

**BASELINE HOUSEHOLD QUESTIONNAIRE 2014 – SIEF EVALUATION**

<i>INTERVIEWER: Questions 1-11 should be filled in based on the household list</i>		
1	District name and code	
2	Block name and code	
3	Gram Panchayat name and code	
4	Village name and code	
5	Number of segments formed in the village	
6	Serial number of selected segment	
7	Structure number	
8	Unique household ID	
9	Type of Household	
10	Name of Head of the household	
11	Address	
12	Please specify the number of usual members living in your household	

	13	14	15	16	17	18
	INTERVIEW	DAY	MONTH	YEAR	Start Time	Outcome 1.Continue With Interview 2.Pause and take next appointment(This option will not be available for 3 <sup>rd</sup> visit 3.Refused( GO TO 19)
1	1 <sup>st</sup> visit				__:__ AM/PM	
2	2 <sup>nd</sup> visit				__:__ AM/PM	
3	3 <sup>rd</sup> visit				__:__ AM/PM	

<b>19</b>	
<b>Reason for Refusal</b>	
1	HOUSEHOLD ABSENT- A) LESS THAN A MONTH B) MORE THAN A MONTH
2	REFUSED
3	DWELLING DESTROYED
4	DWELLING VACANT/ADDRESS NOT A DWELLING
5	DWELLING NOT FOUND
6	OTHER

<b>Household agreed to interview (consent given) – This needs to be accompanied by the printed and signed consent form! [see separate file]</b>	
20	Y / N

## SECTION A. GENERAL HOUSEHOLD CHARACTERISTICS

**READ TO RESPONDENT:** *I would now like to ask you some general characteristics about your household:*

### A.1 What is the head of the household's religion? *CIRCLE ANSWER*

- 1 Hinduism
- 2 Islam
- 3 Christianity
- 4 Sikhism
- 5 Jainism
- 6 Buddhism
- 7 No religion
- 88 Other (SPECIFY): \_\_\_\_\_

### A.2 How long has the household head lived in this village? *CIRCLE ANSWER*

All his/her life: 1 Yes  
2 No  ENTER No of YEARS:    
99 Don't Know

### A.3 What is the primary activity of the household ? *CIRCLE ANSWER*

- |  |  |
|--|--|
| 1 Cultivator                                   | 13 Clerical  |
| 2 Allied agricultural activities               | 14 Supervisory level                                     |
| 3 Petty traders                                | 15 Officers/Executive – Junior                           |
| 4 Shop owners                                  | 16 Officers/Executive – Middle/Senior                    |
| 5 Businessman with no employee                 | 17 Businessman with 1-9 employee                         |
| 6 Self employed – Professional                 | 18 Businessman with 10 or more employee                  |
| 7 Self employed – Non-Professional             | 19 Self employed professional with hired employees       |
| 8 Agriculture & allied wage labour             | 20 Self employed-Non Professional with hiring employee/s |
| 9 Non-agriculture <b>unskilled</b> wage labour | 88 Others  |
| 10 Non-agriculture <b>skilled</b> wage labour  | (Pension/Rent/Interest/Dividend/Royalty/Remittance)      |
| 11 Grade 4 - Unskilled                         |  |
| 12 Grade 4 - Skilled                           |  |

### A.4 Is it possible that, in about one year's time, your household will have moved away from this village? *CIRCLE ANSWER*

- 1 Yes, certainly, we already have plans to move away
- 2 Yes, it is very likely
- 3 Somewhat likely
- 4 Unlikely
- 5 Very unlikely
- 6 We will for sure not move away
- 99 Don't Know

### A.5 Did any household members migrate during the last year? *CIRCLE ANSWER*

- 1 Yes, all household members
- 2 Yes, some household members
- 3 No, none => **GO TO A.7**

**A.6 Where did these household members migrate to? CIRCLE ANSWER (Multiple answers possible)**

- 1 Urban areas in another state or abroad
- 2 Urban areas in Maharashtra
- 3 Rural areas
- 99 Don't Know

**A.7 Does your household own a government benefit card? PLEASE READ OUT ALL THE OPTIONS CIRCLE ANSWER.**

Sr. No		Yes	No	DK	Sr. No		Yes	No	DK
1	Antyodaya card (Green)	1	2	99	5	Aadhaar UID	1	2	99
2	BPL card (Yellow)	1	2	99	6	RGJAY	1	2	99
3	Ration card	1	2	99	7	MG-NREGA	1	2	99
4	RSBY	1	2	99	8	Others _____	1	2	99

**SECTION B. CHARACTERISTICS OF DWELLING WHERE HOUSEHOLD IS CURRENTLY LIVING**

**READ TO RESPONDENT: I would like to ask you about the dwelling you currently live in.**

**B.1 What type of dwelling is this? CIRCLE ANSWER**

- 1 Pucca House– wall and roof is pucca
- 2 Semi-Pucca House – only wall or roof is pucca
- 3 Kutcha House– both roof and wall are kutcha

**B.2 How many rooms does the dwelling have? (INCLUDE KITCHEN IF THIS IS A SEPARATE ROOM WITHIN THE DWELLING)**

1 ENTER NUMBER:   \_\_\_\_\_  
 99 Don't Know

**B.3 What is the ownership status of this dwelling? CIRCLE ANSWER**

- |   |  |
|---|--|
| 1 Owned by self or other household member | 6 Distant Relatives                    |
| 2 Village landowners                      | 7 Private Party who is not a landowner |
| 3 State                                   | 88 Other                               |
| 4 Landowners from outside the village     | 99 Don't Know                          |
| 5 Close relatives                         |  |

IF HH owns the dwelling (i.e. answer to B.3 is 1), ask B.4 otherwise SKIP to B.6

**B.4 How long have you owned this dwelling?**

All their life: 1 Yes  
 2 No ENTER No of YEARS: \_\_\_\_\_  
 99 Don't Know

**B.5 If sold today, what is the value of this dwelling (Rs.)? IF RESPONDENT ANSWERS "DON'T KNOW", PROMPT FOR BEST ESTIMATE OR ESTIMATE FOR VALUE OF SIMILAR DWELLING NEARBY**

1 ENTER AMOUNT \_\_\_\_\_  
 99 for Don't Know

**B.6 Given that you don't own this dwelling you currently live in, what is the ownership? CIRCLE ANSWER**

- 1 Rented
- 2 Live for free (assistance) => **GO TO B.9**
- 3 Lease
- 88 Other
- 99 Don't Know                      => **GO TO B.9**

**B.7 How frequently do you pay rent? CIRCLE ANSWER**

- 1 Weekly
- 2 Bi-weekly
- 3 Monthly
- 4 Yearly
- 88 Other (Specify) \_\_\_\_\_
- 99 Don't know                      => **GO TO B.9**

**B.8 How much rent do you pay (Rs) in a [ENTER OPTION PICKED IN QN ABOVE]?**

- 1 ENTER AMOUNT \_\_\_\_\_      \_\_\_\_\_
- 99 for Don't Know

**B.9 What is the primary material of the floor? CIRCLE ANSWER**

- |                            |                          |
|----------------------------|--------------------------|
| 1 Mud/clay/earth           | 9 Ceramic tiles          |
| 2 Sand                     | 10 Cement                |
| 3 Raw wood planks          | 11 Carpet                |
| 4 Palm/bamboo              | 12 Polished stone/marble |
| 5 Brick                    | 13 Granite               |
| 6 Stone                    | 88 Other                 |
| 7 Parquet or polished wood |                          |
| 8 Vinyl or asphalt         |                          |

**B.10 What is the primary fuel used by the household for cooking? CIRCLE ANSWER**

- |                    |                           |
|--------------------|---------------------------|
| 1 LPG              | 5 Charcoal                |
| 2 Biogas           | 6 Cow Dung Cake           |
| 3 Kerosene / Stowe | 88 Other (SPECIFY): _____ |
| 4 Firewood         | 99 Don't Know             |

**B.11 What is the primary fuel used by the household for lighting? CIRCLE ANSWER**

- |                  |                           |
|------------------|---------------------------|
| 1 Electricity    | 6 Candles                 |
| 2 Generator      | 7 Solar                   |
| 3 Car battery    | 88 Other (SPECIFY): _____ |
| 4 Dry battery    | 99 Don't Know             |
| 5 Kerosene Lamps |                           |

**SECTION C. HOUSEHOLD ROSTER**

**READ TO RESPONDENT:** I would like to start with asking you about the persons who usually live in your household and who normally take meals from a common kitchen. Please include all household members who are currently present and those who are temporarily absent (that is, those who are away and who plan to return within 6 months). A household member who has been away for 6 or more months, and a household member who has been away for less than 6 months but plans to move out/be away for 6 months or more is not regarded as a household member. A guest who has stayed in the household for 6 or more months or a guest who has stayed in the household for less than 6 months but plans to stay for 6 months or more is regarded as a household member.

**INTERVIEWER, IN CASE THERE IS SOMEONE LIVING IN THE HOUSEHOLD FOR MORE THAN 6 MONTHS BUT IS NOT A FAMILY MEMBER, SERVENT (OR MORE DISTANT RELATIVE) HE/SHE SHOULD BE CONSIDERED AS A MEMBER.** Start with head of the household, then wife, then eldest son, if he is married, his wife and children, then next son,... then first daughter, her husband and children if applicable

	C.1	C.2	C.3	C.4	C.5	C.6	C.7	C.8	C.9	C.10
HH Member No			Is [NAME] male or female?	What is [NAME'S] relationship to the HH head?	What is the completed age of [NAME] in yrs?	What is [NAME'S] marital status? Only if >10yrs	Is [NAME] able to read?	Is [NAME] able to write?	If 6 is excellent health and 1 extremely poor health, how good would you say that [name]'s physical health is?	Does [NAME] have any diagnosed chronic diseases? ENTER CODE, MULTIPLE ANSWERS POSSIBLE
	(C.7 – C.10 => only for >10yrs)									
	FIRST NAME	LAST NAME	CIRCLE ANSWER 1=Male 2=Female	(see relationship codes)	ENTER AGE (No OF YEARS)	1 Never Married 2 Married 3 Separated 4 Divorced 5 Widowed 6 Married but no Gauna			1 Extremely poor health 2 Poor health 3 Fair health 4 Good health 5 Very good health 6 Excellent health	1 No 2 Yes, diabetes (sugar) 3 Yes, asthma 4 yes, other lung disease 5 yes, heart disease 6 yes arthritis or rheumatic disease 7 yes, cancer 8 yes, other chronic disease 99 Don't Know
1			1 / 2				Y/N	Y/N		
...			1 / 2							

**SECTION D. EDUCATION**

**READ TO RESPONDENT:** Next, I would now like to ask you about the education of your household members. We will use the same order as before to ask questions about each household member.

		D.1	D.2	D.3	D.4	D.5
Household member		What is the highest grade [NAME] has <u>completed</u> ?	Is [NAME] attending any educational institution in the <u>current academic year</u> ? (crèche, school, college, university...)	How many days in total did [NAME] miss school in the last month, excluding school holidays?	What is the main reason why [NAME] missed school?  ENTER CODE  ⇒ Go to NEXT HOUSEHOLD MEMBER	What is the main reason [NAME] is not currently in school or kindergarten?  ENTER CODE
Cod e	HH member NAME	(please record the number of years as per grade mentioned)  <div style="display: flex; justify-content: center; gap: 10px;"> <span>77 Not applicable</span> <span>88 Non Formal Education</span> <span>98 Illiterate</span> <span>00 Kindergarden</span> </div> IF AGE>5 AND AGE<=25 continue; ELSE GO TO NEXT HH MEMBER	CIRCLE ANSWER  IF NO, or DK ⇒ GO TO D.5	ENTER NUMBER OF DAYS  '99' for don't know  IF ==0, GO TO NEXT HOUSEHOLD MEMBER	1 Too old/ completed school 2 Too far away 3 Too expensive 4 Is working 5 Helps at home/ takes care of young siblings and elderly relatives 6 Uninteresting 7 illness / pregnancy 8 Failed exam 9 Got married 10 No sanitation facility available 88 Other 99 Don't Know	1 Too old/ completed school 2 Too far away 3 Too expensive 4 Is working 5 Helps at home/ takes care of young siblings and elderly relatives 6 Uninteresting 7 illness / pregnancy 8 Failed exam 9 Got married 10 No sanitation facility available 88 Other 99 Don't Know
1		<input type="text"/>	Y / N / DK	<input type="text"/>	<input type="text"/>	<input type="text"/>
...			Y / N / DK			

**SECTION E. INDIVIDUAL SANITATION BEHAVIOUR**

**READ TO RESPONDENT:** *I would like to ask you some questions on the sanitation behaviour of household members. INTERVIEWER, ASK THE QUESTIONS E.1-E.6 FOR ALL HOUSEHOLD MEMBERS.*

		E.1	E.2	E.3	E.4	E.5	E.6
	IS [NAME] 4 Yrs OR OLDER? [Pre-populate from roster]	Where does [NAME] typically go to defecate? MULTIPLE ANSWERS POSSIBLE ⇒ ASK E.2 for all options indicated	Is there water at the site [NAME] goes to defecate at for washing hands?	Does [NAME] wash his/her hands after defecating?	Where does [NAME] mainly go to urinate when at home?	Does [NAME] feel comfortable to visit this place at any time of the day?	Where does [NAME] typically go to urinate when at work/school?
HH Member No	CIRCLE ANSWER  IF NO, SKIP TO NEXT HH member	1 - Own toilet 2 - Neighbours toilet 3 - Community toilet 4 - Toilet at school or work 5 - OD, close to the home 6 - OD, more than 5min from the home 88 - Other 99 - Don't know	1 – Yes, always 2 – Yes, sometimes 3 – No, we have to take it with us 4 – No, we have to go back 88 – Other 99 – Don't know	1 - Never 2 - Sometimes 3 - Most of the time 4 - Always  99 - Don't know	1 - Own toilet 2 – Own bathroom/bathing enclosure 3 - Neighbours toilet 4 - Community toilet 5 - Toilet at school or work 6 - OD, close to the home 7 - OD, more than 5min from the home 88 - Other 99 - Don't know	1 – Yes 2 - Yes, but only when accompanied by someone 3 - No, only at certain times (s.a. when it is light, etc.)  88 - Other 99 - Don't know	1 - Not applicable (stays home) 2 - Avoids going at all, waits until home 3 - Goes home 4 - Community toilet or other toilet nearby 5 - Toilet at school or work 6 - OD, close to work/school/etc 7 - OD, more than 5min from work/school/etc 88 - Other 99 - Don't know
1	Y / N						
...	Y / N						

**SECTION F. Income generating activities: Hrs spent on main paid work, last week**

	F.1	F.2	F.3	F.4	F.5	F.6	F.7	F.8	F.9	F.10	F.11
Pre-populate HH MEMBER names for members AGED > 5 YEARS	Did [NAME] contribute to household income in the last week? → IF YES, ASK F.5-F.11 → IF NO ASK: F.2, F.3 & F4	What did [NAME ] do primarily in the last week? ENTER CODE. MULTIPLE ANSWERS POSSIBLE  USE Code1 below	In the last 6 months was [NAME] doing any activity that contributes to the HH income?	Which activity? ENTER CODE. MULTIPLE ANSWERS POSSIBLE → GO TO NEXT HH member	What are the two most important activities that [NAME] did in the last week to contribute to household income?  USE Code2 below  → ASK QUESTIONS F.6-F.11 FOR ALL ACTIVITIES MENTIONED HERE.	How many days did [NAME] do this activity last week?  ENTER DAYS	How many hours did [NAME] work in this activity in the last week?  ENTER HOURS  99 – Don't know 77 – NR	How frequently does [NAME] get paid for this activity?  1. Daily 2. Weekly 3. Every 2 weeks 4. Monthly 5. Quarterly 6. Annually 77 NR 99 Don't Know	The last time [NAME] was paid for this activity, how much did he receive?  ENTER AMOUNT (Rs).  99 – Don't know 77 – NR	How many weeks in a month does [NAME] typically do this activity?  ENTER WEEKS  99 – Don't know 77 – NR	How many months in a year does [NAME] usually do this activity ?  ENTER NUMBER OF MONTHS
1	Y / N		Y / N			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
2	...		...								

**Code 1 (for question F.2):** 1 Not working but was actively searching for work, 2 Student, 3 Look after the HH and their members (children or elderly), 4 Permanently disabled to work , 5 Temporarily disabled to work or sick, 6 Housewife, 7 Retired, 8 Social work, 9 Unpaid family worker, 88 Other, 99 Don't know, 77 No response

**Code 2 (for question F.4,F.5 ):** 1 Cultivator, 2 Allied agricultural activities, 3 Petty traders, 4 Shop owners, 5 Businessman with no employee, 6 self employed – Professional, 7 Self employed – Non-Professional, 8 Agriculture & allied wage labour, 9 Non-agriculture **unskilled** wage labour, 10 Non-agriculture **skilled** wage labour, 11 Grade 4 – Unskilled, 12 Grade 4 – Skilled, 13 Clerical, 14 Supervisory level, 15 Officers/Executive – Junior, 16 Officers/Executive – Middle/Senior, 17 Businessman with 1-9 employee, 18 Businessman with 10 or more employee, 19 Self employed professional with hired employees, 20 Self employed-Non Professional with hiring employee/s, 88 Others (Pension/Rent/Interest/Dividend/Royalty/Remittance), 99 Don't know, 77 No response

**SECTION G. Total Household income**

SOURCE		G.1	G.2		G.3
		Within the <u>last year</u> , has anyone in your household earned income (in-kind or in cash) from [SOURCE]?	Approximately how much in cash or in kind was earned by the members within the last year?  ENTER MONETARY VALUE (Rs) ASK RESPONDENT TO TRANSLATE IN-KIND INCOME TO MONETARY VALUE		How many months per year did the household receive income from this income source?
		<i>CIRCLE ANSWER</i>	1 In cash (Rs) 99 DK	1 In kind (Rs) 99 DK	1 MONTHS 99 DK
1	Wages from agricultural labour (not on own farm)	Y / N / DK	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Wages from non-agricultural sector	Y / N / DK			
3	Wages (including in-kind) from public relief work (MG NREGS), e.g. food for work or other similar programmes	Y / N / DK			
4	Income from own/family business or farm.	Y / N / DK			
5	Traditional hereditary occupation, other than own/family farm/business.	Y / N / DK			
6	Pensions or retirement income, or disability or widow allowance	Y / N / DK			
7	Benefits from government schemes (excluding workfare programs), such as social assistance, child benefits, assistance from development or welfare programs	Y / N / DK			
8	Transfers from family, friends or other from <u>within</u> the village	Y / N / DK			
9	Transfers from family, friends or other from <u>outside</u> the village	Y / N / DK			
10	Income from renting out land or other dwelling	Y / N / DK			
11	Other income sources, not previously mentioned (e.g. interest from savings, dowry, excluding self-employment or farm income)	Y / N / DK			

<b>G.4A</b>	<p><i>INTERVIEWER: CALCULATE TOTAL HOUSEHOLD INCOME IN THE LAST YEAR (SUM OF THE SECOND COLUMN – in cash and in kind) READ OUT THE CALCULATED AMOUNT.</i></p> <p><b>G.4A Is this a typical yearly income for your household?</b></p>	<p><b>Y/N</b> <b>IF N THEN GO TO</b> <b>G.4B</b></p>	
<b>G.4B</b>	<p><b>What would be a typical yearly income for your household? ENTER AMOUNT (Rs.)</b></p>		

### Own or family owned business or farm

#### **G.4 AUTOMATIC CHECK IF SECTION G, OPTION 4 IS YES CONTINUE ELSE SKIP TO SECTION H (Household sanitation)**

**READ TO RESPONDENT:** You said that you earn income from your own or family enterprise/farm/business. By own/family enterprise, I mean any activity through which you earn income through self-employment and not wage employment. This may be producing and selling goods, such as farming, planting crops, herding animals, sewing, trading etc, or it may be through selling services such as hair dressing. By income, I mean cash or in-kind. It is important to note that we are **not** just referring to registered businesses or legal entities and entrepreneurial activities but also to more informal activities.

#### **G.5 Do you have more than one such enterprises? CIRCLE ANSWER**

- 1 Yes
- 2 No → **SKIP TO G.7**

#### **G.6 How many enterprises?**

ENTER NUMBER OF BUSINESSES \_\_\_\_\_

**READ TO RESPONDENT:** Now I want to ask you questions about the main enterprise, what I will refer to as the 'primary' joint enterprise. (By 'main' we mean the enterprise which brings the highest amount of income in a year).

#### **G.7A Does anyone in the household own this primary enterprise? CIRCLE ANSWER.**

- 1 Yes => AUTOPOPULATE HOUSEHOLD MEMBERS >18 YEARS OF AGE FROM ROSTER : \_\_\_\_\_ (MULTIPLE SELECTIONS POSSIBLE).
- 2 No

#### **G.7B Does anyone outside the house own this primary enterprise? CIRCLE ANSWER.**

- 1 Yes
- 2 No

#### **G.8 What type(s) of activity is this primary enterprise engaged in? CIRCLE ANSWER.**

- |   |                           |
|---|---------------------------|
| 1 Farming - animal husbandry (s.a. dairy) | 6 Trading, excluding shop |
|---|---------------------------|



**H.3 Why is it not in use? CIRCLE ANSWER. MULTIPLE ANSWERS POSSIBLE**

- |   |                       |
|---|-----------------------|
| 1 Construction not finished                     | 5 Water not available |
| 2 It is broken                                  | 88 Other (SPECIFY):   |
| 3 The pit is full                               | _____                 |
| 4 Household members prefer to go somewhere else | 99 Don't know         |

**INTERVIEWER: We would now like to ask you a few more details about your toilet, such as its type and when it was constructed, and so on.**

**H.4 What type of toilet is it? CIRCLE ANSWER**

- |  |  |
|--|--|
| 1 Flush/pour flush to - piped sewer system | 9 Flush/pour flush to –elsewhere       |
| 2 Flush/pour flush to -septic tank         | 10 Flush/pour flush to - unknown place |
| 3 Flush/pour flush to - pit latrine        | 11 Pit latrine without slab/open pit   |
| 4 Ventilated improved pit latrine (vip)    | 12 Bucket                              |
| 5 Pit latrine with slab                    | 13 Hanging toilet/hanging latrine      |
| 6 Composting toilet                        | 88 Other                               |
| 7 Biogas system                            | 99 Don't know                          |
| 8 Urine diversion dehydration toilet       |  |

**H.5 Where is the toilet you own located? CIRCLE ANSWER**

- 1 It is within the dwelling
- 2 It is attached to the dwelling
- 3 It is outside the dwelling and within the compound (front or back yard), but not attached to the house
- 4 It is within 10min walking distance from the dwelling
- 5 It is more than 10min walking distance from the dwelling
- 88 Other (SPECIFY): \_\_\_\_\_
- 99 Don't know

**H.6 What was the main reason you selected this location to build the toilet? CIRCLE ANSWER. MULTIPLE ANSWERS POSSIBLE**

- 1 This is where it was when we moved into the dwelling
- 2 It is the most convenient place
- 3 There was no space to have it closer
- 4 Space was provided free of cost
- 88 Other, SPECIFY \_\_\_\_\_

**H.7 What materials line the walls of the underground storage chamber (pit or septic tank) of your toilet? CIRCLE ANSWER**

- 1 Brick
- 2 Stone
- 3 Cement rings
- 4 Other permanent materials
- 5 Bamboo/wood/clay/etc
- 6 Unlined chamber (kutcha)
- 99 Don't know

**H.8 What kind of material is used to cover the pit/septic tank? CIRCLE ANSWER**

- 1 Cement concrete slab
- 2 Stone

- 3 Wooden
- 4 Bamboo/thatch
- 88 Other
- 99 Don't know

**H.9 Has your pit/septic tank ever filled up? CIRCLE ANSWER**

- 1 Yes, once
- 2 Yes, more than once
- 3 No → GO TO H.11
- 99 Don't know → GO TO H.11

**H.10 How long did it take for the pit to fill up? CIRCLE ANSWER**

- 1 One year or less → GO TO H.12
- 2 1-2 years → GO TO H.12
- 3 2-3 years → GO TO H.12
- 4 4-5 years → GO TO H.12
- 5 More than 5 years → GO TO H.12
- 99 Don't know → GO TO H.12

**H.11 From when you started using the pit that is currently being used, how long do you think it will take for it to fill up? CIRCLE ANSWER**

- 1 One year or less
- 2 1-2 years
- 3 2-3 years
- 4 4-5 years
- 5 More than 5 years
- 99 Don't know

**H.12 What did you do or what do you plan to do when the pit is full? CIRCLE ANSWER**

- |                                   |                             |
|-----------------------------------|-----------------------------|
| 1 Use second pit                  | 5 Use someone else's toilet |
| 2 We plan to dig a second pit     | 6 OD                        |
| 3 We will empty it ourselves      | 88 Other (SPECIFY): _____   |
| 4 We will get someone to empty it | 99 Don't know               |

**H.13 How old is the toilet? CIRCLE ANSWER**

- 1 It was in the dwelling when we moved in → GO TO H.21
- 2 Less than 3 months old
- 3 Between 3 and 6 months old
- 4 Between 6 months and 1 year
- 5 Between 1 and 3 years
- 6 More than 3 years
- 99 Don't know

**H.14 Who constructed the toilet? MULTIPLE ANSWERS POSSIBLE**

- |  |                              |
|--|------------------------------|
| 1 Self/other family member   | 5 Arranged by dwelling owner |
| 2 Self/other family member together with help of other villager/relative | 6 Government official        |
| 3 Hired labour/helper  | 7 NGO workers                |
| 4 Mason  | 88 Other                     |
|  | 99 Don't know                |

**H.15 What were the motivations for constructing a toilet? MULTIPLE ANSWERS POSSIBLE**

- |   |  |
|---|--|
| 1 More convenience  | 9 So that I am not embarrassed when I have guests, etc |
| 2 Female members in the household wanted one                      | 10 Status in the village                               |
| 3 health improvement  | 11 Better hygiene                                      |
| 4 neighbours /important people in the village had one             | 12 Greater safety                                      |
| 5 Imposed by someone in the village (e.g. sarpanch)               | 13 Financial support from the government               |
| 6 Usage of toilets elsewhere                                      | 14 Other financial support                             |
| 7 Physical problems with OD (difficulty to walk/squat/...)        | 15 Owner/Landlord decided                              |
| 8 Difficulties in finding alternatives (i.e. places to go for OD) | 16 Government official decided                         |
|   | 17 NGO employee or other decided                       |
|   | 88 Other ( <i>SPECIFY</i> ): _____                     |
|   | 99 Don't Know  |

**H.16 Please rank which are the three most important reasons of the ones you just mentioned?**

ENTER CODE FROM QUESTION H.15 ABOVE

- 1 Reason 1: |\_\_|\_\_|
- 2 Reason 2: |\_\_|\_\_|
- 3 Reason 3: |\_\_|\_\_|

**H.17 Is the toilet separate from the bathroom? CIRCLE THE ANSWER**

- 1 Yes, it is separate from bathroom.
- 2 No, It is in the bathroom.

**H.18 How much did the toilet cost? MULTIPLE ANSWERS POSSIBLE**

- 1 No cash, only labour
- 2 Toilet not constructed by household
- 3 Rs.: |\_\_|\_\_|\_\_|\_\_|\_\_|\_\_|\_\_|
- 99 Don't know

**H.19 Where did you get the money for the construction from? CIRCLE ALL THAT APPLY**

- 1 Savings
- 2 Loan from formal financial institution (Bank, MFI...)
- 3 Loan from informal source (Family, moneylender, SHG...)
- 4 Subsidy
- 5 Transfers from friends and family
- 88 Other (*SPECIFY*): \_\_\_\_\_
- 99 Don't know

**H.20 Did you receive any subsidy or grants or money from the government, NGO or other institution that you did not have to pay back? Multiple answers possible**

- 1 Yes, from Government: \_\_\_\_\_Rs
- 2 Yes, from an NGO: \_\_\_\_\_Rs
- 3 Yes, from other: \_\_\_\_\_Rs
- 4 No
- 99 Don't know

**H.21 In the past 12 months, how much did your household spend on health related expenditures (Rs.) ?**

(Rs.): \_\_\_\_\_

**H.22 Imagine your household did not have a toilet. In this case, would your household spend the same amount of money on health related expenses? Or more? Or less?**

- 1 We would spend more → GO TO H.24
- 2 We would spend less
- 3 We would spend the same → GO TO NEXT SECTION
- 4 No opinion → GO TO NEXT SECTION

**H.23 You said that your household would spend less on health expenditures if your household did not have a toilet. You also mentioned previously that you spent about Rs. \_\_\_\_\_ on health related expenditures in the previous year. How much less do you think you would pay in a year on health expenditures? ENTER AMOUNT (Rs.)**

Reduced expenditures (Rs.): \_\_\_\_\_

**H.24 You said that your household would spend more on health expenditures if you did not have a toilet. You also mentioned previously that you spent about Rs. \_\_\_\_\_ on health related expenditures in the previous year. How much more do you think you would pay in a year on health expenditures? ENTER AMOUNT (Rs.)**

Additional expenditures (Rs.): \_\_\_\_\_

## GO TO SECTION K (WATER)

### Households that do not own a toilet

QUESTIONS H.25 TO H.35 TO BE ASKED TO HOUSEHOLD WHO SAID "NO" TO QUESTION H.1.

**H.25 You said your household does not own a toilet. Has your household owned a toilet previously? CIRCLE ANSWER**

- 1 Yes
- 2 No → GO TO H.28

**H.26 What type of toilet was it? CIRCLE ANSWER**

- |  |  |
|--|--|
| 1 Flush/pour flush to - piped sewer system | 8 Urine diversion dehydration toilet   |
| 2 Flush/pour flush to -septic tank         | 9 Flush/pour flush to -elsewhere       |
| 3 Flush/pour flush to - pit latrine        | 10 Flush/pour flush to - unknown place |
| 4 Ventilated improved pit latrine (vip)    | 11 Pit latrine without slab/open pit   |
| 5 Pit latrine with slab                    | 12 Bucket                              |
| 6 Composting toilet                        | 13 Hanging toilet/hanging latrine      |
| 7 Biogas system                            | 88 Other                               |
|  | 99 Don't know                          |

**H.27 Why do you not have the toilet anymore? CIRCLE ANSWER – MULTIPLE ANSWERS POSSIBLE**

- 1 It became unusable (due to collapse or other)
- 2 We never used it and decided to use the space otherwise
- 3 It was where we lived before
- 88 Other, SPECIFY: \_\_\_\_\_

**H.28 Why don't you have your own toilet (now)?** CIRCLE ANSWER – MULTIPLE ANSWERS POSSIBLE

- |  |  |
|--|--|
| 1 No need  | 9 Not everybody in the household wants it  |
| 2 I cannot afford it   | 10 No one else I know has one  |
| 3 I find it too expensive, prefer to spend the money on something else | 11 Open defecation is seen as better (gives time to spend/chat with friends,...) |
| 4 No space   | 12 I would not know what toilet to build   |
| 5 Had not the time to construct one so far                             | 13 I don't know how to get a toilet  |
| 6 Toilet should not be close to house                                  | 14 Stay in rented house  |
| 7 Never thought about it   | 15 Can use neighbour's   |
| 8 Religious and cultural reasons                                       | 88 Other (SPECIFY): _____  |

**H.29 Do you think you would have enough savings or would be able to save enough to construct a toilet, regardless of whether or not you currently have plans to build a toilet?** CIRCLE ANSWER

- 1 Yes, we have enough savings
- 2 Yes, we would be able to save enough
- 3 No
- 99 Don't Know

**H.30 Would you be able to get money from any of the following sources if you planned/planning to construct a toilet?** TICK THE RELEVANT OPTION FOR EACH SOURCE

- |   |                                  |
|---|----------------------------------|
| 1 Loan from formal financial institution (Bank, MFI...)   | Surely / Maybe / Don't know / No |
| 2 Loan from informal source (Family, moneylender, SHG...) | Surely / Maybe / Don't know / No |
| 3 Government Subsidy                                      | Surely / Maybe / Don't know / No |
| 4 Transfers from friends and family                       | Surely / Maybe / Don't know / No |

**H.31 If needed, would you consider/ be willing to take a loan for the construction of a toilet?** CIRCLE ANSWER

- 1 Yes
- 2 No
- 99 Don't Know

**H.32 In the past 12 months, how much did your household spend on health related expenditures (Rs.) ?**

(Rs.): \_\_\_\_\_

**H.33 Imagine your household did have a toilet. In this case, would your household spend the same amount of money on health related expenses? Or more? Or less??**

- 1 We would spend more → GO TO H.35
- 2 We would spend less
- 3 We would spend the same → GO TO NEXT SECTION K (WATER)
- 4 No opinion → GO TO NEXT SECTION K (WATER)

**H.34 You said that your household would spend less on health expenditures if your household had a toilet. You also mentioned previously that you spent about Rs. \_\_\_\_\_ on health related expenditures in the previous year. How much less do you think you would pay in a year on health expenditures?** ENTER AMOUNT (Rs.)

Reduced expenditures (Rs.): \_\_\_\_\_

**H.35** You said that your household would spend more on health expenditures if your household had a toilet. You also mentioned previously that you spent about Rs. \_\_\_\_\_ on health related expenditures in the previous year. How much more do you think you would pay in a year on health expenditures? ENTER AMOUNT (Rs.)

Additional expenditures (Rs.): \_\_\_\_\_

**SECTION K. WATER**

**K.1 What are currently your main (up to three) sources for water that you use for drinking, OR cooking? CIRCLE ANSWERS (MAXIMUM THREE)**

- |                                       |                        |   |
|---------------------------------------|------------------------|---|
| 1 Piped water into dwelling yard/plot | 5 Dug well – protected | 10 Cart with small tank / drum                |
| 2 Public tap/stand pipe               | 6 Spring – protected   | 11 Tanker /truck                              |
| 3 Tubewell or borewell                | 7 Rain water           | 12 Surface water (river/dam/ lake/pond/canal) |
| 4 Handpump                            | 8 Unprotected spring   | 13 Bottled water                              |
|                                       | 9 Unprotected dug well | 88 Other (SPECIFY): _____                     |

**INTERVIEWER:** Autopopulate the three main sources mentioned by the household into the next Table and ask questions K.2 to K.9 for these sources.

		K.2	K.3	K.4	K.5	K.6	K.7	K.8	K.9
<b>SOURCE</b> <i>Enter Source (Write down source and enter corresponding source code) from Q K.1 above</i>			<b>Availability of water</b> <i>MULTIPLE ANSWERS POSSIBLE</i>	<b>Where is the water source located?</b>	<b>How often does your household currently collect water from this source?</b>	<b>How many person trips per day?</b>	<b>Who typically collects water from this source?</b> <i>MULTIPLE ANSWERS POSSIBLE</i>	<b>Distance to source</b>	<b>How much time does it take each time to get water from this source?</b>
SOURCE	SOURCE CODE If "1" (piped connection), skip K.5-K.9		1 All year 2 Rainy season 3 Summer 4 Winter 99 DK	1 In own dwelling 2 In own yard/plot 3 Elsewhere	1 Daily 2 2-3 times a week 3 once a week 4 less than once a week 88 Other 99 DK	ENTER No OF TIMES  99 for DK	1 Men 2 Women 3 Boys 4 Girls 5 Workers 6 All 7 Other 99 DK	ENTER METRES	ENTER MINUTES
1						<input type="text"/>		<input type="text"/>	<input type="text"/>
2						<input type="text"/>		<input type="text"/>	<input type="text"/>



**K.20 From where does your household get water for sanitation needs (cleaning yourself and to cleaning the toilet)? MULTIPLE ANSWERS POSSIBLE.**

- |                                       |                        |   |
|---------------------------------------|------------------------|---|
| 1 Piped water into dwelling yard/plot | 5 Dug well – protected | 10 Cart with small tank / drum                |
| 2 Public tap/stand pipe               | 6 Spring – protected   | 11 Tanker /truck                              |
| 3 Tubewell or borewell                | 7 Rain water           | 12 Surface water (river/dam/ lake/pond/canal) |
| 4 Handpump                            | 8 Unprotected spring   | 13 Bottled water                              |
|                                       | 9 Unprotected dug well | 88 Other ( <i>SPECIFY</i> ):                  |

A. Main source Dry season: |\_\_|\_\_| B. Main source Wet season: |\_\_|\_\_|

**K.21 How many months in a year do you get water from this source for sanitation needs? (ASK FOR THE TWO MAJOR SOURCES MENTIONED IN K.20) ENTER No OF MONTHS (pre-populate sources from K.20)**

A. Months Main source Dry season: |\_\_|\_\_| B. Months Main source Wet season: |\_\_|\_\_|

**K.22 How far is this source (walking time in minutes)? ENTER MINUTES, If the water source is directly where the toilet is, set to zero and skip to K.24**

A. Minutes main source Dry season: |\_\_|\_\_|\_\_| B. Minutes main source Wet season: |\_\_|\_\_|\_\_|

**K.23 During the months your household gets water from this source, how many trips per day do all household members taken together make to get water for sanitation needs? ENTER No OF TRIPS, enter 77 for not applicable**

A. Trips main source Dry season: |\_\_|\_\_|\_\_| B. Trips main source Wet season: |\_\_|\_\_|\_\_|

**K.24 Are there any months during which it is particularly difficult to get water for sanitation needs? CIRCLE MONTHS THAT APPLY. MULTIPLE ANSWERS POSSIBLE**

- 1 January
- 2 February
- 3 March
- 4 April
- 5 May
- 6 June
- 7 July
- 8 August
- 9 September
- 10 October
- 11 November
- 12 December
- 13 None

## SECTION L. HOUSEHOLD CONSUMPTION

**READ TO RESPONDENT:** Now I would like to ask you about your household consumption. I would like to know how much your household consumed in the last week of specific goods and how much you paid for it during that week. It might be that you consumed some food in the last week without paying for it in the same week because you still had it at home, received it as a gift or grew it yourself. I would ask you to include these quantities in the overall amount your household consumed in the last week. **INTERVIEWER, IF NEEDED USE THE FIRST ITEM AS AN EXAMPLE TO EXPLAIN HOW THESE QUESTIONS NEED TO BE ANSWERED. FOR EACH ITEM ASK QUESTION L.1. IF YES CONTINUE TO QUESTION L.2. IF NO, GO TO NEXT ITEM**

	L.1	L.2	L.3	L.4	L.5	L.6
	<p>In the last <b>WEEK</b>, did any member of the household consume [ITEM]?</p> <p><b>IF YES</b> → <b>GO TO L.2</b>  <b>IF NO</b> → <b>GO TO NEXT ITEM</b>  <b>IF DK</b> → <b>GO TO NEXT ITEM</b></p>	<p>How much did your household consume (eat) in the last week?</p> <p>(VOLUME)</p>	<p>How do you acquire this item? <b>MULTIPLE RESPONSE</b></p> <p>1 By buying it  2 Growing/ producing it  3 I am/ we are paid with it  4 It was received as a gift  88 Other  99 DK</p>	<p>At what frequency do you typically buy this item?</p> <p>1 Daily  2 Weekly  3 Every 2 weeks  4 Monthly  5 Yearly  6 Infrequently  88 Other</p>	<p>The last time you bought this item, how much did you buy? (VOLUME)</p>	<p>How much did you spend on item? (Rs.)</p>
				<b>ASK L.4 to L.6 for items if options 1 in L.3</b>		
1	Rice from market (kilos)	Y / N / DK				
2	Rice from PDS shop (kilos)	Y / N / DK				
3	Sugar (from market & PDS shop) (kilos)	Y / N / DK				
4	Wheat flour market (kilos)	Y / N / DK				
5	Wheat flour from PDS shop	Y / N / DK				
6	Staple dal (kilos)	Y / N / DK				
7	Other pulses and legumes (chana,...) (kilos)	Y / N / DK				
8	Sorghum (kilos)	Y / N / DK				
9	Milletts (kilos)	Y / N / DK				
10	Other cereals (kilos)	Y / N / DK				
11	Edible oils (litres)	Y / N / DK				
12	Vegetables (kilos)	Y / N / DK				
13	Fruits (kilos)	Y / N / DK				

14	Milk (litres)	Y / N / DK					
15	Milk Products (kilos)	Y / N / DK					
16	Meat (Beef, chicken...) (kilos)	Y / N / DK					
17	Fish (kilos)	Y / N / DK					
18	Eggs (units)	Y / N / DK					
19	Spices and Condiments (grams)	Y / N / DK					
20	Other food (bread, biscuits...) (packets)	Y / N / DK					
21	Non-alcoholic Beverages (including tea, coffee, soft drinks) (litres)	Y / N / DK					
22	Alcohol beverages and toddy	Y / N / DK					
23	Tobacco products (packets)	Y / N / DK					

**READ TO RESPONDENT: For the following items I would like to know how much your household spent during the last month and the remaining ones during the last year.**

INTERVIEWER, FOR EACH ITEM ASK QUESTION L.7. IF YES CONTINUE THROUGH QUESTIONS L.8 and L.9. IF NO, GO TO NEXT ITEM. IT IS VERY IMPORTANT FOR RESPONDENT TO ESTIMATE VALUE FOR EACH OF QUESTIONS L.8 and L.9.

		L.7	L.8	L.9
SOURCE		<b>Did the household consume [ITEM] in the LAST MONTH/YEAR?</b>  <i>In case No or DK go to next item</i>	For each item, think carefully of the value of items you bought, the value of items that you received as a gift, and the value of items that you received in exchange for goods.	
		<i>CIRCLE ANSWER</i>	<b>How much did you spend on [ITEM] in Rs?</b>  <i>ENTER VALUE</i>	<b>What is the value of the [ITEM] you consumed that was received as a gift or in exchange for goods (Rs)?</b>  <i>ENTER VALUE</i>
<b>OTHER CONSUMPTION IN THE PAST MONTH</b>				
1	Transport	Y / N / DK		
2	Water, electricity, telephone, cable	Y / N / DK		
3	Fuel (including gas, firewood, kerosene)	Y / N / DK		
4	Kerosene oil from PDS shop	Y / N / DK		
5	Salary for field or other workers	Y / N / DK		
6	Services (servants, barber,...)	Y / N / DK		
7	Cosmetics, soaps, shampoo...	Y / N / DK		
<b>OTHER CONSUMPTION IN THE PAST YEAR</b>				
1	Clothing and shoes	Y / N / DK		
2	Education (school fees, books)	Y / N / DK		
3	Health expenses (medicine, doctor visits, hospitalisation costs... including travel)	Y / N / DK		
4	Repairs and maintenance	Y / N / DK		
5	Religious and festival ceremonies, such as Deepavali, Ramadan, ...	Y / N / DK		
6	Gifts & payments you gave/made	Y / N / DK		
7	Entertainment and recreation	Y / N / DK		
8	Transfers to family, friends or other, inside the community or outside/abroad.	Y / N / DK		
9	Dowry and bride price	Y / N / DK		
10	Taxes	Y / N / DK		
11	Other yearly expenditures	Y / N / DK		

**L.10 In the next 12 months, do you intend to make a large purchase or make a large investment costing at least Rs. 15000 (using your savings or a loan)?**

- 1 Yes  
 2 No → GO TO NEXT SECTION

3 Not sure → GO TO NEXT SECTION

**L.11 What do you intend to buy? CIRCLE ANSWERS. MULTIPLE ANSWERS POSSIBLE**

- |                           |   |
|---------------------------|---|
| 1 Land                    | 7 Clothing                              |
| 2 Livestock               | 8 Car/bicycle/motor-bike/scooter        |
| 3 Jewellery               | 9 Cooking stove                         |
| 4 Install toilet/bathroom | 10 Refrigerator                         |
| 5 Dwelling                | 11 Farming equipment/Business machinery |
| 6 Dwelling improvements   | 88 Other                                |

**SECTION M. ASSETS**

**READ TO RESPONDENT: Now I will ask you some questions about assets owned by your household**

**M.1 Besides the dwelling you live in, does your household own any dwelling elsewhere? CIRCLE ANSWER.**

- 1 Yes  
2 No → GO TO M.3  
99 Don't know → GO TO M.3

**M.2 Do you rent this other dwelling out? CIRCLE ANSWER.**

- 1 Yes  
2 No  
99 Don't know

**M.3 How much agricultural land does your household own? ENTER THE UNIT IN WHICH THE RESPONDENT REPORTS HIS LAND**

- 1 Yes Irrigated: \_\_\_\_\_ ACRES Un-irrigated: \_\_\_\_\_ ACRES  
2 No  
99 Don't know

**M.4 How much non-agricultural land does your household own?**

- 1 Yes ACRES: \_\_\_\_\_  
2 No

**IF '2' IN M.3 AND M.4, GO TO M.7**

**M.5 Under whose name is the land (agricultural and non-agricultural) registered? (MULTIPLE RESPONSE)**

- 1 Owned by a household member  
2 Owned by a relative not living in the household  
88 Others  
77 Does not want to say/NO RESPONSE

**M.6 What is the value of this land (Rs.)? IF RESPONDENT ANSWERS "DON'T KNOW", PROMPT FOR BEST ESTIMATE OR ESTIMATE FOR VALUE OF SIMILAR PIECE OF LAND NEARBY**

**ENTER AMOUNT OF AGRICULTURAL AND NON-AGRICULTURAL LAND**

\_\_\_\_\_

ASSET			M.7 Does the household own [ASSET]?	M.8 How many does your household own?	M.9 What is the estimated value of these [ASSET] if sold today (Rs.)?
			<i>CIRCLE ANSWER</i>	<i>ENTER NUMBER</i>	<i>ENTER VALUE</i>
1	Bicycle		Y / N		
2	Motorcycle/scooter		Y / N		
3	Four Wheeler		Y / N		
4	Furniture	1 Chair(s)	Y / N		
		2 Table(s)	Y / N		
		3 Bed(s)	Y / N		
		4 Cupboard(s)	Y / N		
		5 Other	Y / N		
5	Refrigerator		Y / N		
6	TV		Y / N		
7	Radio		Y / N		
8	Telephone / cell		Y / N		
9	Sewing machine		Y / N		
10	Electric iron		Y / N		
11	Pressure cooker		Y / N		
12	Electric fans		Y / N		
13	Steel and glass plates		Y / N		
14	Jewellery		Y / N		
15	Livestock	1 Buffalo, cow, bullock	Y / N		
		2 Calf	Y / N		
		3 Goat, Sheep	Y / N		
		4 Pig	Y / N		
		5 Chicken	Y / N		
16	Irrigation equipment		Y / N		
17	Dairy assets (shed, milking machine...)		Y / N		
18	Modern agricultural equipment (tractor...)		Y / N		
19	Other agricultural equipment		Y / N		
20	Any other (SPECIFY)		Y / N		

## SECTION N. SAVINGS

**N.1 Does your household have any savings (in a bank, society, at home, etc.)? CIRCLE ANSWER.**

- 1 Yes
- 2 No → GO TO NEXT SECTION

**N.2 Where does your household save? CIRCLE ANSWER, MULTIPLE ANSWERS POSSIBLE.**

- 1 Bank
- 5 Non-Banking Financial Institution

- |                            |                             |
|----------------------------|-----------------------------|
| 2 Savings and Credit Co-op | 6 At home                   |
| 3 Self Help Group          | 88 Other ( <i>SPECIFY</i> ) |
| 4 Chit fund                | 99 Don't know               |

**N.3 What is the total value of these savings? ENTER AMOUNT**

AMOUNT (Rs.): \_\_\_\_\_

**N.4 Some households save for specific events/investments or purposes. Are any of your savings for specific purposes? CIRCLE ANSWER.**

- 1 Yes
- 2 No → GO TO NEXT SECTION

**N.5 For which purposes? CIRCLE ANSWER. MULTIPLE ANSWERS POSSIBLE**

- 1 Emergencies
- 2 Education of my children
- 3 Future of my children, other than education (such as dowry)
- 4 Housing improvements
- 5 Festivals
- 6 Intended purchase of assets
- 7 ANY OTHER

**SECTION P CREDIT**

**CREDIT – amounts up to Rs. 500**

**P.1 Do you know any person, place or institution where you can borrow small amounts of money from (up to Rs. 500)? CIRCLE ANSWER.**

- 1 Yes
- 2 No → GO TO P.7

**P.2 From where/whom could you borrow small amounts of money? CIRCLE ANSWER. MULTIPLE ANSWERS POSSIBLE.**

- |                              |   |
|------------------------------|---|
| 1 Bank                       | 8 Friend / Acquaintance/ private financiers |
| 2 Microfinance institution   | 9 Work                                      |
| 3 NGO                        | 10 Pawnshop                                 |
| 4 Cooperative / savings fund | 11 Local shop                               |
| 5 SHG                        | 12 Insurance company                        |
| 6 Moneylender                | 88 Other ( <i>SPECIFY</i> )                 |
| 7 Relative                   |   |

**P.3 In the last MONTH, has your household borrowed money (up to Rs. 500) from any of the above sources?**

- 1 Yes
- 2 No → GO TO P.7

**P.4 From which sources? CIRCLE ANSWER. MULTIPLE ANSWERS POSSIBLE**

- |                              |   |
|------------------------------|---|
| 1 Bank                       | 8 Friend / Acquaintance/ private financiers |
| 2 Microfinance institution   | 9 Work                                      |
| 3 NGO                        | 10 Pawnshop                                 |
| 4 Cooperative / savings fund | 11 Local shop                               |
| 5 SHG                        | 12 Insurance company                        |

- 6 Moneylender
- 7 Relative
- 88 Other (SPECIFY)

**P.5 How much did you borrow in total (adding up all loans less than Rs 500 you took in the last month, including those that are already repaid)?**

AMOUNT (Rs.): \_\_\_\_\_

**P.6 INTERVIEWER: read out total from the above question and ask: How much of this amount do you still have to repay?**

AMOUNT (Rs.): \_\_\_\_\_

**CREDIT – Amounts higher than Rs 500**

**P.7 Do you know any person, place or institution where you can borrow money or ask for credit (more than Rs. 500)?**

- 1 Yes
- 2 No => Skip to P.9

**P.8 From which sources could you borrow money or ask for credit (> Rs.500)? CIRCLE ANSWER. MULTIPLE ANSWERS POSSIBLE**

- 1 Bank
- 2 Microfinance institution
- 3 NGO
- 4 Cooperative / savings fund
- 5 SHG
- 6 Moneylender
- 7 Relative
- 8 Friend / Acquaintance/ private financiers
- 9 Work
- 10 Pawnshop
- 11 Local shop
- 12 Insurance company
- 88 Other (SPECIFY)

	HALF THE SAMPLE GET ASKED THIS SIDE OF THE TABLE	OTHER HALF OF SAMPLE GETS ASKED THIS SIDE OF TABLE
<b>P.9</b>	<p><b>Do you know of any institution that provides loans for housing improvements? CIRCLE ANSWER.</b></p> <p>1 Yes</p> <p>2 No → GO TO P.14</p>	<p><b>Do you know of any institution that provides loans for educational purposes? CIRCLE ANSWER.</b></p> <p>1 Yes</p> <p>2 No → GO TO P.14</p>
<b>P.10</b>	<p><b>Which institutions are these? ENTER INSTITUTIONS CODE FROM QUESTION P.8 ABOVE.</b></p> <p>Institution 1:  __ __ </p> <p>Institution 2:  __ __ </p> <p>Institution 3:  __ __ </p>	<p><b>Which institutions are these? ENTER INSTITUTIONS CODE FROM QUESTION P.8 ABOVE.</b></p> <p>Institution 1:  __ __ </p> <p>Institution 2:  __ __ </p> <p>Institution 3:  __ __ </p>
<b>P.11</b>	<p><b>Do you know of any institution that provides loans for toilet construction? CIRCLE ANSWER.</b></p> <p>1 Yes</p> <p>2 No → GO TO P.14</p>	
<b>P.12</b>	<p><b>Which institutions are these? ENTER INSTITUTIONS CODE FROM QUESTION P.8 ABOVE.</b></p> <p>Institution 1:  __ __ </p>	

	Institution 2:  __ __  Institution 3:  __ __	
<b>P.13</b>	<b>Do you think you would be able to get a loan for sanitation? CIRCLE ANSWER</b> 1 Yes 2 No	<b>Do you think you would be able to get a loan for education? CIRCLE ANSWER</b> 1 Yes 2 No

**P.14 In the last 12 months, has your household borrowed (more than Rs. 500) from any of the above sources? CIRCLE ANSWER.**

- 1 Yes  
2 No → GO TO SECTION Q

**READ TO RESPONDENT:** Now we would like to ask you some questions about the loans you took from any of the sources above within the last 12 months. We are interested in all kinds of debt you may have, including personal debt as well as debt related to your entrepreneurial activities. The money could be borrowed from formal financial institutions such as a bank or microfinance institution but we are also interested in loans from other sources, such as SHGs, friends, moneylenders...

<b>P.15 What is the total amount of all the debt currently outstanding (formal and informal) that your household has? (Rs.)</b>			
	<b>LOAN 1</b>	<b>LOAN 2</b>	<b>LOAN 3</b>
<b>P.16 What is the total original amount of the three most recent loans that you took within the last year, regardless of whether they are outstanding, repaid, or defaulted?</b>			
<b>P.17 What was this loan primarily used for? (DON'T READ OUT THE OPTIONS) SINGLE RESPONSE?</b> 1 Construction of toilet/sanitation facility 2 Other Dwelling improvements/new constructions 3 Maintenance/repair of tools/machinery/vehicles 4 Other maintenance or repair 5 Agricultural Input 6 Purchase of motorbike 7 Purchase of other vehicles (lorry, tractor...) 8 Purchase of tools/machinery 9 Purchase of TV or other electrical appliances 10 Purchase of electric generator 11 Purchase of livestock 12 Education expenses 13 Health expenses 14 Food 15 Payment of dowry 16 Wedding or other festivity 17 Other household expenses 18 Other business expenses			

19 Repayment of another loan 88 Any other expense 99 Don't know			
<b>P.18 When was the loan obtained?</b> <i>ENTER MONTH AND YEAR (MM/YYYY)</i>	___ / ____ —	___ / ____ —	___ / ____ —
<b>P.19 What is the current balance owed on this loan (Rs.) (i.e. How much do you still have to repay)?</b> <i>ENTER VALUE. If 0 skip to P.21</i>			
<b>P.20 To whom is this loan owed?</b> 1 Bank 2 Microfinance Institution 3 NGO 4 Cooperative / savings fund 5 SHG 6 Moneylender 7 Relative 8 Friend / Acquaintance / private financiers 9 Work 10 Pawnshop 11 Local Shop 88 Other ( <i>SPECIFY</i> ) 99 Don't know <i>ENTER NUMBER OF RESPONSE CODE.</i> <i>IF "OTHER" ENTER SOURCE OF DEBT/LOAN</i>			
<b>P.21 Did you pledge any collateral to secure this loan?</b> <i>CIRCLE ANSWER.</i>	Y / N	Y / N	Y / N
<b>P.22 Were you ever late in paying an instalment of this loan?</b> <i>CIRCLE ANSWER.</i>	Y / N	Y / N	Y / N

**SECTION Q. HEALTH CARE UTILIZATION - outpatient**

**READ TO RESPONDENT: In this section I will ask you about some health related questions**

**Q.1 Please think back over the past month, did anyone in your household visit any hospital, clinic, health employee, doctor or faith healer without hospitalization? CIRCLE ANSWER.**

1 Yes            2 No            99 Don't know

**Q.2 In the last month, has anyone in your household been visited by a doctor, faith healer, or health employee? CIRCLE ANSWER.**

1 Yes            2 No            99 Don't know

IF ANSWER TO Q.1 OR Q.2 IS YES → GO TO Q.3

IF ANSWER TO Q.1 AND Q.2 IS NO/DK → GO TO SECTION R

**OUTPATIENT CARE**

OUTPATIENT CARE			Q.3	Q.4
			In the last month, has anyone in your HH attended or been visited by...	How many times did the visit(s) take place over the last month?
			<i>CIRCLE ANSWER If No or DK ask for next facility</i>	1 ENTER No of TIMES 99 DK
<b>Public Medical Sector</b>	1	Government / Municipal Hospital/ Dispensary, CHC /Rural Hospital/ PHC	Y / N / DK	
	2	Urban Health Care(UHC) / Urban Health Post(UHP)	Y / N / DK	
	3	Sub-Centre	Y / N / DK	
	4	Government mobile clinic	Y / N / DK	
	5	Health Camp	Y / N / DK	
	6	Anganwadi / ICDS Centre	Y / N / DK	
	7	Asha worker	Y / N / DK	
	8	Other public Sector health facility	Y / N / DK	
<b>Private Medical Sector</b>	9	Private Hospital / Clinic	Y / N / DK	
	10	Private mobile clinic	Y / N / DK	
	11	Pharmacy / Drugstore	Y / N / DK	
	12	Holistic practitioner (midwife, faith healer, herbalist, bone doctor, acupuncturist, etc.)	Y / N / DK	
	13	Other private sector health facility	Y / N / DK	

**READ TO RESPONDENT: The following questions are related with medical services your household required or health practitioners who visited your household members in the last MONTH. We will begin with the most recent one within the last 4 weeks. Note that information should also be collected if the household went but was not attended to**

OUTPATIENT CARE			1	2	3
			LAST VISIT	2nd TO LAST VISIT	3rd TO LAST VISIT
Q.5	Which household member visited a health facility or was visited by a health worker?	ENTER HOUSEHOLD MEMBER CODE FROM TABLE IN SECTION C.			
Q.6	Which person / facility did you (or another household member) visit?	ENTER HEALTH FACILITY CODE FROM TABLE ABOVE			
Q.7	Did someone from the facility come to your home? Were you visited at home?	CIRCLE ANSWER	Y / N	Y / N	Y / N
Q.8	What was the main reason? MULTIPLE ANSWERS POSSIBLE 1 Digestive Problems (stomach/abdominal pain, vomiting, diarrhea) 2 Respiratory problems (difficulty breathing, cough, runny nose, etc) 3 Preventive medical exam / Medical check-up 4 Skin problems 5 Other acute pain 6 Other medical problem 88 Other (SPECIFY)				
Q.9	What was the total cost of this visit (do not include travel costs other than ambulance or foregone earnings)?				
Q.10	Could you please tell me the amounts you paid during this visit for ...? (Rs.) READ OUT ALL OPTIONS	1 The consultation fee			
		2 The prescribed medication			
		3 The laboratory analysis / X-Ray			
		4 The vaccination / Immunization			
		5 Other			
Q.11	Do you have private or public medical insurance that would partially or totally pay the cost of the visit? (Enter all options mentioned) MULTIPLE ANSWERS POSSIBLE	1 Yes, private 2 Yes, public – RGJAY	3 Yes, public - RSBY 4 No 99 Don't know		
Q.12	How many household members were unable to do their work because they were taking care of this person?	Write down number of members			

**SECTION R. HEALTH CARE UTILIZATION - inpatient**

**R.1 During the last 12 months, did anyone in your household received in-patient care at a hospital, clinic, health center for at least one night? CIRCLE ANSWER.**

- 1 Yes
- 2 No → GO TO SECTION S
- 99 Don't Know → GO TO SECTION S

		R.2	R.3
		During the last 12 months, has anyone in your household been admitted to...	How many times did this happen within the last 12 months?
		CIRCLE ANSWER IF No or DK then don't ask R3 and go to the next row	ENTER No of TIMES Enter 99 for Don't Know
<b>Public Medical Sector</b>	<b>1</b> Government / Municipal Hospital / Dispensary, CHC / Rural Hospital / PHC	Y / N / DK	
	<b>2</b> UHC / UHP / UFWC	Y / N / DK	
	<b>3</b> Sub-Centre	Y / N / DK	
	<b>4</b> Health Camp	Y / N / DK	
	<b>5</b> Other public Sector health facility	Y / N / DK	
<b>Private Medical Sector</b>	<b>6</b> Private Hospital / Clinic	Y / N / DK	
	<b>7</b> Private mobile clinic	Y / N / DK	
	<b>8</b> Other private sector health facility	Y / N / DK	

**READ TO RESPONDENT: The following questions concern any hospitalization of household members within the last 12 months. We will begin with the most recent hospitalization within these 12 months.**

		HOSPITALIZATION		1	2
				LAST	2nd TO LAST
R.4	Which household member was admitted to a facility?	HH MEMBER CODE FROM ROSTER			
R.5	Which facility was this household member admitted to?	ENTER CODE FROM ABOVE			
R.6	What was the main reason? MULTIPLE ANSWERS POSSIBLE 1 Illness – Digestive problems (stomach pain, diarrhoea, vomiting)      2 Illness - other      3 Accident      4 Physical aggression (violence)      5 Surgery      6 Medical analysis or studies      7 Abortion      8 Childbirth / Caesarean      88 Other (SPECIFY)				
R.7	What was the total cost of this visit (excluding transport)? (Rs.)				
R.8	During the time you were hospitalized, did you receive...?	1	Laboratory exams	Y / N / DK	Y / N / DK
		2	Surgery	Y / N / DK	Y / N / DK
		3	X-Rays	Y / N / DK	Y / N / DK
		4	Ultrasound Exam	Y / N / DK	Y / N / DK
		5	Medicines, saline solution, prosthetics	Y / N / DK	Y / N / DK
		6	Other (SPECIFY) _____	Y / N / DK	Y / N / DK
R.9	In case you can remember the break-down of costs, could you please tell us, what was the cost of...? (Rs.)	1	The medical visit / check-up		
		2	The prescribed medication		
		3	The laboratory analysis / X-Ray		
		4	The vaccination / Immunization		
		5	Administrative charges (room...)		
		6	Other (SPECIFY) _____		
R.10	Do you have public or private medical insurance that would partially or totally pay the cost of the hospitalization?	CIRCLE ANSWER IF NO TO ALL GO TO R.12		Y / N	Y / N
R.11	How much did the insurance cover?	ENTER AMOUNT (Rs.)			
R.12	Were any other household members not able to work (because they had to accompany this member to the hospital or visited the ill household member in the hospital, etc.)?	CIRCLE ANSWER IF NO TO ALL GO TO SECTION S		Y / N	Y / N
R.13	Approximately how many working days were lost?	ENTER NR OF DAYS			

## SECTION S. HOUSEHOLD ECONOMIC SHOCKS AND RISKS

**READ TO RESPONDENT:** Now I will ask you some questions about events that may have affected your household in the last 12 months.

**S.1** In the LAST 12 MONTHS did any other unemployed household member find a job? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.2** In the LAST 12 MONTHS did this household experience any other unexpected gain? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.3** In the LAST 12 MONTHS did this household suffer from an unexpected job loss of a household member (a job that contributed to household income)? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.4** In the LAST 12 MONTHS did this household suffer from serious robbery/theft? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.5** In the LAST 12 MONTHS did this household suffer from a bad harvest? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.6** In the LAST 12 MONTHS did this household suffer from the death of a household member? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.7** In the LAST 12 MONTHS did this household suffer from any other financial loss? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.8** Within the last year did you or any of the members of the household ever eat less than you/they wanted or normally eat because there was not enough money or food? *CIRCLE ANSWER*

1 Yes            2 No            99 DK

**S.9** Which of the above mentioned negative shocks were the ones that hit your household hardest?. *PROGRAM: Not applicable if "no" / "DK" in all shocks above (S.3-S.8)*

- 1 Unexpected jobloss of a household member
- 2 Robbery/theft
- 3 Bad harvest
- 4 Death of a household member
- 5 Any other financial loss
- 6 Scarcity of food

## SECTION T. INSURANCE

**T.1** Does your household have any formal insurance? *CIRCLE ANSWER.*



**CONTACT DETAILS FOR FOLLOW-UP SURVEY**

We will return to interview you in approximately one year’s time. It is very important that we can locate you at that stage. In case you have moved or are unavailable, can you give me the name, address and telephone number of two people living in the village who would know exactly where we would find you, and who are not likely to move in the next year or so? Please indicate the name by which they are commonly known in the village.

**PERSON 1**

Name \_\_\_\_\_  
Address \_\_\_\_\_  
Telephone Number \_\_\_\_\_

**PERSON 2**

Name \_\_\_\_\_  
Address \_\_\_\_\_  
Telephone Number \_\_\_\_\_

**Would you also be able to provide us with your own mobile number?**

1 Mobile Number \_\_\_\_\_  
7777777777 No Mobile  
8888888888 Refused  
9999999999 Did not know it

<b>INTERVIEW</b>	
<b>End time (hrs:min) – use 24hr system</b>	_ _ : _ _

**SECTION U. OBSERVATIONS BY THE INTERVIEWER**

*INTERVIEWER, PLEASE ASK THE RESPONDENT TO SHOW YOU THEIR SANITATION FACILITIES IF THEY HAVE ONE AND ANSWER THE QUESTIONS BELOW. ALSO LOOK AT THEIR WATER AND RUBBISH DISPOSAL IF POSSIBLE.*

**TOILET**

**U.1 Does the household have a toilet? If YES, where is the toilet located? CIRCLE ANSWER. Skip to U8 if 1, 99**

- 1 No
- 2 Yes, It is within the dwelling
- 3 Yes, It is attached to the dwelling
- 4 Yes, It is outside the dwelling, within the compound (front or back yard), not attached to the house
- 5 Yes, It is within 10min walking distance from the dwelling
- 6 Yes, It is more than 10min walking distance from the dwelling
- 88 Other (SPECIFY): \_\_\_\_\_
- 99 Don't know

**U.2 What type of toilet is it? CIRCLE ANSWER**

- 1 Flush/pour flush to - piped sewer system
- 2 Flush/pour flush to -septic tank
- 3 Flush/pour flush to - pit latrine
- 4 Ventilated improved pit latrine (vip)
- 8 Urine diversion dehydration toilet
- 9 Flush/pour flush to –elsewhere
- 10 Flush/pour flush to - unknown place
- 11 Pit latrine without slab/open pit

- |                         |                                   |
|-------------------------|-----------------------------------|
| 5 Pit latrine with slab | 12 Bucket                         |
| 6 Composting toilet     | 13 Hanging toilet/hanging latrine |
| 7 Biogas system         | 88 Other, 99 Don't know           |

**U.3 What type of structure is the toilet? CIRCLE ANSWER**

- 1 Pucca (strong structure) – wall and roof is pucca
- 2 Semi-Pucca– only wall or roof is pucca
- 3 Kutcha (weak structure) – both roof and wall are kutcha

**U.4 Does the toilet have a vent-pipe? CIRCLE ANSWER**

- 1 Yes      2 No      99 Don't know  
 88 Other (SPECIFY): \_\_\_\_\_

**U.5 Is there water available near the toilet? CIRCLE ANSWER**

- 1 No, there is no water nearby.
- 2 Yes, a water tap with regular water supply
- 3 Yes, a bucket of water near the toilet

**U.6 Is the toilet and its direct surroundings dirty, fly infested, and/or smelling bad?**

- 1 Dirty, many flies and/or smelling bad
- 2 Not very clean, some flies and/or some smells
- 3 Reasonably clean
- 4 Clean

**U.7 Is there a hand washing facility near the toilet? CIRCLE ANSWER.**

- 1 Yes but without water, soap or ashes
- 2 Yes but with water only
- 3 Yes, with water and soap or ashes
- 4 No

**U.8 How clean are the water containers that are used for drinking water? CIRCLE ANSWER.**

- |              |                  |
|--------------|------------------|
| 1 Very dirty | 3 Somewhat dirty |
| 2 Dirty      | 4 Clean          |

**U.9 If the household has animals, do they stay in the same rooms as where the people live? CIRCLE ANSWER.**

- 1 not applicable, HH does not have animals
- 2 Livestock animals stay in the rooms where people live
- 3 Some of the livestock animals stay in the rooms where people live or very close to the house (entrance area)
- 4 Livestock animals are just outside the door/in the front yard (or sometimes walk in and out but usually then areas are cleaned afterwards)
- 5 Livestock animals never come in the rooms (are for example in the backyard) where people live or there are no animals in or near the house
- 6 Not observed

**QUESTIONS FOR INTERVIEWER**

**U.10 Was the respondent generally willing to provide information?**

- 1 Yes
- 2 No

**U.11 Was the respondent engaged?**

- 1 Yes
- 2 No

**U.12 In your opinion, do you think the respondent gave truthful answers?**

- 1 Yes, to all questions
- 2 Yes to some, but not others
- 3 Not at all

**U.13 Would you say the appearance of the respondent was?**

- 1 Very neat and tidy
- 2 Somewhat neat and tidy
- 3 Not so neat and tidy, a bit dirty and not well looked after
- 4 Quite dirty (clothes and skin/nails) and not well looked after
- 5 Very dirty and not well looked after
- 6 Not at all clean, with many flies around

**U.14 What is the state of the area used to prepare food?**

- 1 Very clean
- 2 Somewhat clean, with some flies around
- 3 Not at all clean, with many flies around

**U.15 Any other observations**

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