

National Income Dynamics Study

Wave 2: 2010

Proxy Questionnaire Cover

A proxy adult questionnaire should be administered to a knowledgeable household member when it is not possible to interview the relevant individual (aged 15+) in person.
NOTE: The proxy informant must be at least 18 years old.

Details about unavailable adult

| | | |
|----|--|--|
| A1 | First name | |
| A2 | Surname | |
| A3 | Any other names by which this person is known? | |

Location

| | | |
|-----|----------------------------|-------|
| A4 | Street Address | |
| A5 | Suburb | |
| A6 | Town or City | |
| A7 | Post Code | |
| A8 | Household Telephone | () - |
| A9 | Work Telephone number | () - |
| A10 | Household Cellphone | |
| A11 | Email contact for dwelling | |
| A12 | Description | |

Interviewer Details

| | | | | | |
|-----|-----------------------------------|------------|-----|----------------------|-------|
| A13 | Interviewer Name | | | | |
| A14 | Date of interview (dd/mm/yyyy) | __/__/____ | A15 | Interview start time | __:__ |

Appointments Log

| Appointments Log | | | | | | | |
|------------------|-------------------|-------|-------|--------------------------|--|---|--|
| | Date (dd/mm/yyyy) | Time | | Outcome (drop down list) | | Any new phone number/email addresses discovered | |
| A16.1 | __/__/____ | A16.2 | __:__ | A16.3 | | A16.4 | |
| A17.1 | __/__/____ | A17.2 | __:__ | A17.3 | | A17.4 | |
| A18.1 | __/__/____ | A18.2 | __:__ | A18.3 | | A18.4 | |

Refusals (if applicable)

| | | | |
|-----|---|--|--------|
| A22 | What is the <u>main</u> reason for refusal? | Too busy | 1 |
| | | Not interested/waste of time | 2 |
| | | Questionnaire too personal/too intrusive | 3 |
| | | Don't trust surveys | 4 |
| | | Never do surveys | 5 |
| | | Too old | 6 |
| | | Other (Specify) | |
| A23 | Degree of interaction with person refusing | None – Refused calling card | 1 |
| | | Very little – they cut me short, said no thanks | 2 |
| | | Some – Got to say I was doing an important survey | 3 |
| | | A fair bit – got to show them the brochure, and spend a bit of time trying to talk them around | 4 |
| A24 | Gender of person refusing (Drop down list) | Male | Female |
| A25 | Age of person refusing | | |

Proxy respondent details

| | | | | | |
|------------|---|--|---|----------------|----|
| A26 | Name | | A27 | Surname | |
| A28 | Relationship of respondent to adult in question | | | | |
| A29 | What is the reason for conducting a proxy interview? The unavailable adult is [...] | | In an institution (e.g. hospital, prison) | | 01 |
| | | | Studying away from home | | 02 |
| | | | On holiday | | 03 |
| | | | Away on business / for work | | 04 |
| | | | Unable to be contacted | | 05 |
| | | | Permanently too unwell or disabled | | 06 |
| | | | Temporarily unwell | | 07 |
| | | | Too old | | 08 |
| | | | Deaf or has speech problems | | 09 |
| | | | Has language problems | | 10 |
| | | | Refused but allowed a proxy | | 11 |
| | | | Temporarily away from home for other reasons (specify) | | 12 |
| | | | Is a tracked individual who has moved out of scope | | 13 |



Proxy Adult Questionnaire Wave 2:2010

Contents

| | |
|---|----|
| Section B: Demographics..... | 1 |
| Section C: Labour market participation | 3 |
| Section D: Individual income from non-employment sources..... | 5 |
| Section E: Education..... | 7 |
| Section F: Health..... | 9 |
| Section G: Interview evaluation..... | 10 |

Section B: Demographics

| INTERVIEWER READ OUT: We would like to ask you some questions about [...]’s background. | | | | | | |
|--|--|-----------------------|-----------|-------------|--|-------|
| | | | | | Validation Rule | Skips |
| B1 | What is [...]’s date of birth? If Refuse -8; Don’t Know -9 | | | | Validate using w1_dob_d, w1_dob_m and w1_dob_y | |
| | | dd | mm | year | | |
| B2 | What is [...]’s gender | Male | | 1 | Validate using w1_gen | |
| | | Female | | 2 | | |
| | | Refuse | | -8 | | |
| | | Don’t Know | | -9 | | |
| B3 | What population group does [...] belong to? | African | | 1 | Validate using w1_race | |
| | | Coloured | | 2 | | |
| | | Asian/Indian | | 3 | | |
| | | White | | 4 | | |
| | | Other (specify) | | 5 | | |
| | | Refused | | -8 | | |
| | | Don’t Know | | -9 | | |
| B4 | What is [...]’s current marital status? | Married | | 1 | | |
| | | Living with partner | | 2 | | |
| | | Widow/Widower | | 3 | | |
| | | Divorced or separated | | 4 | | |
| | | Never married | | 5 | | |
| | | Refused | | -8 | | |
| | | Don’t Know | | -9 | | |
| B5 | Which language does [...] usually speak at home? Interviewer: Select from drop-down list | Language | | | Validate using w1_lang | |
| | | If other, specify | | | | |
| | | Refused | | -8 | | |
| | | Don’t Know | | -9 | | |
| B6 | Over the past 7 nights, how many nights did [...] sleep under this roof? If Refuse -8; Don’t Know -9 | | | | valid ranges: <=7 | |
| B7 | Over the past month, how many nights did [...] sleep under this roof? If Refuse -8; Don’t Know -9 | | | | valid ranges: <=31 | |
| B8 | Has [...] ever lived in another suburb / town /village? | Yes | | 1 | | |
| | | No | | 2 | | C1 |
| | | Refused | | -8 | | C1 |
| | | Don’t Know | | -9 | | C1 |

| | | | | | | | |
|--|--|--------|-----------|---|----------------------------------|-------|-------|
| CB9a | Drop-down box with province selection | | | | | | |
| INTERVIEWER READ OUT: Now we would like to know about the different places that [...] has lived. In each instance please give the suburb, town, province and country if not South Africa. | | | | | Validation Rule | Skips | |
| | | Suburb | Town/City | Province Select from drop down list | Country (If not South Africa) | | |
| CB9b | Computer Check: is w1_birthplace valid? | Yes | | | 1 | | CB10a |
| | | No | | | 2 | | |
| B9 | Where was [...] born? Interviewer: If don't know write "-9". If refused write "-8" | | | | | | |
| CB10a | Computer Check: is w1_live1994 valid? | Yes | | | 1 | | CB11a |
| | | No | | | 2 | | |
| CB10b | Computer Check: We want a drop-down box for "here" | | | | | | |
| B10 | Where was [...] living in 1994? Interviewer: If don't know write "-9". If refused write "-8" | | | | | | |
| CB11a | Computer Check: is w1_PID valid? | Yes | | | 1 | | C1 |
| | | No | | | 2 | | |
| CB11b | Drop-down box for "here" | | | | | | |
| B11 | Where was [...] living in February 2008? Interviewer: If don't know write "-9". If refused write "-8" | | | | | | |
| B12.1 | In what year did [...] most recently move to this province? If never moved province, write 7777 If don't know write "-9". If refused write "-8" | | | | | | |
| B12.2 | In what year did [...] most recently move to this city or town or village? If never moved city or town, write 7777 If don't know write "-9". If refused write "-8" | | | | | | |
| B12.3 | In what year did [...] most recently move to this suburb? If never moved, write 7777 If don't know write "-9". If refused write "-8" | | | | | | |
| CB13a | Computer Check: is w1_PID valid? | Yes | | | 1 | | B13 |
| | | No | | | 2 | | |
| CB13b | Computer Check: is B12.3>2008? | Yes | | | 1 | | B13 |
| | | No | | | 2 | | |
| CB13c | Computer Check: is B12.2>2008? | Yes | | | 1 | | C1 |
| | | No | | | 2 | | |
| B13 | Where did [...] live before moving to the place where he/she is living now? If don't know write "-9". If refused write "-8" | | | | | | |

Section C: Labour market participation

| INTERVIEWER READ OUT: In this section we find out about [...]’s employment history. | | | | | | |
|--|---|--|---|----------------|-----------------|-------|
| If Refuse -8; Don’t Know -9; Not Applicable -5 | | | | | Validation Rule | Skips |
| C1 | Which one of the following best describes what [...] is currently doing? Interviewer: Read out options | Working for pay | | 1 | | |
| | | Self-employed | | 2 | | |
| | | Working on own plot or looking after livestock | | 3 | | |
| | | Helping another family member with their business, without pay | | 4 | | D1 |
| | | Full-time scholar or student at school, university, college or another educational institution | | 5 | | D1 |
| | | Homemaker (looking after children / others / home) | | 6 | | D1 |
| | | Long term sick or disabled | | 7 | | D1 |
| | | Retired | | 8 | | D1 |
| | | Unemployed and actively searching for a job in the past four weeks | | 9 | | D1 |
| | | Unemployed but not actively searching for a job in the past four weeks. | | 10 | | D1 |
| | | Refused | | -8 | | D1 |
| | | Don’t Know | | -9 | | D1 |
| INTERVIEWER READ OUT: Now we would like to ask you whether [...] is working or unemployed or not wanting to work right now. | | | | | | |
| C2 | What kind of work does [...] usually do in their job/business? Give occupation or job title. Interviewer: Record at least two words: car sales person, office cleaner, vegetable farmer, primary school teacher, etc. | | | | | |
| C3 | What are [...]’s <u>main</u> tasks or duties in this work? For example some people sell fruit or repair machines or keep accounts or deliver things or look after cattle. | | | | | |
| C4 | What is the name of [...]’s place of work, for example, it might be Pick ‘n’ Pay or a government department or a bank or your own home? Interviewer: For government or large organisations, give the name of the establishment and branch or division: e.g. Education Dept – Rapele Primary School; ABC Gold Mining - Maintenance Div. Write “Own house” or “No fixed location”, if relevant. For domestic workers write “private household”. | | | | | |
| C5 | What are the main goods and services produced at [...]’s place of work or what are its main functions, for example, making electrical appliances or repairing cars or selling houses or primary education? Interviewer: For domestic workers write “private household”. | | | | | |
| | | | | | Validation Rule | Skips |
| C6 | Please identify [...]’s last month’s take home pay. | | Would you say last month’s take home pay was: | | | |
| | | C6.1 | More than or less than R1800? | More than | | C6.3 |
| | | | | About equal to | | D1 |
| | | | | Less than | | C6.4 |
| | | | | Refused | | D1 |
| | | | | Don’t know | | D1 |
| | | C6.2 | More than or less than R1000? | More than | | D1 |
| | | | | About equal to | | D1 |
| | | | | Less than | | C6.4 |
| | | | | Refused | | D1 |
| | | | | Don’t know | | D1 |
| | | C6.3 | More than or less than R4000? | More than | | C6.5 |
| | | | | About equal to | | D1 |
| | | | | Less than | | D1 |
| | | | | Refused | | D1 |
| | | | | Don’t know | | D1 |

| | | | | | Validation Rule | Skips |
|--|--|------|-------------------------------|----------------|-----------------|-------|
| | | C6.4 | More than or less than R700? | More than | | D1 |
| | | | | About equal to | | D1 |
| | | | | Less than | | D1 |
| | | | | Refused | | D1 |
| | | | | Don't know | | D1 |
| | | C6.5 | More than or less than R8000? | More than | | D1 |
| | | | | About equal to | | D1 |
| | | | | Less than | | D1 |
| | | | | Refused | | D1 |
| | | | | Don't know | | D1 |

Section D: Individual income from non-employment sources

INTERVIEWER READ OUT: In this section we are going to talk about any money or any form of assistance that [...] may receive which does not involve employment of some kind.

As I read a list of the different ways in which people can receive money or assistance, please indicate whether [...] did, in fact, receive such assistance or not in the last month.

| | | | | | | Validation Rule | |
|-----|---|--|----|---------|------------|--|--|
| | | 1. Did [...] receive income or assistance from [..] in the last month ? | | | | Computer Check: If Answer != 1 skip to next Question | 2. How much did [...] receive last month in Rands? Interviewer: If don't know write "-9". If refused write "-8" |
| | | Yes | No | Refused | Don't Know | | |
| D1 | State (South African government) old age pension | 1 | 2 | -8 | -9 | If Answer != 1 skip to D2 | Confirm if B8 from HH roster<60 |
| D2 | Private pension or foreign pension | 1 | 2 | -8 | -9 | If Answer != 1 skip to D3 | |
| D3 | Private retirement annuity | 1 | 2 | -8 | -9 | If Answer != 1 skip to D4 | |
| D4 | Retirement gratuity or retirement package | 1 | 2 | -8 | -9 | If Answer != 1 skip to D5 | |
| D5 | Unemployment insurance (UIF) | 1 | 2 | -8 | -9 | If Answer != 1 skip to D6 | |
| D6 | Workmen's compensation | 1 | 2 | -8 | -9 | If Answer != 1 skip to D7 | |
| D7 | Disability grant | 1 | 2 | -8 | -9 | If Answer != 1 skip to D8 | |
| D8 | War veterans pension | 1 | 2 | -8 | -9 | If Answer != 1 skip to D9 | |
| D9 | Child support grant | 1 | 2 | -8 | -9 | If Answer != 1 skip to D10 | |
| D10 | Foster care grant | 1 | 2 | -8 | -9 | If Answer != 1 skip to D11 | |
| D11 | Care dependency grant | 1 | 2 | -8 | -9 | If Answer != 1 skip to D12 | |
| D12 | Interest earnings including dividends, interest from savings, loans | 1 | 2 | -8 | -9 | If Answer != 1 skip to D13 | |
| D13 | Inheritances | 1 | 2 | -8 | -9 | If Answer != 1 skip to D14 | |
| D14 | Rental income | 1 | 2 | -8 | -9 | If Answer != 1 skip to D15 | |
| D15 | Retrenchment package | 1 | 2 | -8 | -9 | If Answer != 1 skip to D16 | |
| D16 | Lobola or bride wealth payments | 1 | 2 | -8 | -9 | If Answer != 1 skip to D17 | |
| D17 | Gifts | 1 | 2 | -8 | -9 | If Answer != 1 skip to D18 | |
| D18 | Repayments of loans to [...] | 1 | 2 | -8 | -9 | If Answer != 1 skip to D19 | |
| D19 | Sale of household goods (e.g. Car, television, refrigerator) | 1 | 2 | -8 | -9 | If Answer != 1 skip to D19 | |
| D20 | Other, including child maintenance, remittances and alimony (specify) | 1 | 2 | -8 | -9 | If Answer != 1 skip to E1 | |

Section D2: Grants received on your behalf

| | | | | Validation Rule | Skips |
|---|---|-----------------------|----|--|-------|
| D2.1 | Computer CHECK! Is this respondent between 15 and 17 years of age? (i.e. Is 15 <= A14 – B1 <= 17?) | Yes | 1 | | E1 |
| | | No | 2 | | |
| INTERVIEWER READ OUT: Now we would like to ask some questions about any grants collected on your behalf. | | | | | |
| D2.1 | Does anyone currently receive a child support grant, foster care grant or care dependency grant on [...] behalf? | Yes | 1 | | E1 |
| | | No | 2 | | |
| | | Don't Know | -9 | | |
| | | Refused | -8 | | |
| D2.2 | What type of grant is this? | Child support grant | 1 | | |
| | | Foster care grant | 2 | | |
| | | Care dependency grant | 3 | | |
| | | Don't Know | -9 | | |
| | | Refused | -8 | | |
| D2.3 | Who is the person that is receiving the grant for [...]? Interviewer: If person not in household, Pcode = 77 See code sheet for Relationship Codes If Don't Know write "-9" If Refused write "-8" | Pcode | | Confirm if relationship code =04/ 05 / 06 / 07 / 13 / 15 / 16 / 17/19/22 | |
| | | Relationship to child | | | |

Section E: Education

INTERVIEWER READ OUT: We would like to ask about [...]’s education.

| Interviewer: If Refuse -8; Don't Know -9; Not Applicable -5 | | | | Validation Rule | Skips |
|---|--|----------------------------|----|--|-------------|
| E1 | What is the highest grade in school that [...] has successfully completed? (Do not count the final year [...] was in school if he/she did not successfully complete the year.) Interviewer: Select from drop down list | Highest Grade | | valid range: codes 00-15 + 25 and check diff betw highsgrade & w2 <= 2 | F1 if E1=25 |
| | | Other(specify) | | | |
| | | Refused | -8 | | |
| | | Don't Know | -9 | | |
| CE2 | Computer check: Is w1_highsgrade = E1 & w1_yeargradcomp valid ? | Yes | 1 | | CE3 |
| | | No | 2 | | |
| E2.a | In what year did [...] successfully complete this grade? | Year | | | CE3 |
| | | Refuse | -8 | | |
| | | Don't know | -9 | | |
| E2.b | How old was [...] when he/she successfully completed this grade? | Age | | | |
| | | Refuse | -8 | | |
| | | Don't know | -9 | | |
| CE3 | Computer Check is w1_tertiyesno=1, i.e. Do we know they already have a degree/diploma etc? | Yes | 1 | | E5 |
| | | No | 2 | | |
| E3 | Has [...] successfully completed any diplomas, certificates or degrees outside of school? | Yes | 1 | | E5 |
| | | No | 2 | | |
| | | Refuse | -8 | | |
| | | Don't know | -9 | | |
| E4 | What is the highest level of education [...] successfully completed? Do not include any courses that [...] did not successfully complete. Interviewer: Select from drop down list. Codes 00 to 15 and 25 are not applicable. | Highest level of education | | valid range: codes 16 - 24 | |
| | | If other, specify here | | | |
| CE5 | Computer CHECK! Is [...] aged between 15 and 30? (Use B8 from HH roster) | Yes | 1 | | F1 |
| | | No | 2 | | |
| E5 | Is [...] currently enrolled in any school or classes or correspondence courses of any kind? Include university, technical colleges or any courses as well as school. | Yes | 1 | | F1 |
| | | No | 2 | | |
| | | Refuse | -8 | | |
| | | Don't know | -9 | | |
| E6 | What is the name of the school or educational institution that [...] is currently attending? | | | | |
| E7 | What is the location of this educational institution? Interviewer: Please get street address, neighbourhood (such as Rondebosch, Macazar, Langa, Hanover Park, or Athlone) or other identifying information if in Cape Town or name of the nearest town or city (such as Worcester, Durban, Umtata, or Cofimvaba). Interviewer: If Refuse -8; Don't Know -9; Not Applicable -5 | | | | |
| E8 | What education level is [...] currently enrolled in? Interviewer: Select from drop down list | Current education level | | | |
| | | If other, specify here | | | |

| | | | | Validation Rule | Skips |
|-----|---|------------------------------------|----|-----------------|-------|
| CE9 | Computer Check! Is E8 < 16? | Yes | 1 | | F1 |
| | | No | 2 | | |
| E9 | What subject or programme is [...] studying? | Arts / humanities | 1 | | |
| | | Science | 2 | | |
| | | Social science | 3 | | |
| | | Law | 4 | | |
| | | Theology | 5 | | |
| | | Commerce / management | 6 | | |
| | | Education | 7 | | |
| | | Medical services (incl. dentistry) | 8 | | |
| | | Engineering | 9 | | |
| | | Administration / clerical | 10 | | |
| | | Protection | 11 | | |
| | | Building sciences | 12 | | |
| | | Technical | 13 | | |
| | | Computing | 14 | | |
| | | Veterinary | 16 | | |
| | | Tourism | 17 | | |
| | | Beauty / hair / cosmetology | 18 | | |
| | | Other (specify) | 88 | | |
| E10 | Does this institution require [...] to have a matric? If yes, does it require [...] to have matric exemption? | Refuse | -8 | | |
| | | Don't know | -9 | | |
| | | Yes, with matric exemption | 1 | | |
| | | Yes, but no exemption required | 2 | | |
| | | No | 3 | | |
| | | Refused | -8 | | |
| | | Don't Know | -9 | | |

Section F: Health

| INTERVIEWER READ OUT: We would like to ask you some questions about [...]’s health. | | | | | Skips | | |
|--|--|--|-----|----|--------------|------------|----|
| F1 | How would you describe [...]’s health at present? Would you say it is excellent, very good, good, fair, or poor? | Excellent | | 1 | | | |
| | | Very good | | 2 | | | |
| | | Good | | 3 | | | |
| | | Fair | | 4 | | | |
| | | Poor | | 5 | | | |
| | | Refuse | | -8 | | | |
| | | Don’t know | | -9 | | | |
| F2 | Has a doctor/nurse/health care professional ever told [...] that he/she has any of the following | | Yes | No | Refuse | Don’t Know | |
| | | 1 - TB (Tuberculosis) | 1 | 2 | -8 | -9 | |
| | | 2 - High blood pressure | 1 | 2 | -8 | -9 | |
| | | 3 - Diabetes | 1 | 2 | -8 | -9 | |
| | | 4 - Stroke | 1 | 2 | -8 | -9 | |
| | | 5 - Asthma | 1 | 2 | -8 | -9 | |
| | | 6 - Heart problems | 1 | 2 | -8 | -9 | |
| | | 7 - Cancer | 1 | 2 | -8 | -9 | |
| F3 | Does [...] have any other major illnesses or disability not mentioned above? | Yes | | | | 1 | |
| | | No | | | | 2 | F5 |
| | | Refuse | | | | -8 | F5 |
| | | Don’t know | | | | -9 | F5 |
| F4 | If yes, what are they? Interviewer: Do not read out Multiple mentions allowed | Physically handicapped | | | | 1 | |
| | | Problems with sight, hearing or speech | | | | 2 | |
| | | Psychological or psychiatric disorder | | | | 3 | |
| | | HIV/AIDS | | | | 4 | |
| | | Epilepsy/ fits | | | | 5 | |
| | | Emphysema | | | | 6 | |
| | | Alzheimer’s disease | | | | 7 | |
| | | Other (Specify) | | | | 8 | |
| | | Refuse | | | | -8 | |
| | | Don’t Know | | | | -9 | |
| F5 | Does [...] use spectacles or glasses, including for reading? | Yes | | | | 1 | |
| | | No | | | | 2 | |
| | | Refuse | | | | -8 | |
| | | Don’t know | | | | -9 | |
| F6 | Does [...] use a hearing aid? | Yes | | | | 1 | |
| | | No | | | | 2 | |
| | | Refuse | | | | -8 | |
| | | Don’t know | | | | -9 | |

Section G: Interviewer evaluation

To be completed by interviewer only

| | | | |
|-----------|---|---|----|
| G1 | Languages used during interview Interviewer: Multiple mention allowed | IsiNdebele | 01 |
| | | IsiXhosa | 02 |
| | | IsiZulu | 03 |
| | | Sepedi | 04 |
| | | Sesotho | 05 |
| | | Setswana | 06 |
| | | Siswati | 07 |
| | | Tshivenda | 08 |
| | | Isitsonga | 09 |
| | | Afrikaans | 10 |
| | | English | 11 |
| | | Other (specify) | 12 |
| G2 | In general, how did the respondent act towards you during the interview? | Hostile | 1 |
| | | Neither hostile nor friendly | 2 |
| | | Friendly | 3 |
| G3 | How attentive was the respondent to the questions during the interview? | Not at all attentive | 1 |
| | | Somewhat attentive | 2 |
| | | Very attentive | 3 |
| G4 | Were other persons within hearing range at any time during the interview? | No other person within hearing range at any time | 1 |
| | | 1+ persons within hearing range for part of the interview | 2 |
| | | 1+ persons within hearing range for all of the interview | 3 |
| G5 | Did more than one person help to complete this questionnaire? | Yes | 1 |
| | | No | 2 |
| G6 | If so, which household members helped to complete the questionnaire? Fill in the Names of those who assisted | Member1 | |
| | | Member2 | |
| | | Member3 | |
| G7 | Any additional comments about specific questions or data quality?..... | | |
| G8 | Did you feel that the information provided by the respondent about the unavailable adult was [...] | Very good | 1 |
| | | Good | 2 |
| | | Neither good, nor bad | 3 |
| | | Bad | 4 |
| | | Very bad | 5 |
| G9 | Interview end time | ___ : __ | |