

KwaZulu-Natal Income Dynamics Study - 2004

Good (morning/afternoon/evening), I'm _____ and we are conducting a survey for the University of KwaZulu-Natal in Durban. The survey is part of a research project designed to understand people's living conditions and the impact of government policies on their lives. In 1993 and 1998 we interviewed you or another member of your family. The information given to us in these earlier studies has been used to inform government about how the economy works for people like you. As a follow-up to this earlier work, we would like to again ask questions about you and your family.

The survey will take about three hours to conduct. You will be asked questions about your daily life and work, and that of your family members. We would like to arrange two times when it is convenient for you to answer our questions.

We will want to speak to some other members of the household and to ask your primary-school age children a few questions about reading, writing and sums. We would also like to weigh and measure the height of the children in the household. By agreeing to participate in this study, you are giving us permission to weigh and measure the height of these children.

The study will pose no risks to you or to your child. You may refuse to answer any question without penalty. You may also choose to discontinue your participation in this study at any time.

You will not personally benefit from this study. We will however do our best to make sure that South Africa benefits from this study and what we can learn about how to make the economy and government work better for more people.

All information that you give to us will be kept confidential. You and your household members will not be identified by name or address in any of the reports we plan to write

DRAID
(Office Use)

Questionnaire No.
(Office Use)

2004 KWAZULU-NATAL INCOME DYNAMICS STUDY																			
A1. Cluster Number				A2. HHID															
<u>A7. Name of Fieldworker</u>				Date of Interview <u>[dd/mm/yy]</u>															
A8. Name of Quality Controller				Date checked <u>[dd/mm/yy]</u>															
A9. Name Supervisor				Date of Corrections Checked <u>[dd/mm/yy]</u>															
A10. Selected for Callback		1 = Yes 2 = No		Callback record number															
Name of Office Quality Controller				Date checked <u>[dd/mm/yy]</u>															
A11. Name of 1st Capturer				Date captured <u>[dd/mm/yy]</u>															
A12. Name of 2nd Capturer				Date captured <u>[dd/mm/yy]</u>															

ENUMERATOR DECLARATION

I declare that I have asked this entire questionnaire as it is laid out and as I have been briefed. I declare that I have interviewed in accordance with the instructions I received during training.

This questionnaire has been fully checked by myself.

PLEASE PRINT:

First Name	
Surname	
Signature	
Date	

KwaZulu-Natal Income Dynamics Study 2004

Contact Information

Enumerator: detach this sheet from the questionnaire and give it to the household head

Read this: Should you have any questions or complaints about this research project, you may contact any of the following people:

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KwaZulu-Natal Income Dynamics Study 2004

QUESTIONNAIRE NUMBER

--

HOUSEHOLD ID NUMBER

--	--	--	--	--	--	--	--

Q1. "I have read the information sheet about this study (or understood the explanation of it given to me verbally). I have had my questions concerning the study answered and understand what will be required of me if I take part. I agree to take part in this study"

Signature
(or mark)

Date

Witnessed
(ONLY IF ILLITERATE)

KwaZulu Natal Income Dynamics Study 2004

1. DO YOU AGREE TO PARTICIPATE IN THIS STUDY?

Yes.....	1	<i>agree_yn</i>
No.....	2	

HOUSEHOLD ID NUMBER *hhid*

--	--	--	--	--	--	--

NAME OF ISIGODI/SECTION *sect*

HOUSEHOLD SURNAME *hhsur*

GPS Information

 lat

lon

alt

Latitude: ____° ____' ____" E Longitude: ____° ____' ____" S Altitude: _____. ____ m

2. IS THIS HOUSEHOLD IN THE SAME COMMUNITY AS 1998 ? *commun*

Yes **1**
No **2**

3. IS THIS HOUSEHOLD IN THE SAME ISIGODI/SECTION AS 1998? *section*

Yes.....1
No.....2

	Visit 1	Visit 2	Visit 3	Visit 4
3. Code of Interviewer:	<i>intvwr1</i>	<i>intvwr2</i>	<i>intvwr3</i>	<i>intvwr4</i>
4. Date:	<i>date1</i> ____/____/04	<i>date2</i> ____/____/04	<i>date3</i> ____/____/04	<i>date4</i> ____/____/04
6. Time Began:	<i>began1</i>	<i>began2</i>	<i>began3</i>	<i>began4</i>
7. Time Ended:	<i>ended1</i>	<i>ended2</i>	<i>ended3</i>	<i>ended4</i>
8. Anthropometrist Code:	<i>anthcode1</i>	<i>anthcode2</i>	<i>anthcode3</i>	<i>anthcode4</i>

15. Name of Supervisor: *supname*

16. Code of Supervisor:

Signature of Supervisor to confirm transcription: _____/Date: _____
Signature of Supervisor to confirm questionnaire is complete: _____/Date: _____

Strictly Confidential

INDEX

Section Number	Section Name	Page Numbers	Check Box	Demographic characteristics of respondents (*)	Comments
1	Household Roster	7-16		All individuals	
2	Household Services	17-18		Household	
3	Food Spending and Consumption	19-20		Household	
4	Non-Food Spending and Assets	21-27		Household	
5	Remittances	28-31		Household	
6	Household Income from Non-Employment Sources	32-33		Household	
7	Economic Shocks	34-35		Household	
8	Agriculture	36-48		Best informed person	
9	Employment	49-59		16 years and older/ Aged between 24-30	
10	Health	60-64		All/Women 15-49/ Core	
11	Social Capital and Trust	65-68		Core	
12	Children	69-73		Parental Care Giver	
13	Tests of Learning and Anthropometry	74-77		Aged 7, 8 and 9 / 6 months to 11 years	

NOTE: Grey shaded section must be done during the first visit, and then interview must then be stopped. An appointment has to be made for a time when the selected members will be present, and the personal codes must be transcribed and checked by the supervisor

(*) Respondents in **bold** must be present during the interview in each section

Section 1: Household Roster - MAIN

Section 1.1: Household Members as Listed in the 1993 and 1998 Surveys

COMPLETE QUESTIONS FOR ALL PRE-PRINTED PERSONS

Relationship Code Question 3	Activity Code Question N5
1 = Resident Head	1 = Regular employment
2 = Absent Head	2 = Casual employment
3 = Wife or husband or partner of head	3 = Self-employed
4 = Son or daughter of head	4 = Housewife/Child rearing
5 = Father or mother of head	5 = Unemployed
6 = Grandchild of head	6 = Formal education/school /university /college
7 = Grandparent of head	7 = Crèche
18 = Great-grandchild of head	8 = Retired/Pensioner
8 = Mother- or father-in-law of head	9 = Other
9 = Son- or daughter-in-law of head	
10 = Brother- or sister-in-law of head	
12 = Brother or sister of head	
13 = Nephew or niece of head	
16 = Household help / herd boy (or relative of)	
17 = Lodger or relative of lodgers	
19 = Other relative	
20 = Other non-relative	
21 = Adopted or Foster child	

TO REMAIN A MEMBER OF THIS HOUSEHOLD (N3=1), AN INDIVIDUAL MUST HAVE:

- | |
|---|
| <ul style="list-style-type: none"> (i) Lived under this “roof” or within the same compound/homestead/stand 15 days or more out of the past year (ii) Share food from a common source when they are here, and (iii) Share in or contribute to a common resource pool |
|---|

Section 1.1: Household Members as Listed in 1993 and 1998 Survey (Continued)

Core (C) or child of core (K)	Name	Person Code	3. What is [..]'s relationship to the person identified as household head in 1993?	4. Sex	N1. Is [..] alive?	N3. Has [..] lived under this roof for more than 15 days <i>in the last year?</i>	5. What is [...] age in years today?	Q2. Date of birth ASK TO SEE DOCUMENT S. PROBE FOR MONTH, ESTIMATE FROM SEASON IF NECESSARY	N5. What is [..]'s <i>main</i> activity?	12. Has [..] lived under this roof for more than 15 days <i>in the last month?</i>
			Relationship Code	Male ..1 Female..2	No..2 → GO TO NEXT PERSON Yes No	No..2 → GO TO NEXT PERSON Yes No	Years	DD/MM/YY	PROMPT FROM Activity Code	Yes No
A	cores	pcode	relhd93	gender	alive98	lived	age	dob_9398	activity	resident
B				1 2	1 2	1 2		/ /		1 2
C				1 2	1 2	1 2		/ /		1 2
D				1 2	1 2	1 2		/ /		1 2
E				1 2	1 2	1 2		/ /		1 2
F				1 2	1 2	1 2		/ /		1 2
G				1 2	1 2	1 2		/ /		1 2
H				1 2	1 2	1 2		/ /		1 2
I				1 2	1 2	1 2		/ /		1 2
J				1 2	1 2	1 2		/ /		1 2
K				1 2	1 2	1 2		/ /		1 2
L				1 2	1 2	1 2		/ /		1 2
M				1 2	1 2	1 2		/ /		1 2
N				1 2	1 2	1 2		/ /		1 2
O				1 2	1 2	1 2		/ /		1 2

PASTE SECOND PAGE OF SECTION 1.1 HERE (IF THERE IS ONE)

BEFORE GOING TO SECTION 1.2:

- 1. CIRCLE THE NAMES ON HOUSEHOLD CARD OF EVERYONE WHO ANSWERED YES=1 TO 12**
- 2. COPY CURRENT AGES OF ALL PEOPLE ONTO HOUSEHOLD CARD**

Section 1.2: New Household Members

Relationship Code - Question 3	Activity Code - Question N5
1 = Resident Head	1 = Regular employment
2 = Absent Head	2 = Casual employment
3 = Wife or husband or partner of head	3 = Self-employed
4 = Son or daughter of head	4 = Housewife/Child rearing
5 = Father or mother of head	5 = Unemployed
6 = Grandchild of head	6 = Formal education/school/university/college
7 = Grandparent of head	7 = Crèche
18 = Great-grandchild of head	8 = Retired/Pensioner
8 = Mother- or father-in-law of head	9 = Other
9 = Son- or daughter-in-law of head	
10 = Brother- or sister-in-law of head	
12 = Brother or sister of head	
13 = Nephew or niece of head	
16 = Household help / herd boy (or relative of)	
17 = Lodger or relative of lodgers	
19 = Other relative	
20 = Other non-relative	
21 = Adopted or Foster child	

READ ALOUD THE FOLLOWING AND LIST ALL NEW PEOPLE WHO MEET ALL THREE CRITERIA IN TABLE ON NEXT PAGE: Are there any people we have not already talked about who ...

- | |
|--|
| <ul style="list-style-type: none"> (i) Live under this “roof” or within the same compound/homestead/stand 15 days or more out of the past year (ii) Share food from a common source when they are here, and (iii) Share in or contribute to a common resource pool |
|--|

Section 1.2: New Household Members (Continued)

	2. Person's Name	1. Person Code	3. What is [..]'s relationship to [_____]? (HOUSEHOLD HEAD IN 1993) Relationship Code	4. Sex Male.....1 Female.....2	Q1. What is [...] age in years today? Years	Q2. Date of birth ASK TO SEE DOCUMENTS. PROBE FOR MONTH, ESTIMATE FROM SEASON IF NECESSARY DD/MM/YY	N5. What is [..]'s <i>main</i> activity? PROMPT FROM Activity Code	12. Has [..] lived under this roof for more than 15 days <i>out of</i> <i>the last 30 days</i> ? Yes No
A		<i>pcode</i>	<i>rhd93_04</i>	<i>gender04</i>	<i>age04</i>	<i>dob04</i>	<i>activit04</i>	<i>reside04</i>
B		101		1 2		/ /		1 2
C		102		1 2		/ /		1 2
D		103		1 2		/ /		1 2
E		104		1 2		/ /		1 2
F		105		1 2		/ /		1 2
G		106		1 2		/ /		1 2
H		107		1 2		/ /		1 2
J		108		1 2		/ /		1 2
I		109		1 2		/ /		1 2
J		110		1 2		/ /		1 2
K		111		1 2		/ /		1 2

BEFORE GOING TO SECTION 1.3:

1. COPY THE NAMES, PERSON CODES, SEX, AND AGE FROM ABOVE TABLE TO HOUSEHOLD CARD
2. CIRCLE ALL NEW PEOPLE ON HOUSEHOLD CARD WHO ANSWERED YES=1 TO QUESTION 12

Section 1.3: Deaths of Household Members

CHECK SECTION 1.1 - COPY THE PERSON CODE OF EVERY HOUSEHOLD MEMBER WHO HAS DIED SINCE 1998 (QUESTION N1 = 2) IN THE TABLE BELOW.

	Person Code of dead person PERS ON CODE	Q1. When did [...] die? PROBE FOR MONTH; ESTIMATE IF NECESSARY. IF [...] DIED IN 2004, GO TO NEXT DEAD PERSON Month/Year	Q2. Did [...] die as the result of an injury (an accident or act of violence)? Yes No	Q3. For how long before dying was [...] too sick or injured to do what he or she normally did? IF LESS THAN 1 MONTH, WRITE 0, THEN → GO TO Q8 Months	Q4. Which household member's usual activities were most limited by caring for [...]? IF NO ONE WRITE 00 WRITE IN ONE PERSON CODE PERSON CODE	Q5a. During the illness or injury, did anyone in this household take time off work or school to care for [...]? Yes No	Q5b. Who took time off work or school to care for [...]? WRITE IN PERSON CODE	Q6. Has anybody outside this household come to care for [...]? (e.g. Friends, neighbours, other family members?) Yes No	Q7. Was [...] visited by a community health worker/ someone from a home based care programme? Yes No	Q8. Who, if anyone, was <u>last</u> consulted about this illness or injury? WRITE CARE CODE IF CARE CODE = 3 OR MORE → Q10	Q9. Why was no health care provider consulted about [...]’s illness or injury? WRITE NON-USE CODE	Q10. Was [...] covered by their own or another household member's medical aid? Yes No
A	pcode	die_date	dieinj_yn	die_time	dec_care	care_off	caregiv	care_out	care_wkr	care_cons	nocons	aid
B		/	1 2			1 2		1 2	1 2			1 2
C		/	1 2			1 2		1 2	1 2			1 2
D		/	1 2			1 2		1 2	1 2			1 2
E		/	1 2			1 2		1 2	1 2			1 2
CARE CODE Question Q8							NON-USE CODE Question Q9					
1 = No-one			3 = Private doctor				1 = No need / pointless			2 = Sick person too busy		
4 = Traditional healer (faith healer /sangoma/herbalist)			5 = Community Health Centre/Government Clinic /Mobile Clinic				3 = No-one available to go with him/her			4 = Health facility too far away		
6 = Government/ Public Hospital			7 = Visit by Primary (Community) Health Worker				5 = Shortage of money for transport			6 = Shortage of money for consultation or medicines		
8 = Pharmacy/Chemist			11 = Other (Specify)				7 = Queues / waits too long			8 = Staff unhelpful or lack skills to help		
10 = Private Nurse			13 = Private Clinic or Private Hospital				9 = No medicines available at facility			10 = Could not decide what to do		
12 = Workplace Clinic			14 = Someone from a home-based care programme				11 = Religious reason			12 = Fear / embarrassment		
							13 = Other (Specify)			14 = Don't know		

Section 1.3: Deaths of Household Members (Continued)

COPY FROM PREVIOUS PAGE THE PERSON CODE OF DEAD PERSON..

Person Code of dead person	Q11. Did [...] receive a government grant before he/she died? IF YES, WRITE GRANT CODE IF NO WRITE 0	Q12. How much in total did this household spend in order to bury [...] and on memorial service? RAND	Q13. How much of the burial costs was paid by a burial insurance / society or stokvel? RAND	Q14. How much in total was spent on health care for [...] during the course of their illness/injury? IF NOTHING, WRITE 00						Q15. How much of these health care, funeral and other expenses was paid from each of the following sources? IF NOTHING, WRITE 0			
				Medicine	Travel (include cost for person who may have accompanied)	Health care providers	Hospital or clinic accommodation (including admission fees)	Other (eg. Special food, telephone costs)	Household savings or sale of household assets	Gifts from family, friends or Community (eg. Church)	Loans from family, friends or community	Loans from a money lender, stokvel or bank	
PERSON CODE													
A	pcode	grant	bury_c	bury_ins	med_c	travel_c	prov_c	hosp_c	other_c	savings	gifts	loans_f	loans_b
B													
C													
D													
E													

GRANT CODE Question Q11
1 = Old Age Pension
2 = Disability Grant (over 18 years)
3 = Care Dependency Grant (under 18 years)
4 = Foster Care Grant
5 = Child Support Grant
6 = Multiple grants
7 = Other grant, type unknown
8 = Don't know

Section 1.4. Other decision makers

We would like to know which household members made decisions about various things

Examples.: who decides the amount spent of food eaten at home, purchases of furniture or appliances, whether to keep a child in school, what crops to plant.

ENUMERATOR: AFTER EACH PERSON ASK: “Anyone else?”

Q1. Name of decision makers	Q2. Person Code
<i>dec_makr</i>	<i>pcode</i>

Section 1.5: Education and Household Relationships

COPY ALL PERSON CODES FROM THE HOUSEHOLD CARD TO TABLE BELOW

	N1. Person Code	N2. What is the highest educational standard completed by [..] ? Schooling Code	Q1. What is [..] population group? PROMPT 1. Black African 2. Coloured 3. Indian 4. White 5. Other	N3. Who is [..]'s <i>spouse or partner</i> ? 1 IF SPOUSE ON HOUSEHOLD CARD, WRITE DOWN PERSON CODE 2 88 = IF DECEASED 3 78 = IF NEVER MARRIED 4 79 = IF DIVORCED/SEPARATED 5 93 = IF MULTIPLE WIVES 6 99 = OTHER	N4. Who is [..]'s <i>father</i> ? 1 IF FATHER ON HOUSEHOLD CARD, WRITE DOWN PERSON CODE 2 88 = IF DECEASED 3 99 = OTHER	N5. Who is [..]'s <i>mother</i> ? 1 IF MOTHER ON HOUSEHOLD CARD, WRITE DOWN PERSON CODE <i>THEN NEXT PERSON</i> 2 88 = IF DECEASED 3 99 = OTHER	Q6 FOR RESIDENT (CIRCLED) CHILDREN AGED LESS THAN 21: Who in the household is mainly responsible for the day-to-day care of this child? WRITE PERSON CODE HERE <u>AND</u> ON THE HOUSEHOLD CARD
A	<i>pcode</i>	<i>educ</i>	<i>popgroup</i>	<i>spouse</i>	<i>father</i>	<i>mother</i>	<i>ccaregiv</i>
B			1 2 3 4 5				
C			1 2 3 4 5				
D			1 2 3 4 5				
E			1 2 3 4 5				
F			1 2 3 4 5				
G			1 2 3 4 5				
H			1 2 3 4 5				
I			1 2 3 4 5				
J			1 2 3 4 5				
K			1 2 3 4 5				
L			1 2 3 4 5				
M			1 2 3 4 5				
N			1 2 3 4 5				
O			1 2 3 4 5				
P			1 2 3 4 5				
Q			1 2 3 4 5				
R			1 2 3 4 5				

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Section 1.5: Education and Household Relationships (Continued)

	N1. Person Code	N2. What is the highest educational standard completed by [..] ? Schooling Code	Q1. What is [..] population group? PROMPT 1. Black African 2. Coloured 3. Indian 4. White 5. Other	N3. Who is [..]'s <i>spouse or partner</i> ? 1 IF SPOUSE ON HOUSEHOLD CARD, WRITE DOWN PERSON CODE 2 88 = IF DECEASED 3 78 = IF NEVER MARRIED 4 79 = IF DIVORCED/SEPARATED 93 = IF MULTIPLE WIVES 6 99 = OTHER	N4. Who is [..]'s <i>father</i> ? 1 IF FATHER ON HOUSEHOLD CARD, WRITE DOWN PERSON CODE 2 88 = IF DECEASED 3 99 = OTHER	N5. Who is [..]'s <i>mother</i> ? 1 IF MOTHER ON HOUSEHOLD CARD, WRITE DOWN PERSON CODE <u>THEN NEXT PERSON</u> 2 88 = IF DECEASED 3 99 = OTHER	Q6 FOR RESIDENT (CIRCLED) CHILDREN AGED LESS THAN 21: Who in the household is mainly responsible for the day-to-day care of this child? WRITE PERSON CODE AND ON THE HOUSEHOLD CARD
S			1 2 3 4 5				
T			1 2 3 4 5				
V			1 2 3 4 5				
U			1 2 3 4 5				
X			1 2 3 4 5				
U			1 2 3 4 5				
Z			1 2 3 4 5				
AA			1 2 3 4 5				
BB			1 2 3 4 5				
CC			1 2 3 4 5				
DD			1 2 3 4 5				
EE			1 2 3 4 5				

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Schooling Code - Question N2		
21 = Grade 0/Grade R 1 = Sub A/Grade 1 2 = Sub B/Grade 2 3 = Grade 3/Standard 1 4 = Grade 4/Standard 2 5 = Grade 5/Standard 3 6 = Grade 6/Standard 4	7 = Grade 7/Standard 5 8 = Grade 8/Standard 6 9 = Grade 9/Standard 7 10 = Grade 10/Standard 8 11 = Grade 11/Standard 9 12 = Grade 12/Standard 10/ took Matric and failed 13 = Grade 12/Standard 10/Matric <u>without exemption</u> 14 = Grade 12/Standard 10/Matric <u>with exemption</u>	15 = NTC I 16 = NTC II 17 = NTC III 18 = Degree 19 = Postgraduate degree or Diploma 20 = Other (specify) 21 = Don't know
SECTION 1 MAIN RESPONDENT PERSON CODE		resp

Section 1.6: Parents of the Core Persons

COMPLETE FOR ALL RESIDENTS CORE PERSONS (THOSE MARKED WITH “C” ON HOUSEHOLD CARD)

NOTE: IN THIS SECTION “[..]” REFERS TO THE PARENT OF THE CORE PERSON

N1. CORE PERSON	N2. PARENT OF CORE PERSON	N3. IS [..] LISTED ON HOUSEHOLD CARD?	N4. PERSON CODE	N5. Is [..] alive? Yes 1 IF NO ENTER AGE IN YEARS AT DEATH, → GO TO QUESTION N9	N6. Current Age in years in 2004	N7. If the household had financial trouble, , would [..] help?	N8. What is [..]’s main activity?	N9. What is the highest educational standard completed by [..] ?
Person Code		Yes No			Years	Yes No	PROMPT FROM Activity Code	Schooling Code
<i>pcode</i>	<i>mother</i>	<i>mlisted</i>	<i>mother</i>	<i>malive, magedth</i>	<i>mage04</i>	<i>mfinance</i>	<i>mactiv</i>	<i>meduc</i>
<i>pcode</i>	<i>father</i>	<i>flisted</i>	<i>father</i>	<i>falive, fagedth</i>	<i>fage04</i>	<i>ffinance</i>	<i>factiv</i>	<i>feduc</i>
<i>pcode</i>	<i>parent</i>	<i>plisted</i>	<i>ppcode</i>	<i>palv_agdt</i>	<i>page04</i>	<i>pfinance</i>	<i>pactiv</i>	<i>peduc</i>
	Father of [CORE PERSON 2]	1 2	83			1 2		
	Mother of [CORE PERSON 3]	1 2	84			1 2		
	Father of [CORE PERSON 3]	1 2	85			1 2		
	Mother of [CORE PERSON 4]	1 2	86			1 2		
	Father of [CORE PERSON 4]	1 2	87			1 2		
	Mother of [CORE PERSON 5]	1 2	89			1 2		
	Father of [CORE PERSON 5]	1 2	90			1 2		

Section 1.6: Parents of the Core Persons (Continued)

N1. CORE PERSON	N2. PARENT OF CORE PERSON	N3. IS [..] LISTED ON HOUSEHOLD CARD? IF YES → GO TO NEXT PERSON	N4. PERSON CODE	N5. Is [..] alive? Yes 1 IF NO ENTER AGE IN YEARS AT DEATH, → GO TO QUESTION N9	N6. Current Age in years in 2004 Years	N7. If the household had financial trouble, , would [..] help? Yes No	N8. What is [..]'s main activity? PROMPT FROM Activity Code	N9. What is the highest educational standard completed by [..] ? Schooling Code
Person Code		Yes No						
	Mother of [CORE PERSON 6]	1 2	91			1 2		
	Father of [CORE PERSON 6]	1 2	92			1 2		
	Mother of [CORE PERSON 7]	1 2	93			1 2		
	Father of [CORE PERSON 7]	1 2	94			1 2		

Activity Code Question N8	Schooling Code Question N9		
1 = Regular employment 2 = Casual employment 3 = Self-employed 4 = Housewife/Child rearing 5 = Unemployed 6 = Formal education 7 = Retired/Pensioner 8 = Other	21 = Grade 0/Grade R 1 = Sub A/Grade1 2 =Sub B/Grade 2 3 = Grade 3/Standard 1 4 = Grade 4/Standard 2 5 = Grade 5/Standard 3 6 = Grade 6/Standard 4 7= Grade 7/Standard 5	8 =Grade 8/Standard 6 9 = Grade 9/Standard 7 10 = Grade 10/Standard 8 11 = Grade 11/Standard 9 12 = Grade 12/Standard 10/Took Matric <u>and failed</u> 13 = Grade 12/Standard 10/Matric <u>without exemption</u> 14 = Grade 12/Standard 10/Matric <u>with exemption</u> 15 = NTC I	16 = NTC II 17 = NTC III 18 = Degree 19 = Postgraduate degree or Diploma 20 = Other (specify) 22 = Don't know

SECTION 2: Household Services

Section 2.1 Housing

1. Type of dwelling? *dwel_typ*

Shack01
House/Part of a house02
Traditional dwelling (hut)03
Maisonette.....04
Flat05
Hostel.....06
Outbuilding07
Combination of buildings.....08
Other (Specify)09
.....

3. How many rooms does the household occupy in this dwelling? *rooms_oc*

Include bedrooms, living rooms, kitchens, lounges & dining rooms
Exclude bathrooms, toilets & passages

5. Does the household own this dwelling? *ownship_*

Yes..... 1
No 2 → GO TO QUESTION 9a

6. Does the household have a bond or loan on this dwelling? *bond*

Yes..... 1
No 2 → GO TO QUESTION 8

7. How much is still owed on the bond or loan? *bond_owe*

R _____

8. If you sold this dwelling today, about how much do you think you could get for it? (Alternatively, how much would it cost to rebuild it today, including materials and labor?)

sale_val

R _____

→ GO TO SECTION 2.2

9a. Does the household have to pay rent to live here? *pay_rent*

Yes 1

No 2 → GO TO QUESTION 9c

9b. How much rent is the household supposed to pay in a month? *rent_act*

R _____

→ GO TO SECTION 2.2

9c. Suppose you did have to pay rent to live here. How much do you think you would have to pay in a month?

rent_mkt
R _____

Section 2.2: Water

In this section we are going to talk about the water used by this household for drinking, cooking, bathing, washing clothes, or other household purposes like these.

N1. What is the most often used source of **drinking** water in this household?

CIRCLE ONLY ONE

- wtsource*
- Piped - internal 01
 - Piped - yard tap..... 02
 - Water carrier/tanker..... 03
 - Piped - public tap/kiosk (free)..... 04
 - Piped - public tap/kiosk (paid for).. 05
 - Borehole 06
 - Rainwater tank..... 07
 - Flowing river/stream 08
 - Dam/stagnant water 09
 - Well (non-borehole) 10
 - Protected spring 11
 - Other (specify)..... 12

.....

N2. Do resident household members fetch and carry water to the house each day?

Yes 1 *wfetch*

No 2 → GO TO SECTION 2.3

N3. What is the average number of person-trips made to fetch water per day?

_____ trips *wttrips*

N4. How long does each round trip take on average (including time spent waiting in queue) ?

_____ minutes *wtime*

Section 2.3: Sanitation

1. What kind of toilet does the household use? *toilet_c*

- Flush toilet..... 1
- Improved pit latrine with ventilation (VIP)... 2
- Other pit latrine 3
- Bucket toilet 4
- Chemical toilet 5
- None 6 → GO TO SECTION 2.4

2. Where is the toilet? *toiloc*

- Inside dwelling 1
- Outside dwelling - on stand..... 2
- Outside dwelling - off stand 3

Section 2.4: Energy

In this section, we are going to talk about the different kinds of energy that this household uses for different purposes such as cooking, heating, and lighting.

1. Is the house connected to an electricity supply? *connecte*

- Yes 1
- No..... 2

N1. Do resident household members collect wood at least once a week?

woodcoll

Yes 1

No..... 2 → GO TO SECTION 3

N2. What is the average number of person trips made to fetch wood per week?

_____ trips *wdtrips*

N3. How long does each round trip take on average (including time spent collecting wood) ? _____ minutes *wdtime*

Section 3: Food Spending and Consumption

In this section, we look at the patterns of food consumption for all resident household members. This should include all the food they have eaten. It should not include food that has been bought for resale or exchanging for commercial purposes. I have a list of different kinds of food that people may have eaten in the past **MONTH**.

1) FIRST ASK QUESTION 1 FOR EACH ITEM ON LIST

2) FOR EACH OF THE ITEMS MARKED WITH A YES TO QUESTION 1, ASK OTHER QUESTIONS

Food Item	Code	1. Was [..] eaten by this household in the past month?		3. What was the value of [..] eaten from <i>purchases</i> in the past month? Rand	N1. What was the value of [..] eaten received as <i>gifts</i> in the past month? Rand	N2. What was the value of [..] eaten received as <i>payment</i> in the past month? Rand	5b. What was the value of [..] eaten from <i>own production</i> in the past month? Rand
		Yes	No				
Maize grain / samp	<i>foodcode</i>	<i>eat_yn</i>		<i>purchasd</i>	<i>gift</i>	<i>payment</i>	<i>ownprod</i>
Mealie Meal / Maize Flour	2	1	2				
Rice	3	1	2				
White / Brown Bread	4	1	2				
Wheat Flour	5	1	2				
Breakfast Cereal	6	1	2				
Dried Peas / Lentils / Beans	7	1	2				
Potatoes	8	1	2				
Tomatoes	9	1	2				
Sweet Potatoes	33	1	2				
Madumbes / Other roots / Tubers	10	1	2				
Vegetable Oil	11	1	2				
Peanuts / Peanut butter / Other nuts	34	1	2				
Margarine/Butter / Ghee / Other Fats	12	1	2				
Cheese	13	1	2				
Jam	14	1	2				
Fresh Milk/ Steri Milk / UHT	35	1	2				
Sour Milk/ Maas/ Yoghurt	15	1	2				

Section 3: Food Spending and Consumption (Continued)

Food Item	Code	1. Was [..] eaten by this household in the past month?		3. What was the value of [..] eaten from <i>purchases</i> in the past month? Rand	N1. What was the value of [..] eaten received as <i>gifts</i> in the past month? Rand	N2. What was the value of [..] eaten received as <i>payment</i> in the past month? Rand	5b. What was the value of [..] eaten from <i>own production</i> in the past month? Rand
		Yes	No				
Baby Formula	16	1	2				
Milk Powder	36	1	2				
Sugar	17	1	2				
Mutton / Beef / Pork / Goat meat	18	1	2				
Tinned meat / Processed meat / Polony	32	1	2				
Offal	37	1	2				
Chicken	19	1	2				
Eggs	20	1	2				
Fresh Fish	21	1	2				
Tinned Fish	22	1	2				
Pumpkin / squash	23	1	2				
Green mealies	38	1	2				
Green vegetables / Tinned vegetables	24	1	2				
Other vegetables / Wild vegetables / Imifino	39	1	2				
Bananas	25	1	2				
Apples, peaches, etc.	26	1	2				
Citrus fruit	27	1	2				
Soft drinks	28	1	2				
Tinned fruit	33	1	2				
Meals prepared outside home	29	1	2				
Other food expenditure / consumption	31	1	2				

		Q5c. Were any [..] ?		Q5d. What was the total value in the past month? Rand
		Yes	No	
Meals Given to Guests	30	<i>meals_g</i>		
Meals Received as Guests	34	<i>meals_r</i>		

SECTION 3 MAIN RESPONDENT PERSON CODE	<i>resp</i>
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Section 4: Non-Food Spending and Assets

Section 4.1: Regular Non-Food Spending

FOR EACH ITEM, ASK: In the past **MONTH**, about how much did the household spend on [..] ?

PERSONAL ITEMS:	Code	Rands per month
Cigarettes, tobacco	<i>rnfs_c</i>	<i>rnfs_a</i>
Beer, wine, spirits	2	
Entertainment (cinema, sports, music, lottery, etc)	3	
Personalised care items: cosmetics, soap, shampoo, haircuts, and so on	4	
Newspapers/stationery, envelopes, stamps	5	
Telephone (service + calls + prepaid) including cell phone	6	
REGULAR TRANSPORT COSTS:		
Petrol, oil and car service	7	
Buses, taxis, trains and air tickets	8	
MISCELLANEOUS:		
Washing powder etc.	9	
Creche/Childcare	10	
Religious and membership dues of organisations	11	
Informal taxation and donations	12	
Domestics, gardeners and other household labour	13	
ENERGY, WATER AND MUNICIPAL RATES:		
Water, Electricity, and Municipal Rates (IF PAID TOGETHER)	14	
Water (e.g., rates if paid separately from municipal rates, tanker)	15	
Electricity (if paid separately from municipal rates)	16	
Municipal Rates (if paid separately from water and electricity)	17	
Other energy sources (wood, paraffin, charcoal/coal, candles, gas, purchasing/charging batteries, diesel oil for generators, other)	18	

SECTION 4.1 MAIN RESPONDENT PERSON CODE	<i>resp</i>
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Section 4.2: Occasional Non-Food Spending

FOR EACH ITEM, ASK: In the past **YEAR**, about how much did the household spend on [..] ?

HOUSEHOLD ITEMS:	Code	Rands per year
Kitchen equipment, like pots and pans, lamps, torches etc.	<i>onfs_c</i>	<i>onfs_a</i>
Home maintenance and repairs to the dwelling	2	
Bedding, sheets, blankets and towels	3	
Furniture and other household appliances	4	
CLOTHING AND SHOES:		
Shoes and clothes for children (excluding school uniforms)	21	
Shoes and clothes for adults	22	
Material to make clothing, curtains, and other items	7	
HEALTH AND CARE:		
Medical Aid Scheme/Medical Insurance Fees	18	
Dentists, doctors or nurses (not covered by Medical Aid/Insurance)	8	
Hospital/Clinic fees (not covered by Medical Aid/Insurance)	9	
Medical supplies, for example, medicines, bandages and so on (not covered by Medical Aid/Insurance)	10	
Traditional healer's fees	11	
PERSONAL AND OTHER ITEMS:		
Holidays	12	
Jewellery, watches, other luxury goods	13	
Ceremonies (weddings, funerals, etc.)	23	
EDUCATION:		
School fees and tuition	14	
University/College fees	15	
Books and Uniforms (including stationery)	16	
Other School Expenses (transport, meals at school, boarding fees, contributions to school buildings, extra costs for teachers, extramural activities, other)	17	
LIFE AND PROPERTY INSURANCE:		
Life insurance, funeral policies, burial societies	18	
Short-term insurance (e.g., car, property & fire, crop)	19	

SECTION 4.2 MAIN	<i>resp</i>
RESPONDENT PERSON CODE	

Section 4.3: Household Durables

PROMPT FOR EACH ITEM ON THE LIST

I have a list of household items which the household may or may not own.

	Code	N1. Does the household own [..] ? Yes.. 1 No... 2 → NEXT ITEM	N2. Who in the household owns [..] ? Person Code IF JOINTLY OWNED, CODE=00. IF NOT ON HOUSEHOLD CARD, CODE=99.	N3. What is the approximate value of [..] ? Rand
	<i>durable</i>	<i>own_yn</i>	<i>pcode</i>	<i>value</i>
Radio/HiFi stereo, TV/VCR/DVD	3	1 2		
Primus Cooker, Hot plate, Electric or Gas Stove	4	1 2		
Fridge/Freezer	7	1 2		
Electric Kettle, Toaster, Geyser, Other kitchen appliances	9	1 2		
Telephone/Cellular Phone	11	1 2		
Household Furnishings (bed, dresser, tables, etc.)	12	1 2		
Bicycle	2	1 2		
Motor vehicle, including cars and bakkies	1	1 2		
Jewellery, watches, etc.	13	1 2		
Tractor or other farming vehicles	14	1 2		
Farm equipment (pump, plough, etc.)	15	1 2		
Other Farming tools (spades, hoes, etc)	16	1 2		
Other, Specify:	17	1 2		

SECTION 4.3 MAIN RESPONDENT PERSON CODE	<i>resp</i>
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Section 4.4: Household Debt

In this section, we look at household borrowing of different kinds, including shopkeeper credit, loans (including those made in kind), hire purchases, and a bond on your house.

PROMPT FOR EACH ITEM ON THE LIST

Possible Lender	Code	Loan No.	N1. Is anything owed to [..] ? Yes ...1 No2 → NEXT LENDER	N2. Who obtained the loan from [..] ? Person Code, 00 if jointly owned	N3. What was the initial amount borrowed? Rand	N4. When was the loan given? Month / Year	N6. When did you agree to repay the loan? IF INDEFINITE, CODE=00/00 Month / Year
Relative or Friend	<i>lender</i>	<i>loanno</i>	<i>owe_yn</i>	<i>borrower</i>	<i>initial</i>	<i>loandate</i>	<i>paiddat</i>
Relative or Friend	1	2	1 2			/	/
Stockvel	7	1	1 2			/	/
Stockvel	7	2	1 2			/	/
Landlord	3	1	1 2			/	/
Landlord	3	2	1 2			/	/
Bank or Building Society	4	1	1 2			/	/
Bank or Building Society	4	2	1 2			/	/
MFI/Non-Governmental Org. (NGO)	5	1	1 2			/	/
MFI/Non-Governmental Org. (NGO)	5	2	1 2			/	/
Money Lender (Mashonisa)	6	1	1 2			/	/
Money Lender (Mashonisa)	6	2	1 2			/	/

Section 4.4: Household Debt (Continued)

Possible Lender	Code	Loan No.	N1. Is anything owed to [...] ? Yes ...1 No2 → NEXT LENDER	N2. Who obtained the loan from [...] ? Person Code, 00 if jointly owned	N3. What was the initial amount borrowed? Rand	N4. When was the loan given? Month / Year	N6. When did you agree to repay the loan? IF INDEFINITE, CODE=0000 AND → GO TO QUESTION N8 Month / Year
Government Agency	2	1	1 2			/	/
Government Agency	2	2	1 2			/	/
Burial Services	8	1	1 2			/	/
Burial Services	8	2	1 2			/	/
Employer	9	1	1 2			/	/
Employer	9	2	1 2			/	/
Hire Purchase	10	1	1 2			/	/
Hire Purchase	10	2	1 2			/	/
Shopkeeper Credit	11	1	1 2			/	/
Shopkeeper Credit	11	2	1 2			/	/
Clothing Account (E.g. Edgars)	12	1	1 2			/	/
Other			1 2			/	/
			1 2			/	/

SECTION 4.4 MAIN RESPONDENT PERSON CODE

Section 4.5: Household Financial Assets

I have a list of financial assets which household members may or may not own.

PROMPT FOR EACH ITEM ON LIST

Type of Financial Asset	Code	N1. Does the household have [..] ? Yes .. 1 No ... 2 → NEXT ITEM	N2. Who in the household owns [..] ? Person Code IF JOINTLY OWNED, CODE=00	N3. What is the approximate value of [..] ? Rand
	<i>asset</i>	<i>asset_yn</i>	<i>pcode</i>	<i>value</i>
Cash and Savings	1	1 2		
Savings in Stockvel	2	1 2		
Savings in Stockvel	2	1 2		
Savings in pension plan or retirement annuity	3	1 2		
Savings in pension plan or retirement annuity	3	1 2		
Unit trusts, stocks, and shares	4	1 2		
Unit trusts, stocks, and shares	4	1 2		
Loans to non-household members which are expected to be repaid	5	1 2		
Loans to non household members which are expected to be repaid	5	1 2		
Other (Specify)	6	1 2		
Other (Specify)	6	1 2		

SECTION 4.5 MAIN RESPONDENT PERSON CODE

Section 5: Remittances

5.1: Income Received from Non-Resident Household Members and any other person

1. Are there any people who are not resident household members (THOSE NOT CIRCLED ON THE HOUSEHOLD CARD) who send money, food, or any other kind of contribution to this household (I.E., RECEIVED BY A RESIDENT HOUSEHOLD MEMBER) ?

Yes 1

outcont_yn

No..... 2

→ GO TO SECTION 5.2

Please name each person who sends money, food, or any other kind of contribution to this household.

WRITE CONTRIBUTORS' NAMES IN TABLE ON NEXT PAGE

ENUMERATOR: ASK "ANYONE ELSE?" AFTER EACH PERSON MENTIONED

NOTE: MONEY OR IN KIND LOANS WHICH ARE EXPECTED TO BE REPAYED SHOULD BE INCLUDED IN **SECTION 4.4**, NOT HERE.
MONEY OR IN KIND LOANS WHICH ARE BEING REPAYED TO THE HOUSEHOLD SHOULD BE INCLUDED IN **SECTION 4.5**.

Section 5.1: Income Received from Non-Resident Household Members and Any Other Persons (Continued)

	2. Names of people making contributions to the household Contributor's Name	N2. Who in the household received the transfer and controlled its spending? Receiver's Person Code (enter 00 if jointly controlled)	Q1. How is [...] related to the recipient? Relationship Code	5. In the past 12 months, did [..] send or give money to the household?			6. In the past 12 months, did [..] make a contribution in kind to the household? E.g.: food, furniture		
				5a. Number of times?	5b. How much in total in the past 12 months? Rand	5c. How much in the past 30 days? Rand	6a. Number of times?	6b. Total Value in the past 12 months? Rand	6c. Total Value in the past 30 days? Rand
A	contname	r_recvr	r_rel	r_cash_t	r_cash_y	r_cash_m	r_inkind	r_inkin1	r_inkin2
B									
C									
D									
E									
F									
G									
H									
I									

Relationship Code Question Q1

1 = Wife or husband or partner of receiver

2 = Son or daughter of receiver

3 = Father or mother of receiver

4 = Grandchild of receiver

5 = Great grandchild of receiver

6 = Grandparent of receiver

7 = Mother- or father-in-law of receiver

8 = Son- or daughter-in-law of receiver

9 = Brother- or sister-in-law of receiver

10 = Brother or sister of receiver

11 = Nephew or niece of receiver

12 = Household help / herd boy (or relative of)

13 = Lodger or relative of lodgers

14 = Great-grandchild of receiver

15 = Other relative

16 = Other non-relative

SECTION 5.1 MAIN RESPONDENT PERSON CODE

resp

Section 5.2: Non-Resident Household Members and other people Who Received Contributions from the Household

1. Are there any people who are not resident household members who receive money, food, or any other kind of contribution from this household (I.E., SENT BY A RESIDENT HOUSEHOLD MEMBER) ?

Yes 1 *outrec_yn*
No..... 2 **→ GO TO SECTION 6**

Please name each person who receives money, food, or any other kind of contribution from this household.

WRITE RECIPIENTS' NAMES TABLE ON NEXT PAGE

ENUMERATOR: ASK "ANYONE ELSE?" AFTER EACH PERSON MENTIONED

NOTE: MONEY OR IN KIND LOANS WHICH ARE EXPECTED TO BE REPAID SHOULD BE INCLUDED IN **SECTION 4.5**, NOT HERE.

Section 5.2 : Non-Resident Household Members and other people Who Received Contributions from the Household (Continued)

	2. Names of people receiving contributions from the household <i>(Person who benefits the most from the money, not the one who collects it)</i> Receiver's Name	N2. Who in the household gave a transfer? Person Code	Q1. How is [...] related to the sender? Relationship Code	5. In the past 12 months, did the household send or give money to [...] ?			6. In the past 12 months, did the household make a contribution in kind to [...] ? E.g. food, furniture		
				5a. Number of times? Rand	5b. How much in total in the past 12 months? Rand	5c. How much in the past 30 days? Rand	6a. Number of times? Rand	6b. Total Value in the past 12 months? Rand	6c. Total Value in the past 30 days? Rand
A	recname	s_sender	s_rel	s_cash_t	s_cash_y	s_cash_m	s_inkind	s_inkin1	s_inkin2
B									
C									
D									
E									
F									
G									
H									
I									

Relationship Code Question Q1

1 = Wife or husband or partner of receiver
2 = Son or daughter of receiver
3 = Father or mother of receiver
4 = Grandchild of receiver
5 = Great grandchild of receiver
6 = Grandparent of receiver
7 = Mother- or father-in-law of receiver
8 = Son- or daughter-in-law of receiver
9 = Brother- or sister-in-law of receiver
10 = Brother or sister of receiver
11 = Nephew or niece of receiver
12 = Household help / herd boy (or relative of)
13 = Lodger or relative of lodgers
14 = Great-grandchild of receiver
15 = Other relative
16 = Other non-relative

SECTION 5.2 MAIN RESPONDENT PERSON CODE

resp

Section 6: Household Income from Non-Employment Sources

In this section we are going to talk about any money or any form of assistance that household members may receive **which does not involve employment of some kind and which does not include remittances or loans.**

As I read a list of the different ways in which people can receive money or assistance, I'd like you to indicate whether any household member did, in fact, receive such assistance or not.

1) PROMPT FOR EACH ITEM

~~3)~~2) FOR EACH ITEM MARKED WITH A YES=1 TO QUESTION 1, ASK QUESTIONS 2, 3, AND 4
PROMPTING FOR ADDITIONAL PEOPLE

~~4)~~3) INDICATE PERSON CODE OF WHO ACTUALLY RECEIVES THE ASSISTANCE

Formatted: Bullets and Numbering

Section 6.1: Household Income from Non-Employment Sources

source_c	1. Does any member of the household receive any money or assistance from [..] ?		2. How much was received by the first person last month?		3. How much was received by the second person last month?		4. How much was received by the third person last month?	
	Code	Yes No	Person Code	Rand	Person Code	Rand	Person Code	Rand
Old Age Pension (Social Pension)		assist_yn	pcode1	amt_rec1	pcode2	amt_rec2	pcode3	amt_rec3
Pension from work	2	1 2						
Private Retirement Annuity	13	1 2						
Retirement gratuity / package	14	1 2						
Unemployment Insurance	8	1 2						
Workmen's Compensation	6	1 2						
Care Dependency Grant (under 18)	16	1 2						
Disability Grant (18 and over)	4	1 2						
Child Support Grant	15	1 2						
Foster Care Grant	17	1 2						
Interest Earnings including dividends, interest from savings, loans	7	1 2						
Inheritances	10	1 2						
Government Supplementary Food scheme through clinics	11	1 2						
War veterans pension	18	1 2						
Grant in Aid	19	1 2						
Other, Specify:	12	1 2						

SECTION 6.1 MAIN RESPONDENT PERSON CODE || resp

Section 7: Economic Shocks

Section 7.1: Negative Economic Shocks

Households sometimes have good and bad surprises. First I would like to ask you about any **bad surprises** or events that hurt the household financially **over the past 6 years since 1998**. **PROMPT EACH ITEM ON LIST**

1. Surprise	2. Surprise Code	3. Did [..] occur in this household in the last 6 years ? Yes ... 1 No ... 2 → NEXT ITEM	4. What year did [..] happen?		5. How long did it last? ENTER 99 IF ONGOING Months	6. What was the decrease in income each month? Rand	7. What were the total expenses from it? Rand	8. What was the value of items lost? Rand <i>imvalue</i>
			Month	Year				
Serious injury or illness keeping household member from doing normal activities	<i>shock</i>	<i>nshock_yn</i>	<i>month</i>	<i>year</i>	<i>duration</i>	<i>income_d</i>	<i>expense</i>	
Loss of a regular job of a household member	3	1 2						
Cut-off or decrease of remittances to household	4	1 2						
Cut-off or decrease in government grants (not due to death)	5	1 2						
Abandonment or Divorce	6	1 2						
Theft, fire, or destruction of household property (including livestock, vehicles)	7	1 2						
Major crop failure due to, e.g., drought, flood, hailstorm, pests	8	1 2						
Widespread death/disease of livestock	9	1 2						
Failure or bankruptcy of business	10	1 2						
Other 1, Specify	11	1 2						

SECTION 7.1 MAIN RESPONDENT PERSON CODE

|| *resp*

Section 7.2: Positive Economic Shocks

Now I would like to ask you about any **good surprises** your household experienced **over the past 6 years since 1998**.

PROMPT EACH ITEM ON LIST

1. Surprise	2. Surprise Code	3. Did [..] occur in this household in the last 6 years ? Yes ... 1 No ... 2 → NEXT ITEM	4. What year did [..] happen? Month / Year	5. How long did it last? Months ENTER 99 IF ONGOING	6. What was the increase in income each month? Rand	7. What was the total value of things received? Rand <i>valuercv</i>
New Regular job for household member	<i>shock</i>	<i>pshock_yn</i>	<i>year</i>	<i>duration</i>	<i>income_i</i>	
New or increased remittances	14	1 2	/			
New or increased government grants (e.g., pension begins)	15	1 2	/			
Inheritance, large gift, lottery winnings	16	1 2	/			
Big payment from a firm	17	1 2	/			
Scholarships for children or adults in the household	18	1 2	/			
Other 1, Specify	19	1 2	/			
Other 2, Specify	19	1 2	/			

NOTE: FOR OTHER ROW, WRITE "N/A" IF QUESTION NOT APPLICABLE TO THAT SURPRISE

SECTION 7.2 MAIN RESPONDENT PERSON CODE

resp

Section 8: Agriculture

In this section, I would like to ask about your agricultural and livestock activities. Please tell me about all activities, even if it is only home gardening. Among other things we would like to know about the outputs you have produced and the costs you have paid in the last 12 months. Thus we will be asking about crops harvested late in the last growing seasons, as well as about your agricultural activities this growing season.

Section 8.1: Agricultural Activities over the Last 12 Months

8.1.1 Participation of household members with agricultural activities

Has any resident household member, including resident children, (WHOSE NAMES ARE CIRCLED ON THE HOUSEHOLD CARD) participated, over the last 12 months, in ploughing, planting, weeding, pest management, watering / mulching, harvesting, storing, post-harvest packing and/or processing, transporting agricultural produces to the market, or in selling agricultural produces?

agpart Yes 1 No..... 2 → GO TO SECTION 8.1.2

Please name each person who has participated in ploughing, planting, weeding, pest management, watering / mulching, harvesting, storing, post-harvest packing and/or processing, transporting agricultural produces to the market, or selling agricultural produces in this household.

WRITE THE PERSON CODES OF THE PARTICIPANTS AS GIVEN ON THE HOUSEHOLD CARD IN THE TABLE ON THE NEXT PAGE.

ENUMERATOR: ASK “ANYONE ELSE?” AFTER EACH PERSON MENTIONED, IF MORE THAN 3 PARTICIPANTS’ NAMES ARE LISTED ASK WHICH ARE THE 3 MOST IMPORTANT PARTICIPANTS.

agcode	Q1. Has any resident household member (including children) participated in [...] in the last 12 months? Yes...1 No... 2 → GO TO NEXT ACTIVITY	Q2. Who are the household members that have participated the most in the activity? Enter up to 3 resident household Person Codes		
		a. Person 1	b. Person 2	c. Person 3
Ploughing	agric_yn	partic1	partic2	partic3
Planting	1 2			
Weeding	1 2			
Pest management	1 2			
Watering /mulching	1 2			
Harvesting	1 2			
Storage	1 2			
Post-harvest packing and /or processing	1 2			
Transport to market	1 2			
Selling	1 2			

8.1.2 Land Use and Access

Now I want to ask you about the PLOTS of land that resident household members **harvested in the past 12 months**, or are letting lie **fallow, renting, or lending** out to others for **farming purposes** (we ask about grazing land later).

Are there any such plots, including private and community gardens?

plotlie_yn

Yes 1

No..... 2

→ GO TO SECTION 8.1.3

AFTER EACH PLOT, PROMPT WITH: Any other plots?

N1. Plot Number	N2. What is the size of the plot ?		Q1. Does this household own the plot? Yes...1 No....2 → go to Q2 Yes No	N6. Who in the household owns the plot ? Person Code	N7. Does the household have a Title Deed (Certificate of Ownership) for the plot? Yes No	Q2. How did the household get access to the plot? Access Code
	N2a. Size	N2b. Unit of Measure Size Code				
<i>plot_no</i>	<i>plot_sze</i>	<i>plotunit</i>	<i>own_yn</i>	<i>owner</i>	<i>tit_deed</i>	<i>access</i>
2			1 2		1 2	
3			1 2		1 2	
4			1 2		1 2	

Size Code Questions N2b	Access Code Question Q2
1 = Soccer Fields 2 = Hectares 3 = Acres 4 = Meters by Meters (e.g., 5m x 3m) 5 = Other (specify)	1 = Bought the land 2 = Inherited the land 3 = Allocated Land (allocated by tribal authority or government) or hold under Permission to Occupy (PTO) 4 = Rented Land (rented/leased) 5 = Borrowed Land (borrowed with permission from owner) 6 = Land that belongs to the employer of a household member 7 = The land is occupied informally 8 = Community Garden Plot 9 = Other (specify)

8.1.3 Agricultural Production over the Last 12 Months

1. Over the past 12 months, has this household been growing any crops (**INCLUDING NON-COMMERCIAL AND HOUSEHOLD GARDEN PRODUCTION**)?

Yes 1

No 2 → **GO TO SECTION 8.2 (Page 42)**

crop_yn

2. What crops have household members planted over the last 12 months?

Crop Code Question 1b			Unit Code Question 1c	
1 = Maize Grain	10= Pumpkin/Squash	18 = Other Veggies	1 = kilos	7 = boxes
2 = Maize Fresh	11 = Green Veggies	19 = Pasture Crops	2 = 10 kilo bags	8 = 25 litre drum
3 = Sorghum	12 = Millet	(e.g. lucerne)	3 = 25 kilo bags	9 = piece/ear/number
4 = Wheat	13 = Madumbe/Other	20 = Commercial Flowers	4 = 50 kilo bags	10 = basin (Specify size)
5 = Potato/ Sweet Potato	Tubers (Specify)	21 = Imifino, Morogo	5 = 80 kilo bags	11 = bunches
6 = Orchard Fruit, Citrus	14 = Peanuts/Nuts	berries, mushrooms	6 = tons	12 = Units
7 = Bananas	15 = Tomato	22 = Cotton		13 = Other (Specify)
8 = Grapes	16 = Onion	23 = Green beans		
9 = Dry Beans	17 = Sugar/Cane	24 = Other (specify)		

PROMPT FROM CROP CODE LIST BELOW ASKING: Did you grow any [..] in the last 12 months?

LIST ALL CROPS GROWN BEFORE ANSWERING OTHER QUESTIONS

IF THE HOUSEHOLD IS GROWING MAIZE, BE SURE TO INCLUDE LAST YEAR'S MAIZE HARVEST IF WITHIN PAST 12 MONTHS

	1a. Crop Name	1b. Crop Code	N2. Over the past 12 months, has the household harvested any of this crop? Yes ... 1 No ... 2 → GO TO NEXT CROP	1c. In what units does the household usually measure its crop of [..]?		1d. How many [UNITS] of [CROP] have been harvested over the past 12 months?	1e. Of the amount harvested, how many [UNITS] of [CROP] did the household sell?		1f. How many [UNITS] of the [CROP] were used to pay for labour employed by the household in any task?	1g. How many [UNITS] of [CROP] were given to pay for the use of the land?	Q1. How many [UNITS] of [CROP] were lost due to pests, theft and/or rotting after harvesting and while in storage?	N3. When the household sells this crop, who gets the money from the sale? Person Code, 00 IF JOINT
				Name of Unit	Unit Code		Number	Number				
A	<i>cropname</i>	<i>crop</i>	<i>harvest</i>	<i>unitname</i>	<i>unit</i>	<i>unithrv</i>	<i>unitsold</i>	<i>avgprice</i>	<i>unitlab</i>	<i>unitland</i>	<i>unitlost</i>	<i>gets</i>
B			1 2									
C			1 2									
D			1 2									
E			1 2									
F			1 2									
G			1 2									
H			1 2									
I			1 2									

8.1.4 Agricultural Inputs and Temporary Hired Labour

N3. Did the household use any PAID temporary workers to help grow or harvest crops DURING THE PAST 12 MONTHS?

TEMPORARY WORKERS ARE THOSE HIRED FOR A SHORT PERIOD OF TIME AND USUALLY PAID A DAILY WAGE. WE WILL ASK LATER ABOUT PERMANENT WORKERS

Yes 1 *tcrhire*
 No..... 2 → GO TO QUESTION N5

<i>act</i>	Q1. Was any temporary worker hired for [...]? Yes.....1 No..... 2 → NEXT TASK	Q2. How many workers were hired for [...]? Number of workers	Q3. On average, how many days was each worker hired for? Average number of days	N4c. Daily Money Wage Paid per Person? Rand	N4d. How much money altogether would you say you spent on meals for temporary workers who helped you with this task (excluding meals given to family members)? [IF SELF-PROVIDED ASK: What would be the values of these meals?] CALCULATE THE TOTAL VALUE OF ALL MEALS GIVEN TO ALL WORKERS Rand
Ploughing	<i>tcrh_yn</i>	<i>numwkr</i>	<i>dayswrk</i>	<i>wage</i>	<i>meals</i>
Planting	1 2				
Weeding	1 2				
Pest management	1 2				
Watering / mulching	1 2				
Harvesting	1 2				
Storage	1 2				
Post harvest packing and/or processing	1 2				
Transport to market	1 2				
Selling	1 2				

8.1.4 Agricultural Inputs and Temporary Hired Labour (Continued)

N5. Did the household use any inputs or other services for growing crops during the past 12 months?

Yes 1

No..... 2 → GO TO QUESTION N7

inputs_yn

N6. LIST ALL INPUTS AND SERVICES USED

N6a. Type of Input	N6b. Code	N6c. How much did you pay in total for [..] in the past 12 months? IF PAID IN KIND, ENTER VALUE OF IN KIND PAYMENT IF DID NOT PAY FOR IT, ASK: How much would it have cost to buy it ? Rand
Manure	<i>input</i>	<i>value</i>
Ploughing Services	1	
Fertilizer	3	
Sprays, herbicides, insecticides	4	
Gristing/milling services	5	
Haulage/Transport	6	
Petrol, oil or agricultural machinery repair	7	
Seeds/Seedlings	2	
Other, specify	8	
Other, specify	8	

N7. In the past 12 months, has the household received:

a. Cash loans for agricultural production? Amount of cash loans: _____ Rand

received

b. Inputs or services (e.g., ploughing) that the household did not have to pay for until after harvest?

Value of inputs or services received on this basis: _____ Rand

value

N8. In the past 12 months, how much has the household PAID OUT for the following types of expenses?

a. Cash rents for using agricultural land _____ Rand

rents

b. Land Taxes _____ Rand

land

Section 8.2: Grazing Land and Livestock Production over the Last 12 Months

8.2.1 Participation of household members with grazing and livestock production activities

Has any resident household member, including resident children, (WHOSE NAMES ARE CIRCLED ON THE HOUSEHOLD CARD) participated, over the last 12 months, in herding, dipping the cattle, feeding the cattle, pest management, culling, milking, storing, slaughtering, transport to the market and in selling (livestock and livestock related products)?

Yes 1 No.....2 → GO TO SECTION 8.2.2 *lvstck*

Please name each person who has participated in herding, dipping the cattle, feeding the cattle, pest management, culling, milking, storing livestock products (such as milk, hides, dry meat), slaughtering, transport to the market and in selling livestock and livestock related products.

WRITE THE PERSON CODES OF THE PARTICIPANTS (AS GIVEN ON THE HOUSEHOLD CARD) IN THE TABLE ON THE NEXT PAGE.

ENUMERATOR: ASK “ANYONE ELSE?” AFTER EACH PERSON MENTIONED. IF MORE THAN 3 PARTICIPANTS’ NAMES ARE LISTED ASK WHICH ARE THE 3 MOST IMPORTANT PARTICIPANTS.

	Q1. Has any resident household member (including children) participated in [...] in the last 12 months?		Q2. Who are the household members that have participated the most in the activity?		
	Yes...1	No... 2	ENTER UP TO 3 RESIDENT HOUSEHOLD PERSON CODES		
	If No → Next Activity		a. Person 1	b. Person 2	c. Person 3
Herding	<i>lvstacp</i>		<i>lvstckp1</i>	<i>lvstckp2</i>	<i>lvstckp3</i>
Dipping	1	2			
Feeding	1	2			
Pest management	1	2			
Culling	1	2			
Milking	1	2			
Storage of livestock produces (e.g. milk, hides, dry meat)	1	2			
Slaughtering	1	2			
Transport to market	1	2			
Selling	1	2			
Other 1 (Specify).....	1	2			
Other 2 (Specify).....	1	2			

8.2.2 Use and Access to Grazing Land

Lets talk about the PLOTS of land that any household member has used during the past 12 months or that have been rented or lent out to others for grazing animals.

Q1. Are the any such plots? Yes.....1 No.....2 → GO TO SECTION 8.2.3 *plotrent*

For each plot please give the following information:

N1. Plot Number	N2. What is the value of any rent or grazing fees paid for the use of this plot in the past 12 months? Rand	N3. How did the household get access to the plot? Access Code IF PRIVATE LAND (ACCESS CODE =1), CONTINUE, OTHERWISE GO TO NEXT PLOT	N4. What is the size of the plot? Size Measure Code		N5. Who in the household owns the plot? Person Code, 00 IF JOINT	N6. Could the household sell the plot? Yes....1 → ENTER ESTIMATED VALUE OF PLOT No ... 2 Value		N7. Does the household have the Title Deed (Certificate of Owner-ship) for this plot ? Yes No	N8. Is the plot fenced or does the household have the right to fence it? Yes No	N9. What is the value of any rents or fees received from others for using this plot over last 12 months? Rand
<i>plot_no</i>	<i>grazefee</i>	<i>access</i>	<i>size</i>	<i>plotunit</i>	<i>owner</i>	<i>sell</i>	<i>sellval</i>	<i>tit_deed</i>	<i>fence</i>	<i>rent</i>
2						1 2		1 2	1 2	
3						1 2		1 2	1 2	
4						1 2		1 2	1 2	

Access Code Question N3		Measure Code Question N4	
1 = Private Land owned by the household 2 = Communal Grazing Land 3 = Land rented by the household or occupied with permission	4 = Land occupied by the household without permission. 6 = Group ownership/cooperative 5 = Other, Specify	1 = Soccer fields 2 = Hectares 3 = Acres	4 = Meters (e.g., 10m by 5m) 5 = Other (specify)

8.2.3 Livestock Production

1. Does the household own or farm with any animals or poultry of any kind (INCLUDE HOME AND NON-COMMERICAL PRODUCTION)?

Yes 1

No 2

→ GO TO SECTION 8.3

N1a.	N1b.	N1c. In the past 12 months, did you keep any [..] ? Yes....1 No ... 2 → NEXT ANIMAL		2a. How many [..] does the household own at the moment? (Do NOT count animals that belong to other households)	2b. In the past year, how many [..] were born?	2c. In the past year, how many [..] did the household sell (include animals used to pay share rents or to pay workers)?		2e. In the past year, how many [..] did the household buy?		N3. In the past year, how many [..] did the household slaughter (for own consumption) ?	2g. How many [..] died, disappeared or were stolen in the past 12 months?	N4. In the past year, how much was spent on feed?	N5. In the past year, how much was spent on veterinary services including medicine and dip?
	Code	Yes	No	Number	Number	Num ber	Price per animal	Number	Price per animal	Number	Number	Rand	Rand
Cattle	<i>animcode</i>	<i>anim_yn</i>		<i>own</i>	<i>born</i>	<i>sold</i>	<i>sellpric</i>	<i>buy</i>	<i>buyprice</i>	<i>slaughte</i>	<i>died</i>	<i>feedexp</i>	<i>vetexp</i>
Sheep	2	1	2										
Goats	3	1	2										
Pigs	4	1	2										
Horses	5	1	2										
Donkey	6	1	2										
Poultry (chicken, geese or duck)	7	1	2										
Other, Specify	8	1	2										

Section 8.3: Other Animal Products

Now let us talk about any other animal products (for example eggs, milk, cheese, honey) that you have produced IN THE LAST 12 MONTHS

Did you produce any other animal products in the last 12 months? **PROMPT FROM CODE LIST**

Yes 1

animprod

No..... 2

→ GO TO SECTION 8.4

If **Yes**: Which products did you produce in the last 12 months? <LIST ALL PRODUCTS FIRST USING CODES FOR PROMPTING, THEN ANSWER QUESTIONS – NOTE THAT THE FOLLOWING QUESTIONS ASK INFORMATION ON A PER MONTH BASIS>

N1. Type of Product	N2. How many months a year do you produce [PRODUCT]?	N3. In those months when you do produce [PRODUCT], how much do you usually produce per month?		N4. Of the amount produced, how much does the household usually sell in a typical month?		N5. How many units of [PRODUCT] each month are used to pay for labor that helps the household in any task?	N6. SKIP IF PRICE GIVEN FOR PRODUCT IN N4 If the household does not sell [PRODUCT], ask them to estimate the selling price per [UNIT]
Product Code	Months	Unit Code	Number	Amount	Price per unit sold	Units	Rand
<i>product</i>	<i>months</i>	<i>avgprod</i>	<i>unit</i>	<i>avgsell</i>	<i>avgprice</i>	<i>avglab</i>	<i>estprice</i>

Product Code Question N1		Unit Code Question N3		
1 = Eggs	7 = Wool	1 = kilos	7 = boxes	11 = bunches
2 = Milk	8 = Other (Specify)	2 = 10 kilo bags	8 = 25 litre drum	12 = Other (Specify)
3 = Butter		3 = 25 kilo bags	9 = Piece/ear/number	13 = Dozen
4 = Other Dairy		4 = 50 kilo bags	10 = basin (Specify Size)	14 = Litres
5 = Honey		5 = 80 kilo bags		
6 = Animal Hides		6 = tons		

Section 8.4: Temporary Labour Employed to Help with Livestock Activities

N1. During the past 12 months, did the household employ any PAID temporary workers to help with livestock or animal product activities just discussed?

TEMPORARY WORKERS ARE THOSE HIRED FOR A SHORT PERIOD OF TIME AND USUALLY PAID A DAILY WAGE. WE WILL ASK ABOUT PERMANENT WORKERS LATER

Yes 1 *tanhire*
No..... 2 → GO TO SECTION 8.5

N2. For what livestock activities did the household hire temporary workers?

USE A SEPARATE ROW FOR EACH MENTION OF HIRED LABOUR

<i>lvstact</i>	Q1. Was any temporary worker hired for [..]? Yes...1 No... 2 → GO TO NEXT TASK	Q2. How many workers were hired for [..]? Number of workers	Q3. On average, how many days was each worker hired for? Average number of days	N4c. Daily Money Wage Paid per Person? Rand	N4d. How much money altogether would you say you spent on meals for temporary workers who helped you with this task (excluding meals given to family members)? [IF SELF-PROVIDED ASK: What would be the values of these meals?] CALCULATE THE TOTAL VALUE OF ALL MEALS GIVEN TO ALL WORKERS Rand
Herding	<i>tanh_yn</i>	<i>numwkr</i>	<i>dayswrk</i>	<i>wage</i>	<i>meals</i>
Dipping	1 2				
Feeding	1 2				
Pest management	1 2				
Culling	1 2				
Milking	1 2				
Storage of livestock produces (e.g. milk, hides, dry meat)	1 2				
Slaughtering	1 2				
Transport to market	1 2				
Selling	1 2				

Section 8.5: Other Farming Income and Permanent Farm Workers

N1. Did the household employ any permanent workers to help with crop OR livestock activities OVER THE LAST 12 MONTHS?
(A permanent worker is usually employed for an extended period of time and is paid a monthly or fortnightly salary.)

Yes 1 permhire_yn
No 2 → GO TO SECTION 8.6

N2. For permanent workers indicate the salaries.
USE SEPARATE ROW FOR EACH TYPE OF PERMANENT WORKER MENTIONED

a. Type of Permanent Worker (e.g., shepherd)	b. Total Number of Person Months of permanent workers employed in the last 12 months?		c. MONTHLY Cash Salary Paid per Permanent Worker?	Q1. How much money altogether would you say you spent on meals, clothing, housing and other things for the permanent workers who helped you with farming (excluding family members)? [IF SELF-PROVIDED ASK: What would be the values of these meals?]
	Number of Workers	Months each worker employed	Rand	Rand
wkrtyp	numwkr	months	salary	benefits

Section 8.6 Other Farm Income

Now I would like to ask about any other sources of farm income received during the past 12 months:

1. Income Source <i>incsrce</i>	2. During the last 12 months, did the household receive any income (in cash or in kind) from farm-related sources? <i>incfarm</i>		3. Value of payments received in cash? RAND <i>value_ca</i>	4. Approximate value of payments received in-kind? RAND <i>value_ik</i>
	Yes	No		
Letting of Farm Property to Others				
Sales of manure or straw	1	2		
Hiring out of livestock for draft	1	2		
Hiring out livestock for stud services	1	2		
Custom work or machine hire for others	1	2		
Agricultural subsidies or drought relief payments	1	2		
Other _____	1	2		
Other _____	1	2		

SECTION 8 MAIN RESPONDENT PERSON CODE	<i>resp</i>
---------------------------------------	-------------

Section 9: Employment

Section 9.1: Employment Status

COPY PERSON CODES FOR ALL RESIDENT HOUSEHOLD MEMBERS (CIRCLED ON HOUSEHOLD CARD) AGE 15 YEARS OR MORE TO TABLE BELOW

NOTE THAT “0” (ZERO) IS A VALID ANSWER IN QUESTIONS N1 AND 11.

	2. Person Code	N1. How many hours did [..] work for pay last week?	6. Would [..] have preferred more work?	11. About how many hours did [..] devote to looking for work last week?	8. Why did [..] not look for work?	Q1. Respondent's Person Code
		Hours	Yes No	IF LOOKED FOR WORK (HOURS > 0) → GO TO Q1 THEN NEXT PERSON	Reason Code	
A	<i>pcode</i>	<i>hrswrk</i>	<i>more_wrk</i>	<i>time_spe</i>	<i>reason_n</i>	<i>rpcode</i>
B			1 2			
C			1 2			
D			1 2			
E			1 2			
F			1 2			
G			1 2			
H			1 2			
I			1 2			
J			1 2			
K			1 2			

Reason Code Question 8
1 = Already employed
2 = No jobs or work available
3 = Illness
4 = Physically disabled
5 = Mentally disabled
6 = Housewife/Child rearing
7 = Formal education
8 = Retired/Pensioner
9 = Other (Specify)

Section 9.2: Regular Employment

ASK QUESTIONS 1a AND 1b IN TURN FOR EACH RESIDENT HOUSEHOLD MEMBER (CIRCLED ON HOUSEHOLD CARD) AGE 15 YEARS OR MORE

1a. Does [..] (READ EACH NAME IN TURN) have a regular job (for which he/she earned a salary or wage) in the **past week**?

job_yn Yes → **COPY PERSON CODE ONTO TABLE BELOW AND GO TO NEXT PERSON**
 No → **GO TO NEXT QUESTION**

1b. Does [..] (REPEAT THE NAME) earn his/her living as a doctor or a lawyer or through some other special training he/she has?

trng_yn Yes → **COPY PERSON CODE ONTO TABLE BELOW AND GO TO NEXT PERSON**
 No → **GO TO NEXT PERSON**

- **INCLUDE: FARM MANAGERS, LAWYERS, DOCTORS, ARCHITECTS, MANAGEMENT CONSULTANTS AND OTHER SELF-EMPLOYED PROFESSIONALS**
- **INCLUDE: WORKERS ON PAID VACATION**
- **EXCLUDE: FARM OWNERS AND SHOPKEEPERS/OWNERS**

Codes for Question 3a (FOR INTERNAL USE ONLY)	Codes for Question 3b	Codes for Question 3c	
12 = Professional or semi-professional occupations 13 = Technical occupations 14 = Managerial and executive occupations 15 = Administrative occupations 03 = Clerical and sales occupations 04 = Transport, delivery and communications occupations 05 = Service occupations 07 = Artisan, apprentice and related occupations 08 = Production foremen and supervisors and mining and quarrying occupations 09 = Operators, production workers and related semi-skilled occupations 10 = Labourers 11 = Other occupations (Specify)	1 = Central Government 2 = Provincial Administration 3 = Local Authority or Regional Authority 4 = Public Corporation 5 = Private Sector Employer 6 = Non-Profit Institution 7 = Self-Employment 8 = Householder 9 = Other (Specify)	1 = Agriculture/Fishing/Forestry 2 = Mining 3 = Manufacturing 4 = Electricity & Water 5 = Construction 6 = Wholesale & Retail 7 = Restaurants, Hotels, Entertainment, & Sport 8 = Transport & Communication	9 = Finance 10 = Educational Services 11 = Medical Services 12 = Legal Services 13 = Domestic Services 14 = Armed Forces 15 = Other Services 16 = Other (Specify)

Section 9.2: Regular Employment (Continued)

FOR QUESTION 4e, DEDUCTIONS INCLUDE THINGS LIKE PENSION PAYMENTS, HOUSING PAYMENTS, UNEMPLOYMENT INSURANCE, MEDICAL INSURANCE AND SO ON BUT NOT TAXES

	2b Person Code	3a. What does [.] do? DESCRIBE THE JOB IN TWO WORDS	3b. Who does [..] work for?	3c. In which economic sector is [..] employed?	3d. About how many hours, not counting travel time, does [..] work on an average day?	3e. How many hours of paid overtime, if any, did [..] work in the past week?	4c. On what basis is [..] paid? Day.....4 Week. 1 Fortnight...2 Month 3	4d. What was [..]'s actual take-home pay AFTER DEDUCTI ONS in his/her pay packet?	4e. How much was deducted? (Do not include Taxes)	4f. When you add all the amounts together, including tax and all other amounts, how much would [..] get? (Gross Pay)	4g. How much, if anything, was paid to [..] in the form of a bonus or share in profits in the last year? Rand → GO TO NEXT PAGE
			Code	Code	Hours	Overtime Hours		Rand	Rand	Rand	
A	<i>pcode</i>	<i>k_occ_c</i>	<i>k_emplyr</i>	<i>k_sector</i>	<i>k_hours</i>	<i>k_overti</i>	<i>p_paytyp</i>	<i>p_netpay</i>	<i>p_deduct</i>	<i>p_grossp</i>	<i>p_bonus</i>
B							1 2 3 4				
C							1 2 3 4				
D							1 2 3 4				
E							1 2 3 4				
F							1 2 3 4				
G							1 2 3 4				
H							1 2 3 4				
I							1 2 3 4				
K							1 2 3 4				

Section 9.2: Regular Employment (Continued)

COPY PERSON CODES OF ALL THOSE WITH REGULAR EMPLOYMENT (FROM PREVIOUS TABLE) TO TABLE BELOW

Sometimes the people or company that people work for provide other benefits, not just pay. This is what I want to ask you about in this section.

For the same pay period (day, week, fortnight, or month) mentioned above

FOR QUESTIONS 5c to 5e, THE VALUE OF THE SUBSIDY IS EQUAL TO THE AMOUNT THAT [..] WOULD HAVE TO PAY EACH PAY PERIOD FOR THAT PARTICULAR ITEM IF HE/SHE DID NOT GET THE BENEFIT

	5b. Pers on Code	5c. How much does [..] get in the form of free or subsidised transport each [PAY PERIOD] ?	5d. How much does [..] get in the form of free or subsidised (cheap) food each [PAY PERIOD] ?	5e. How much does [..] get in the form of free or subsidised (cheap) housing each [PAY PERIOD] ?	5f. Is [..] a paid-up member of a Trade Union?	Q1. Is [..] a member of the company pension fund?	Q2. Is [..] a member of a medical aid scheme?	Q3. Does [..] get paid holiday leave?	Q4. Does [..] have a written contract with his/her employer?
		Rand	Rand	Rand	Yes No	Yes No	Yes No	Yes No	Yes No
A		<i>subs_t</i>	<i>subs_f</i>	<i>subs_h</i>	<i>subs_tu</i>	<i>subs_pen</i>	<i>subs_med</i>	<i>subs_lea</i>	<i>contract</i>
B					1 2	1 2	1 2	1 2	1 2
C					1 2	1 2	1 2	1 2	1 2
D					1 2	1 2	1 2	1 2	1 2
E					1 2	1 2	1 2	1 2	1 2
F					1 2	1 2	1 2	1 2	1 2
G					1 2	1 2	1 2	1 2	1 2
H					1 2	1 2	1 2	1 2	1 2
I					1 2	1 2	1 2	1 2	1 2
J					1 2	1 2	1 2	1 2	1 2

Section 9.3: Wage Work: Casual or Temporary

ASK QUESTION 1 IN TURN FOR EACH RESIDENT (CIRCLED ON THE HOUSEHOLD CARD) AGED 10 AND OLDER.

1. Did [..] (READ EACH NAME IN TURN) do any casual or temporary work in the past month for which he/she was paid in some way, for example, by being given money or food?

Examples of the kind of work I mean include: gardening or cleaning, typing, temporary work in a factory, looking after someone's children, and so on. It includes any paid work done at all, no matter how unimportant it seems, for how short a time it lasted or how little was earned.

caswrk Yes → **WRITE PERSON CODE IN TABLE BELOW LEAVING A ROW FOR EACH JOB MENTIONED**
 No → **GO TO NEXT PERSON.**

RECORD THE MAIN CASUAL OR TEMPORARY JOB FIRST (ASSIGNING IT JOB NUMBER 1) AND ANY OTHERS AFTERWARD NUMBERING THEM ACCORDINGLY

Codes for Question 3a (FOR INTERNAL USE ONLY)
12 = Professional or semi-professional occupations
13 = Technical occupations
14 = Managerial and executive occupations
15 = Administrative occupations
03 = Clerical and sales occupations
04 = Transport, delivery and communications occupations
05 = Service occupations
07 = Artisan, apprentice and related occupations
08 = Production foremen and supervisors and mining and quarrying occupations
09 = Operators, production workers and related semi-skilled occupations
10 = Labourers-non farm work
12 = Farm worker
11 = Other occupations (Specify)

Section 9.3: Wage Work: Casual or Temporary (Continued)

FOR QUESTIONS 4d and 4e, THE VALUE OF THE SUBSIDY IS EQUAL TO THE AMOUNT THAT [..] WOULD HAVE TO PAY EACH PAY PERIOD FOR THAT PARTICULAR ITEM IF HE/SHE DID NOT GET THE BENEFIT

	2b. Person Code	N1. Job Number	3a. What does [...] do? DESCRIBE THE JOB IN TWO WORDS Code	N2. About how many days did [...] work on this job in the last month? Days	3d. About how many hours, not counting travel time, does [...] work on an average day? Hours	4c. In the past month, what was [..] paid in cash for the work that he/she did? Rand	4d. How much did [..] get paid in- kind for the work (e.g., sacks of mealies for helping with a harvest)? Rand	4e. How much did [..] get in the form of free or subsidised (cheap) meals or other goods as payment for the work? Rand
A	<i>pcode</i>	<i>jobno</i>	<i>occupatn</i>	<i>dayswrk</i>	<i>hours_v</i>	<i>cash_pai</i>	<i>food_ben</i>	<i>benefit_</i>
B								
C								
D								
F								
G								
H								
I								
J								
K								
L								

Section 9.4: Other Forms of Self-Employment**PROMPT FOR ALL ACTIVITIES LISTED BELOW NO MATTER HOW SMALL OR TEMPORARY**

Apart from the work that we have already talked about, did anyone in this household do any other kind of work for an income in the **past month**? I am going to read from a list of possibilities. As I read each one, please indicate whether or not it was a source of income for the household in the past month?

work_addl Yes1 → **WRITE THE ACTIVITY'S NAME AND CODE IN TABLE BELOW**
 No2 → **PROMPT FOR NEXT ACTIVITY**

IF NO FOR ALL ACTIVITIES, → GO TO SECTION 9.5
--

Activity Code for Question 2	
1 = Shopkeeper	12 = Building or repairing houses
2 = Selling goods on the street	13 = Collecting wood/fuel for sale
3 = Shebeen operator	14 = Child care
4 = Herbalist	15 = Self-Employed Artisan
5 = Sewing and selling clothes	17 = Professional / technical
6 = Repairing shoes	18 = clerical/sales
7 = Traditional healer	20 = service
8 = Helping to transport goods to market	21 = restaurant & hotel / entertainment
9 = Taxi operator	22 = manufacturing
10 = Food processing	23 = Other (Specify)
11 = Weaving cloth	

IN QUESTION 3 RECORD PERSON CODES OF UP TO 3 HOUSEHOLD MEMBERS MOST INVOLVED IN THE ACTIVITY STARTING WITH THE PERSON WHO SPENDS THE MOST TIME IN THE ACTIVITY

IN QUESTION 6, COSTS INCLUDE HOW MUCH WAS SPENT BY HOUSEHOLD MEMBERS IN, FOR EXAMPLE, PAYING WORKERS OR TRAVELLING OR BUYING STOCKS WHEN INVOLVED IN THE ACTIVITY. IN OTHER WORDS, WHAT WERE THE TOTAL EXPENSES ?

IN QUESTION N1, THINK ABOUT HOW MUCH IT WOULD COST YOU TO REPLACE ALL THE EQUIPMENT AND STOCK.

Section 9.4 Other Forms of Self Employment (Continued)

2.	Activity Code	3. Who are the household members who spend time in the production or sale of, or help in [..]? LIST HOUSEHOLD MEMBER WHO SPENDS THE MOST TIME IN THIS ACTIVITY FIRST, THE SECOND ONE NEXT AND THE THIRD ONE LAST			4. About how much time was devoted last month by all household members to [..] ? Hours	5. How much in total did the household bring in last month as a result of [..] ? Gross Sales Rand	6. How much was spent in total last month by the household for [..] ? Total Expenses Rand	N1. What is the total value of equipment or stock that household members own to do [..] ? Value Rand	N2. Who owns this equipment and stock? ALL WORKERS, CODE=00 Person Code
		Most Time Person Code	Second Most Time Person Code	Third Most Time Person Code					
A	business	mcode1_c	mcode2_c	mcode3_c	grtime_1	grsales_	expen_1	equipval	owner
B									
C									
D									
E									
F									
G									
H									
I									
J									
K									
L									
M									

SECTION 9.4 MAIN RESPONDENT PERSON CODE

resp

Section 9.5 Barriers to self-employment (for those actually self-employed)

COPY PERSON CODES FOR ALL RESIDENT HOUSEHOLD MEMBERS (CIRCLED ON HOUSEHOLD CARD) WHO ARE SELF-EMPLOYED (THOSE WHO ANSWERED QUESTION 3b=7 IN SECTION 9.2 OR LISTED UNDER QUESTION 3 IN SECTION 9.4)

Person Code	Q1 Before starting your own business or being self-employed, were you unemployed? Yes.....1 No.....2 → GO TO Q3	Q2 For how long were you unemployed? Weeks.....1 Months.....2 Years.....3		Q3 Which of the following barriers did you overcome to start your own business or being self-employed? Mention at most 3		
		Time		Barriers Code		
		Units code <i>unemptim</i>	<i>tcode</i>	Reason 1 <i>barrier1</i>	Reason 2 <i>barrier2</i>	Reason 3 <i>barrier3</i>
A	<i>unemp_yn</i>					
B	1 2					
C	1 2					
D	1 2					
E	1 2					
F	1 2					
G	1 2					

Barriers Code Question Q3	
1 = Not interested in running own business 2 = Too much competition 3 = No opportunities around here to make or sell things or offer services 4 = Cannot make enough money to make it worthwhile 5 = Theft of money that you earn to owning the business 6 = Fear of personal violence due to owning the business 7 = Government /Police/ Municipality does not allow you to sell (or work) what you would like to sell (work) and/or where you would like to sell	8 = Gangs or other business people do not allow you to sell/ work/do what you would like to sell/ work/do and/or where you would like to do it 9 = Problems with employing people (e.g.: rules, regulation, paper work) 10 = Problems with employees (e.g.: wages, unions, UIF, etc) 11 = Bureaucracy/paper work (e.g.: license, VAT, etc.) 12= Undergoing training 13 = Family considerations/child care/Pregnancy 14= Ill health/Injury/Physical disability 15= Lack of money/capital 16 = Other (Specify)

Section 9.6: Work History of young adults

COMPLETE THE TABLE BELOW FOR EACH RESIDENT AGED BETWEEN 24 TO 30 YEARS (CIRCLED ON THE HOUSEHOLD CARD)

I would like to ask you some questions about your work experience. Think back to 1993 (a year before the 1st Democratic Elections) and about any formal or informal job you have had since then but that lasted more than a week. (E.g.: any paid or unpaid work or any work you have done on your own or for a family business or for a farm or products you have made for sales or service you have done)

Person 1 Code	<i>pcode</i>
---------------	--------------

Q1. Since 1993, have you ever done such work, either as a paid or as an unpaid worker? Yes.....1 [→ GO TO Q3] No.....2 *work_s93*

Q2. Why not? [Reasons code] _____ → GO TO NEXT PERSON (NEXT PAGE). IF NONE, GO TO SECTION 10 *nowork_rn*

Job number	Q3. What was your [...] type of work that lasted more than one a week? PROMPT 1. Paid by someone (not family) 2. Self-employed 3. Paid family worker 4. Unpaid work	Q4. When did you start that type of job? month/year	Q5. How much did you earn (money + in kind) after deductions when you first started that type of work? IF UNPAID WRITE 00 Rand Time code		Q6. On average, how many hours per week did you work? Hours of works	Q7. How much did you earn (money + in kind) after deductions when you finished doing that type of work? (or now, if current job) Rand Time code		Q8. For how long did you do that type of work? IF PRESENT JOB → GO TO Q11 Units Time code		Q9. What did you do after leaving this type of work? 1. Start another work 2. Keep secondary job 3. Start my own business 4. Search for a job 5. School/ Training 6. Did not look for a job/Stay at home	Q10. For how long did you stay without working? [THEN ASK ABOUT NEXT JOB] Units Time code	
<i>jobno</i>	<i>wrktype</i>	<i>begin_wrk</i>	<i>wrksal_b</i>	<i>tcode</i>	<i>wrk_hrs</i>	<i>wrksal_f</i>	<i>tcode2</i>	<i>wrk_time</i>	<i>tcode3</i>	<i>wrk_lve</i>	<i>nwrk_time</i>	<i>tcode4</i>
Second	1 2 3 4	/								1 2 3 4 5 6		
Third	1 2 3 4	/								1 2 3 4 5 6		
Fourth	1 2 3 4	/								1 2 3 4 5 6		
Fifth	1 2 3 4	/								1 2 3 4 5 6		
Sixth	1 2 3 4	/								1 2 3 4 5 6		
Seventh	1 2 3 4	/								1 2 3 4 5 6		
Eighth	1 2 3 4	/								1 2 3 4 5 6		

Q11. When did you finish studying/training/college? (IF CURRENTLY A STUDENT WRITE 00) Year: _____ *educ_end*

Q12. What did you do between [year ending education] and [year of 1st job]? [Reasons code] *educ_job* **Q13. WRITE TOTAL NUMBER OF JOBS:** *jobttl*

Reasons code Question Q2 and Q12	1 = Taking care for children 2 = Taking care of others 3 = Health reasons/disabled	4 = Training/School 5 = Looked for work 6 = No work available	7 = Housework 8 = Did not want to work 9 = Had a job (<i>CONSISTENCY</i>)	10 = Worked while studying 11 = Worked right after school 12 = Never attend school/training 13 = Other (Specify)	Time code Questions Q5, Q7, Q8 and Q10	1 = Hour 2 = Day 3 = Week	4=Fortnight 5=Month 6=Year
---	--	---	---	---	---	---------------------------------	----------------------------------

Section 9.6: Work History of young adults (Continued)

COMPLETE THE TABLE BELOW FOR EACH RESIDENT AGED BETWEEN 24 TO 30 YEARS (CIRCLED ON THE HOUSEHOLD CARD)

I would like to ask you some questions about your work experience. Think back to 1993 (a year before the 1st Democratic Elections) and about any formal or informal job you have had since then but that lasted more than a week. (E.g.: any paid or unpaid work or any work you have done on your own or for a family business or for a farm or products you have made for sales or service you have done)

Person 2 Code	<i>pcode</i>
---------------	--------------

Q1. Since 1993, have you ever done such work, either as a paid or as an unpaid worker?

Yes.....1 [→ GO TO Q3] No.....2 *work_s93*

Q2. Why not? [Reasons code] _____ → GO TO SECTION 10 *nowork_rn*

Job number	Q3. What was your [...] type of work that lasted more than one a week? PROMPT 1. Paid by someone (not family) 2. Self-employed 3. Paid family worker 4. Unpaid work	Q4. When did you start that type of job? month/year	Q5. How much did you earn (money + in kind) after deductions when you first started that type of work? IF UNPAID WRITE 00 Rand Time code	Q6. On average, how many hours per week did you work? Hours of works	Q7. How much did you earn (money + in kind) after deductions when you finished doing that type of work? (or now, if current job) Rand Time code	Q8. For how long did you do that type of work? IF PRESENT JOB → GO TO Q11 Units Time code	Q9. What did you do after leaving this type of work? 1. Start another work 2. Keep secondary job 3. Start my own business 4. Search for a job 5. School/ Training 6. Did not look for a job/Stay at home	Q10. For how long did you stay without working? [THEN ASK ABOUT NEXT JOB] Units Time code
<i>jobno</i>	<i>wrktype</i>	<i>begin_wrk</i>	<i>wrksal_b</i> <i>tcode</i>	<i>wrk_hrs</i>	<i>worksal_f</i> <i>tcode2</i>	<i>wrk_time</i> <i>tcode3</i>	<i>wrk_lve</i>	<i>nwrk_time</i> <i>tcode4</i>
Second	1 2 3 4	/					1 2 3 4 5 6	
Third	1 2 3 4	/					1 2 3 4 5 6	
Fourth	1 2 3 4	/					1 2 3 4 5 6	
Fifth	1 2 3 4	/					1 2 3 4 5 6	
Sixth	1 2 3 4	/					1 2 3 4 5 6	
Seventh	1 2 3 4	/					1 2 3 4 5 6	
Eighth	1 2 3 4	/					1 2 3 4 5 6	

Q11. When did you finish studying/training/college? (IF CURRENTLY A STUDENT WRITE 00/00) Year: _____ *educ_end*

Q12. What did you do between [year ending education] and [year of 1st job]? [Reasons code] *educ_job* **Q13.** WRITE TOTAL NUMBER OF JOBS: *jobttl*

Reasons code Question Q2 and Q12	1 = Taking care of children 2 = Taking care of others 3 = Health reasons/disabled	4 = Training/School 5 = Looked for work 6 = No work available	7 = Housework 8 = Did not want to work 9 = Had a job (CONSISTENCY)	10 = Worked while studying 11 = Worked right after school 12 = Never attend school/training 13 = Other (Specify)	Time code Questions Q5, Q7, Q8 and Q10	1 = Hour 2 = Day 3 = Week	4=Fortnight 5=Month 6=Year
---	---	---	---	---	---	---------------------------------	----------------------------------

Section 10: Health

Section 10.1: Health of All Resident Household Members

Think about the **last 15 days**. Has **any resident household member (CIRCLED ON HOUSEHOLD CARD)** been sick or injured during the past **15 days**? This includes both people who have now recovered and those with some form of permanent injury, disability, or illness.

Yes 1 *ill_yn*

LIST THE PERSON CODE OF EACH PERSON WHO HAS BEEN SICK OR INJURED IN THE LAST 15 DAYS ON NEXT TWO PAGES, THEN PROMPT: Anybody Else?

No..... 2

PROMPT FROM THE LIST OF ILLNESSES: For example, has anyone living in the household been sick with any of the following illnesses or with anything similar?

ILLNESSES	
Flu	Disabled by a stroke
High blood pressure	Diarrhoea
Asthma	Mental disability
Fever	Physical disability (e.g. blindness)
Diabetes	Injury
TB	Other

IF NO RESIDENT HOUSEHOLD MEMBERS SICK OR INJURED DURING THE LAST 15 DAYS → GO TO SECTION 10.2

Section 10.1: Health of All Resident Household Members (Continued)

	Person Code of sick or injured person PERSON CODE	N1. Is [..] still sick or injured?	Q1. Is/Was [...] injured (as the result of an accident or act of violence)?	Q2. How long has [...] been unable to do what he/she normally does because of this illness or injury? IF DID NOT LIMIT USUAL ACTIVITIES WRITE 0 / 0 Days/Months	Q3. Which household member's usual activities have been most limited by caring for [...]? IF NO ONE CODE 00 WRITE ONE PERSON CODE PERSON CODE	Q4a. Has anyone in this household taken time off work or school to care for [...]?	Q4b. Who took time off work or school to care for [...]? WRITE IN PERSON CODE	Q5. Has anybody outside this household come to care for [...]? (e.g. Friends, neighbours, other family members)?	Q6. Has [...] been visited by a community health worker / someone from a home based care programme?	8. Who, if anyone, was <u>last</u> consulted about this illness or injury? WRITE CARE CODE IF CARE CODE = 3 OR MORE → Q8	Q7. Why was no health care provider consulted about [...]’s illness or injury? WRITE NON-USE CODE	Q8. Is [...] covered by their own or another household member's medical aid? → GO TO NEXT PAGE
		Yes No	Yes No			Yes No		Yes No	Yes No			Yes No
A	<i>pcode</i>	<i>sick</i>	<i>sic_acc</i>	<i>sic_time</i>	<i>sic_care</i>	<i>care_of2</i>	<i>caregiv2</i>	<i>care_ou2</i>	<i>care_wr2</i>	<i>care_con2</i>	<i>nocons2</i>	<i>aid2</i>
B		1 2	1 2	/		1 2		1 2	1 2			1 2
C		1 2	1 2	/		1 2		1 2	1 2			1 2
D		1 2	1 2	/		1 2		1 2	1 2			1 2
E		1 2	1 2	/		1 2		1 2	1 2			1 2

CARE CODE Question 8		NON-USE CODE Question Q7	
1 = No-one	3 = Private doctor	1 = No need / pointless	2 = Sick person too busy
4 = Traditional healer (faith healer/sangoma/herbalist)	5 = Community Health Centre/Government Clinic/Mobile Clinic	3 = No-one available to go with him/her	4 = Health facility too far away
6 = Government/ Public Hospital	7 = Visit by Primary (Community) Health Worker	5 = Shortage of money for transport	6 = Shortage of money for consultation or medicines
8 = Pharmacy/Chemist	11 = Other (Specify)	7 = Queues / waits too long	8 = Staff unhelpful or lack skills to help
10 = Private Nurse	13 = Private Clinic or Private Hospital	9 = No medicines available at facility	10 = Could not decide what to do
12 = Workplace Clinic	14 = Someone from a home based care programme	11 = Religious reason	12 = Fear / embarrassment
		13 = Other (Specify)	14 = Don't know

Section 10.1: Health of All Resident Household Members (Continued)

	Person Code of sick or injured person	9c. How much in total has been spent on health care for [...] in <u>the last 15 days</u> ? IF NOTHING, WRITE 0					Q9. How much of <u>these</u> health care and other expenses was paid from each of the following sources? IF NOTHING, WRITE 0			
		Medicine	Travel (include cost for person who may have accompanied)	Health care providers	Hospital or clinic accommodation (including admission fees)	Other (eg. Special food, telephone costs)	Household savings or sale of household assets	Gifts from family, friends or community (eg. Church)	Loans from family, friends or community	Loans from a money lender or bank
	PERSON CODE	RAND	RAND	RAND	RAND	RAND	RAND	RAND	RAND	RAND
A	<i>pcode</i>	<i>med_exp</i>	<i>trav_exp</i>	<i>care_exp</i>	<i>hosp_exp</i>	<i>oth_exp</i>	<i>savings</i>	<i>gifts</i>	<i>loans_f</i>	<i>loans_b</i>
B										
C										
D										
E										

SECTION 10.1 MAIN RESPONDENT PERSON CODE	
--	--

Section 10.2: Pregnancy and Child Health

**LIST ALL WOMEN AGE 15 TO 49 YEARS WHO ARE CIRCLED ON HOUSEHOLD CARD IN TABLE BELOW.
IF POSSIBLE, INTERVIEW EACH WOMEN PRIVATELY**

	2. Person Code	Q1. Has [..] ever given birth to a child? IF NO → GO TO Q4 Yes No	Q2. How many children has [..] given birth to who now live with her? IF NONE, WRITE 0 Number	Q3. How many children has [..] given birth to who are alive but living elsewhere? IF NONE, WRITE 0 Number	Q4. Has [..] ever given birth to a boy or a girl who was born <u>alive</u> but later died? IF NO → GO TO Q6 Yes No	Q5. How many children has [..] given birth to who were born alive but later died? IF NONE, WRITE 0 Number	Q6. SUM Q2+Q3+Q5, WRITE TOTAL IN Q6 Am I right that you have had [Q6] live births in your lifetime? CORRECT Q2, Q3 AND Q5 IF NECESSARY IF ZERO → 9.	Q7. What date did [..] last have a live birth? (DATE OF MOST RECENT BIRTH EVEN IF NOW DEAD) Month / Year	9. Is [..] pregnant now? Yes No
A	<i>pcode</i>	<i>birth_yn</i>	<i>birth_lwm</i>	<i>birth_le</i>	<i>birth_dec</i>	<i>birth_nodcc</i>	<i>livebirths</i>	<i>birth_date</i>	<i>preg_now</i>
B		1 2			1 2			/	1 2
C		1 2			1 2			/	1 2
D		1 2			1 2			/	1 2
E		1 2			1 2			/	1 2
F		1 2			1 2			/	1 2
G		1 2			1 2			/	1 2
H		1 2			1 2			/	1 2
I		1 2			1 2			/	1 2

Section 10.3 Core Person Health Status

LIST ALL RESIDENT CORE PERSONS (THOSE MARKED BY “C” AND CIRCLED ON HOUSEHOLD CARD) ON TABLE BELOW

EACH RESIDENT CORE PERSON MUST ANSWER THESE QUESTIONS ABOUT HIM/HERSELF.

	N1a. Person's Name	N1b. Person Code	N2. In general, how is your health at this time? PROMPT WITH Status Code	N3. Compared with your health five years ago (at the time of the 1999 election), would you say that your health is ... PROMPT WITH Change Code	N4. Are you able to carry heavy objects, like a bucket of water, for 20 meters without stopping? Difficulty Code	N5. Are you able to walk 5 km without stopping? Difficulty Code	N6. Are you able to participate in heavy or vigorous activities (like planting, harvesting, or construction work)? Difficulty Code
A		<i>pcode</i>	<i>health</i>	<i>health5y</i>	<i>carryhvy</i>	<i>walk5km</i>	<i>heavyact</i>
B							
C							
D							
E							
F							

Status Code Question N2	Change Code Question N3	Difficulty Code Questions N4, N5, and N6
1 = Very good 2 = Good 3 = Fair 4 = Poor 5 = Very Poor	1 = Much better 2 = Somewhat better 3 = About the same 4 = Somewhat worse 5 = Much worse	1 = Easily 2 = With difficulty 3 = Unable to do it

Section 11. Social Capital and Trust

Section 11.1 Core Person Networks

LIST ALL RESIDENT CORE PERSONS (THOSE MARKED BY “C” CIRCLED ON HOUSEHOLD CARD) ON THE ROWS OF THE TABLE BELOW.

USING THE INFORMATION FROM THE COMMUNITY QUESTIONNAIRE, LIST THE THREE KEY COMMUNITY VIPS IN THE COLUMNS OF THE TABLE BELOW.

EACH RESIDENT CORE PERSON MUST ANSWER THESE QUESTIONS ABOUT HIM/HERSELF.

	Person Name	Person Code	VIP 1 Name: _____vipname1			VIP 2 Name: _____vipname2			VIP 3 Name: _____vipname3			
			VIP 1 Position: _____vippos1			VIP 2 Position: _____vippos2			VIP 3 Position: _____vippos3			
			Q1. Does VIP1 know you personally and by your name?	Q2. Could you approach VIP1 directly and ask for assistance with a problem or need?	Q3. Do you have a family member or close friend who could approach VIP1 directly and ask for assistance on your behalf?	Q4. Does VIP2 know you personally and by your name?	Q5. Could you approach VIP2 directly and ask for assistance with a problem or need?	Q6. Do you have a family member or close friend who could approach VIP2 directly and ask for assistance on your behalf?	Q7. Does VIP3 know you personally and by your name?	Q8. Could you approach VIP3 directly and ask for assistance with a problem or need?	Q9. Do you have a family member or close friend who could approach VIP3 directly and ask for assistance on your behalf?	
			Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
A		pcode	vip1pers	vip1help	vip1bhlf	vip2pers	vip2help	vip1bhlf	vip3pers	vip3help	vip3bhlf	
B			1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	
C			1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	
D			1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	
E			1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	
F			1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	

Section 11.2 Core Person Trust

LIST ALL RESIDENT CORE PERSONS (THOSE MARKED BY “C” AND CIRCLED ON HOUSEHOLD CARD) ON THE ROWS OF THE TABLE BELOW.

EACH RESIDENT CORE PERSON MUST ANSWER THESE QUESTIONS ABOUT HIM/HERSELF.

	Core Person's Name	Person code	N6. Outside the home are you safer than you were 5 years ago, about the same, or less safe? 1. More safe 2. About the same 3. Less safe	N7. I am going to list different types of people or groups. On a five point scale where 1 = no trust 2 = low trust 3 = medium trust 4 = high trust 5 = complete trustcould you tell me how you trust them now?						
				a. Extended Family	b. Neighbours	c. Local Leaders	d. Strangers	e. Newspaper /Radio /TV	f. National Government keeps Promise	g. National Government serves needs of poor
A		<i>pcode</i>	<i>safemal</i>	<i>trst_fam</i>	<i>trst_nbr</i>	<i>trst_ldr</i>	<i>trst_str</i>	<i>trst_med</i>	<i>trst_gvt</i>	<i>trst_gpr</i>
B			1 2 3	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5
C			1 2 3	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5
D			1 2 3	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5

Q1. Thinking to the time when you were growing up, please indicate how strongly you agree or disagree with each statement using this scale:

1. Strongly Agree 2. Agree 3. Neither Agree nor disagree 4. Disagree 5. Strongly Disagree

	Core Person's Name	Core Person code	a. My parents were very trusting of family	b. My parents were very trusting of people in our community	c. My parents were very trusting of people outside of our community	d. My parents were very willing to share with family	e. My parents were very willing to share with people outside our community
A			<i>famtrust</i>	<i>comtrust</i>	<i>outtrust</i>	<i>sharefam</i>	<i>sharecom</i>
B			1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5
C			1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5
D			1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5

Section 11.3: Membership in Organizations

COMPLETE THIS SECTION FOR ALL HOUSEHOLD MEMBERS (RESIDENT AND NON-RESIDENT)

	Code	N1. Does anyone in the household belong to a [..] NOW? <i>in04</i> LIST PERSON CODES, 00=EVERYONE				N9. How many of the group's meeting do you usually attend? Attendance Code	N10. Overall, how well do you think the organization works? Performance Code
		First Person Code	Second Person Code	Third Person Code	Fourth Person Code		
	<i>group</i>	<i>pcode1</i>	<i>pcode2</i>	<i>pcode3</i>	<i>pcode4</i>	<i>meet04</i>	<i>perf04</i>
Stokvel	1						
Burial Society	2						
Community Garden group	3						
Farmer's Association	4						
Sewing group	5						
Sports group	6						
Study group	7						
Singing or Music group	8						
Youth group	9						
Informal trader's group	10						
Men's association	12						
Women's association	13						
School Committee	14						
Water Committee	15						
Development Committee	16						
Tribal Authority	17						
Community Based Organizations	18						
Other _____	19						

Section 11.3: Membership in Organizations (Continued)

COMPLETE THIS SECTION FOR ALL HOUSEHOLD MEMBERS (RESIDENT AND NON-RESIDENT)

	<i>Chrch_cd</i>	Q3. Does anyone in the household belong to [...] church / faith NOW ? LIST PERSON CODES, 00=EVERYONE				Q4. How many of the group's meeting do you usually attend? <i>Attendance Code</i>	Q5. Overall, how well do you think the church works? <i>Performance Code</i>
	Code	First Person Code <i>pcode1</i>	Second Person Code <i>pcode2</i>	Third Person Code <i>pcode3</i>	Fourth Person Code <i>pcode4</i>	<i>chrch_at</i>	<i>chrch_wr</i>
African Independent Church	1						
Anglican	2						
Buddhist	3						
Catholic / Roman Catholic	4						
Jehovah's Witness	5						
Lutheran	6						
Methodist	7						
Moslem	8						
Presbyterian	9						
Seventh Day Adventist	10						
Nazareth Church / Shembe	11						
Tamil	12						
Telegu	13						
Gujarati	14						
Hindi	15						
United Congress Church	16						
Universal Church of Christ	17						
Zionist	18						
Other _____	19						

Attendance Code Questions N9, Q4	Performance Code Questions N10, Q5
1 = None	1 = Very weak
2 = A few	2 = Weak
3 = Some	3 = Average
4 = Many	4 = Strong
5 = All	5 = Very Strong

Section 12: Children

Section 12.1: Child Support Grant

1) LIST BELOW ALL CHILDREN AGED 12 YEARS OR LESS WHO ARE CIRCLED ON HOUSEHOLD CARD AND THEIR PRIMARY CARE GIVER

2) ASK EACH PRIMARY CAREGIVER ABOUT ALL OF THE CHILDREN WHO THEY LOOK AFTER

Applied Code - Question 12	Not Applied Code - Question 13
1 = Application accepted; grant not yet awarded 2 = Grant awarded; care giver not yet paid 3 = Grant refused as child is too old 4 = Grant refused as applicant is not primary care giver 5 = Grant refused on grounds of income 6 = Grant refused because of incomplete documentation 7 = Grant refused, but no explanation given 8 = Other reason (specify)	1 = Caregiver has not heard of the CSG 2 = Caregiver does not know how to apply for the CSG 3 = CSG applied for by, or paid to, someone in another household 4 = Ineligible for the CSG because the child is too old 5 = Care giver cannot apply as is not the child's mother 6 = Care giver is ineligible as receives a different grant (e.g. FCG, CDG) 7 = Care giver is ineligible as has too high an income 8 = Care giver/child is ineligible for other reason (specify) 9 = Lacks the documents required (birth certificate, ID card, affidavits, etc.) 10 = Costs involved in applying are too high 11 = Application process is too complicated or time-consuming 12 = In process of applying and/or obtaining the necessary documents 13 = Other reason (specify)

	Child's code	Caregiver's code	Q3. Have you or anyone else in this household ever applied for the CSG for [...]?	Q4. At which welfare office was this application made?		Q5. When did the welfare officer fill in your application form and issue you with a slip?	Q6. How long did you wait before you started receiving the grant?	Q7. In what month and year was the CSG first received for [...]?	Q8. Who is the grant recipient?	Q9. How much money did you receive in this first CSG payment for [...]?	Q10. If ever, for how many months did you stop receiving the CSG payments?	Q11. Is the grant recipient still receiving the CSG for [...]?	Q12. Why has the CSG not been received / no longer being received for [...]?	Q13. Why has no-one in this household applied for the CSG for [...]?
	Person code	Person code	NO → GO TO Q13 Yes No	Name	C ode	IF NEVER WRITE "NEVER" AND GO TO Q12 Month / Year	Number of Months	IF NEVER WRITE "NEVER" AND GO TO Q12 Month / Year	Person Code	RAND	WRITE 00 IF NEVER STOPPED Number of Months	IF YES, → GO TO NEXT PERSON Yes No	→ GO TO NEXT PERSON APPLIED CODE	NOT APPLIED CODE
A	<i>pcode</i>	<i>ccaregiv</i>	<i>csg_yn</i>	<i>wlfune</i>	<i>wlfcode</i>	<i>wlffrm</i>	<i>grantwai</i>	<i>csgdte</i>	<i>grantrec</i>	<i>csgpay1</i>	<i>csgmnts</i>	<i>csgnow_y</i>	<i>nocsg</i>	<i>nocsgapp</i>
B			1 2			/		/				1 2		
C			1 2			/		/				1 2		
D			1 2			/		/				1 2		
E			1 2			/		/				1 2		
F			1 2			/		/				1 2		
G			1 2			/		/				1 2		
H			1 2			/		/				1 2		

Section 12.2: Schooling

1) LIST BELOW EVERYBODY AGED BETWEEN 7 AND 20 YEARS WHO IS CIRCLED ON THE HOUSEHOLD CARD

2) ASK EACH PRIMARY CAREGIVER (FROM THE HOUSEHOLD CARD) ABOUT THOSE THEY LOOK AFTER AGED BETWEEN 7 AND 20 YEARS

Grade Code		Not Enrolled Code	
21	Grade 0/Grade R	1	Could not afford school fees or other expenses
1	Sub A/Grade 1	2	Working for money / working on farm/looking for paid work
2	Sub B/Grade 2	3	Looking after a sick family member
3	Grade 3/Standard 1	4	Looking after younger children / other domestic work
4	Grade 4/Standard 2	5	Death family member
5	Grade 5/Standard 3	6	Social unrest / violence in community
6	Grade 6/Standard 4	7	Was too sick / too disabled
7	Grade 7/Standard 5	8	Fear of bullying / other children / teacher
8	Grade 8/Standard 6	9	Making poor progress / unable to cope with work
9	Grade 9/Standard 7	10	Did not want to attend school
10	Grade 10/Standard 8	11	Quality of teaching poor / classes disrupted
11	Grade 11/Standard 9	12	Got married
12	Grade 12/Standard 10/ took matric and failed	13	Became pregnant
13	Grade 12/Standard 10/Matric <u>without exemption</u>	14	Was expelled from school / denied place
14	Grade 12/Standard 10/Matric <u>with exemption</u>	15	Failed an exam / not allowed to retake exam
15	NTC I	16	Schools are inaccessible
16	NTC II	17	Other (specify)
17	NTC III		
18	Degree		
19	Postgraduate degree or Diploma		
22	Don't know		

Section 12.2: Schooling (continued)

	Q1. Person Code	Child's Name	Q2. Has [..] ever attended school? IF Yes → Q4 Yes No	Q3. When will [..] enroll in school? 1 = This year 2 = Next year 3 = Sometime 4 = Never → <u>NEXT PERSON</u>	Q4. For how many years did [..] attend a pre-primary school (crèche)? (IF DID NOT ATTEND, WRITE 0; IF 6 MONTHS, WRITE 0.5)	Q5. In which calendar year did [..] first start primary school? YEAR	Q6. How many grades at school has [..] repeated in total? IF NONE, WRITE 0	Q7. What is the highest grade that [..] has completed in school? GRADE CODE IF MATRIC OR ABOVE (CODES 12-19) → <u>NEXT PERSON</u>	Q8. Is [..] currently enrolled in school? IF Yes → GO TO Q12 (ON NEXT PAGE) Yes No	Q9. What is the most recent calendar year that [..] enrolled in school? YEAR	Q10. What is the main reason why [..] is not currently enrolled in school? NOT ENROLLED CODE	Q11. When will [..] return to school? 1 = Never 2 = Next term 3 = Next year 4 = Other or don't know → <u>NEXT PERSON</u>
A	<i>pcode</i>		<i>schl_yn</i>	<i>schlenrl</i>	<i>prprim_y</i>	<i>beg_prim</i>	<i>graderpt</i>	<i>gradecom</i>	<i>enrolld</i>	<i>enrolly</i>	<i>nenroll</i>	<i>return</i>
B			1 2						1 2			
C			1 2						1 2			
D			1 2						1 2			
E			1 2						1 2			
F			1 2						1 2			
G			1 2						1 2			
H			1 2						1 2			
I			1 2						1 2			
J			1 2						1 2			
K			1 2						1 2			
L			1 2						1 2			

Section 12.2: Schooling (continued)

Person Code	Child's Name	Q12. What is the name of the school that [...] is currently enrolled in?	Q13. What is the nearest town to that school?	Q14. EMIS Number (CHECK SCHOOL LIST)	Q15. How much are [...]’s school fees for this school year? Rand	Q16. How much have you paid in school fees and for a uniform for [...] <u>this school year</u> ?		Q17. In addition to school fees and uniforms, how much has your household spent on [...]’s education in the last <u>one month</u> ?					Q18. In the last two years, did [...] miss more than a month of school at one time? IF NO → GO TO Q20 Yes No		Q19. Why did [...] miss school during that period? ABSENT CODE	Q20. In the last month, how many days did [...] not go to school? IF NONE, WRITE 0 → <u>NEXT PERSON</u>	Q21. Why did [...] not go to school in the last month? ABSENT CODE
						IF NOTHING IN ANY CATEGORY, WRITE 0											
						Fees Rand	Uniform Rand	Transport Rand	Stationery and books Rand	Food Rand	Other Rand						
A		<i>schlname</i>	<i>town</i>	<i>emisno</i>	<i>fees</i>	<i>pdfees</i>	<i>pdunif</i>	<i>pdtrans</i>	<i>pdsup</i>	<i>pdfd</i>	<i>pdoth</i>	<i>absence</i>	<i>absreas</i>	<i>absdays</i>	<i>absreas2</i>		
B												1 2					
C												1 2					
D												1 2					
E												1 2					
F												1 2					
G												1 2					
H												1 2					
I												1 2					

ABSENT CODE Questions Q19 and Q21

- | | | |
|--|---|---|
| 1 Could not afford school fees or other expenses | 6 Was too sick / too disabled | 11 Quality of teaching poor / classes disrupted |
| 2 Working for money / working on farm / looking for paid work | 7 Was expelled from school | 12 Denied place in class |
| 3 Looking after a sick family member | 8 Fear of bullying / other children / teacher | 13 Became pregnant |
| 4 Looking after younger children / other domestic work | 9 Making poor progress / unable to cope with work | 14 Social unrest / violence in community |
| 5 Death family member /attending funeral or other family event | 10 Did not want to attend school | 15 Other (specify) |

Section 13: Learning tests and Anthropometry

Section 13.1 Tests of learning

COPY NAMES AND CODES OF ALL CHILDREN AGED 7, 8 AND 9 CIRCLED ON THE HOUSEHOLD CARD

Person Code	Name of the child	Q1. What language are you best at reading and writing? 1. English 2. Zulu 3. Afrikaans 4. Other IF 3 OR 4 → GO TO NEXT CHILD	Q2. Can you read me the letters, word and sentence on this card? HAND THE CHILD THE APPROPRIATE LANGUAGE CARD 1. Cannot read anything 2. Reads letters 3. Reads words 4. Reads sentences	Q3. Please write the following sentence GIVE THE CHILD A PENCIL AND ANSWER SHEET. READ THE FOLLOWING ALOUD IN THE APPROPRIATE LANGUAGE "I LIKE DOGS" (ZULU: "UMAMA UPHEKA UKUDLA") 1. Cannot write sentence 2. Writes with difficulty or errors 3. Writes without difficulty or errors	WRITE THE LANGUAGE THAT THE TEST WAS ADMINISTERED IN English...1 Zulu.....2	MATH QUESTIONS (IN ENGLISH)				
						Q4. Can you tell me the answer to this calculation? 3 + 5 WRITE CHILD'S ANSWER 99=No answer	Q5. Can you tell me the answer to this calculation? 7 - 3 WRITE CHILD'S ANSWER 99= No answer	Q6. Can you tell me the answer to this calculation? 2 × 6 WRITE CHILD'S ANSWER 99=No answer	Q7. Can you tell me the answer to this calculation? 12 ÷ 4 WRITE CHILD'S ANSWER 99=No answer	
A			blang	rlevel	wlevel	rwlang	mathsum	mathsub	mathprod	mathdiv
B			1 2 3 4			1 2				
C			1 2 3 4			1 2				
D			1 2 3 4			1 2				
E			1 2 3 4			1 2				

Section 13.2 Anthropometry

1. COPY NAMES AND PERSON CODES OF ALL CHILDREN BETWEEN 6 MONTHS AND 6 YEARS RESIDING IN THE HOUSEHOLD (CIRCLED ON HOUSEHOLD CARD) INTO THE TABLE
2. WEIGH AND MEASURE THE HEIGHT OF EACH CHILD LISTED AND RECORD MEASUREMENTS
3. REPEAT VISITS MUST BE MADE IF CHILDREN ARE ABSENT

	Name	Person Code	Q1a. Mother's Weight Kilograms (2 decimal points) <i>mweight</i>		Q1b. Mother with Child's Weight Kilograms (2 decimal points) <i>mcweight</i>		Q1c. Child's Weight Kilograms (2 decimal points) <i>cweight1</i>		Q2. Height Centimetres (1 decimal point) <i>cheight1</i>	
			Measure A	Measure B	Measure A	Measure B	Measure A	Measure B	Measure A	Measure B
A			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
B			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
C			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
D			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
E			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
F			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
G			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
H			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
I			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __
J			__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __	__ . __

Source Code Question 4

1 = Clinic Card
2 = Road to Health Card
3 = Baptismal Certificate
4 = Reported by mother

5 = Reported by other person
6 = School Card
7 = Birth certificate
8 = Other (Specify)

	Name	Person Code Code	Q3a. Date of birth DD/MM/YY	Q3b. Sex of Baby	Q4. Source of birth date Source Code	N1. Is the child being breastfed now? Yes No	N2. At what age did you start giving the child <i>water</i> or other drinks or other food? IF NOT YET, CODE=99 Months	Q5. How many hours ago did [...] take his/her last meal? IF LESS THAN ONE HOUR WRITE 0.5 Hours	Q6. How many hours did this child spend in a creche, day care center or nursery school in the last 7 days / week IF NONE = 0
A			<i>date_bir</i>	<i>gender</i>	<i>source_b</i>	<i>breastfe</i>	<i>weaned</i>	<i>rmeal</i>	<i>crechehr</i>
B			/ /			1 2			
C			/ /			1 2			
D			/ /			1 2			
E			/ /			1 2			
F			/ /			1 2			
G			/ /			1 2			
H			/ /			1 2			
I			/ /			1 2			
J			/ /			1 2			

Section 13.3 Anthropometry: Previously measured in 1998

Anthropometrist: REPEAT VISITS MUST BE MADE IF CHILDREN ARE ABSENT

1. BELOW IS A LIST OF NAMES AND PERSON CODES OF ALL CHILDREN
BETWEEN 7 AND 11 YEARS OLD RESIDING IN THE HOUSEHOLD
(CIRCLED ON HOUSEHOLD CARD)
2. WEIGH AND MEASURE THE HEIGHT OF EACH CHILD LISTED AND
RECORD MEASUREMENTS

	Name	Person Code	Q1. How many hours ago did [.] take his/her last meal?	Q2. Weight		Q3. Height	
		Code	IF LESS THAN ONE HOUR WRITE 0.5 Hours <i>rmeal</i>	Kilograms (2 decimal points) <i>cweight2</i>		Centimetres (1 decimal point) <i>cheight2</i>	
				Measure A	Measure B	Measure A	Measure B
A				____.____	____.____	____.____	____.____
B				____.____	____.____	____.____	____.____
C				____.____	____.____	____.____	____.____
D				____.____	____.____	____.____	____.____
E				____.____	____.____	____.____	____.____
F				____.____	____.____	____.____	____.____
G				____.____	____.____	____.____	____.____
H				____.____	____.____	____.____	____.____
I				____.____	____.____	____.____	____.____
K				____.____	____.____	____.____	____.____

CONTACT INFORMATION

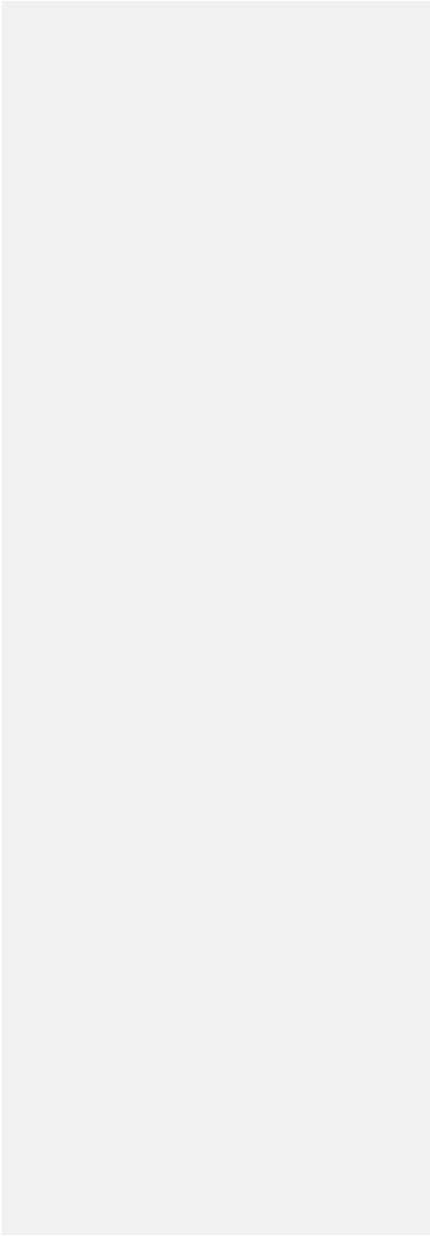
ENUMERATOR: ASK THE MAIN RESPONDENT ABOUT A PERSON LIVING IN THIS AREA WHO MIGHT HELP US FIND THIS HOUSEHOLD IN CASE WE WANT TO VISIT THEM AGAIN IN THE FUTURE

Name of Contact Person: _____

Phone number: _____

Address: _____

OBSERVATIONS



QUALITY CONTROL RECORD SHEET

[illegible]

QUALITY CONTROL RECORD SHEET

[illegible]