23 M80 M110 | 01 | Procaine benzylpenicillin injection | 1 | 2 | 3 | 4 | 5 | |
| M32 | 02 | Oral Rehydration Salts (ORS) sachets | 1 | 2 | 3 | 4 | 5 | |
| M36 | 03 | Zinc sulphate tablets | 1 | 2 | 3 | 4 | 5 | |
| M36 | 04 | Zinc sulphate syrup | 1 | 2 | 3 | 4 | 5 | |
| M34 | 05 | Vitamin A (retinol) capsules | 1 | 2 | 3 | 4 | 5 | |
| M21 | 06 | Antibiotic eye ointment for newborn | 1 | 2 | 3 | 4 | 5 | |
| M7 | 07 | Co-trimoxazole syrup/suspension | 1 | 2 | 3 | 4 | 5 | |
| M12 | 08 | Paracetamol syrup/suspension | 1 | 2 | 3 | 4 | 5 | |
| M33 | 09 | Amoxicillin 250 mg or 500 mg dispersible tablet or syrup/suspension | 1 | 2 | 3 | 4 | 5 | |
| | | IF AMOXICILLIN DISPERSIBLE TABLETS ARE OBSERVED AVAILABLE (Q4010_09 is "1")  | AMOXICILLIN DISPERSIBLE TABLETS NOT OBSERVED  | | | | | Q4013 |
| | 4011 | Is the product stored so that identification labels and expiry dates and manufacturing dates are visible? | YES 1 NO 2 | | | | | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|-------------|--|---------------------------------------|--------------------------------------|---------------------------------|----------------------------|--------------------------------------|-------|
| | 4012 | <p>Check the expiry dates of the stored product. Are they stored in first-to-expire, first-out (FEFO) order (i.e. the stock that will expire first is the closest to the front)?</p> <p>CHECK THE EXPIRY DATES OF THE STORED PRODUCT AT THE FRONT AND AT THE BACK OF THE SHELF. IF THE PRODUCT AT THE FRONT EXPIRES FIRST, ANSWER "YES". IF THE PRODUCT AT THE BACK EXPIRES FIRST, ANSWER "NO".</p> | YES 1 NO 2 | | | | | |
| | 4013 | For each of the following items, please check in the facility records if there has been a stock-out in the past 3 months: | STOCK-OUT IN THE PAST 3 MONTHS | NO STOCK-OUT IN PAST 3 MONTHS | NOT INDICATED | PRODUCT NOT OFFERED | FACILITY RECORD NOT AVAILABLE | |
| M33_A | 01 | Amoxicillin 250mg or 500mg dispersible tablet or syrup/suspension | 1 | 2 | 3 | 4 | 5 | |
| M32_A | 02 | Oral rehydration salts (ORS) | 1 | 2 | 3 | 4 | 5 | |
| M36_A | 03 | Zinc sulphate tablets | 1 | 2 | 3 | 4 | 5 | |
| M36_B | 04 | Zinc sulphate syrup | 1 | 2 | 3 | 4 | 5 | |
| | 4014 | Does this facility stock any medicines for malaria treatment? | YES 1 NO 2 | | | | | →4018 |
| | 4015 | <p>Are any of the following malaria medicines and commodities available today in this facility?</p> <p>CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED)</p> | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M81 M37 | 01 | ACT | 1 | 2 | 3 | 4 | 5 | |
| M136 | 02 | Artemisinin monotherapy (oral) | 1 | 2 | 3 | 4 | 5 | |
| M82 | 03 | Artesunate rectal or injection dosage forms | 1 | 2 | 3 | 4 | 5 | |
| M39 | 04 | SP (Sulfadoxine + Pyrimethamine) | 1 | 2 | 3 | 4 | 5 | |
| M40 | 05 | Insecticide treated bed nets for patients and their families and households | 1 | 2 | 3 | 4 | 5 | |
| M40 | 06 | Insecticide treated bed net vouchers for patients and their families and households | 1 | 2 | 3 | 4 | 5 | |
| M138 | 07 | Chloroquine (oral) | 1 | 2 | 3 | 4 | 5 | |
| M139 | 08 | Quinine (oral) | 1 | 2 | 3 | 4 | 5 | |
| M140 | 09 | Primaquine (oral) | 1 | 2 | 3 | 4 | 5 | |

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|---|---|---------------------|---------------------------------|---------------------|-----------------|-------|
| | | CHECK Q4015_01: IF FACILITY STOCKS ACT (Q4015_01 = 1, 2, 3, OR 4): | IF FACILITY DOES NOT STOCK ACT (Q4015_01 = 5): | | | | | Q4018 |
| M37_A | 4016 | Has there been a stock-out of ACT in the past 4 weeks? | YES 1 NO 2 | | | | | →4018 |
| M37_B | 4017 | How many days of stock-out? | LESS THAN 7 DAYS 1 7 TO 14 DAYS 2 MORE THAN 14 DAYS 3 | | | | | |
| | 4018 | Does this facility stock any medicines for tuberculosis treatment? | YES 1 NO 2 | | | | | →4020 |
| | 4019 | Are any of the following TB medicines available today in this facility? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M41 | 01 | Ethambutol | 1 | 2 | 3 | 4 | 5 | |
| M41 | 02 | Isoniazid | 1 | 2 | 3 | 4 | 5 | |
| M41 | 03 | Pyrazinamide | 1 | 2 | 3 | 4 | 5 | |
| M41 | 04 | Rifampicin | 1 | 2 | 3 | 4 | 5 | |
| M41 | 05 | Isoniazid + Rifampicin (2FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 06 | Isoniazid + Ethambutol (EH) (2FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 07 | Isoniazid + Rifampicin + Pyrazinamide (RHZ) (3FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 08 | Isoniazid + Rifampicin + Ethambutol (RHE) (3FDC) | 1 | 2 | 3 | 4 | 5 | |
| M41 | 09 | Isoniazid + Rifampicin + Pyrazinamide + Ethambutol (4FDC) | 1 | 2 | 3 | 4 | 5 | |
| | 10 | Streptomycin injectable | 1 | 2 | 3 | 4 | 5 | |
| | 4020 | Does this facility stock any antiretroviral medicines? | YES 1 NO 2 | | | | | →4022 |
| | 4021 | Are any of the following ARVs available today in this facility? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M48 | 01 | Zidovudine (ZDV, AZT) | 1 | 2 | 3 | 4 | 5 | |
| M46 | 02 | Zidovudine (ZDV, AZT) syrup | 1 | 2 | 3 | 4 | 5 | |
| M48 | 03 | Abacavir (ABC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 04 | Lamivudine (3TC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 05 | Tenofovir Disoproxil Fumarate (TDF) | 1 | 2 | 3 | 4 | 5 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|--------|--|---------------------------|---------------------|---------------------------------|---------------------|-----------------|-------|
| M48 | 06 | Nevirapine (NVP) | 1 | 2 | 3 | 4 | 5 | |
| M47 | 07 | Nevirapine (NVP) syrup | 1 | 2 | 3 | 4 | 5 | |
| M48 | 08 | Efavirenz (EFV) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 09 | Emtricitabine (FTC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 10 | Lamivudine + Abacavir (3TC + ABC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 11 | Zidovudine + Lamivudine (AZT + 3TC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 12 | Zidovudine + Lamivudine + Abacavir (AZT + 3TC + ABC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 13 | Zidovudine + Lamivudine + Nevirapine (AZT + 3TC + NVP) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 14 | Tenofovir + Emtricitabine (TDF + FTC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 15 | Tenofovir + Lamivudine (TDF + 3TC) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 16 | Tenofovir + Lamivudine + Efavirenz (TDF + 3TC + EFV) | 1 | 2 | 3 | 4 | 5 | |
| M48 | 17 | Tenofovir + Emtricitabine + Efavirenz (TDF + FTC + EFV) | 1 | 2 | 3 | 4 | 5 | |
| | 18 | Didanosine (DDI) | 1 | 2 | 3 | 4 | 5 | |
| | 19 | Lamivudine (3TC) syrup | 1 | 2 | 3 | 4 | 5 | |
| | 20 | Stavudine 30 or 40 (D4T) | 1 | 2 | 3 | 4 | 5 | |
| | 21 | Stavudine syrup | 1 | 2 | 3 | 4 | 5 | |
| | 22 | Efavirenz (EFV) syrup | 1 | 2 | 3 | 4 | 5 | |
| | 23 | Delavirdine (DLV) | 1 | 2 | 3 | 4 | 5 | |
| | 24 | Enfuvirtide (T-20) | 1 | 2 | 3 | 4 | 5 | |
| | 25 | Stavudine + Lamivudine (D4T + 3TC) | 1 | 2 | 3 | 4 | 5 | |
| | 26 | Stavudine + Lamivudine + Nevirapine (D4T + 3TC + NVP) | 1 | 2 | 3 | 4 | 5 | |
| | 4022 | Does this facility stock any protease inhibitors for the treatment of HIV/AIDS? | YES 1 NO 2 | | | | | →4024 |
| | 4023 | Are any of the following protease inhibitors available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M48 | 01 | Lopinavir (LPV) | 1 | 2 | 3 | 4 | 5 | |
| | 02 | Indinavir (IDV) | 1 | 2 | 3 | 4 | 5 | |
| | 03 | Nelfinavir (NFV) | 1 | 2 | 3 | 4 | 5 | |
| | 04 | Saquinavir (SQV) | 1 | 2 | 3 | 4 | 5 | |
| | 05 | Ritonavir (RTV) | 1 | 2 | 3 | 4 | 5 | |
| | 06 | Atazanavir (ATV) | 1 | 2 | 3 | 4 | 5 | |

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|-------------|---|--|---------------------|---------------------------------|---------------------|-----------------|------|
| | 07 | Fosamprenavir (FPV) | 1 | 2 | 3 | 4 | 5 | |
| | 08 | Tipranavir (TPV) | 1 | 2 | 3 | 4 | 5 | |
| | 09 | Darunavir (DRV) | 1 | 2 | 3 | 4 | 5 | |
| | 4024 | Are any of the following other medicines and commodities available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M27 | 01 | Normal saline IV solution | 1 | 2 | 3 | 4 | 5 | |
| M27 | 02 | Ringers lactate IV solution | 1 | 2 | 3 | 4 | 5 | |
| M27 | 03 | 5% dextrose IV solution | 1 | 2 | 3 | 4 | 5 | |
| M42 | 04 | IV treatment for fungal infections | 1 | 2 | 3 | 4 | 5 | |
| M26 | 05 | Skin disinfectant | 1 | 2 | 3 | 4 | 5 | |
| | 06 | Gowns | 1 | 2 | 3 | 4 | 5 | |
| | 07 | Eye protection (goggles, face shields) | 1 | 2 | 3 | 4 | 5 | |
| | 08 | Medical (surgical or procedural) masks | 1 | 2 | 3 | 4 | 5 | |
| M63 | 09 | Absorbable suture material | 1 | 2 | 3 | 4 | 5 | |
| M63 | 10 | Non-absorbable suture material | 1 | 2 | 3 | 4 | 5 | |
| M64 | 11 | Ketamine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M65 | 12 | Lidocaine 1% or 2% (anaesthesia) | 1 | 2 | 3 | 4 | 5 | |
| | | CHECK Q007 AND Q1002_08: IF HOSPITAL OR HEALTH FACILITY OFFERS CESAREAN SECTION:  | IF NOT HOSPITAL AND CESAREAN SECTION NOT OFFERED:  Q4100 | | | | | |
| M84 | 13 | Thiopental (powder) | 1 | 2 | 3 | 4 | 5 | |
| M85 | 14 | Suxamethonium bromide (powder) | 1 | 2 | 3 | 4 | 5 | |
| M86 | 15 | Atropine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M25 | 16 | Diazepam (injection) | 1 | 2 | 3 | 4 | 5 | |
| M87 | 17 | Halothane (inhalation) | 1 | 2 | 3 | 4 | 5 | |
| M88 | 18 | Bupivacaine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M89 | 19 | Lidocaine 5% (heavy spinal solution) | 1 | 2 | 3 | 4 | 5 | |
| M62 | 20 | Epinephrine (injection) | 1 | 2 | 3 | 4 | 5 | |
| M90 | 21 | Ephedrine (injection) | 1 | 2 | 3 | 4 | 5 | |
| | 4025 | Are any of the following mental health and neurological medicines available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M1 | 01 | Amitriptyline tablet | 1 | 2 | 3 | 4 | 5 | |

2. Core instrument

| Indicator code | Number | Question | Result | | | | | Skip |
|----------------|---------------------|--|--|---------------------|---------------------------------|---------------------|-----------------|------|
| M119 | 02 | Carbamazepine tablet | 1 | 2 | 3 | 4 | 5 | |
| M120 | 03 | Chlorpromazine injection | 1 | 2 | 3 | 4 | 5 | |
| M121 | 04 | Diazepam tablet | 1 | 2 | 3 | 4 | 5 | |
| M122 | 05 | Diazepam injection or diazepam rectal tubes | 1 | 2 | 3 | 4 | 5 | |
| M94 | 06 | Fluoxetine tablet | 1 | 2 | 3 | 4 | 5 | |
| M123 | 07 | Fluphenazine injection | 1 | 2 | 3 | 4 | 5 | |
| M124 | 08 | Haloperidol tablet | 1 | 2 | 3 | 4 | 5 | |
| M125 | 09 | Lithium tablet | 1 | 2 | 3 | 4 | 5 | |
| M126 | 10 | Phenobarbital tablet | 1 | 2 | 3 | 4 | 5 | |
| M127 | 11 | Phenytoin tablet | 1 | 2 | 3 | 4 | 5 | |
| M128 | 12 | Valproate sodium tablet | 1 | 2 | 3 | 4 | 5 | |
| | 4026 | Are any of the following palliative care medicines available in the facility today? CHECK TO SEE IF AT LEAST ONE OF EACH MEDICINE/COMMODITY IS VALID (NOT EXPIRED) | OBSERVED AVAILABLE | | NOT OBSERVED | | | |
| | | | AT LEAST ONE VALID | AVAILABLE NON VALID | REPORTED AVAILABLE BUT NOT SEEN | NOT AVAILABLE TODAY | NEVER AVAILABLE | |
| M129 | 01 | Dexamethasone injection | 1 | 2 | 3 | 4 | 5 | |
| M130 | 02 | Haloperidol injection | 1 | 2 | 3 | 4 | 5 | |
| M131 | 03 | Hyoscine butylbromide injection | 1 | 2 | 3 | 4 | 5 | |
| M132 | 04 | Lorazepam tablet | 1 | 2 | 3 | 4 | 5 | |
| M133 | 05 | Metoclopramide injection | 1 | 2 | 3 | 4 | 5 | |
| M83 | 06 | Morphine granules, tablet | 1 | 2 | 3 | 4 | 5 | |
| M83 | 07 | Morphine injection | 1 | 2 | 3 | 4 | 5 | |
| M134 | 08 | Senna preparation (laxative) | 1 | 2 | 3 | 4 | 5 | |
| | SUPPLY CHAIN | | | | | | | |
| | 4100 | Who is the principal person responsible for managing the ordering of medical supplies at this facility? | NURSE 1 CLINICAL OFFICER 2 PHARMACY TECHNICIAN 3 PHARMACY ASSISTANT 4 PHARMACIST 5 MEDICAL ASSISTANT 6 OTHER _____ 96 (SPECIFY) | | | | | |
| | 4101 | Which of the following mechanisms is used to determine this facility's resupply quantities? ASK FOR EACH OF THE BELOW | YES | NO | DON'T KNOW | | | |
| | 01 | The facility itself (pull distribution system) | 1 | 2 | 3 | | | |

| Indicator code | Number | Question | Result | | | Skip |
|---|-------------|--|--|-----------|-------------------|-------|
| | 02 | A higher level facility (push distribution system) | 1 | 2 | 3 | |
| | 03 | Other _____ (SPECIFY) | 1 | 2 | 3 | |
| | 4102 | How are the facility's resupply quantities determined? | FORMULA (ANY CALCULATION)..... 1 DON'T KNOW 2 OTHER MEANS 3 | | | |
| | 4103 | What is (are) the main source(s) of your routine medicines and supplies? By this I mean who is the direct supplier to your facility? | YES | NO | DON'T KNOW | |
| | 01 | Central medical stores | 1 | 2 | 3 | |
| | 02 | Local warehouse | 1 | 2 | 3 | |
| | 03 | NGO | 1 | 2 | 3 | |
| | 04 | Donors | 1 | 2 | 3 | |
| | 05 | Private sources | 1 | 2 | 3 | |
| | | CHECK Q4103_01: IF FACILITY RECEIVES MEDICINES AND SUPPLIES FROM <u>CENTRAL MEDICAL STORES</u> (Q4103_01 is "1"): | IF FACILITY DOES NOT RECEIVE MEDICINES AND SUPPLIES FROM CENTRAL MEDICAL STORES:  | | | Q5000 |
| | 4104 | Who is responsible for transporting products from central medical stores to your facility? | YES | NO | | |
| | 01 | Local supplier delivers | 1 | 2 | | |
| | 02 | Higher level delivers | 1 | 2 | | |
| | 03 | This facility collects | 1 | 2 | | |
| | 04 | Other _____ (SPECIFY) | 1 | 2 | | |
| | 4105 | For the last order, how long did it take between ordering and receiving products? | LESS THAN 2 WEEKS 1 2 WEEKS TO 1 MONTH 2 BETWEEN 1 AND 2 MONTHS 3 MORE THAN 2 MONTHS 4 | | | |
| | 4106 | In the past 3 months, how many times was the facility resupplied? | <input type="text"/> <input type="text"/> <input type="text"/> | | | |
| We have now completed all of the questions in this module of the survey. Thank you for your participation. | | | | | | |

| Number | Question | Result | Skip |
|--|---|--|------|
| SECTION 8: INTERVIEWER'S OBSERVATIONS | | | |
| 5000 | INTERVIEW END TIME (use the 24 hour-clock system) | <input type="text"/> : <input type="text"/> | |
| 5001 | RESULT CODES (LAST VISIT): | COMPLETED 1 RESPONDENT NOT AVAILABLE ... 2 REFUSED 3 PARTIALLY COMPLETED 4 Other _____ 96 (SPECIFY) | |
| COMMENTS ABOUT THE RESPONDENT: | | | |
| <hr/> <hr/> <hr/> <hr/> <hr/> | | | |
| COMMENTS ON SPECIFIC QUESTIONS: | | | |
| <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> | | | |
| ANY OTHER COMMENTS: | | | |
| <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> | | | |
| SUPERVISOR'S OBSERVATIONS: | | | |
| <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> | | | |
| NAME OF SUPERVISOR: _____ | | DATE: _____ | |

3. Facility reporting data verification tool

Introduction

Version 2.1, December 2013

The facility reporting data verification tool is a questionnaire used to verify the availability of specific services provided at the facility level followed by verification of source documents and reports. The tool further probes into listing out the discrepancies observed, if any. The questionnaire includes the following sections

Maternal health

- Antenatal care first visit
- Institutional deliveries (deliveries at the health facility)

Immunization

- Pentavalent/DTP first, second and third doses in children under one year
- PCV first, second and third doses in children under one year

Service utilization

- Total outpatient visits (OPD)

HIV indicators

- HIV counselling and testing coverage of pregnant women
- ARV prophylaxis for pregnant women
- ART coverage
- ART initiation

Tuberculosis

- TB cases

Malaria

- Malaria case rate
- Malaria diagnosis testing

| Number | Question | Result | Skip |
|---|---|--|--------------------------|
| <u>FACILITY REPORTING DATA VERIFICATION TOOL (RECORD REVIEW)</u> | | | |
| <u>FACILITY IDENTIFICATION</u> | | | |
| DV_001 | Facility number | <input type="text"/> | |
| DV_002 | Name of facility | <input type="text"/> | |
| DV_003 | Location of facility (Town/City/Village) | <input type="text"/> | |
| DV_004 | Region/Province | <input type="text"/> | <input type="checkbox"/> |
| DV_005 | District | <input type="text"/> | <input type="checkbox"/> |
| DV_006 | Type of facility | NATIONAL REFERRAL HOSPITAL1 DISTRICT/PROVINCIAL HOSPITAL.....2 HEALTH CENTRE/CLINIC.....3 HEALTH POST4 MATERNAL/CHILD HEALTH CLINIC5 OTHER (SPECIFY) _____ 96 | |
| DV_007 | Managing authority | GOVERNMENT/PUBLIC1 NGO/NOT-FOR-PROFIT2 PRIVATE-FOR-PROFIT3 MISSION/FAITH-BASED4 OTHER (SPECIFY) _____ 96 | |

3. Facility reporting data verification tool

| Number | Question | Result | Skip |
|---|---|--|-----------|
| A. ANTENATAL CARE FIRST VISIT (ANC1) | | | |
| DV_008 | Does this facility provide antenatal care services? | YES 1 NO..... 2 | →DV_015 |
| DV_009 | Does this facility report ANC data to a reporting system? | YES 1 NO..... 2 | →DV_015 |
| DV_010 | Which of the following reporting system(s) does the facility report ANC data to: | YES | NO |
| 01 | Health Management Information System | 1 | 2 |
| 02 | Programme specific reporting system for MCH | 1 | 2 |
| 03 | Non-governmental organizations or institutions | 1 | 2 |
| 04 | Other reporting system | 1 _____ | 2 |
| | | SPECIFY | |
| <u>SOURCE DOCUMENTS AND REPORTS</u> | | | |
| DV_011A | Which of the following documents are used at this facility to record the number of pregnant women receiving antenatal care: | YES | NO |
| 01 | ANC register or Integrated ANC register | 1 | 2 |
| 02 | ANC tally sheets | 1 | 2 |
| 03 | Patient cards | 1 | 2 |
| 04 | Other | 1 _____ | 2 |
| | | SPECIFY | |
| DV_011B | What is the source document used by this facility for monthly reporting of antenatal care services? We are primarily interested in the main document that is used for compiling the total number of ANC1 visits seen at this facility. Please report if any improvised documents are used. | ANC REGISTER OR INTEGRATED ANC REGISTER 1 TALLY SHEETS 2 PATIENT CARDS 3 OTHER (SPECIFY) _____ 96 | |
| BASED ON RESPONSE TO QUESTION DV_011B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR ANC. | | | |
| REVIEW SOURCE DOCUMENT FOR ANC1 AND ANSWER THE FOLLOWING QUESTIONS | | | |

| Number | Question | Result | | | | Skip |
|--|---|--------------------------------------|-------------------------------------|-------------------------------------|---------------|--|
| DV_012 | Please confirm the availability of the source document for antenatal care visits for Month1 to Month3. If available, please recount the number of ANC1 visits recorded in the main source document for Month1 to Month3. | (A) SOURCE DOCUMENT AVAILABLE | | | | (B) RECOUNT NUMBER OF ANC1 IN SOURCE DOCUMENT |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_013 ↙ | 4 DV_013 ↙ | |
| <i>*PARTLY: the source document is available but some information is missing</i> | | | | | | |
| REVIEW MONTHLY REPORT FOR ANC1 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_013 | Please confirm the availability of the monthly report for antenatal care visits for Month1 to Month3. If available, please record the number of ANC1 visits recorded in the monthly report for Month1 to Month3. | (A) MONTHLY REPORT AVAILABLE | | | | (B) RECORD NUMBER OF ANC1 IN MONTHLY REPORT |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_014 ↙ | 4 DV_014 ↙ | |
| <i>*PARTLY: the source document is available but some information is missing</i> | | | | | | |
| <u>DISCREPANCIES</u> | | | | | | |

3. Facility reporting data verification tool

| Number | Question | Result | Skip |
|--|--|---|-----------|
| DV_014 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY1 DATA ENTRY ERRORS.....2 ARITHMETIC ERRORS3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE5 OTHER (SPECIFY) _____ 96 | |
| B. INSTITUTIONAL DELIVERIES (DELIVERIES AT THE HEALTH FACILITY) | | | |
| DV_015 | Does this facility provide delivery services? | YES 1 NO..... 2 | →DV_022 |
| DV_016 | Does this facility report institutional deliveries data to a reporting system? | YES 1 NO..... 2 | →DV_022 |
| DV_017 | Which of the following reporting system(s) does the facility report institutional deliveries data to: | YES | NO |
| 01 | Health Management Information System | 1 | 2 |
| 02 | Programme specific reporting system for MCH | 1 | 2 |
| 03 | Non-governmental organizations or institutions | 1 | 2 |
| 04 | Other reporting system | 1 | 2 |
| | | _____ | |
| | | SPECIFY | |
| SOURCE DOCUMENTS AND REPORTS | | | |
| DV_018A | Which of the following documents are used at this facility to record the number of institutional deliveries: | YES | NO |
| 01 | Delivery register or labour and delivery register | 1 | 2 |
| 02 | Maternity tally sheets | 1 | 2 |
| 03 | Patient cards | 1 | 2 |
| 04 | Other | 1 | 2 |
| | | _____ | |
| | | SPECIFY | |

| Number | Question | Result | Skip |
|---------|---|--|------|
| DV_018B | What is the source document used by this facility for monthly reporting of institutional deliveries? We are primarily interested in the main document that is used for compiling the total number of monthly deliveries conducted at the facility. Please report if any improvised documents are used. | DELIVERY REGISTER OR LABOUR AND DELIVERY REGISTER 1 MATERNITY TALLY SHEETS 2 PATIENT CARDS 3 OTHER (SPECIFY) _____ 96 | |

BASED ON RESPONSE TO QUESTION DV_018B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR INSTITUTIONAL DELIVERIES.

REVIEW SOURCE DOCUMENT FOR INSTITUTIONAL DELIVERIES AND ANSWER THE FOLLOWING QUESTIONS

| DV_019 | Please confirm the availability of the source document for institutional deliveries for Month1 to Month3. If available, please recount the number institutional deliveries recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of institutional deliveries in source document |
|--------|--|-------------------------------|-------------------------------------|-------------------------------------|---------------|---|
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_020 ↙ | 4 DV_020 ↙ | |

*PARTLY: the source document is available but some information is missing

REVIEW MONTHLY REPORT FOR INSTITUTIONAL DELIVERIES AND ANSWER THE FOLLOWING QUESTIONS

| DV_020 | Please confirm the availability of the monthly report for institutional deliveries for Month1 to Month3. If available, please record the number of institutional deliveries recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Report number of institutional deliveries in monthly report |
|--------|---|------------------------------|-------------------------------------|-------------------------------------|----|---|
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| | | | | | | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--|--|--|-----------|---------------|---------------|---------|
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_021 ↩ | 4 DV_021 ↩ | |
| <i>*PARTLY: the source document is available but some information is missing</i> | | | | | | |
| DISCREPANCIES | | | | | | |
| DV_021 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |
| IMMUNIZATION INDICATORS | | | | | | |
| DV_022 | Does this facility provide immunization services? | YES 1 NO..... 2 | | | | →DV_045 |
| DV_023 | Does this facility report immunization data to a reporting system? | YES 1 NO..... 2 | | | | →DV_045 |
| DV_024 | Which of the following reporting system(s) does the facility report immunization data to: | YES | NO | | | |
| 01 | Health Management Information System | 1 | 2 | | | |
| 02 | Immunization/EPI programme | 1 | 2 | | | |
| 03 | Non-governmental organizations or institutions | 1 | 2 | | | |
| 04 | Other reporting system | 1 | 2 | | | |
| | | _____ | | | | |
| | | SPECIFY | | | | |
| SOURCE DOCUMENTS AND REPORTS | | | | | | |
| DV_025 | Which of the following documents are used at this facility to record the number of children getting immunized: | YES | NO | | | |
| 01 | Child register or child immunization register | 1 | 2 | | | |
| 02 | Immunization tally sheets | 1 | 2 | | | |

| Number | Question | Result | | Skip | | |
|--|---|---|---|---|---------------|--|
| 03 | Child health cards or child immunization cards | 1 | 2 | | | |
| 04 | Other | 1 | 2 | | | |
| | | _____ | | | | |
| | | SPECIFY | | | | |
| C. PENTAVALENT/DTP FIRST, SECOND AND THIRD DOSES (PENTA/DTP) IN CHILDREN UNDER 1 YEAR | | | | | | |
| DV_026 | What is the source document used by this facility for monthly reporting of DTP (Penta)? We are primarily interested in the main document that is used for compiling monthly summary statistics for DTP (Penta). Please report if any improvised documents are used. | CHILD REGISTER OR CHILD IMMUNIZATION REGISTER 1 IMMUNIZATION TALLY SHEETS 2 CHILD HEALTH/IMMUNIZATION CARDS.. 3 OTHER (SPECIFY) _____ 96 | | | | |
| BASED ON RESPONSE TO QUESTION DV_026, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR DTP (PENTA). | | | | | | |
| REVIEW SOURCE DOCUMENTS FOR DTP1 (PENTA1) AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_027 | Please confirm the availability of source documents for DTP1 (Penta1) for Month1 to Month3. If available, please Recount the number of DTP1 (Penta1) immunizations recorded in the main source document for Month1 to Month3. | (A) Source documents available | | (B) Recount number of DPT1 immunizations in source documents | | |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → b | 2 → b | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → b | 2 → b | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → b | 2 → b | 3 DV_028 ↙ | 4 DV_028 ↙ | |
| *PARTLY: the register is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORTS FOR DTP1 (PENTA1) AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_028 | Please confirm the availability of monthly reports for Month1 to Month3. If available, please report the number of DTP1 (Penta1) immunizations recorded in the EPI monthly reports for Month1 to Month3. | (A) Monthly reports available | | (B) Report number of DPT1 immunizations in monthly reports | | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--------|----------|--------------------------------------|---|---|-----------------|------|
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ ↘ | 4 02 ↙ ↘ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ ↘ | 4 03 ↙ ↘ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_029 ↙ ↘ | 4 DV_029 ↙ ↘ | |

DISCREPANCIES

| | | |
|---------------|--|--|
| DV_029 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) 96 |
|---------------|--|--|

REVIEW SOURCE DOCUMENTS FOR DTP2 (PENTA2) AND ANSWER THE FOLLOWING QUESTIONS

| | | | | | | |
|---------------|--|--|---|--|-----------------|--|
| DV_030 | Please confirm the availability of source documents for DTP2 (Penta2) for Month1 to Month3. If available, please Recount the number of DTP2 (Penta2) immunizations recorded in the main source document for Month1 to Month3. | (A) Source documents available | (B) Recount number of DPT2 immunizations in source documents | | | |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ ↘ | 4 02 ↙ ↘ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ ↘ | 4 03 ↙ ↘ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_031 ↙ ↘ | 4 DV_031 ↙ ↘ | |

*PARTLY: the register is available but some information is missing

REVIEW MONTHLY REPORTS FOR DTP2 (PENTA2) AND ANSWER THE FOLLOWING QUESTIONS

| Number | Question | Result | | | | Skip |
|---|--|--|--------------------------------------|-------------------------------------|---------------|---|
| DV_031 | Please confirm the availability of monthly reports for Month1 to Month3. If available, please report the number of DTP2 (Penta2) immunizations recorded in the EPI monthly reports for Month1 to Month3. | (A) Monthly reports available | | | | (B) Report number of DTP2 immunizations in monthly reports |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_032 ↙ | 4 DV_032 ↙ | |
| DISCREPANCIES | | | | | | |
| DV_032 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |
| REVIEW SOURCE DOCUMENTS FOR DTP3 (PENTA3) AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_033 | Please confirm the availability of source documents for DTP3 (Penta3) for Month1 to Month3. If available, please Recount the number of DTP3 (penta3) immunizations recorded in the main source document for Month1 to Month3. | (A) Source documents available | | | | (B) Recount number of DTP3 immunizations in source documents |
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--|---|---|---|--|---------------|---|
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_034 ↩ | 4 DV_034 ↩ | |
| *PARTLY: the register is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORTS FOR DTP3 (PENTA3) AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_034 | Please confirm the availability of monthly reports for Month1 to Month3. If available, please report the number of DTP3 (penta3) immunizations recorded in the EPI monthly reports for Month1 to Month3. | (A) Monthly reports available | | | | (B) Report number of DTP3 immunizations in monthly reports |
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_035 ↩ | 4 DV_035 ↩ | |
| DISCREPANCIES | | | | | | |
| DV_035 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHEMATIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |
| D. PCV FIRST, SECOND, AND THIRD DOSES IN CHILDREN UNDER 1 YEAR | | | | | | |
| BASED ON RESPONSE TO QUESTION DV_026, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR PCV | | | | | | |
| REVIEW SOURCE DOCUMENTS FOR PCV1 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |

| Number | Question | Result | | | | Skip |
|---|--|---------------------------------------|--------------------------------------|-------------------------------------|-----------------|---|
| DV_036 | Please confirm the availability of source documents for PCV1 for Month1 to Month3. If available, please Recount the number of PCV1 immunizations recorded in the main source document for Month1 to Month3. | (A) Source documents available | | | | (B) Recount number of PCV1 immunizations in source documents |
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ ↘ | 4 02 ↙ ↘ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ ↘ | 4 03 ↙ ↘ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_037 ↙ ↘ | 4 DV_037 ↙ ↘ | |
| *PARTLY: the register is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORTS FOR PCV1 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_037 | Please confirm the availability of monthly reports for Month1 to Month3. If available, please report the number of PCV1 immunizations recorded in the EPI monthly reports for Month1 to Month3. | (A) Monthly reports available | | | | (B) Report number of PCV1 immunizations in monthly reports |
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ ↘ | 4 02 ↙ ↘ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ ↘ | 4 03 ↙ ↘ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_038 ↙ ↘ | 4 DV_038 ↙ ↘ | |
| <u>DISCREPANCIES</u> | | | | | | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--|--|--|--|--|---------------|---|
| DV_038 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |
| REVIEW SOURCE DOCUMENTS FOR PCV2 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_039 | Please confirm the availability of source documents for PCV2 for Month1 to Month3. If available, please Recount the number of PCV2 immunizations recorded in the main source document for Month1 to Month3. | (A) Source documents available | | | | (B) Recount number of PCV2 immunizations in source documents |
| | | YES, AVAILABL E AND COMPLET E | YES, AVAILABL E BUT PARTLY* COMPLET E | YES, AVAILABL E BUT NO DATA RECORDE D | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_040 ↙ | 4 DV_040 ↙ | |
| *PARTLY: the register is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORTS FOR PCV2 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_040 | Please confirm the availability of monthly reports for Month1 to Month3. If available, please report the number of PCV2 immunizations recorded in the EPI monthly reports for Month1 to Month3. | (A) Monthly reports available | | | | (B) Report number of PCV2 immunizations in monthly reports |
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |

| Number | Question | Result | | | | Skip |
|--|--|--|--------------------------------------|-------------------------------------|---------------|---|
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_041 ↙ | 4 DV_041 ↙ | |
| DISCREPANCIES | | | | | | |
| DV_041 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) 96 | | | | |
| REVIEW SOURCE DOCUMENTS FOR PCV3 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_042 | Please confirm the availability of source documents for PCV3 for Month1 to Month3. If available, please Recount the number of PCV3 immunizations recorded in the main source document for Month1 to Month3. | (A) Source documents available | | | | (B) Recount number of PCV3 immunizations in source documents |
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_043 ↙ | 4 DV_043 ↙ | |
| *PARTLY: the register is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORTS FOR PCV3 AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_043 | Please confirm the availability of monthly reports for Month1 to Month3. If available, please report the number of PCV3 immunizations recorded in the EPI monthly reports for Month1 to Month3. | (A) Monthly reports available | | | | (B) Report number of PCV3 immunizations in monthly reports |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--------|----------|---------------------------------------|--|---|---------------|------|
| | | YES, AVAILABL E AND COMPLETE | YES, AVAILABL E BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ← | 4 02 ← | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ← | 4 03 ← | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_043 ← | 4 DV_044 ← | |

DISCREPANCIES

| | | | |
|---------------|--|---|--|
| DV_044 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHEMATIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | |
|---------------|--|---|--|

E. TOTAL OUTPATIENT VISITS (OPD)

| | | | |
|---------------|--|--------------------------|----------------|
| DV_045 | Does this facility offer outpatient services? | YES 1 NO..... 2 | →DV_052 |
| DV_046 | Does this facility report outpatient visit data to a reporting system? | YES 1 NO..... 2 | →DV_052 |
| DV_047 | Which of the following reporting system(s) does the facility report OPD data to: | YES | NO |
| 01 | Health Management Information System | 1 | 2 |
| 02 | Non-governmental organizations or institutions | 1 | 2 |
| 03 | Other reporting system | 1 | 2 |
| | | _____ SPECIFY | |

SOURCE DOCUMENTS AND REPORTS

| | | | | |
|----------------|--|------------|-----------|--|
| DV_048A | Which of the following documents are used at this facility to record the number of OPD visits: | YES | NO | |
| 01 | OPD register | 1 | 2 | |

| Number | Question | Result | | Skip |
|---------|--|---|---|------|
| 02 | OPD tally sheets | 1 | 2 | |
| 03 | Patient cards | 1 | 2 | |
| 04 | Other | 1 | 2 | |
| | | _____ | | |
| | | SPECIFY | | |
| DV_048B | What is the source document used by this facility for monthly reporting of OPD visits? We are primarily interested in the main document that is used for compiling monthly summary statistics for OPD visits. Please report if any improvised documents are used. | OPD REGISTER 1 OPD TALLY SHEETS..... 2 PATIENT CARDS 3 OTHER (SPECIFY) _____ 96 | | |

BASED ON RESPONSE TO QUESTION DV_048B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR OPD VISITS RECORDED IN THE FACILITY.

REVIEW SOURCE DOCUMENT FOR OPD VISITS AND ANSWER THE FOLLOWING QUESTIONS

| DV_049 | Please confirm the availability of the source document used at the facility to compile the number of OPD visits recorded for Month1 to Month3. If available, please recount the number of OPD visits recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of OPD visits in source document |
|--------|--|-------------------------------|-------------------------------------|-------------------------------------|---------------|---|
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_050 ↙ | 4 DV_050 ↙ | |

***PARTLY: the source document is available but some information is missing**

REVIEW MONTHLY REPORT FOR OUTPATIENT VISITS AND ANSWER THE FOLLOWING QUESTIONS

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|---|--|--|--|--|---------------|---|
| DV_050 | Please confirm the availability of the monthly report for number of OPD visits for Month1 to Month3. If available, please record the number of OPD visits as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of Outpatient visits in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_051 ↙ | 4 DV_051 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| <u>DISCREPANCIES</u> | | | | | | |
| DV_051 | What are the reasons for discrepancy (if any) observed between the source documents and the monthly reports ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) 96 | | | | |
| HIV INDICATORS | | | | | | |
| F. HIV COUNSELLING AND TESTING COVERAGE OF PREGNANT WOMEN | | | | | | |
| DV_052 | Does this facility provide HIV counselling and testing services to pregnant women? | YES 1 NO..... 2 | | | | →DV_059 |
| DV_053 | Does this facility report the number of pregnant women receiving HIV counselling and testing to a reporting system? | YES 1 NO..... 2 | | | | →DV_059 |
| DV_054 | Which of the following reporting system(s) does the facility report data on women who are receiving counselling and testing to: | YES | | NO | | |
| 01 | Health Management Information System | 1 | | | 2 | |

| Number | Question | Result | | Skip |
|--------|--|---------|---|------|
| 02 | National HIV/AIDS program | 1 | 2 | |
| 03 | Non-governmental organizations or institutions | 1 | 2 | |
| 04 | Other reporting system | 1 | 2 | |
| | | SPECIFY | | |

SOURCE DOCUMENTS AND REPORTS

| DV_055A | Which of the following documents are used at this facility to record the number of pregnant women receiving HIV counselling and testing: | YES | NO | |
|---------|---|---|----|--|
| 01 | PMTCT register | 1 | 2 | |
| 02 | Tally sheets | 1 | 2 | |
| 03 | Patient cards (HIV care/ART card, maternal card) | 1 | 2 | |
| 04 | ANC register or integrated ANC register | 1 | 2 | |
| 05 | Delivery register or labour and delivery register | 1 | 2 | |
| 06 | Other | 1 | 2 | |
| | | SPECIFY | | |
| DV_055B | What is the source document used by this facility for monthly reporting of HIV counselling and testing in pregnant women? We are primarily interested in the main document that is used for compiling the total number of monthly counselling and testing services provided to pregnant women at the facility. Please report if any improvised documents are used. | PMTCT REGISTER 1 TALLY SHEET 2 PATIENT CARDS 3 ANC REGISTER OR INTEGRATED ANC REGISTER 4 DELIVERY REGISTER OR LABOUR AND DELIVERY REGISTER 5 OTHER (SPECIFY) _____ 96 | | |

BASED ON RESPONSE TO QUESTION DV_055B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR HIV COUNSELLING AND TESTING OF PREGNANT WOMEN RECORDED IN THE FACILITY.

REVIEW SOURCE DOCUMENT FOR HIV COUNSELLING AND TESTING OF PREGNANT WOMEN AND ANSWER THE FOLLOWING QUESTIONS

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|---|--|--------------------------------------|---|---|---------------|---|
| DV_056 | Please confirm the availability of the source document used at the facility to compile the number of pregnant women receiving HIV counselling and testing for Month1 to Month3. If available, please recount the number of pregnant women receiving HIV counselling and testing as recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of pregnant women who received HIV counselling and testing in source document |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_057 ↩ | 4 DV_057 ↩ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORT FOR HIV COUNSELLING AND TESTING OF PREGNANT WOMEN AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_057 | Please confirm the availability of the monthly report for number of pregnant women receiving HIV counselling and testing for Month1 to Month3. If available, please record the number of pregnant women who received HIV counselling and testing as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of pregnant women who received HIV counselling and testing in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_058 ↩ | 4 DV_058 ↩ | |

| Number | Question | Result | Skip |
|---|--|--|-----------|
| *PARTLY: the source document is available but some information is missing | | | |
| <u>DISCREPANCIES</u> | | | |
| DV_058 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | |
| G. ARV PROPHYLAXIS FOR PREGNANT WOMEN | | | |
| DV_059 | Does this facility provide ARV prophylaxis for pregnant women? | YES 1 NO..... 2 | →DV_066 |
| DV_060 | Does this facility report the number of pregnant women receiving ARV prophylaxis to a reporting system? | YES 1 NO..... 2 | →DV_066 |
| DV_061 | Which of the following reporting system(s) does the facility report delivery of ARV prophylaxis to pregnant women to: | YES | NO |
| 01 | Health Management Information System | 1 | 2 |
| 02 | National HIV/AIDS program | 1 | 2 |
| 03 | Non-governmental organizations or institutions | 1 | 2 |
| 04 | Other reporting system | 1 | 2 |
| | | SPECIFY | |
| <u>SOURCE DOCUMENTS AND REPORTS</u> | | | |
| DV_062A | Which of the following documents are used at this facility to record the number of pregnant women getting ARV prophylaxis: | YES | NO |
| 01 | PMTCT register | 1 | 2 |
| 02 | Tally sheets | 1 | 2 |
| 03 | Patient cards (HIV care/ART card, maternal card) | 1 | 2 |
| 04 | ANC register or integrated ANC register | 1 | 2 |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--|--|---|---|---|---------------|---|
| 05 | Delivery register or labour and delivery register | 1 | 2 | | | |
| 06 | Other | 1 | 2 | | | |
| | | _____ | | | | |
| | | SPECIFY | | | | |
| DV_062B | What is the source document used by this facility for monthly reporting of number of pregnant women getting ARV prophylaxis? We are primarily interested in the main document that is used for compiling monthly summary statistics for ARV prophylaxis administered to pregnant women. Please report if any improvised documents are used. | PMTCT REGISTER 1 TALLY SHEET 2 PATIENT CARDS 3 ANC REGISTER OR INTEGRATED ANC REGISTER 4 DELIVERY REGISTER OR LABOUR AND DELIVERY REGISTER 5 OTHER (SPECIFY) _____ 96 | | | | |
| BASED ON RESPONSE TO QUESTION DV_062B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR ARV PROPHYLAXIS FOR PREGNANT WOMEN RECORDED IN THE FACILITY. | | | | | | |
| REVIEW SOURCE DOCUMENT FOR ARV PROPHYLAXIS FOR PREGNANT WOMEN AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_063 | Please confirm the availability of the source document used at the facility to compile the number of pregnant women receiving ARV prophylaxis for Month1 to Month3. If available, please recount the number of pregnant women receiving ARV prophylaxis as recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of pregnant women receiving ARV prophylaxis in source document |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_064 ↙ | 4 DV_064 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORT FOR ARV PROPHYLAXIS FOR PREGNANT WOMEN AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |

| Number | Question | Result | | | | Skip |
|---|--|--|---|---|---------------|--|
| DV_064 | Please confirm the availability of the monthly report for number of pregnant women receiving ARV prophylaxis for Month1 to Month3. If available, please record the number of pregnant women receiving ARV prophylaxis as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of pregnant women receiving ARV prophylaxis in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_065 ↙ | 4 DV_065 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| DISCREPANCIES | | | | | | |
| DV_065 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |
| H. ART COVERAGE | | | | | | |
| DV_066 | Does this facility provide ART? | YES 1 NO..... 2 | | | | →DV_073 |
| DV_067 | Does this facility report the number of patients on ART to a reporting system? | YES 1 NO..... 2 | | | | →DV_073 |
| DV_068 | Which of the following reporting system(s) does the facility report delivery of ART: | YES | NO | | | |
| 01 | Health Management Information System | 1 | 2 | | | |
| 02 | National HIV/AIDS program | 1 | 2 | | | |

3. Facility reporting data verification tool

| Number | Question | Result | | | Skip |
|--|---|--|---|---|---|
| 03 | Non-governmental organizations or institutions | 1 | 2 | | |
| 04 | Other reporting system | 1 | 2 | | |
| | | _____ | | | |
| | | SPECIFY | | | |
| SOURCE DOCUMENTS AND REPORTS | | | | | |
| DV_069A | Which of the following documents are used at this facility to record the number of patients on ART: | YES | NO | | |
| 01 | Pre-ART register | 1 | 2 | | |
| 02 | ART tally sheets | 1 | 2 | | |
| 03 | Patient cards (HIV care/ART cards) | 1 | 2 | | |
| 04 | ART register | 1 | 2 | | |
| 05 | Other | 1 | 2 | | |
| | | _____ | | | |
| | | SPECIFY | | | |
| DV_069B | What is the source document used by this facility for monthly reporting of number of patients on ART? We are primarily interested in the main document that is used for compiling the total number of patients on ART seen at this facility. Please report if any improvised documents are used. | PRE-ART REGISTER..... 1 ART TALLY SHEET 2 PATIENT CARDS 3 ART REGISTER 4 OTHER (SPECIFY) _____ 96 | | | |
| BASED ON RESPONSE TO QUESTION DV_069B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR ART RECORDED IN THE FACILITY. | | | | | |
| REVIEW SOURCE DOCUMENT FOR ART AND ANSWER THE FOLLOWING QUESTIONS | | | | | |
| DV_070 | Please confirm the availability of the source document for use at the facility to compile the number of PATIENTS ON ART for Month1 to Month3. If available, please recount the number of PATIENTS ON ART recorded in the main source document for Month1 to Month3. | (A) Source document available | | | (B) Recount number of PATIENTS ON ART in source document |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO |

| Number | Question | Result | | | | Skip |
|--------|----------|--------|-------|---------------|---------------|------|
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_071 ↙ | 4 DV_071 ↙ | |

*PARTLY: the source document is available but some information is missing

REVIEW MONTHLY REPORT FOR ART AND ANSWER THE FOLLOWING QUESTIONS

| DV_071 | Please confirm the availability of the monthly report for number of PATIENTS ON ART for Month1 to Month3. If available, please record the number of PATIENTS ON ART as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of PATIENTS ON ART in monthly report |
|--------|--|------------------------------|-------------------------------------|-------------------------------------|---------------|--|
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_072 ↙ | 4 DV_072 ↙ | |

*PARTLY: the source document is available but some information is missing

DISCREPANCIES

| | | |
|--------|--|--|
| DV_072 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 |
|--------|--|--|

I. ART INITIATION

| | | | |
|--------|---------------------------------|--------------------------|---------|
| DV_073 | Does this facility provide ART? | YES 1 NO..... 2 | →DV_080 |
|--------|---------------------------------|--------------------------|---------|

3. Facility reporting data verification tool

| Number | Question | Result | Skip |
|---|---|--|---------|
| DV_074 | Does this facility report the number of patients on ART to a reporting system? | YES 1 NO..... 2 | →DV_080 |
| DV_075 | Which of the following reporting system(s) does the facility report delivery of ART to: | YES NO | |
| 01 | Health Management Information System | 1 2 | |
| 02 | National HIV/AIDS program | 1 2 | |
| 03 | Non-governmental organizations or institutions | 1 2 | |
| 04 | Other reporting system | 1 2 _____ SPECIFY | |
| SOURCE DOCUMENTS AND REPORTS (PATIENTS NEWLY INITIATED ON ART) | | | |
| DV_076A | Which of the following documents are used at this facility to record the number of patients newly initiated on ART: | YES NO | |
| 01 | ART register | 1 2 | |
| 02 | ART tally sheets | 1 2 | |
| 03 | Patient cards (HIV care/ART card) | 1 2 | |
| 04 | Drug supply management forms | 1 2 | |
| 05 | Other | 1 2 _____ SPECIFY | |
| DV_076B | What is the source document used by this facility for monthly reporting of patients newly initiated on ART? We are primarily interested in the main document that is used for compiling the total number of patients newly initiated on ART at the facility. Please report if any improvised documents are used. | ART REGISTER 1 ART TALLY SHEET 2 PATIENT CARDS 3 DRUG SUPPLY MANAGEMENT FORMS.... 4 OTHER (SPECIFY) _____ 96 | |
| BASED ON RESPONSE TO QUESTION DV_076B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR ART INITIATION RECORDED IN THE FACILITY. | | | |
| REVIEW SOURCE DOCUMENT FOR ART AND ANSWER THE FOLLOWING QUESTIONS | | | |

| Number | Question | Result | | | | Skip |
|---------------|--|--------------------------------------|-------------------------------------|-------------------------------------|---------------|---|
| DV_077 | Please confirm the availability of the source document used at the facility to compile the number of patients newly initiated on ART for Month1 to Month3. If available, please recount the number of patients newly initiated on ART recorded in the main source document for Month1 to Month3 | (A) Source document available | | | | (B) Recount number of patients newly initiated on ART in source document |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_078 ↩ | 4 DV_078 ↩ | |

*PARTLY: the source document is available but some information is missing

REVIEW MONTHLY REPORT FOR ART AND ANSWER THE FOLLOWING QUESTIONS

| | | | | | | |
|---------------|--|-------------------------------------|-------------------------------------|-------------------------------------|---------------|---|
| DV_078 | Please confirm the availability of the monthly report for number of patients newly initiated on ART for Month1 to Month3. If available, please record the number of patients newly initiated on ART as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of patients newly initiated on ART in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_079 ↩ | 4 DV_079 ↩ | |

*PARTLY: the source document is available but some information is missing

DISCREPANCIES

3. Facility reporting data verification tool

| Number | Question | Result | Skip |
|---|--|--|-----------|
| DV_079 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | |
| J. TB CASES | | | |
| DV_080 | Does this facility provide TB diagnosis and/or treatment? | YES 1 NO..... 2 | →DV_088 |
| DV_081 | Does this facility report the total number of TB cases (all types) to a reporting system? | YES 1 NO..... 2 | →DV_088 |
| DV_082 | Which of the following reporting system(s) does the facility report total number of TB cases (all types) to: | YES | NO |
| 01 | Health Management Information System | 1 | 2 |
| 02 | National TB programme | 1 | 2 |
| 03 | Non-governmental organizations or institutions | 1 | 2 |
| 04 | Other reporting system | 1 | 2 |
| | | _____ SPECIFY | |
| SOURCE DOCUMENTS AND REPORTS (NOTIFIED TB CASES) | | | |
| DV_083A | Which of the following documents are used at this facility to record the total number of TB cases (all types): | YES | NO |
| 01 | TB register | 1 | 2 |
| 02 | Presumptive TB register (TB suspects) | 1 | 2 |
| 03 | Patient cards (TB treatment cards) | 1 | 2 |
| 04 | TB laboratory register | 1 | 2 |
| 05 | Outpatient register | 1 | 2 |
| 06 | Electronic patient record system | 1 | 2 |

| Number | Question | Result | | | | Skip |
|---|--|--|-------------------------------------|-------------------------------------|---------------|---|
| 07 | Other | 1 | 2 | | | |
| | | SPECIFY | | | | |
| DV_083B | What is the source document used by this facility for monthly reporting of notified TB cases? We are primarily interested in the main document that is used for compiling monthly/quarterly summary statistics for total number of TB cases (all types) . Please report if any improvised documents are used. | TB REGISTER 1 PRESUMPTIVE TB REGISTER 2 PATIENT CARDS 3 TB LABORATORY REGISTER..... 4 OUTPATIENT REGISTER..... 5 ELECTRONIC PATIENT RECORD SYSTEM .. 6 OTHER (SPECIFY) _____ 96 | | | | |
| DV_083C | Does this facility report notified TB cases on a monthly or quarterly basis? | MONTHLY 1 QUARTERLY..... 2 | | | | |
| <p>BASED ON RESPONSE TO QUESTION DV_083B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY/QUARTERLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY OR QUARTERLY REPORTS FOR TOTAL NUMBER OF TB CASES (ALL TYPES).</p> | | | | | | |
| <p>REVIEW SOURCE DOCUMENT FOR TB CASES AND ANSWER THE FOLLOWING QUESTIONS</p> | | | | | | |
| DV_084 | <p>Please confirm the availability of the source document used at the facility to compile the number of notified cases of TB for Month1 to Month3. If available, please recount the number of notified cases of TB as recorded in the main source document for Month1 to Month3.</p> <p>IF QUARTERLY REPORTING, PLEASE ONLY FILL IN LINE 01 AND ENTER THE TOTAL NUMBER FOR THE QUARTER</p> | <p>(A) Source document available</p> | | | | <p>(B) Recount number of notified cases of TB in source document</p> |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↩ | 4 02 ↩ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↩ | 4 03 ↩ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_085 ↩ | 4 DV_085 ↩ | |
| <p>*PARTLY: the source document is available but some information is missing</p> | | | | | | |
| <p>REVIEW MONTHLY REPORT FOR TB CASES AND ANSWER THE FOLLOWING QUESTIONS</p> | | | | | | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|---|---|--|---|---|---------------|--|
| DV_085 | Please confirm the availability of the monthly report for number of notified cases of TB for Month1 to Month3. If available, please record the number of notified cases of TB as recorded in the monthly report for Month1 to Month3. IF <u>QUARTERLY</u> REPORTING, PLEASE ONLY FILL IN LINE 01 AND ENTER THE TOTAL NUMBER FOR THE QUARTER | (A) Monthly report available | | | | (B) Record number of notified cases of TB in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_086 ↙ | 4 DV_086 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| <u>DISCREPANCIES</u> | | | | | | |
| DV_086 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly/quarterly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) 96 | | | | |
| MALARIA | | | | | | |
| K. MALARIA CASE RATE | | | | | | |
| DV_087 | Does this facility provide malaria diagnosis? | YES 1 NO..... 2 | | | | →DV_095 |
| DV_088 | Does this facility report malaria cases to a reporting system? | YES 1 NO..... 2 | | | | →DV_095 |
| DV_089 | Which of the following reporting system(s) does the facility report malaria cases to: | YES | NO | | | |

| Number | Question | Result | | Skip |
|--------|--|---------|---|------|
| 01 | Health Management Information System | 1 | 2 | |
| 02 | National malaria programme | 1 | 2 | |
| 03 | Non-governmental organizations or institutions | 1 | 2 | |
| 04 | Other reporting system | 1 | 2 | |
| | | SPECIFY | | |

SOURCE DOCUMENTS AND REPORTS

| DV_090A | Which of the following documents are used at this facility to record the number of malaria cases: | YES | NO | |
|---------|--|--|----|--|
| 01 | OPD register | 1 | 2 | |
| 02 | Tally sheets | 1 | 2 | |
| 03 | Patient cards | 1 | 2 | |
| 04 | Other | 1 | 2 | |
| | | SPECIFY | | |
| DV_090B | What is the source document used by this facility for monthly reporting of malaria cases? We are primarily interested in the main document that is used for compiling monthly summary statistics for malaria cases. Please report if any improvised documents are used. | OPD REGISTER 1 TALLY SHEETS..... 2 PATIENT CARDS 3 OTHER (SPECIFY) _____ 96 | | |

BASED ON RESPONSE TO QUESTION DV_090B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR MALARIA CASES RECORDED IN THE FACILITY.

REVIEW SOURCE DOCUMENT FOR MALARIA CASE RATE AND ANSWER THE FOLLOWING QUESTIONS

| DV_091 | Please confirm the availability of the source document for malaria cases for Month1 to Month3. If available, please recount the number of malaria cases as recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of malaria cases in source document |
|--------|--|-------------------------------|-------------------------------------|-------------------------------------|----|--|
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|---|---|--|---|---|---------------|---|
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_092 ↙ | 4 DV_092 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORT FOR MALARIA CASE RATE AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_092 | Please confirm the availability of the monthly report for malaria cases for Month1 to Month3. If available, please record the number of malaria cases recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of malaria cases in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_093 ↙ | 4 DV_093 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| DISCREPANCIES | | | | | | |
| DV_093 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |
| L. MALARIA DIAGNOSIS TESTING | | | | | | |
| DV_094 | Does this facility provide malaria diagnosis? | YES 1 NO..... 2 | | | | ➔END |

| Number | Question | Result | | Skip |
|---|--|--|-----------|------|
| DV_095 | Does this facility report malaria cases to a reporting system? | YES 1 | NO..... 2 | →END |
| DV_096 | Which of the following reporting system(s) does the facility report malaria cases to: | YES | NO | |
| 01 | Health Management Information System | 1 | 2 | |
| 02 | National malaria programme | 1 | 2 | |
| 03 | Non-governmental organizations or institutions | 1 | 2 | |
| 04 | Other reporting system | 1 _____ SPECIFY | 2 | |
| SOURCE DOCUMENTS AND REPORTS | | | | |
| DV_097A | Which of the following documents are used at this facility to record the number of malaria cases: | YES | NO | |
| 01 | OPD register | 1 | 2 | |
| 02 | Tally sheets | 1 | 2 | |
| 03 | Patient cards | 1 | 2 | |
| 04 | Other | 1 _____ SPECIFY | 2 | |
| DV_097B | What is the source document used by this facility for monthly reporting of malaria cases? We are primarily interested in the main document that is used for compiling monthly summary statistics for malaria cases. Please report if any improvised documents are used. | OPD REGISTER 1 TALLY SHEETS..... 2 PATIENT CARDS 3 OTHER (SPECIFY) _____ 96 | | |
| <p>BASED ON RESPONSE TO QUESTION DV_097B, PLEASE ASK THE PERSON IN THE FACILITY WHO REGULARLY PREPARES THE FACILITY MONTHLY REPORTS TO PROVIDE YOU WITH THE SOURCE DOCUMENT USED TO COMPILE AND SUMMARIZE INFORMATION FOR MONTHLY REPORTING (i.e. REGISTERS, TALLY SHEETS, ETC.) AS WELL AS THE MONTHLY REPORTS FOR MONTH1, MONTH2, AND MONTH3 FOR MALARIA CASES RECORDED IN THE FACILITY.</p> | | | | |
| <p>REVIEW SOURCE DOCUMENT FOR <u>MALARIA CASES THAT WERE TESTED</u> AND ANSWER THE FOLLOWING QUESTIONS</p> | | | | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|--|---|--------------------------------------|---|---|---------------|--|
| DV_098 | Please confirm the availability of the source document for suspected cases that were tested for malaria by RDT for Month1 to Month3. If available, please recount the number of suspected malaria cases that were tested by RDT as recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of suspected malaria cases that were tested by RDT in source document |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_099 ↙ | 4 DV_099 ↙ | |
| DV_099 | Please confirm the availability of the source document for suspected cases that were tested for malaria by microscopy for Month1 to Month3. If available, please recount the number of suspected malaria cases that were tested by microscopy as recorded in the main source document for Month1 to Month3. | (A) Source document available | | | | (B) Recount number of suspected malaria cases that were tested by microscopy in source document |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_100 ↙ | 4 DV_100 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORT FOR SUSPECTED MALARIA CASES THAT WERE TESTED AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |

| Number | Question | Result | | | | Skip |
|--|---|-------------------------------------|--|--|---------------|--|
| DV_100 | Please confirm the availability of the monthly report for suspected cases tested for malaria by RDT for Month1 to Month3. If available, please record the number of suspected malaria cases that were tested by RDT as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of suspected malaria cases that were tested by RDT in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_101 ↙ | 4 DV_101 ↙ | |
| DV_101 | Please confirm the availability of the monthly report for suspected cases tested for malaria by microscopy for Month1 to Month3. If available, please record the number of suspected malaria cases that were tested by microscopy as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of suspected malaria cases tested for malaria by microscopy in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_102 ↙ | 4 DV_102 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| <u>DISCREPANCIES</u> | | | | | | |

3. Facility reporting data verification tool

| Number | Question | Result | Skip |
|--|---|---|---|
| DV_102 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ _____ 96 | |
| REVIEW SOURCE DOCUMENT FOR <u>MALARIA CASES THAT TESTED POSITIVE</u> AND ANSWER THE FOLLOWING QUESTIONS | | | |
| DV_103 | Please confirm the availability of the source document for malaria RDTs that were positive for Month1 to Month3. If available, please recount the number of malaria RDTs that were positive as recorded in the source document for Month1 to Month3. | (A) Source document available | |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE |
| | | YES, AVAILABLE BUT NO DATA RECORDED | NO |
| 01 | Month1 | 1 → B | 2 → B |
| | | 3 02 ← ↻ | 4 02 ← ↻ |
| 02 | Month2 | 1 → B | 2 → B |
| | | 3 03 ← ↻ | 4 03 ← ↻ |
| 03 | Month3 | 1 → B | 2 → B |
| | | 3 DV_104 ← ↻ | 4 DV_104 ← ↻ |
| DV_104 | Please confirm the availability of the source document for malaria microscopy tests that were positive for Month1 to Month3. If available, please recount the number of malaria microscopy tests that were positive as recorded in the source document for Month1 to Month3. | (A) Source document available | |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE |
| | | YES, AVAILABLE BUT NO DATA RECORDED | NO |
| | | | |

| Number | Question | Result | | | | Skip |
|---|--|--------------------------------------|---|---|---------------|---|
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_105 ↙ | 4 DV_105 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| REVIEW MONTHLY REPORT FOR <u>MALARIA CASES THAT TESTED POSITIVE</u> AND ANSWER THE FOLLOWING QUESTIONS | | | | | | |
| DV_105 | Please confirm the availability of the monthly report for malaria RDTs that were positive for Month1 to Month3. If available, please record the number of malaria RDTs found positive as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of malaria RDTs that were positive in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |
| 03 | Month3 | 1 → B | 2 → B | 3 DV_106 ↙ | 4 DV_106 ↙ | |
| DV_106 | Please confirm the availability of the monthly report for malaria microscopy tests that were positive for Month1 to Month3. If available, please record the number of malaria microscopy tests that were positive as recorded in the monthly report for Month1 to Month3. | (A) Monthly report available | | | | (B) Record number of malaria microscopy tests that were positive in monthly report |
| | | YES, AVAILABLE AND COMPLETE | YES, AVAILABLE BUT PARTLY* COMPLETE | YES, AVAILABLE BUT NO DATA RECORDED | NO | |
| 01 | Month1 | 1 → B | 2 → B | 3 02 ↙ | 4 02 ↙ | |
| 02 | Month2 | 1 → B | 2 → B | 3 03 ↙ | 4 03 ↙ | |

3. Facility reporting data verification tool

| Number | Question | Result | | | | Skip |
|---|--|--|-------|---------------|---------------|------|
| 03 | Month3 | 1 → B | 2 → B | 3 DV_107 ↙ | 4 DV_107 ↙ | |
| *PARTLY: the source document is available but some information is missing | | | | | | |
| <u>DISCREPANCIES</u> | | | | | | |
| DV_107 | What are the reasons for the discrepancy (if any) observed between the main source document and the monthly report ? | NO DISCREPANCY 1 DATA ENTRY ERRORS..... 2 ARITHMETIC ERRORS..... 3 INFORMATION FROM ALL SOURCE DOCUMENTS NOT COMPILED CORRECTLY 4 SOURCE DOCUMENT AND/OR MONTHLY REPORT NOT AVAILABLE..... 5 OTHER (SPECIFY) _____ 96 | | | | |

4. Indicators index

4.1 Indicators ID numbers

In order to more easily identify which questions in the questionnaire correspond to the indicators in this document, an ID number has been given for each indicator and the corresponding questions in the questionnaire are labelled with the same ID number. This can be useful for a number of purposes including:

- Identifying which questions corresponds to each indicator;
- Determining which questions to remove from the questionnaire if certain indicators are not to be included in the survey; and
- Identifying items for inclusion in the analysis portion of the survey implementation.

The ID numbers have been assigned to each indicator using a two part system: First the indicator is given a letter based on the category of indicator. Second, the indicator is given a unique number. Table 4.1.1 below gives the categories used for the indicator types:

TABLE 4.1.1: INDICATOR CATEGORIES

| Indicator type | Abbreviation |
|---------------------------|--------------|
| Service availability | S |
| Infrastructure | I |
| Equipment | E |
| Medicines and commodities | M |
| Diagnostics | D |
| Training | T |
| Domains | DO |
| Indices | IN |

4.2 SARA general service availability indicators

An important note regarding service availability: although this information is collected through the SARA questionnaire, these indicators should not be calculated for a sample of facilities. **Data must be available for ALL facilities in an administrative unit in order to calculate service availability.** All service availability measures require data that link the numerator (e.g. number of facilities) to the denominator - population size. A sample survey would not allow computation of the service availability indicators, as it is not clear what the corresponding population size to be used as the denominator should be. The information needed to calculate service availability can be gathered from multiple sources in addition to the SARA, namely the HMIS and other routine information systems, and should be collated for all facilities before calculating the service availability indicators. If the SARA is implemented as a census, then it can be used to calculate service availability.

Service Availability is described by three areas of tracer indicators:

4.2.1 Health infrastructure

- **Facility density per 10 000 population:** the facility density is primarily an indicator of outpatient service access.
- **Inpatient bed density per 10 000 population:** inpatient bed density provides an indicator of the inpatient services access. Paediatric beds (cots) are included, but maternity beds are excluded.
- **Maternity bed density per 1000 pregnant women:** maternity bed density provides an indicator of access to delivery services. Data on maternity beds can be used calculate the density of maternal beds per 1000 pregnant women per year. The denominator is estimated from the population data. The indicator does not include delivery beds.

4.2.2 Health workforce

- **Health workforce density:** core medical professionals per 10 000 population: physicians, non-physician clinicians, registered nurses, and midwives. This includes part-time physicians who are given the value of 0.5 in the scoring.

4.2.3 Service utilization

In populations with poor or suboptimal health infrastructure the service utilization rate is an indicator of access.

- **Number of outpatient visits per capita per year:** the number of visits for ambulant care, not including immunization, over the total population.
- **Number of hospital discharges per 100 population (excluding deliveries):** this indicator provides additional information on the availability and access to inpatient services.

These indicators must all be expressed as a percentage score compared with a target or benchmark. Table 4.1.2 below shows the benchmark and computation of each indicator. If the tracer indicator score exceeds the benchmark, it will be scored as 100%.

TABLE 4.1.2: SERVICE AVAILABILITY INDICATORS

| | | Indicator | Target | Score |
|------------------------------|-----------------------|-----------------------------------|--------|------------------------|
| Health infrastructure | | | | Score = N/target |
| (a) | Facilities | N per 10 000 population | 2 | $N/2 * 100$ (max.100) |
| (b) | Inpatient beds | N per 10 000 population | 25 | $N/25 * 100$ (max.100) |
| (c) | Maternity beds | N per 1000 pregnant women | 10 | $N/10 * 100$ (max.100) |
| Health workforce | | | | |
| (d) | Core health workforce | N per 10 000 population | 23 | $N/23 * 100$ (max.100) |
| Service utilization | | | | |
| (e) | Utilization | Outpatient visits per person/year | 5 | $N/5 * 100$ (max.100) |
| (f) | Utilization | Hospital discharges per 100/year | 10 | $N/10 * 100$ (max.100) |

The rationale for the targets can be summarized as follows:

(a) Facility density: usually there is a country target, such as at least one facility per 5000 population, or 2 per 10 000. A major limitation is that this indicator does not take into account the size of the facilities. The indicator is scored as $N \text{ of facilities} / 2 * 100\%$ (max. 100).

(b) Inpatient beds: the global average is 27 per 10 000, lower- and upper middle-income countries have 18 and 39 hospital beds per 10 000 respectively. An arbitrary benchmark of 25 per 10 000 is selected. The indicator is scored as $N / 25 * 100\%$ (max. 100).

(c) Maternity beds: under the assumption that there should be sufficient beds for all pregnant women with an occupancy rate of 80% (to account for the uneven spread of demand over time) and a mean duration of stay of 3 days, the target should be $(1000/.8) * (3/365) = 10$ per 1000 pregnant women. The indicator is scored as $N / 10 * 100\%$ (max. 100).

An estimation for the number of pregnant women in the population can be derived from the CBR (crude birth rate) for the country of interest and the following equations*:

i = Estimated number of live births = $(\text{CBR per 1,000} * \text{total population})$

ii = Estimated live births expected per month = $(a / 12)$

iii = Estimated number of pregnancies ending in stillbirths or miscarriages = $(a * 0.15)$

iv = Estimated pregnancies expected in the year = $(a + c)$

v = Estimated number of women pregnant in a given month = $(0.70 * d)$

vi = Estimated % of total population who are pregnant at a given period = $(e / \text{total population} * 100)$

(d) Health workers: WHO has published a figure of 23 per 10 000 population. The indicator is scored as $N/23*100\%$ (max. 100).

(e) Outpatient service utilization: in the OECD countries, the average number of physician consultations per person per year is about 6. The proposed benchmark is 5 visits per person per year. The indicator is scored as $(N \text{ of outpatient visits per person per year}) / 5 * 100\%$ (max. 100).

(f) Inpatient service utilization: in the OECD countries, which have an ageing population, there are about 15 discharges per 100 population per year. 10 discharges per 100 people per year is proposed as a benchmark. The indicator is scored as $(N \text{ of hospital discharges per 100 people per year}) / 10 * 100\%$ (max. 100).

The service availability index is calculated using the above mentioned indicators. First, indices are calculated for health services infrastructure, health workforce, and service utilization. The calculations for creating those indices are as follows in Table 4.1.3. Please refer Table 4.1.2 for the definitions of indicators a-f. The service availability index is the un-weighted average of the three areas: infrastructure, health workforce, and utilization: $[(a + b + c)/3] + d + [(e + f) / 2] / 3$, and is a percentage score.

* Equation from UNFPA: <http://www.unfpa.org/emergencies/manual/9a5.htm>

4. Indicators index

TABLE 4.1.3: SERVICE AVAILABILITY INDICES

| | Indicator | Target | Score |
|---|---|------------|---|
| Health Services Infrastructure Index | Average score of the three indicators: facility density, inpatient beds, maternity beds | 100 | $((a) + (b) + (c)) / 3$ |
| Health Workforce Index | Core health workers | 100 | d |
| Service Utilization Index | Average score of the two indicators: outpatient visits, hospital discharges | 100 | $((e) + (f)) / 2$ |
| Service Availability Index | Un-weighted average of the three areas: infrastructure, workforce, and utilization | 100 | $(((a + b + c)/3) + d + ((e + f) / 2)) / 3$ |

Table 4.1.4 below gives the ID numbers for the service availability indicators and indices.

TABLE 4.1.4: SERVICE AVAILABILITY INDICATOR ID NUMBERS

| Indicator | ID Number |
|--------------------------------|-----------|
| Facilities | S1 |
| Inpatient beds | S2 |
| Maternity beds | S3 |
| Core health workforce | S4 |
| Outpatient service utilization | S5 |
| In-patient service utilization | S6 |
| Health infrastructure index | IN1 |
| Core health workforce index | IN2 |
| Service utilization index | IN3 |
| Service availability index | IN4 |

4.3 SARA general service readiness indicators

TABLE 4.3.1: TRACER INDICATORS FOR GENERAL SERVICE READINESS

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|----|---|---------------------------------|
| Basic amenities DO1 Domain score = Mean score of items as percentage N/7*100 | Power | I1 | Facility routinely has electricity for lights and communication (at a minimum) from any power source during normal working hours; there has not been a break in power for more than 2 hours per day during the past 7 days. | Reported availability. |
| | Improved water source within 500 meters of facility | I2 | Improved water source uses uniform definitions for safe water sources promoted by UNICEF. These include the following: Piped, public tap, standpipe, tubewell/borehole, protected dug well, protected spring, rain water. NOTE: The type of base for the standpipe or tubewell is not considered for this question. | Observed availability. |
| | Room with auditory and visual privacy for patient consultations | I3 | Private room or screened off area available in main service area (usually the general outpatient service area), a sufficient distance from sites where providers/clients routinely may be, so that a normal conversation could be held without being overheard, and without the client being observed. | Observed availability. |
| | Access to adequate sanitation facilities for clients | I4 | The toilet/latrine is classified using uniform criteria for improved sanitation promoted by UNICEF. These include the following: Flush/pour flush to piped sewer system or septic tank or pit latrine, pit latrine (ventilated improved pit (VIP) or other) with slab, composting toilet | Reported availability accepted. |
| | Communication equipment (phone or SW radio) | I5 | Functioning communication equipment. This will not include private cell phones unless the facility reimburses for cost of phone calls. This will not include payphones outside of the facility. | Reported availability accepted |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|----|---|---|
| | Facility has access to computer with email/internet access | I6 | Facility has a functioning computer and has access to email/internet with internet working on the day of the survey. | Reported availability accepted |
| | Emergency transportation | I7 | Facility has a functioning vehicle with fuel that is routinely available that can be used for emergency transportation or access to a vehicle in near proximity that can be used for emergency transportation | Reported availability accepted |
| <p>REFERENCES:</p> <p>Progress on sanitation and drinking water 2010 update http://www.wssinfo.org/fileadmin/user_upload/resources/1278061137-JMP_report_2010_en.pdf</p> <p>Guidance for Selecting and Using Core Indicators for Cross-Country Comparisons of Health Facility Readiness to Provide Services http://ihfan.org/home/docs/attachments/WP-07-97_Guidance_HF_Core_Indicators.pdf</p> | | | | |
| <p>Basic equipment DO2</p> <p>Domain score = Mean score of items as percentage</p> <p>$N/6 \times 100$</p> | Adult scale | E1 | | <p>Items observed and functioning in the main service area (usually the general outpatient department) or in the immediate vicinity where it is reasonable to assume that they can be used for the services being provided in the main service area.</p> <p>Note: if items are in service specific areas but are readily available for use for general outpatient clients, this is acceptable</p> |
| | Child scale | E2 | Weight gradation minimum 250 grams. A digital standing scale where adult holds child and gradations go to 250 grams is acceptable | |
| | Thermometer | E3 | | |
| | Stethoscope | E4 | | |
| | Blood pressure apparatus | E5 | Digital BP machine or manual sphygmomanometer with stethoscope | |
| | Light source | E6 | Spotlight source that can be used for patient examinations. A functional flashlight is accepted. | |
| <p>REFERENCES:</p> <p>Guidance for Selecting and Using Core Indicators for Cross-Country Comparisons of Health Facility Readiness to Provide Services http://ihfan.org/home/docs/attachments/WP-07-97_Guidance_HF_Core_Indicators.pdf</p> | | | | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|-----|--|---|
| Standard precautions for infection prevention DO3 Domain score = Mean score of items as percentage $N/9 \times 100$ | Safe final disposal of sharps | I9 | Safe final disposal of sharps includes incineration, open burning in protected area, dump without burning in protected area, or remove offsite with protected storage. If method is incineration, incinerator functioning and fuel available. | Observed final disposal/holding site for sharps and verify no unprotected sharps are observed. |
| | Safe final disposal of infectious wastes | I10 | Safe final disposal of infectious wastes includes incineration, open burning in protected area, dump without burning in protected area, or remove offsite with protected storage. If method is incineration, incinerator functioning and fuel available. | Observed final disposal/holding site for infectious wastes and verify no unprotected waste is observed. |
| | Appropriate storage of sharps waste | I11 | A puncture-resistant, rigid, leak-resistant container designed to hold used sharps safely during collection, disposal and destruction. Sharps containers should be made of plastic, metal, or cardboard and have a lid that can be closed. Sharps containers should be fitted with a sharps aperture, capable of receiving syringes and needle assemblies of all standard sizes, together with other sharps. Boxes must be clearly marked with the international bio-hazard warning not less than 50mm diameter, printed in black or red on each of the front and back faces of the box. . | Observed availability in all three main service areas: general OPD, HIV testing area, and surgery area |
| | Appropriate storage of infectious waste | I12 | Waste receptacle (pedal bin) with lid and plastic bin liner. | |
| | Disinfectant | I13 | Chlorine-based or other country specific used for environmental disinfection | Observed availability anywhere in the facility |
| | Single use —standard disposable or auto-disable syringes | I14 | | |
| | Soap and running water or alcohol based hand rub | I15 | | Observed available in all three main service areas: general OPD, HIV testing area, and surgery area. |
| | Latex gloves | I16 | If equivalent non latex gloves are available this is acceptable. | |
| | Guidelines for standard precautions | T1 | | Observed availability anywhere in their facility |

REFERENCES:

Practical Guidelines for Infection Control in Health Care Facilities. Geneva
<http://whqlibdoc.who.int/wpro/2003/a82694.pdf>

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------------------------|--|--|
| Diagnostic capacity DO4 Domain score = Mean score of items as percentage N/8*100 | Haemoglobin | D1 | This may include colorimeter OR haemoglobinometer OR hemocue. | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. These may be in a laboratory or in the service area where the test is conducted. |
| | Blood glucose | D2 | Glucometer and glucometer test strips | |
| | Malaria diagnostic capacity | D3 | RDT kit or smear with microscope, slides, and Wright Giemsa stain | |
| | Urine dipstick- protein | D4 | Dipsticks for urine protein (with valid expiration date) | |
| | Urine dipstick- glucose | D5 | Dipsticks for urine glucose (with valid expiration date) | |
| | HIV diagnostic capacity | D6 | RDT kit or ELISA test with ELISA washer, ELISA reader, incubator, specific assay kit | |
| | Syphilis rapid test | D9 | RDT kit | |
| | Urine test for pregnancy | D11 | RDT kit | |
| REFERENCES: Consolation on technical and operation recommendations for clinical laboratory testing harmonization and standardization http://www.who.int/healthsystems/round9_9.pdf | | | | |
| Essential medicines DO5 Domain score = Mean score of items as percentage N/20*100 | Amitriptyline tablet | M1 | Treatment for: Depression | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Amlodipine tablet or alternative calcium channel blocker | M56 | | |
| | Amoxicillin syrup/suspension or dispersible tablet | M33 | | |
| | Amoxicillin tablet | M2 | Respiratory antibiotic | |
| | Ampicillin powder for injection | M71 | | |
| | Beclometasone inhaler | M59 | | |
| | Ceftriaxone injection | M5 | 2nd line injectable antibiotic | |
| | Enalapril tablet or alternative ACE inhibitor e.g. lisinopril, ramipril, perindopril | M53 | | |
| | Fluoxetine tablet | M94 | | |
| | Gentamicin injection | M72 | 40 mg/ml in 1 ml or 2 ml ampoules, 20 mg/ml in 1 ml ampoules, or 10 ml/ml in 1 ml ampoules | |
| | Glibenclamide tablet | M10 | Oral treatment type 2 diabetes | |
| | Ibuprofen tablet | M95 | | |
| | Insulin regular injection | M51 | | |
| | Metformin tablet | M50 | | |
| Omeprazole tablet or alternative such as pantoprazole, rabeprazole | M11 | Gastro-esophageal reflux | | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--------|--|-----|------------------------|-----------------------|
| | Oral rehydration solution | M32 | | |
| | Paracetamol tablet | M38 | | |
| | Salbutamol inhaler | M13 | Chronic asthma attacks | |
| | Simvastatin tablet or other statin e.g. atorvastatin, pravastatin, fluvastatin | M14 | High cholesterol | |
| | Zinc sulphate tablet or syrup | M36 | | |

REFERENCES:

WHO (2010). WHO Model List of Essential Medicines. http://whqlibdoc.who.int/hq/2011/a95053_eng.pdf

Monitoring the Building Blocks of Health Systems: A Handbook of Indicators and their Measurement Strategies. Geneva: World Health Organization. Available at: http://www.who.int/healthinfo/systems/WHO_MBHSS_2010_full_web.pdf

4.4 SARA service specific availability and readiness indicators

TABLE 4.4.1: TRACER INDICATORS FOR REPRODUCTIVE, MATERNAL, NEWBORN, CHILD, AND ADOLESCENT HEALTH SERVICE AVAILABILITY AND READINESS

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|-------|--|--|
| Family planning services | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Family planning services | S7 | | |
| | Provision of combined oral contraceptive pills | S7_01 | | |
| | Provision of progestin-only contraceptive pills | S7_02 | | |
| | Provision of combined injectable contraceptives | S7_03 | | |
| | Provision of progestin-only injectable contraceptives | S7_04 | | |
| | Provision of male condoms | S7_05 | | |
| | Provision of female condoms | S7_06 | | |
| | Provision of intrauterine contraceptive device | S7_07 | | |
| | Provision of implant | S7_08 | | |
| | Provision of cycle beads for standard days method | S7_09 | | |
| | Provision of emergency contraceptive pills | S7_10 | | |
| | Male sterilization | S7_11 | | |
| | Female sterilization | S7_12 | | |
| SERVICE READINESS IN6 | | | | |
| % of facilities providing family planning services with tracer items on the day of the assessment | | | | |
| Staff and training DO6 | Guidelines on family planning | T2 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in FP+ | T3 | At least one staff member providing the service trained in the last two years in some aspect of FP | Interview response from in-charge of service area day of survey. |
| Equipment DO7 | Blood pressure apparatus | E5 | Digital BP machine or manual sphygmomanometer with stethoscope | Observed availability, reported functionality, and in service area or adjacent area. |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|-------|--|---|
| Medicines and commodities DO8 | Combined estrogen progesterone oral contraceptive pills | M15 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Injectable contraceptives | M16 | Can be either combined estrogen progesterone injectable contraceptives or progestin-only injectable contraceptives | |
| | Condoms | M17 | Male | |
| AUXILIARY INDICATORS | | | | |
| % of facilities providing family planning services with: | | | | |
| Other family planning commodities in stock | Progestin-only contraceptive pills | M96 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Combined estrogen progesterone injectable contraceptives | M97 | | |
| | Progestin-only injectable contraceptives | M98 | | |
| | Female condoms | M99 | | |
| | Levonorgestrel implant | M100 | | |
| | Etonogestrel implant | M101 | | |
| | Levonorgestrel tablet (emergency contraceptive) | M102 | | |
| | Ulipristal acetate tablet (emergency contraceptive) | M103 | | |
| | Mifepristone tablet 10-25 mg (emergency contraceptive) | M104 | | |
| Intrauterine contraceptive device (IUCD) | M105 | | | |
| REFERENCES: | | | | |
| Family Planning: A Global Handbook for Providers http://whqlibdoc.who.int/publications/2011/9780978856373_eng.pdf | | | | |
| Essential Medicines for Reproductive Health: Guiding Principles for Their Inclusion on National Medicines Lists http://whqlibdoc.who.int/hq/2006/a91388.pdf | | | | |
| Antenatal care services | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Antenatal care services | S8 | | |
| | Iron supplementation | S8_01 | | |
| | Folic acid supplementation | S8_02 | | |
| | Intermittent Preventive Treatment in pregnancy (IPTp) for malaria | S8_03 | | |
| | Tetanus toxoid vaccination | S8_04 | | |
| | Monitoring for hypertensive disorder of pregnancy | S8_05 | | |
| | Provision of misoprostol tablets for home births | S8_06 | | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------------|---|--|
| SERVICE READINESS IN7 | | | | |
| % of facilities providing antenatal care services with tracer items on the day of the assessment | | | | |
| Staff and training DO9 | Guidelines on ANC | T4 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in ANC+ | T5 | At least one staff member providing the service trained in some aspect of ANC in the last two years | Interview response from in-charge of service area day of survey. |
| Equipment DO10 | Blood pressure apparatus | E5 | Digital BP machine or manual sphygmomanometer with stethoscope | Observed availability, reported functionality, and in service area or adjacent area. |
| Diagnostics DO11 | Haemoglobin | D1 | This may include colorimeter, haemoglobinometer, hemocue, or any other country specific method. | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In the area where ANC tests are conducted or anywhere in the facility where laboratory testing is routinely conducted. |
| | Urine dipstick- protein | D4 | This includes urine protein dipsticks. | |
| Medicines and commodities DO12 | Iron tablets | M18 | Iron and folic acid may be combined | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Folic acid tablets | M19 | | |
| | Tetanus toxoid vaccine | M20 | | |
| | *IPT drug | M39 | Sulfadoxine + Pyrimethamine(SP) | |
| | *ITNs | M40 | ITNs or vouchers available for distribution | |
| REFERENCES: | | | | |
| Pregnancy, childbirth, postpartum and newborn care: a guide for essential practice http://whqlibdoc.who.int/publications/2006/924159084X_eng.pdf | | | | |
| *Only in high prevalence areas | | | | |
| Basic obstetric care | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Delivery services | S9 | | |
| | Parenteral administration of antibiotics | S9_01 | | |
| | Parenteral administration of oxytocic drug | S9_02 | | |
| | Parenteral administration of anticonvulsants | S9_03 | | |
| | Assisted vaginal delivery | S9_04 | | |
| | Manual removal of placenta | S9_05 | | |
| | Manual removal of retained products | S9_06 | | |
| | Neonatal resuscitation | S9_07 | | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|--|-------|--|--|
| | Basic emergency obstetric care | S9_08 | | |
| SERVICE READINESS IN8 | | | | |
| % of facilities providing facility-based delivery services with tracer items on the day of the assessment | | | | |
| Staff and training DO13 | Guidelines for Integrated management of pregnancy and childbirth (IMPAC) | T6 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in IMPAC† | T7 | At least one staff member providing the service trained in IMPAC in the last two years | Interview response from in-charge of service area day of survey. |
| Equipment DO14 | Emergency transport | I7 | Facility has a functioning vehicle with fuel that is routinely available and can be used for emergency transportation | Reported availability and functionality. |
| | Sterilization equipment | I8 | This is usually either a dry heat sterilizer or an autoclave. If the machine is not electric, then make sure that the heat source is available and (If relevant) functioning (e.g., wood or gas is present for the autoclave). | Observed availability anywhere in the facility reported functionality. |
| | Examination light | E7 | Functioning spotlight source that can be used for patient examinations. A functional flashlight is accepted. | Observed availability, reported functionality, and in service area or adjacent area. |
| | Delivery pack | E8 | Delivery pack OR cord clamp, episiotomy scissors, scissors/blade to cut cord, suture material with needle, AND needle holder | |
| | Suction apparatus (mucus extractor) | E9 | Suction bulb (single use or sterilizable multi-use) or electric suction pump AND suction catheter | |
| | Manual vacuum extractor | E10 | | |
| | Vacuum aspirator or D&C kit | E11 | | |
| | Neonatal bag and mask | E12 | Newborn bag and mask (size 1 for term babies and size 0 for pre-term babies) | |
| | Delivery bed | E37 | | |
| | Partograph | E13 | Blank partographs | |
| | Gloves | I20 | Sterile latex or equivalent | |
| Medicines and commodities DO15 | Antibiotic eye ointment for newborn | M21 | | Observed in service area OR where routinely stored; in stock with at least one |
| | Injectable uterotonic | M22 | Oxytocin | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------|---|--|
| | Injectable antibiotic | M23 | Broad-spectrum- usually gentamicin, penicillin, or ampicillin (gentamicin injection 40 mg/ml, 20 mg/ml, or 10 mg/ml; procaine benzylpenicillin injection; ceftriaxone injection; ampicillin powder for injection; metronidazole injection) | valid. |
| | Magnesium sulphate (injectable) | M24 | Or injectable valium | |
| | Skin disinfectant | M26 | | |
| | Intravenous solution with infusion set | M27 | Normal saline or Ringers Lactate, and Dextrose 5% | |
| REFERENCES: | | | | |
| Guidelines for Monitoring the Availability and Use of Obstetric Services http://www.childinfo.org/files/maternal_mortality_finalgui.pdf | | | | |
| Monitoring Emergency Obstetric Care: a handbook http://whqlibdoc.who.int/publications/2009/9789241547734_eng.pdf | | | | |
| Comprehensive obstetric care | | | | |
| SERVICE AVAILABILITY | | | | |
| % of HOSPITALS and LOWER-LEVEL FACILITIES offering: | | | | |
| | Caesarean section | S26_01 | | |
| | Blood transfusion | S26_02 | | |
| | Comprehensive emergency obstetric care | S26_03 | | |
| SERVICE READINESS IN23 | | | | |
| % of HOSPITALS AND FACILITIES PROVIDING CAESAREAN SECTION with tracer items on the day of the assessment | | | | |
| Staff and training DO62 | Guidelines for CEmOC | T51 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in CEmOC† | T52 | At least one staff member providing the service trained in CEmOC within the past 2 years | Interview response from in-charge of service area day of survey. |
| | Staff trained in surgery | T53 | Health worker who can perform caesarean section present in the facility or on-call 24 hours a day | |
| | Staff trained in anaesthesia | T54 | Anaesthetist present in the facility or on-call 24 hours a day | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--------------------------------|------------|--|---|
| Equipment DO63 | Anaesthesia equipment | E29 | <ul style="list-style-type: none"> Anaesthesia machine to deliver aesthetic gases and oxygen Tubings and connectors to connect to the endotracheal tube Resuscitator bag and mask-adult and paediatric Intubation set adult and paediatric: (Oropharyngeal airway, endotracheal tubes, laryngoscope, Magill's forceps, stylet) | Observed availability, reported functionality, and in service area. |
| | Incubator | E30 | | |
| Diagnostics DO64 | Blood typing | D21 | ABO blood group test, Rhesus blood group test, and centrifuge | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. This may be in a laboratory or in the service area where the test is conducted. |
| | Cross match testing | D22 | Cross match (should use methods that demonstrate ABO incompatibility and incompatibility due to other clinically significant antibodies and should include an indirect anti-globulin test or a test of equivalent sensitivity), centrifuge, 37°C incubator, and grouping sera | |
| Medicines and commodities DO65 | Blood supply sufficiency | M66 | No interruption of blood availability in last three months | Reported availability. |
| | Blood supply safety | M67 | Blood obtained ONLY from national or regional blood bank, OR blood obtained from other sources but screened for HIV, Syphilis, Hepatitis B, and Hepatitis C. | |
| | Lidocaine 5% | M89 | | Observed in service area; in stock with at least one valid. |
| | Epinephrine (injectable) | M62 | | |
| | Halothane (inhalation) | M87 | | |
| | Atropine (injectable) | M86 | | |
| | Thiopental (powder) | M84 | | |
| | Suxamethonium bromide (powder) | M85 | | |
| Ketamine (injectable) | M64 | | | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|--------|--|---|
| REFERENCES: | | | | |
| Guide to Infrastructure and Supplies at Various Levels of Health Care Facilities: Emergency and Essential Surgical and Anaesthesia Procedures http://www.who.int/surgery/publications/GuideAnestheticInfrastFormatted06.pdf | | | | |
| Guidelines for Monitoring the Availability and Use of Obstetric Services http://www.childinfo.org/files/maternal_mortality_finalgui.pdf | | | | |
| Monitoring Emergency Obstetric Care: a handbook http://whqlibdoc.who.int/publications/2009/9789241547734_eng.pdf | | | | |
| Child health services: routine child immunization | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Routine child immunization services | S10 | | |
| | Routine measles immunization | S10_01 | | |
| | Routine DPT-Hib-HepB immunization | S10_02 | | |
| | Routine polio immunization | S10_03 | | |
| | BCG immunization | S10_04 | | |
| | Rotavirus immunization | S10_05 | | |
| | Pneumococcal immunization | S10_06 | | |
| SERVICE READINESS IN9 | | | | |
| % of facilities providing routine child immunization services with tracer items on the day of the assessment | | | | |
| Staff and training DO16 | Guidelines for EPI | T8 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in EPI† | T9 | At least one staff member providing the service trained in some aspect of EPI in the last two years (trained in immunization services, vaccine management and logistics, data reporting and monitoring, disease surveillance, injection safety and waste management) | Interview response from in-charge of service area day of survey. |
| Equipment DO17 | Cold box/vaccine carrier with ice packs | E14 | | Observed in service area or adjacent site. |
| | Refrigerator | E15 | Functioning fridge | Observed availability, and functionality, and in service area or adjacent site. |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|--|-------|--|--|
| | Sharps container | I21 | A puncture-resistant, rigid, leak-resistant container designed to hold used sharps safely during collection, disposal and destruction. Sharps containers should be made of plastic, metal, or cardboard and have a lid that can be closed. Sharps containers should be fitted with a sharps aperture, capable of receiving syringes and needle assemblies of all standard sizes, together with other sharps. Boxes must be clearly marked with the international bio-hazard warning not less than 50mm diameter, printed in black or red on each of the front and back faces of the box. | Observed in service area |
| | Single use- standard disposable or auto-disable syringes | I22 | | |
| | Continuous temperature monitoring device in refrigerator | E39 | | Observed availability, and functionality, and in service area or adjacent site. |
| | Energy source and power supply for vaccine refrigerator | E40 | Energy source and power supply available 24h/day and 7 days/week | |
| | Immunization cards | E41 | In stock | Observed in service area OR where routinely stored. |
| | Immunization tally sheets | E42 | In stock | Observed in service area OR where routinely stored. |
| Medicines and commodities DO18 | Measles vaccine | M28 | | Observed in service area OR where routinely stored; in stock with at least one valid (not expired and VVM not turned) on day of assessment |
| | DPT-Hib+HepB vaccine | M29 | Country specific vaccine combination | |
| | Polio vaccine | M30 | | |
| | BCG vaccine | M31 | | |
| | Pneumococcal vaccine | M93 | If part of the national schedule | |
| | Rotavirus vaccine | M92 | If part of the national schedule | |
| AUXILIARY INDICATORS | | | | |
| % of facilities providing routine child immunization services with: | | | | |
| Stock-outs (in past 3 months) | Measles vaccine | M28_A | | Check vaccine stock records. Inability to give vaccine anytime in past three months due to unavailable stock |
| | DPT-Hib+HepB vaccine | M29_A | Country specific vaccine combination | |
| | Polio vaccine | M30_A | | |
| | BCG vaccine | M31_A | | |
| | Pneumococcal vaccine | M93_A | If part of the national schedule | |
| | Rotavirus vaccine | M92_A | If part of the national schedule | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------|---|--|
| REFERENCES: | | | | |
| Immunization Essentials: A Practical Field Guide http://www.who.int/pmnch/topics/tools/20081021_usaidimmunization/en/index.htm | | | | |
| Child health services: preventative and curative care | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Preventive and curative care for children under 5 | S11 | | |
| | Malnutrition diagnosis and treatment | S11_01 | | |
| | Vitamin A supplementation | S11_02 | | |
| | Iron supplementation | S11_03 | | |
| | ORS and zinc supplementation | S11_04 | | |
| | Growth monitoring | S11_05 | | |
| | Treatment of pneumonia | S11_06 | | |
| | Administration of amoxicillin for the treatment of pneumonia in children | S11_07 | | |
| | Treatment of malaria in children | S11_08 | | |
| SERVICE READINESS IN10 | | | | |
| % of facilities providing child curative care services with tracer items on the day of the assessment | | | | |
| Staff and training DO19 | Guidelines for IMCI | T10 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Guidelines for growth monitoring | T11 | Country adapt to which guidelines are required/accepted | |
| | Staff trained in IMCI† | T12 | At least one staff member providing the service trained in some aspect of IMCI in the last two years | Interview response from in-charge of service area day of survey. |
| | Staff trained in growth monitoring† | T13 | At least one staff member providing the service trained in some aspect of growth monitoring in the last two years | |
| Equipment DO20 | Child and infant scale | E38 | Weight gradations at minimum 250 grams and 100 grams | Observed availability, reported functionality, and in service area or adjacent |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|--|--------|--|---|
| | Length/height measuring equipment | E16 | Wooden boards or metal beams with a mounted rule that permits measurement of crown-to-heel length (infants under 2 y, lying down) or height (older children, standing up) in centimetres. Gradations at 1 or 5 mm. | area. |
| | Thermometer | E3 | | |
| | Stethoscope | E4 | | |
| | Growth chart | E17 | | Observed in service area or adjacent area. |
| Diagnostics DO21 | Haemoglobin (Hb) | D1 | This may include colorimeter, haemoglobinometer, hemocue, or any other country specific method. | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for child health are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| | Test parasite in stool (general microscopy) | D10 | Microscope, slides, covers | |
| | Malaria diagnostic capacity | D3 | Malaria rapid test or smear (microscope, slides, and stain) | |
| Medicines and commodities DO22 | Oral rehydration solution packet | M32 | Any child dosage or formulation. | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Amoxicillin (dispersible tablet 250 or 500 mg OR syrup/suspension) | M33 | | |
| | Co-trimoxazole syrup/suspension | M7 | | |
| | Paracetamol syrup/suspension | M12 | | |
| | Vitamin A capsules | M34 | | |
| | Me-/albendazole cap/tab | M35 | | |
| | Zinc sulphate tablets or syrup | M36 | | |
| REFERENCES: | | | | |
| Handbook: IMCI integrated management of childhood illness http://whqlibdoc.who.int/publications/2005/9241546441.pdf | | | | |
| Training Course on Child Growth Assessment http://www.who.int/childgrowth/training/en/ | | | | |
| Adolescent health[‡] | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Adolescent health services | S12 | | |
| | HIV testing and counselling services to adolescents | S12_01 | | |

[‡] This is an optional indicator. In countries with adolescent health programs, definitions need to be further refined to reflect country-specific context and content of the programs. Indicators may not be comparable across countries.

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| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|--------|--|---|
| | Family planning services to adolescents | S12_02 | Facility provides condoms and at least one other method of family planning to adolescents | |
| | Provision of combined oral contraceptive pills to adolescents | S12_03 | | |
| | Provision of male condoms to adolescents | S12_04 | | |
| | Provision of emergency contraceptive pills to adolescents | S12_06 | | |
| | Provision of intrauterine contraceptive device (IUCD) to adolescents | S12_07 | | |
| | Provision of ART to adolescents | S12_09 | | |
| SERVICE READINESS IN11 | | | | |
| % of facilities providing adolescent health services with tracer items on the day of the assessment | | | | |
| Staff and training DO23 | Guidelines for service provision to adolescents | T14 | Country adapt to which standards/guidelines are required/accepted. | Guidelines observed in service area. |
| | Staff trained in provision of adolescent health services† | T15 | At least one staff providing services for adolescents trained in adolescent health in the last two years. | Interview response from in-charge of service area day of survey. |
| | Staff providing family planning services trained in adolescent sexual and reproductive health | T16 | At least one staff providing family planning services trained in adolescent sexual and reproductive health in the last two years. | |
| | Staff providing HIV testing and counselling services trained in HIV/AIDS prevention, care, and management for adolescents | T17 | At least one staff providing HIV testing and counselling services trained in HIV prevention, care, and management in the last two years. | |
| Diagnostics DO77 | HIV diagnostic capacity | D6 | RDT kit or ELISA test with ELISA washer, ELISA reader, incubator, specific assay kit | |
| Medicines and commodities DO24 | Condoms | M17 | Male | Observed in service area OR where routinely stored; in stock with at least one valid. |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|------|--|---|
| REFERENCES: | | | | |
| Quality assessment guidebook: a guide to assessing health services for adolescent clients http://whqlibdoc.who.int/publications/2009/9789241598859_eng.pdf | | | | |
| Adolescent job aid: a handy desk reference tool for primary level health workers http://whqlibdoc.who.int/publications/2010/9789241599962_eng.pdf | | | | |
| Orientation programme on adolescent health for health-care providers http://www.who.int/child_adolescent_health/documents/9241591269/en/index.html | | | | |
| Adolescent friendly health services: An agenda for change http://whqlibdoc.who.int/hq/2003/WHO_FCH_CAH_02.14.pdf | | | | |
| Protecting young people from HIV and AIDS: the role of health services http://whqlibdoc.who.int/publications/2004/9241592478.pdf | | | | |
| Priority medicines for mothers D070 | | | | |
| % of facilities offering delivery services that have: | | | | |
| | Oxytocin injectable | M22 | | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Sodium chloride injectable solution | M69 | | |
| | Calcium gluconate injectable | M70 | | |
| | Magnesium sulphate injectable | M24 | | |
| | Ampicillin powder for injection | M71 | | |
| | Gentamicin injectable | M72 | 40 mg/ml in 1 ml or 2 ml ampoules, 20 mg/ml in 1 ml ampoules, or 10 ml/ml in 1 ml ampoules | |
| | Metronidazole injectable | M73 | | |
| | Misoprostol cap/tab | M74 | | |
| | Azithromycin cap/tab or oral liquid | M75 | | |
| | Cefixime cap/tab | M76 | | |
| | Benzathine benzylpenicillin powder for injection | M77 | | |
| | Betamethasone or Dexamethasone injectable | M78 | | |
| | Nifedipine cap/tab | M79 | | |
| | Hydralazine injection | M106 | | |
| | Methyldopa tablet | M107 | | |
| REFERENCES: | | | | |
| Priority medicines for mothers and children 2011 http://www.who.int/medicines/publications/A4prioritymedicines.pdf | | | | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|---|------|---|---|
| Priority medicines for children DO71 | | | | |
| % of facilities providing child health curative care services that have: | | | | |
| | Amoxicillin (dispersible tablet 250 or 500 mg OR syrup/suspension) | M33 | Any child dosage or formulation. | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Ampicillin powder for injection | M71 | | |
| | Ceftriaxone powder for injection | M5 | | |
| | Gentamicin injectable 20 mg/ml in 1 ml ampoules, or 10 mg/ml in 1 ml ampoules | M141 | | |
| | Procaine benzylpenicillin powder for injection | M80 | | |
| | Oral Rehydration Salts (ORS) sachets | M32 | | |
| | Zinc sulphate tablets or syrup | M36 | | |
| | Artemisinin combination therapy (ACT) | M81 | | |
| | Artesunate rectal or injectable forms | M82 | | |
| | Vitamin A capsules | M34 | | |
| | Morphine granule, injectable or cap/tab | M83 | | |
| | Paracetamol syrup/suspension | M12 | | |
| REFERENCES: | | | | |
| Priority medicines for mothers and children 2011 http://www.who.int/medicines/publications/A4prioritymedicines.pdf | | | | |
| Life-saving commodities for women and children | | | | |
| % of facilities that have: | | | | |
| COMMODITIES IN STOCK | | | | |
| Family planning | Female condoms | M99 | Levonorgestrel or etonogestrel implant | Observed available in pharmacy or where they are routinely stored, at least one with valid expiration date; |
| | Implants | M108 | | |
| | Emergency contraceptives | M109 | | |
| Maternal health | Oxytocin | M22 | Injectable | |
| | Misoprostol | M74 | 200 µg tablets | |
| | Magnesium sulphate | M24 | Injectable | |
| Newborn health | Injectable antibiotics | M110 | Procaine benzylpenicillin (PBP) or gentamicin and ceftriaxone | |
| | Antenatal corticosteroids | M78 | Betamethasone or dexamethasone | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------|---|-----------------------|
| | Chlorhexidine 4% gel or solution | M111 | | |
| | Resuscitation equipment | E43 | Newborn bag and mask (size 1 for term babies and size 0 for pre-term babies), suction device (suction catheter and electric suction bulb, or mucus aspirator bulb – single use or multi-use sterilizable) | |
| Child health | Amoxicillin | M33 | 250 mg or 500 mg dispersible tablets or syrup/suspension | |
| | Oral rehydration salts | M32 | | |
| | Zinc sulphate | M36 | Tablets or syrup | |
| STOCK OUTS (% of facilities that had a stock out in the previous 3 months) | | | | |
| | Female condoms | M99_A | | |
| | Levonorgestrel implant | M100_A | | |
| | Etonogestrel implant | M101_A | | |
| | Levonorgestrel tablet | M102_A | | |
| | Ulipristal acetate tablet | M103_A | | |
| | Mifepristone tablet 10-25 mg | M104_A | | |
| | Oxytocin injection | M22_A | | |
| | Misoprostol 200µg tablets | M74_A | | |
| | Magnesium sulphate injection | M24_A | | |
| | Gentamicin injection 40mg/ml in 1ml or 2ml ampoules | M72_A | | |
| | Gentamicin injection 20mg/ml in 1ml ampoules | M72_B | | |
| | Gentamicin injection 10mg/ml in 1ml ampoules | M72_C | | |
| | Procaine benzylpenicillin injection | M80_A | | |
| | Ceftriaxone injection | M5_A | | |
| | Betamethasone injection | M78_A | | |
| | Dexamethasone injection | M78_B | | |
| | Chlorhexidine 4% gel or solution | M111_A | | |
| | Amoxicillin (dispersible tablet 250 or 500 mg OR syrup/suspension) | M33_A | | |
| | Oral rehydration salts (ORS) | M32_A | | |
| | Zinc sulphate tablets | M36_A | | |
| | Zinc sulphate syrup | M36_B | | |
| REFERENCES: | | | | |
| UN Commission on Life-Saving Commodities | | | | |
| http://www.everywomaneverychild.org/resources/un-commission-on-life-saving-commodities/life-saving-commodities | | | | |

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TABLE 4.4.2: TRACER INDICATORS FOR COMMUNICABLE DISEASE SERVICE AVAILABILITY AND READINESS

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|--------|--|--|
| Malaria | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Malaria services | S15 | | |
| | Malaria diagnosis | S15_01 | | |
| | Malaria diagnostic testing | S15_02 | Facility uses laboratory diagnostic test (RDT or microscopy) to diagnose malaria | |
| | Malaria diagnosis by clinical symptoms | S15_05 | | |
| | Malaria diagnosis by RDT | S15_06 | | |
| | Malaria diagnosis by microscopy | S15_07 | | |
| | Malaria treatment | S15_03 | | |
| | IPT | S15_04 | Only for high prevalence areas | |
| SERVICE READINESS IN12 | | | | |
| % of facilities providing malaria services with tracer items on the day of the assessment | | | | |
| Staff and training DO26 | Guidelines for diagnosis and treatment of malaria | T18 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | *Guidelines for IPT | T19 | Country adapt to which guidelines are required/accepted | |
| | Staff trained in malaria diagnosis and treatment† | T20 | At least one staff member providing the service trained in some aspect of malaria diagnosis and treatment in the last two years. | Interview response from in-charge of service area day of survey. |
| | *Staff trained in IPT† | T21 | At least one staff member providing the service trained in some aspect of IPT in the last two years. | |
| Diagnostics DO27 | Malaria diagnostic capacity | D3 | Malaria rapid test or smear (microscope, slides, stain, and accredited/certified microscopist) | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for malaria are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| Medicines and commodities DO28 | First-line antimalarial in stock | M37 | Artemisinin-based Combination Therapy (ACT) or other country specific | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Paracetamol cap/tab | M38 | | |
| | *IPT drug | M39 | Sulfadoxine + Pyrimethamine (SP) | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|--------|--|---|
| | *ITN | M40 | ITNs or vouchers available for distribution | |
| AUXILIARY INDICATORS | | | | |
| % of facilities providing malaria services with: | | | | |
| Staff | Accredited/certified microscopist | T59 | | |
| Diagnostics | Capacity to conduct malaria microscopy | D35 | Microscope, slides, stain, and accredited/certified microscopist | |
| | Capacity to conduct RDT | D34 | Staff trained in malaria diagnosis with RDTs, and RDTs available (observed and non-expired) at the facility on the day of the assessment | |
| | Availability of RDT | D36 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| Stock outs | RDT stock out | D36_A | Facility had a stock out of malaria RDTs in the past four weeks | |
| | Length of RDT stock out | D36_B | | |
| | ACT stock out | M37_A | Facility had a stock out of ACT in the past four weeks | |
| | Length of ACT stock out | M37_B | | |
| Medicines | Artemisinin monotherapy (oral) | M136 | Facilities are not expected to have this medicine | |
| | Artesunate rectal or injection dosage forms | M82 | | |
| | Chloroquine (oral) | M138 | Facilities are not expected to have this medicine | |
| | Quinine (oral) | M139 | | |
| | Primaquine (oral) | M140 | | |
| REFERENCES: | | | | |
| World Malaria Report http://www.who.int/malaria/world_malaria_report_2010/worldmalariareport2010.pdf | | | | |
| Guidelines for the treatment of malaria, second edition http://whqlibdoc.who.int/publications/2010/9789241547925_eng.pdf | | | | |
| Tuberculosis | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | TB services | S16 | | |
| | TB diagnosis | S16_01 | | |
| | TB diagnostic testing | S16_02 | Facility uses laboratory diagnostic test (sputum smear microscopy, culture, rapid test) or chest X-ray to diagnose TB | |

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| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------|---|---|
| | TB diagnosis by clinical symptoms | S16_03 | | |
| | TB diagnosis by sputum smear microscopy examination | S16_04 | | |
| | TB diagnosis by culture | S16_05 | | |
| | TB diagnosis by rapid test (GeneXpert MTB/RIF) | S16_06 | | |
| | TB diagnosis by chest X-ray | S16_07 | | |
| | Prescription of drugs to TB patients | S16_08 | | |
| | Provision of drugs to TB patients | S16_09 | | |
| | Management and treatment follow-up for TB patients | S16_10 | | |
| SERVICE READINESS IN13 | | | | |
| % of facilities providing tuberculosis services with tracer items on the day of the assessment | | | | |
| Staff and training DO29 | Guidelines for diagnosis and treatment of TB | T22 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Guidelines for management of HIV & TB co-infection | T23 | Country adapt to which guidelines are required/accepted | |
| | Guidelines related to MDR-TB treatment (or identification of need for referral) | T24 | Country adapt to which guidelines are required/accepted | |
| | Guidelines for TB infection control | T25 | Country adapt to which guidelines are required/accepted | |
| | Staff trained in TB diagnosis and treatment† | T26 | At least one staff member providing the service trained in TB diagnosis and treatment in the last two years. | Interview response from in-charge of service area day of survey. |
| | Staff trained in management of HIV & TB co-infection† | T27 | At least one staff member providing the service trained in HIV & TB co-infection in the last two years. | |
| | Staff trained in client MDR-TB treatment or identification of need for referral† | T28 | At least one staff member providing the service trained in MDR-TB in the last two years. | |
| | Staff trained in TB Infection Control† | T29 | At least one staff member is a referral person in charge of TB infection control and has received training in the last two years. | |
| Diagnostics DO30 | TB microscopy | D8 | Light or fluorescent microscope, slides, and ZN stain OR fluorescent microscope, slides, and auramine-rhodamine stain | Able to conduct the test off-site OR ability to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. |
| | HIV diagnostic capacity | D6 | RDT kit or ELISA test with ELISA washer, ELISA reader, incubator, specific assay kit | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|---|-----|--|---|
| | System for diagnosis of HIV among TB clients | D13 | Record or register showing TB clients who have been tested for HIV | Observed availability and in service area or adjacent area. |
| Medicines and commodities D031 | First-line TB medications | M41 | Isoniazid, Pyrazinamide, Rifampicin, and Ethambutol, or combinations to meet first-line TB treatment | Observed in service area OR where routinely stored; in stock with at least one valid. |
| REFERENCES: | | | | |
| Treatment of Tuberculosis: Guidelines for national programmes, 4th edition http://whqlibdoc.who.int/publications/2010/9789241547833_eng.pdf | | | | |
| The Global Plan to Stop TB 2011-2015: Transforming the fight towards elimination of tuberculosis http://www.stoptb.org/assets/documents/global/plan/TB_GlobalPlanToStopTB2011-2015.pdf | | | | |
| HIV: counselling and testing | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | HIV counselling and testing | S17 | | |
| SERVICE READINESS IN14 | | | | |
| % of facilities providing HIV counselling and testing services with tracer items on the day of the assessment | | | | |
| Staff and training D032 | Guidelines on HIV counselling and testing | T30 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in HIV counselling and testing† | T31 | At least one staff member providing the service trained in some aspect of VCT in the last two years. | Interview response from in-charge of service area day of survey. |
| Equipment D033 | Visual and auditory privacy | I23 | Private room or screened off area available in HIV/AIDS counselling area, a sufficient distance from sites where providers/clients routinely may be, so that a normal conversation could be held without being overheard, and without the client being observed. | Observed in service area. |
| Diagnostics D034 | HIV diagnostic capacity | D6 | RDT kit or ELISA test with ELISA washer, ELISA reader, incubator, specific assay kit | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for HIV are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| Medicines and commodities D035 | Condoms | M91 | Male | Observed in service area or immediate vicinity; in stock with at least one valid. |

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| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|--------|---|--|
| REFERENCES: | | | | |
| A Handbook for Improving HIV Testing and Counselling Services http://whqlibdoc.who.int/publications/2010/9789241500463_eng.pdf | | | | |
| HIV/AIDS care and support services | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | HIV/AIDS care and support services | S18 | | |
| | Treatment of opportunistic infections | S18_01 | | |
| | Provision of palliative care | S18_02 | | |
| | Intravenous treatment of fungal infections | S18_03 | | |
| | Treatment for Kaposi's sarcoma | S18_04 | | |
| | Nutritional rehabilitation services | S18_05 | | |
| | Prescribe/provide fortified protein supplementation | S18_06 | | |
| | Care for paediatric HIV/AIDS patients | S18_07 | | |
| | Provide/prescribe preventative treatment for TB | S18_08 | | |
| | Primary preventative treatment for opportunistic infections | S18_09 | | |
| | Provide/prescribe micronutrient supplementation | S18_10 | | |
| | Family planning counselling | S18_11 | | |
| | Provide condoms | S18_12 | | |
| SERVICE READINESS IN15 | | | | |
| % of facilities providing HIV/AIDS care and support services with tracer items on the day of the assessment | | | | |
| Staff and training D036 | Guidelines for clinical management of HIV & AIDS | T32 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Guidelines for palliative care | T33 | Country adapt to which guidelines are required/accepted | |
| | Staff trained in clinical management of HIV & AIDS† | T34 | At least one staff member providing the service trained in some aspect of treatment of opportunistic infections in the last two years | Interview response from in-charge of service area day of survey. |
| Diagnostics D037 | System for diagnosis of TB among HIV + clients | D14 | Record or register showing HIV+ clients who have been tested for TB | Observed availability and in service area or adjacent area. |
| Medicines and commodities | Intravenous solution with infusion set | M27 | Normal saline or Ringers Lactate, and Dextrose 5% | Observed in service area OR where routinely stored; |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|---|--|--|
| D038 | IV treatment fungal infections | M42 | Country-specific treatment of choice | in stock with at least one valid. |
| | Co-trimoxazole cap/tab | M43 | Oral adult formulation | |
| | First-line TB treatment medications | M41 | Isoniazid, Pyrazinamide, Rifampicin, and Ethambutol, or combinations to meet first-line TB treatment | |
| | Palliative care pain management | M44 | Country-specific treatment of choice for high level oral pain medication (e.g., codeine, demerol, diclofenac, ibuprofen) | |
| | Condoms | M17 | Male | |
| REFERENCES: | | | | |
| Essential Prevention and Care Interventions for Adults and Adolescents Living with HIV in Resource-Limited Settings http://www.who.int/hiv/pub/toolkits/Essential%20Prevention%20and%20Care%20interventions%20Jan%202008.pdf | | | | |
| HIV/AIDS: Antiretroviral prescription and client management | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | ARV prescription or ARV treatment follow-up services | S19 | | |
| | Antiretroviral prescription | S19_01 | | |
| | Treatment follow-up services for persons on ART | S19_02 | | |
| SERVICE READINESS IN16 | | | | |
| % of facilities providing antiretroviral prescription and client management services with tracer items on the day of the assessment | | | | |
| Staff and training DO39 | Guidelines for antiretroviral therapy | T35 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in ART prescription and management† | T36 | At least one staff member providing the service trained in some aspect of ART in the last two years | Interview response from in-charge of service area day of survey. |
| Diagnostics DO40 | Full blood count | D15 | Haematological counter, stains | Able to conduct the test off-site OR ability to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for HIV are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| | CD4 or Viral load | D16 | CD4:CD4 counter and specific assay kit | |
| | | D17 | VL: Assay specific automated system, centrifuge, vortex mixer, pipettes | |
| | Renal function test (serum creatinine testing or other) | D18 | Specific assay kit, centrifuge, biochemistry analyzer | |
| Liver function test (ALT or other) | D19 | Specific assay kit, centrifuge, biochemistry analyzer | | |
| Medicines and commodities DO41 | Three first-line antiretrovirals | M45 | Country-specific first line treatment regimen | Observed in service area OR where routinely stored; in stock with at least one valid. |

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| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|--------|---|--|
| REFERENCES: | | | | |
| Antiretroviral Therapy for HIV Infection in Adults and Adolescent http://www.who.int/hiv/pub/guidelines/artadultguidelines.pdf | | | | |
| HIV/AIDS: Preventing mother-to-child transmission (PMTCT) | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Preventing mother-to-child transmission (PMTCT) services | S20 | | |
| | Counselling and testing for HIV+ pregnant women | S20_01 | | |
| | Counselling and testing for infants born to HIV+ women | S20_02 | | |
| | ARV prophylaxis to HIV+ pregnant women | S20_03 | | |
| | ARV prophylaxis to infants born to HIV+ women | S20_04 | | |
| | Infant and young child feeding counselling | S20_05 | | |
| | Nutritional counselling for HIV+ women and their infants | S20_06 | | |
| | Family planning counselling to HIV+ women | S20_07 | | |
| SERVICE READINESS IN17 | | | | |
| % of facilities providing prevention of mother-to-child transmission (PMTCT) services with tracer items on the day of the assessment | | | | |
| Staff and training DO42 | Guidelines for PMTCT | T37 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Guidelines for infant and young child feeding counselling | T38 | Country adapt to which guidelines are required/accepted | |
| | Staff trained in PMTCT† | T39 | At least one staff member providing the service trained in some aspect of PMTCT in the last two years | Interview response from in-charge of service area day of survey. |
| | Staff trained in infant and young child feeding† | T40 | At least one staff member providing the service trained in some aspect of infant and young child feeding for HIV+ mothers in the last two years | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|---------------|---|---|
| Equipment DO43 | Visual and auditory privacy | I24 | Private room or screened off area available in PMTCT area, a sufficient distance from sites where providers/clients routinely may be, so that a normal conversation could be held without being overheard, and without the client being observed. | Observed in service area. |
| Diagnostics DO44 | HIV diagnostic capacity for adults | D6 | RDT kit or ELISA test with ELISA washer, ELISA reader, incubator, specific assay kit | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for PMTCT are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| | Dried blood spot (DBS) filter paper for diagnosing HIV in newborns | D7 | DBS filter paper (with valid expiration date) | |
| Medicines and commodities DO45 | Zidovudine (AZT) syrup | M46 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Nevirapine (NVP) syrup | M47 | | |
| | Maternal ARV prophylaxis | M48 | Option A: AZT, NVP, and 3TC Option B: AZT + 3TC + LVP or AZT + 3TC + ABC or AZT + 3TC + EFV or TDF + 3TC (or FTC) + EFV | |
| REFERENCES: | | | | |
| Antiretroviral Drugs for Treating Pregnant Women and Preventing HIV Infections in Infant http://whqlibdoc.who.int/publications/2010/9789241599818_eng.pdf | | | | |
| Pregnancy, childbirth, postpartum and newborn care: a guide for essential practice http://whqlibdoc.who.int/publications/2006/924159084X_eng.pdf | | | | |
| Sexually transmitted infections (STI) | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | STI services | S21 | | |
| | STI diagnosis | S21_01 | | |
| | STI treatment | S21_02 | | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|--|-----|---|--|
| SERVICE READINESS IN18 | | | | |
| % of facilities providing sexually transmitted infection services with tracer items on the day of the assessment | | | | |
| Staff and training DO46 | Guidelines for diagnosis and treatment of STIs | T41 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in STI diagnosis and treatment† | T42 | At least one staff providing the service trained in STI diagnosis and treatment in the last two years | Interview response from in-charge of service area day of survey. |
| Diagnostics DO47 | Syphilis rapid test | D9 | RDT kit | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for STIs are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| Medicines and commodities DO48 | Condoms | M17 | Male | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Metronidazole cap/tab | M49 | | |
| | Ciprofloxacin cap/tab | M6 | | |
| | Ceftriaxone injection | M5 | | |
| REFERENCES: | | | | |
| Sexually transmitted and other reproductive tract infections: a guide to essential practice http://whqlibdoc.who.int/publications/2005/9241592656.pdf | | | | |

TABLE 4.4.3: TRACER INDICATORS FOR NON-COMMUNICABLE DISEASE SERVICE AVAILABILITY AND READINESS

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|------|---|--|
| Diabetes | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Diabetes diagnosis and/or management | S22 | | |
| SERVICE READINESS IN19 | | | | |
| % of facilities providing diabetes services with tracer items on the day of the assessment | | | | |
| Staff and training DO49 | Guidelines for diabetes diagnosis and treatment | T43 | Country adapt to which guidelines are required/accepted (can be NCD guidelines which contain information on diabetes) | Guidelines observed in service area. |
| | Staff trained in diabetes diagnosis and treatment† | T44 | At least one staff providing the service trained in diabetes diagnosis and treatment in the last two years (can be an NCD training including a section on diabetes) | Interview response from in-charge of service area day of survey. |
| Equipment DO50 | Blood pressure apparatus | E5 | Digital BP machine or manual sphygmomanometer with stethoscope | Observed availability, reported functionality, and in service area or adjacent area. |
| | Adult scale | E1 | | |
| | Measuring tape (height board/ stadiometre) | E18 | | |
| Diagnostics DO51 | Blood glucose | D2 | | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. In area where tests for NCDs are carried out or anywhere in the facility where laboratory testing is routinely conducted. |
| | Urine dipstick- protein | D4 | | |
| | Urine dipstick- ketones | D20 | | |
| Medicines and commodities DO52 | Metformin cap/tab | M50 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Glibenclamide cap/tab | M10 | | |
| | Insulin regular injectable | M51 | | |
| | Glucose 50% injectable | M52 | | |
| | Gliclazide tablet or glipizide tablet | M115 | | |
| REFERENCES: | | | | |
| Definition, Diagnosis and Classification of Diabetes Mellitus http://whqlibdoc.who.int/hq/1999/who_ncd_ncs_99.2.pdf | | | | |
| Definition and diagnosis of diabetes mellitus and intermediate hyperglycemia http://www.who.int/diabetes/publications/Definition%20and%20diagnosis%20of%20diabetes_new.pdf | | | | |

4. Indicators index

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|-----|--|---|
| Cardiovascular disease | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Cardiovascular disease diagnosis and/or management | S23 | | |
| SERVICE READINESS IN20 | | | | |
| % of facilities providing cardiovascular disease services with tracer items on the day of the assessment | | | | |
| Staff and training DO53 | Guidelines for diagnosis and treatment of chronic cardiovascular conditions | T45 | Country adapt to which guidelines are required/accepted (can be NCD guidelines which contain information on CVD) | Guidelines observed in service area. |
| | Staff trained in diagnosis and management of chronic cardiovascular conditions† | T46 | At least one staff providing the service trained in diagnosis and management of chronic cardiovascular conditions in the last two years (can be an NCD training including a section on CVD). | Interview response from in-charge of service area day of survey. |
| Equipment DO54 | Stethoscope | E4 | | Observed availability, reported functionality, and in service area or adjacent area. |
| | Blood pressure apparatus | E5 | Digital BP machine or manual sphygmomanometer with stethoscope | |
| | Adult scale | E1 | | |
| | Oxygen | E45 | Cylinders or concentrators | |
| Medicines and commodities DO55 | ACE inhibitor (e.g. enalapril, lisinopril, ramipril, perindopril) | M53 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Hydrochlorothiazide tablet or other thiazide diuretic tablet | M54 | | |
| | Beta blocker (e.g. bisoprolol, metoprolol, carvedilol, atenolol) | M55 | | |
| | Calcium channel blockers (e.g. amlodipine) | M56 | | |
| | Aspirin cap/tabs | M57 | | |
| | Metformin cap/tabs | M50 | | |
| REFERENCES: | | | | |
| Prevention of cardiovascular disease: guideline of assessment and management of cardiovascular risk http://www.who.int/cardiovascular_diseases/guidelines/Full%20text.pdf | | | | |
| WHO CVD-risk management package for low – and medium-resource settings http://whqlibdoc.who.int/publications/2002/9241545852.pdf | | | | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|---|-----|--|---|
| Chronic respiratory disease (CRD) | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Chronic respiratory disease diagnosis and/or management | S24 | | |
| SERVICE READINESS IN21 | | | | |
| % of facilities providing chronic respiratory disease services with tracer items on the day of the assessment | | | | |
| Staff and training DO56 | Guidelines for diagnosis and management of CRD | T47 | Country adapt to which guidelines are required/accepted (can be NCD guidelines which contain information on CRD) | Guidelines observed in service area. |
| | Staff trained in diagnosis and management of CRD [†] | T48 | At least one staff providing the service trained in diagnosis and management of CRD in the last two years (can be an NCD training including a section on CRD). | Interview response from in-charge of service area day of survey. |
| Equipment DO57 | Stethoscope | E4 | Concentrators or cylinders | Observed availability, reported functionality, and in service area or adjacent area. |
| | Peak flow meter | E19 | | |
| | Spacers for inhalers | E20 | | |
| | Oxygen | E45 | | |
| Medicines and commodities DO58 | Salbutamol inhaler | M13 | | Observed in service area OR where routinely stored; in stock with at least one valid. |
| | Beclomethasone inhaler | M59 | | |
| | Prednisolone cap/tabs | M60 | | |
| | Hydrocortisone injection | M61 | | |
| | Epinephrine injectable | M62 | | |
| Cervical cancer screening | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Cervical cancer diagnosis | S29 | | |
| SERVICE READINESS IN26 | | | | |
| % of facilities providing cervical cancer screening services with tracer items on the day of the assessment | | | | |
| Staff and training DO78 | Guidelines for cervical cancer prevention and control | T60 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in cervical cancer prevention and control | T61 | At least one staff providing the service trained in cervical cancer prevention and control in the last two years (can be a broader training including a section on cervical cancer). | |
| Equipment DO79 | Speculum | E44 | | |
| Diagnostics DO80 | Acetic acid | D37 | | |

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TABLE 4.4.4: TRACER INDICATORS FOR SURGERY AND BLOOD TRANSFUSION SERVICE AVAILABILITY AND READINESS

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|---|--------|--|---|
| Basic surgery | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Basic surgical services | S25 | | |
| | Incision and drainage of abscesses | S25_01 | | |
| | Wound debridement | S25_02 | | |
| | Acute burn management | S25_03 | | |
| | Suturing | S25_04 | | |
| | Closed treatment of fracture | S25_05 | | |
| | Cricothyroidotomy | S25_06 | | |
| | Male circumcision | S25_07 | | |
| | Hydrocele reduction | S25_08 | | |
| | Chest tube insertion | S25_09 | | |
| SERVICE READINESS IN22 | | | | |
| % of facilities providing basic surgical services with tracer items on the day of the assessment | | | | |
| Staff and training DO59 | Guidelines for IMEESC | T49 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in IMEESC+ | T50 | At least one staff member providing the service trained in some aspect of IMEESC in the last two years | Interview response from in-charge of service area day of survey. |
| Equipment DO60 | Needle holder | E21 | | Observed availability, reported functionality, and in service area. |
| | Scalpel handle with blade | E22 | | |
| | Retractor | E23 | | |
| | Surgical scissors | E24 | | |
| | Nasogastric tubes (10-16 FG) | E25 | | |
| | Tourniquet | E26 | | |
| | Adult and paediatric resuscitators | E27 | | |
| | Suction apparatus (manual or electric sucker) | E28 | | |
| | Oxygen | E45 | Cylinders or concentrators | |
| Medicines and commodities DO61 | Skin disinfectant | M26 | | Observed in service area; in stock with at least one valid. |
| | Sutures (both absorbable and non-absorbable) | M63 | | |
| | Ketamine (injectable) | M64 | | |
| | Lidocaine (1% or 2% injectable) | M65 | | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|---|-----|---|---|
| REFERENCES: | | | | |
| Guide to Infrastructure and Supplies at Various Levels of Health Care Facilities: Emergency and Essential Surgical and Anaesthesia Procedure http://www.who.int/surgery/publications/GuideAnestheticInfrastFormatted06.pdf | | | | |
| Surgical care at the district hospital http://www.who.int/surgery/publications/en/SCDH.pdf | | | | |
| Blood transfusion | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Blood transfusion | S27 | | |
| SERVICE READINESS IN24 | | | | |
| % of facilities providing blood transfusion services with tracer items on the day of the assessment | | | | |
| Staff and training DO66 | Guidelines on the appropriate use of blood and safe blood transfusion | T55 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in the appropriate use of blood and safe blood transfusion† | T56 | At least one staff member providing the service trained in the appropriate use of blood and safe blood transfusion within the past 2 years | Interview response from in-charge of service area day of survey. |
| Equipment DO67 | Blood storage refrigerator | E31 | Functioning and with temperature maintained at 2 - 6 oC | Observed availability, reported functionality, and in service area or adjacent area. |
| Diagnostics DO68 | Blood typing | D21 | ABO blood group test, Rhesus blood group test, and centrifuge | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to conduct the test are observed on-site on the day of the survey. This may be in a laboratory or in the service area where the test is conducted. |
| | Cross match testing | D22 | Cross match (should use methods that demonstrate ABO incompatibility and incompatibility due to other clinically significant antibodies and should include an indirect anti-globulin test or a test of equivalent sensitivity), centrifuge, 37°C incubator, and grouping sera | |
| Medicines and commodities DO69 | Blood supply sufficiency | M66 | No interruption of blood availability in last three months | Reported availability. |
| | Blood supply safety | M67 | Blood obtained ONLY from national or regional blood bank, OR blood obtained from other sources but screened for HIV, Syphilis, Hepatitis B, and Hepatitis C. | |
| REFERENCES: | | | | |
| Universal access to safe blood transfusion http://www.who.int/bloodsafety/publications/UniversalAccesstoSafeBT.pdf | | | | |
| Screening Donated Blood for Transfusion-Transmissible Infection http://whqlibdoc.who.int/publications/2009/9789241547888_eng.pdf | | | | |

TABLE 3.4.5: TRACER ESSENTIAL MEDICINES BY CATEGORY

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|------|------------|---|
| Infectious disease medicines | | | | |
| % of facilities that have the following medicines in stock (observed valid) on the day of the assessment | | | | |
| | Me-/albendazole cap/tab | M35 | | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Amoxicillin cap/tab | M2 | | |
| | Ceftriaxone injection | M5 | | |
| | Co-trimoxazole cap/tab | M43 | | |
| | Ciprofloxacin cap/tab | M6 | | |
| | Fluconazole cap/tab | M135 | | |
| | Metronidazole cap/tab | M49 | | |
| Non-communicable disease medicines | | | | |
| % of facilities that have the following medicines in stock (observed valid) on the day of the assessment | | | | |
| | Amlodipine tablet or alternative calcium channel blocker | M56 | | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Aspirin cap/tab | M57 | | |
| | Beclometasone inhaler | M59 | | |
| | Beta blocker (e.g. bisoprolol, metoprolol, carvedilol, atenolol) | M55 | | |
| | Enalapril tablet or other ACE inhibitor e.g. lisinopril, ramipril, perindopril | M53 | | |
| | Epinephrine injectable | M62 | | |
| | Furosemide cap/tab | M114 | | |
| | Glibenclamide cap/tab | M10 | | |
| | Gliclazide tablet or glipizide tablet | M115 | | |
| | Glucose 50% injection | M52 | | |
| | Glyceryl trinitrate sublingual tablet | M116 | | |
| | Hydrochlorothiazide tablet or other thiazide diuretic tablet | M54 | | |
| | Hydrocortisone injection | M61 | | |
| | Ibuprofen tablet | M95 | | |
| | Insulin regular injection | M51 | | |
| | Isosorbide dinitrate sublingual tablet | M118 | | |
| | Metformin tablet | M50 | | |
| | Omeprazole tablet or alternative such as pantoprazole, rabeprazole | M11 | | |
| | Paracetamol cap/tab | M38 | | |
| | Prednisolone cap/tab | M60 | | |
| | Salbutamol inhaler | M13 | | |

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|------|------------|---|
| | Simvastatin tablet or other statin e.g. atorvastatin, pravastatin, fluvastatin | M14 | | |
| Reproductive health medicines | | | | |
| % of facilities that have the following medicines in stock (observed valid) on the day of the assessment | | | | |
| See “8. Priority medicines for mothers” | | | | |
| Child health medicines | | | | |
| % of facilities that have the following medicines in stock (observed valid) on the day of the assessment | | | | |
| See “9. Priority medicines for children” | | | | |
| Mental health and neurological medicines | | | | |
| % of facilities that have the following medicines in stock (observed valid) on the day of the assessment | | | | |
| | Amitriptyline tablet | M1 | | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Carbamazepine tablet | M119 | | |
| | Chlorpromazine injection | M120 | | |
| | Diazepam tablet | M121 | | |
| | Diazepam injection or diazepam rectal tubes | M122 | | |
| | Fluoxetine tablet | M94 | | |
| | Fluphenazine injection | M123 | | |
| | Haloperidol tablet | M124 | | |
| | Lithium tablet | M125 | | |
| | Phenobarbital tablet | M126 | | |
| | Phenytoin tablet | M127 | | |
| | Valproate sodium tablet | M128 | | |
| Palliative care medicines | | | | |
| % of facilities that have the following medicines in stock (observed valid) on the day of the assessment | | | | |
| | Dexamethasone injection | M129 | | Observed in pharmacy or in area where they are routinely stored, at least one with valid expiration date. |
| | Haloperidol injection | M130 | | |
| | Hyoscine butylbromide injection | M131 | | |
| | Lorazepam tablet | M132 | | |
| | Metoclopramide injection | M133 | | |
| | Morphine granule, injectable or cap/tab | M83 | | |
| | Senna preparation (laxative) | M134 | | |

**TABLE 4.4.5: TRACER INDICATORS GENERAL SERVICE READINESS:
HOSPITAL LEVEL OPTIONAL INDICATORS**

*** THESE ARE IN ADDITION TO THE PRIMARY LEVEL INDICATORS***

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|--------|--|--|
| 23. Comprehensive surgery | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Comprehensive surgical services | S28 | Hospital that provide surgical services | |
| | Tracheostomy | S28_01 | | |
| | Tubal ligation | S28_02 | | |
| | Vasectomy | S28_03 | | |
| | Dilatation & Curettage | S28_04 | | |
| | Obstetric fistula repair | S28_05 | | |
| | Episiotomy | S28_06 | | |
| | Appendectomy | S28_07 | | |
| | Hernia repair | S28_08 | | |
| | Cystostomy | S28_09 | | |
| | Urethral stricture dilatation | S28_10 | | |
| | Laparotomy | S28_11 | | |
| | Congenital hernia repair | S28_12 | | |
| | Neonatal surgery | S28_13 | | |
| | Cleft lip repair | S28_14 | | |
| | Contracture release | S28_15 | | |
| | Skin grafting | S28_16 | | |
| | Open treatment of fracture | S28_17 | | |
| | Amputation | S28_18 | | |
| | Cataract surgery | S28_19 | | |
| SERVICE READINESS IN25 | | | | |
| % of facilities providing comprehensive surgical services with tracer items on the day of the assessment | | | | |
| Staff and training D072 | Guidelines for IMEESC (WHO Integrated Management for Essential and Emergency Care) | T49 | Country adapt to which guidelines are required/accepted | Guidelines observed in service area. |
| | Staff trained in IMEESC [†] | T50 | At least one staff member providing the service trained in some aspect of IMEESC in the last two years | Interview response from in-charge of service area day of survey. |
| | Staff trained in surgery | T57 | Trained health professional (clinical officer, general doctor, or surgeon) providing surgery present in the facility or available 24 hours a day | |

[†] During a pretest of the core SARA questionnaire, it was suggested that indicators regarding trained staff may be subject to data quality issues given the current methodology i.e., the phrasing of the questionnaire, and the respondent of the questions on trained staff. Results should be interpreted with caution. Alternate questions are being planned to be tested, and the definition will be updated accordingly.

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|--|--------------------------------------|-----|---|---|
| | Staff trained in anaesthesia | T58 | Trained health professional (nurse, clinical officer, general doctor, surgeon, or anaesthesiologist) providing anaesthesia present in the facility or available 24 hours a day | |
| Equipment D073 | Oxygen | E45 | Cylinder or concentrator | Observed availability, reported functionality, and in service area. |
| | Anaesthesia equipment | E29 | Anaesthesia machine to deliver aesthetic gases and oxygen Tubings and connectors to connect to the endotracheal tube Resuscitator bag and mask- adult and paediatric Intubation set adult and paediatric: (Oropharyngeal airway, endotracheal tubes, laryngoscope, Magill's forceps, stylet) | |
| | Spinal needle | E32 | | |
| | Suction apparatus | E28 | Manual or electric | |
| Medicines and commodities D074 | Thiopental (powder) | M84 | | Observed in service area; in stock with at least one valid. |
| | Suxamethonium bromide (powder) | M85 | | |
| | Atropine (injectable) | M86 | | |
| | Diazepam (injectable) | M25 | | |
| | Halothane (inhalation) | M87 | | |
| | Bupivacaine (injectable) | M88 | | |
| | Lidocaine 5% (heavy spinal solution) | M89 | | |
| | Epinephrine (injectable) | M62 | | |
| | Ephedrine (injectable) | M90 | | |
| REFERENCES: | | | | |
| Guide to Infrastructure and Supplies at Various Levels of Health Care Facilities: Emergency and Essential Surgical and Anaesthesia Procedures http://www.who.int/surgery/publications/GuideAnestheticInfrastFormatted06.pdf | | | | |
| Surgical care at the district hospital http://www.who.int/surgery/publications/en/SCDH.pdf | | | | |
| 24. Laboratory capacity D075 (in addition to the primary lab tests) | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | Serum electrolytes | D24 | Specific assay kit, centrifuge, biochemistry analyser | Able to conduct the test on-site (in the facility) and functioning equipment and reagents needed to |
| | Full blood count with differential | D25 | Haematological counter, stains | |

4. INDICATORS INDEX

| Domain | Tracer indicator | ID | Definition | Data collection notes |
|---|--|-------------|--|---|
| | Blood typing (ABO and Rhesus) and cross match (by anti-globulin or equivalent) | D21/ D22 | ABO blood group test, Rhesus blood group test, and centrifuge. Cross match (should use methods that demonstrate ABO incompatibility and incompatibility due to other clinically significant antibodies and should include an indirect anti-globulin test or a test of equivalent sensitivity), centrifuge, 37°C incubator, and grouping sera | conduct the test are observed on-site on the day of the survey. This may be in a laboratory or in the service area where the test is conducted. |
| | Liver function test (ALT or other) | D19 | Specific assay kit, centrifuge, biochemistry analyzer | |
| | Renal function test (serum creatinine testing or other) | D18 | Specific assay kit, centrifuge, biochemistry analyzer | |
| | CD4 count and percentage | D16 | CD4 counter, specific assay kit | |
| | HIV antibody testing (ELISA) | D23 | ELISA washer, incubator, ELISA reader, specific assay kit | |
| | Syphilis serology | D29 | Specific assay kit | |
| | Cryptococcal antigen | D30 | Specific assay kit | |
| | Gram stain | D31 | Microscope, slides, gram stains | |
| | Urine microscopy testing | D32 | Microscope, slides | |
| | CSF/body fluid counts | D33 | Microscope | |
| REFERENCES: | | | | |
| Consultation on technical and operation recommendations for clinical laboratory testing harmonization and standardization http://www.who.int/healthsystems/round9_9.pdf | | | | |
| 25. High level diagnostic equipment D076 | | | | |
| SERVICE AVAILABILITY | | | | |
| % of facilities offering: | | | | |
| | X-ray | E33 | | Observed availability, reported functionality, anywhere in the facility. |
| | ECG | E34 | | |
| | Ultrasound | E35 | | |
| | CT scan | E36 | | |

