## THE YOUNG LIVES STUDY - HOUSEHOLD QUESTIONNAIRE - 5yr old

CONFIRM CONSENT - SAY: "When we saw you yesterday (or whenever) you agreed to continue being part of the YL study. Now that you have had time to consider this are you still happy to be part of the study - remember you can withdraw at any time?"

FIELDWORKER: If they are happy to continue then show them the consent form - either give them time to read it themselves or read it to them (if they cannot read). Then ask them to sign the consent form or put their mark.

CHILD ID AND DATE

| 0.1 | INSERT CHILD ID | VN | CHILDID |
| :---: | :---: | :---: | :---: |
| 0.2 | Date of interview | $\overline{\mathrm{dd}} / /_{\mathrm{mm}}^{/} / \mathrm{lyyyy}$ | DINTDAY DINTMTH DINTYEAR |

DATA HANDLERS

| Fieldworker | Name: | Code: [ _ _ _ ] | FLDCODE |
| :---: | :---: | :---: | :---: |
|  | Signature: | Date of check: $\qquad$ $\overline{\mathrm{d}} \mathrm{~d}^{\prime} / \overline{\mathrm{mm}} / \mathrm{yyyy}-\quad-$ | FLDDAY <br> FLDMTH <br> FLDYEAR |
| Supervisor | Name: | Code: [ _ _ _ ] | SUPCODE |
|  | Signature: | Date of check: | SUPDAY <br> SUPMTH <br> SUPYEAR |


| Data entry clerk (first data entry) | Name: | Code: [ _ _ _ ] | DE1CODE |
| :---: | :---: | :---: | :---: |
|  | Signature: | Date of first data entry: | DE1DAY DE1MTH DE1YEAR |
| Data entry clerk (second data entry) | Name: | Code: [____] | DEZCODE |
|  | Signature: | Date of second data entry: $\qquad$ d d/mm/yyyy | DE2DAY <br> DE2MTH <br> DE2YEAR |

## SECTION 1 - PARENTAL BACKGROUND

1.0 $\quad$ ID of respondent for this section (identify the respondent for this section using the ID from the household roster)
$\square$
FIELDWORKER: For this section, ask the family to identify the father, the mother and the primary caregiver (the person who spends the most time looking after the child). The mother or father could be the primary caregiver, in which case there is no need to enter any details in the last column (Primary caregiver). If e.g., mother is primary caregiver then no need to fill in last column. If neither is the primary caregiver then identify who is the primary caregiver and fill in these details in the last column If any of the individuals are not members of the household (and are therefore not on the household roster) then use code "90".

|  |  | Father |  | Mother |  | Primary caregiver |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.1 | Is this person still alive? $01=\text { Yes, } 00=\mathrm{No}$ <br> If No, skip to 1.12 for this person | [_—] | (DADAL) | [_—] | (MUMAL) |  |  |
| 1.2 | Is this the biological parent? $01=$ Yes, 00=No | [_—] | (BIODAD) | [_—] | (BIOMUM) |  |  |
| 1.3 | Is this the primary caregiver? $01=$ Yes, 00=No <br> IF ONE OF THE PARENTS IS THE PRIMARY CAREGIVER <br> THEN CROSS THROUGH THE PRIMARY CAREGIVER COLUMN, OTHERWISE IDENTIFY THE CAREGIVER AND COMPLETE THE COLUMN. | [_—] | (PRIDAD) | [_—] | (PRIMUM) |  |  |
| 1.4 | Roster ID of this person ( 90 if not a member of the household) | [_—] | (DADID) | [_—] | (MUMID) | [__ ] | (CAREID) |
| 1.5 | How often does this person see NAME? <br> 01=Daily, 02=Weekly, <br> 03=Monthly, 04=Annually, <br> $05=$ less than once a year, <br> 06=Never | [_—] | (SEEDAD) | [_—] | (SEEMUM) | [__ ] | (SEECARE) |


|  |  | Father |  | Mother |  | Primary caregiver |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.6 | How long has this person lived in this commune? <br> (ENTER YEARS) <br> $00=<1 \mathrm{yr},-66=$ All his/her life, 77=N/K | [_—] | (DADLIVE) | [_—] | (MUMLIVE) | [_——] | (CARELIVE) |


| 1.7 | What was the first language this person learnt as a child? (mother tongue) <br> 10=Other, 41=Vietnamese, <br> 42=Chinese, 43=Tay, 44=H'Mong, <br> 45=Nung, 46=Ede, 47=Thai, <br> 48=Dao, 49=Giay, 77=NK | [_—] | (DADLANG) | [_—] | (MUMLANG) | [_—] | (CARELANG) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.8 | Can this person read and understand a letter or newspaper in Vietnamese? <br> 01=Easily, 02=With difficulty, 03=Not at all, 77=N/K <br> If yes, skip to 1.10 for this person | [_—] | (DADLITS) | [_—] | (MUMLITS) | [__] | (CARELITS) |
| 1.9 | Can this person read and understand a letter, newspaper etc, in any language? <br> 01=Easily, 02=With difficulty, 03=Not at all, 77=NK | [_—] | (DADLITA) | [_—] | (MUMLITA) | [__] | (CARELITA) |
| 1.10 | Can this person speak Vietnamese? $00=\text { No, 01=Yes, 77=NK }$ <br> If no $>$ Skip to 1.12 for this person | [_—] | (DADSPK) | [__ ] | (MUMSPK) | [__] | (CARESPK) |
| 1.11 | How well does this person speak Vietnamese? <br> 01=Fluently (like mother tongue) <br> 02=Well (easily understood) <br> 03=Basic (difficult to be understood), 77=NK | [_—] | (DADFLU) | [_—] | (MUMFLU) | [_—] | (CAREFLU) |


| 1.12 | Because of his/her ancestors and according to your customs, which of the following origins does this person belonging to? <br> 01=Mixed, 10=Other, <br> 41=Kinh, 42=Chinese, 43=Tay, <br> 44=H'Mong, 45=Nung, 46=Ede, <br> 47=Thai, 48=Dao, 49=Giay, <br> 77=NK | [_—] | (DADETH) | [_—] | (MUMETH) | [_—] | (CAREETH) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.13 | What is this person's religion? <br> 01=Christian (Catholic), <br> 02=Muslim, 03=Buddhist, <br> 06=Christian (Protestant) <br> 10=Other, 12=Cao Dai, 14=None, <br> 15=Hoa Hao <br> 77=NK | [_—] | (DADREL) | [_—] | (MUMREL) | [__] | (CAREREL) |
| 1.14 | What is the highest education grade attained (completed) by this person? <br> ENTER GRADE 01-12, <br> 13= Vocational post-secondary, <br> 14=University, 28=Adult literacy, <br> 29=Religious education, 00=None | [_—] | (DADED) | [_—] | (MUMED) | [_—] | (CAREED) |

SAY: I now want to ask some questions about NAME's background

| 1.15 | Where did NAME live when he/she was born? 01=Same commune, 02=Outside commune, within district, 03=Outside district, within region, 05= Outside region, within the country, 06=Other country, 77=NK | [_—] | (CHILDLIV) |
| :---: | :---: | :---: | :---: |
| 1.16 | How long has NAME lived here? (in years) <br> (THIS NUMBER HAS TO BE MORE THAN 4 if child is in same area as in FIRST ROUND) | [_—] | (LONGLIV) |
| 1.17 | Has NAME ever left this locality? 00=No, 01=Yes, 77=NK | [__ $]$ | (LEFT) |
| 1.18 | How many children were born (and lived for at least 24 hours) to NAME's mother before NAME? | [__ ] | (BORNBEF) |
| 1.19 | How many children have been born (and lived for at least 24 hours) to NAME's mother after NAME? | [_—] | (BORNAFT) |
| 1.20 | Which of the following origins does NAME belong to? <br> 01=Mixed, 10=Other, 41=Kinh, $42=$ Chinese, $43=$ Tay, $44=$ H'Mong, $45=$ Nung, 46=Ede, $47=$ Thai, $48=$ Dao, 49=Giay, 77=NK | [——] | (CHLDETH) |
| 1.21 | What is 'NAME's religion? <br> 01=Christian (Catholic), 02=Muslim, 03=Buddhist, 06=Christian (Protestant), 10=Other, 12=Cao Dai, 14=None, 15=Hoa Hao, 77=NK, 88=N/A | [_—] | (CHLDREL) |
| 1.22 | Is there a male household member that the child considers as his/her example role model? (ENTER ID CODE FROM HOUSEHOLD ROSTER. IF NONE USE 88=N/A) | [_—] | (MALEROLE) |
| 1.23 | Is there a female household member that the child considers as his/her example role model? (ENTER ID CODE FROM HOUSEHOLD ROSTER. IF NONE USE 88=N/A) | [_—] | (FEMROLE) |
| 1.24 | What was the first language the NAME learned at home? 10=Other, 41=Vietnamese, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Giay, 77=NK | [_—] | (CHLNG1ST) |
| 1.25 | Currently, does NAME speak Vietnamese? <br> $00=$ Not at all, $01=$ Yes, speaks and understands, $02=$ Does not speak, but understands | [_—] | (CHSPKLNG) |

## SECTION 2 - HOUSEHOLD EDUCATION

| 2.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household <br> roster) | [___] | ID2 |
| :--- | :--- | :--- | :--- |

SAY: Now I am going to ask you some questions about the education level of each individual in the household.

FIELDWORKER: Place the household roster card over the 'ID' column of this table. Work through the columns in the table asking questions 2.1.1 to 2.1.4 for each individual identified in the household roster card who is aged 18 and over.

FIELDWORKER: Note: You have already collected highest grade attained for mother, father and/or caregiver in question 1.12. Therefore, do not ask 2.1 .1 for mother, father, and/or caregiver. DO ASK 2.1.2 for all household members including mother, father and/or caregiver.

Say: First, I am going to ask about the education level of all HH members aged 18 and over

| 2.1 | 2.1.1 | 2.1.2 |
| :---: | :---: | :---: |
| ID | What was the highest grade that this person completed (excluding pre-primary)? <br> (ENTER GRADE 01-12; 13=Post-secondary, Vocational; 14=University; 28=Adult literacy; 29=Religious education, 00=None) | Is this person currently in full time education? $00=\mathrm{No}$, <br> $01=$ Yes, attending regularly, <br> $02=$ Yes, but attending irregularly, <br> 77=NK |
| (ID) | (GRADE) | (STILL) |
| [___] | [___] | [___] |
| [___] | [__ ] | [__ ] |
| [__-] | [___] | [___] |
| [___] | [__ ] | [___] |
| [___] | [__ ] | [__ ] |
| [___] | [__ ] | [__] |
| [___] | [__] | [___] |
| [___] | [__ ] | [__ ] |
| [__ ] | [___] | [__ ] |
| [__ ] | [__ ] | [___] |


| [_-_] | [_-_] | [_-_] |
| :---: | :---: | :---: |
| [___] | [_-_] | [_-_] |

SAY: Now I'm going to ask about the education of all children in household between the ages and 5 and 17 .
FIELDWORKER: Transfer IDs for all 5-17 year olds in ID column below.

| 2.2 | FOR AGES 5-17 ONLY |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2.2.1 | 2.2.2 | 2.2 .3 | 2.2 .4 | 2.2 .5 |
| CHILD ID | What was the highest grade that this person completed (excluding pre-primary)? <br> (ENTER GRADE 01-12; <br> 13-Post-secondary, <br> Vocational; <br> 14=University; 28=Adult literacy; 29=Religious education, 00=None) | Is this person currently in full time education? <br> 01=Yes, attending regularly, 02=Yes, but attending irregularly, $00=$ No, but has previously been in school, 04=No, has never been in school <br> 77=NK <br> (If yes, Skip to 2.2.5) | Why is this child currently NOT in fulltime education? <br> (ENTER CODE FROM CODE BOX 1) | [If this child has ever been in full-time education (code 04 in 2.2.2)] <br> How old was this child when s/he completed/ stopped full-time education? <br> (ENTER AGE, 77=NK, 88=N/A) | [If this child has ever been in fulltime education (codes 01, 02 and 04 in Q2.2.2)] <br> How old was this child when s/he first entered full-time education? <br> (ENTER AGE, 77=NK, 88=N/A; 89=Never) <br> If currently NOT enrolled in fulltime education, SKIP to next child. |
| (ID) | (CHGRADE) | (CHSTILL) | (WHYNOT) | (AGEGRADE) | (AGESTSCH) |
| [___] | [___] | [___] | [___] | [___] | [___] |
| [___] | [___] | [___] | [___] | [___] | [___] |
| [_-_] | [_-_] | [_-_] | [_-_] | [_-_] | [_-_] |
| [___] | [___] | [___] | [___] | [___] | [___] |
| [_-_] | [_-_] | [__-] | [_-_] | [_-_] | [_-_] |
| [___] | [___] | [___] | [___] | [___] | [___] |
| [ | [ [-_] | [ [-_] | [ | [_-_] | [ [-_] |
| [___] | [___] | [___] | [___] | [___] | [___] |
| [__ ] | [___] | [___] | [__ ] | [_-_] | [__-] |


| CODEBOX 1 - REASONS NOT IN SCHOOL |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Fees too expensive | 09=Banned from school for behaviour reasons | 17= No need for schooling for future job. | 25= Family member ill/disabled/elderly (including care for this family member) |
| $02=$ Books and/or other supplies too expensive | 10=Banned from school because away for too long | 18= Need to learn a trade/skill, e.g. through apprenticeship so went to work | 26= Family issues e.g. problems at home - parent disputes/marital conflict |
| 03= Shoes/Clothes/Uniform for school too expensive | 11= Banned from school because failed to achieve necessary grade/level at school | 19=Need to stay home to look after siblings | 27=Stigma and Discrimination (child was not be welcome because of ethnic group/caste/socioeconomic group etc). |
| 04= Transport too expensive | $12=$ Quality of education at school (teaching and learning) poor | $20=$ Needed for domestic and/or agricultural work at home (include chores, farm work, harvest) | 28= School not accessible for seasonal reasons: river prohibits access |
| 05= School too far from home | 13= Quality of care (food, noneducational care) poor | 21=Have to do paid work to earn money(including agricultural work other than for household) | 29=Child too young |
| 06=Not safe to travel to school | 14= No sanitation facilities at school | 22 = It's not appropriate for girls to go to/continue at school | 30=Child Still in preschool |
| 07 = Lack of transport | 15= Bullying/abuse from peers | 23=Marriage | 31=Other |
| 08=Truancy, child does not want to go, not interested | 16= Ill-treatment/abuse from teachers/principal | 24= Disability, Illness | 79=Refused to answer |

FIELDWORKER: For all children in the household aged between 5 and 17 yrs old who are currently enrolled in Full Time Education, ask the following questions.

| 2.2 | FOR AGES 5-17 (Currently enrolled in full-time education) |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 2.2 .6 | 2.2.7 | 2.2 .8 | 2.2 .9 |
| CHILD ID | What type of school are they attending? <br> 01=Private, <br> 02=Public community school <br> (e.g. NGO/Charity/Church not-for-profit), <br> 03= Public of the National Government, 04=Informal community (e.g. mothers' cooperative), 05=Other, 77=NK, 88=N/A | What is the name of his/her school? <br> FIELDWORKER: Please record name of school in space below. | How would you say the child is performing? <br> 01= Excellent: <br> 02=Good; 03=Reasonably well; <br> 04=Poorly; <br> 05=Very bad; <br> 77=NK; 88=N/A | How good would you say the school is in terms of overall quality (including teaching and infrastructure)? <br> 01= Excellent <br> 02=Good; 03=Reasonably OK; <br> 04=Bad; 05=Extremely bad |
| (ID) | (HHSCHTYP) | (SCHNAME) | (PERF) | (SCHOOLEN) |
| [__ ] | [___] |  | [__ ] | [___] |
| [___] | [___] |  | [___] | [___] |
| [___] | [___] |  | [__ ] | [_-_] |
| [__ ] | [___] |  | [___] | [___] |
| [__] | [_-_] |  | [_-_] | [_-_] |
| [__] | [_-] |  | [_-] | [_-] |
| [__ ] | [_-_] |  | [__-] | [__-] |
| [___] | [___] |  | [__-] | [___] |
| [__ ] | [__ ] |  | [__ ] | [___] |


| 2.3 | In the last 12 months, how much have you contributed for education of your children, exclusive of officially compulsory tuition fees? |
| :--- | :--- |


|  | TYPE of contribution | 2.3.1 Thinking about the school(s) attended by children from your household - Do the parents of children at these schools contribute in cash towards the following: $\begin{aligned} & 00=\text { No, } \\ & 01=\text { Yes, compulsory, } \\ & 02=\text { Yes, voluntary, } \end{aligned}$ | 2.3.2 How much did your household contribute over the past 12 months? (in VND) | 2.3.3 What was the value of the exemption your household was granted for this contribution? (in VND) | 2.3.4 What was the reason for this exemption? <br> (ENTER CODE FROM CODE <br> BOX \#2A) |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | (SPECSCON) (ESPCSCON) | (SCHPAY01) - <br> (SCHPAYO6) | (SCHCONO1)-(SCHCONO6) | (EXSCHO1)-(EXSCH06) | (REASEX01) - (REASEX06) (SPECRX01)- (SPECRX06) (ESPCRX01)- (ESPCRX06) |
| 01 | School construction fee | _] | [._-] | _] | [___] |
| 02 | Accident insurance | -_] | [___] | [___] | [___] |
| 03 | School security | [__-] | [___] | [___] | [__-] |
| 04 | Class's fund | [__-] | [___] | [____] | [___] |
| 05 | Pupils' parents' fund | [ | [ | [ | [_-_] |
| 06 | Other, <br> Specify: | [_-] | [___ _ ] | [___ _ ] | [_-] |


| CODEBOX 2A - REASON FOR SCHOOL FEE EXEMPTION |  |  |
| :--- | :--- | :--- |
| $01=$ Household's low economic status | $04=$ Household member is disabled or a sick veteran | $07=$ Primary pupil |
| $02=$ Ethnic minority status | $05=$ Household's remote location | $08=$ School offers free tuition |
| $03=$ Martyr | $06=$ Household's difficult situation | $09=$ Household has many children |
|  |  | $10=$ Other, Specify:-_ |

## SECTION 3 - LIVELIHOODS AND ASSET FRAMEWORK

3.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)

SAY: I am going to ask you about what people in this household do to make a living and about the assets that the household has access to.

## 3A LAND AND CROP AGRICULTURE

SAY: Now I am going to ask you some questions about how the household makes a living.

| 3.1 | In the last 12 months has anyone in your household owned, borrowed or rented any land? 00=No, 01=Yes <br> If No> skip to 3.10 | [__ ] | (OWNLAND) |
| :---: | :---: | :---: | :---: |


| 3.2 | Please tell me about each plot and its uses in the last 12 months/agricultural year? Start with the plot your house is built on. |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.2.1 | 3.2 .2 | 3.2.3 | 3.2.4 | 3.2 .5 | 3.2 .6 |
| PLOT | Size of plot in metres squared -77=NK | Which of the following best describes this plot? <br> 01=Owned <br> 02=Rented in, <br> 03=Borrowed <br> 04=Sharecropped in, <br> 05=Common property, <br> 06=Squatted <br> 31=Leased from state, <br> 33=Mortgaged <br> 77=NK | What is the plot used for? <br> 01=Farming (arable), <br> 02=Pasture, <br> 03= Gardening <br> 04=Building (house/shop), <br> 05=Industry, <br> 06=Rented out, <br> 07=Sharecropped out, <br> 08=Nothing/left fallow, <br> 09=Recreation, 10=Grazing <br> livestock, 11=Aquaculture, <br> 12=Forestry, 77=NK | Would you be able to transfer the full use of the plot to anyone else, through sale, gift or bequest? $00=$ No; 01=Full transfer rights; 02=Only bequest: 03=Transfer only with formal community approval. | Would anyone in the household be able to use this plot as collateral? <br> $00=$ No, 01=Yes <br> If No or NK > Skip to next plot | Who would be able to transfer this plot or use it for collateral? <br> Get ID \# from hh roster Use 80 if household as a whole $88=N / A$ |
| (PLOTID) | (LAREA) | (LOWN) | (LUSE) | (TRANS) | (COLLAT) | (TRANSID) |
| $\begin{gathered} 01 \\ \text { (HOUSE) } \\ \hline \end{gathered}$ | [__ ${ }^{\bullet}$ [_] | [__ ] | [__ ] | [__ ] | [__ _ ] | [__ ] |
| 02 | [__ ${ }^{\bullet}$ [_] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| 03 | [__ - _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |


| 04 | [___ - ] | [ - - ] | [__ _ ] | [__ _ ] | [__ _ ] | [__ _ ] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 05 | [__ - _ ] | [ - - ] | [__ $]$ | [ _ _ ] | [ _ _ ] | [__ _ ] |
| 06 | [__ - _ ] | [ - - ] | [__ _ ] | [__ _ ] | [__ _ ] | [__ _ ] |
| 07 | [__ - _ ] | [ - - ] | [___] | [__ $]$ | [ _ _ ] | [ _ _ ] |


| 3.2.7 | Who is the main person responsible for making the key decisions about most of the land? (Get ID \# from HH roster: use 90 if person not in household, use 80 if household as a whole, 88=N/A (e.g. if only one person) If caregiver identified, skip to 3.2.10 | [__ ] | DECID |
| :---: | :---: | :---: | :---: |
| 3.2 .8 | Is the caregiver responsible for making the key decisions about any of the plots? ( $00=$ No, $01=$ Yes, $77=\mathrm{NK}$ ) If no, skip to 3.2.10 | [_ _ ] | DECCG |
| 3.2 .9 | If yes, which plots? (Give up to three plots) <br> (Insert plot ID for up to three of the most important plots) | [__ ][__ ][_ _ ] | (DECPL1) (DECPL2) <br> (DECPL3) |
| 3.2.10 | Who is the main person responsible for looking after/farming most of the land? Get ID \# from HH roster: use 90 if person not in household, use 80 if household as a whole $88=\mathrm{N} /$ A (e.g. if plot is left fallow) If caregiver identified, skip to 3.2.13 | [__ ] | LOOKID |
| 3.2.11 | Is the caregiver responsible for looking after/farming any of the plots? ( $00=$ No, $01=$ Yes, $77=\mathrm{NK}$ ) If no, skip to 3.2.13 | [__ ] | LOOKCG |
| 3.2.12 | If yes, which plots? (Give up to three plots) (Insert plot ID for up to three of the most important plots) | [__ ][__][_ _ ] | (LOOKPL1) <br> (LOOKPL2) <br> (LOOKPL3) |
| 3.2.13 | Who mainly controls the use of the earnings from the sale of goods or rent from most of the land? Get ID \# from HH roster: use 90 if person not in household, use 80 if household as a whole $88=$ N/A (e.g. if plot is left fallow) If caregiver identified, skip to 3.3 | [__ _ ] | BENID |
| 3.2.14 | Does the caregiver control the use of the earnings from the sale of goods or rent from any of these plots? <br> (00=No, 01=Yes, 77=NK) If no, skip to 3.3 | [__ ] | BENCG |
| 3.2.15 | If yes, which plots? (Give up to three plots) (Insert plot ID for up to three of the most important plots) | [__ ][__ ][_-_] | (BENPL1) (BENPL2) <br> (BENPL3) |

FIELDWORKER: Ask about all land that the household is not using for growing crops (See question 3.2.3 for codes other than 01):

| 3.3 | 3.3.1 | 3.3.2 | 3.3.3 |
| :---: | :---: | :---: | :---: |
| (PLOTID) <br> INSERT <br> PLOT ID <br> FROM <br> TABLE <br> 3.2 | Did the household receive any income (cash or in-kind) from this land in the last 12 months? $00=\text { No, } 01=\mathrm{Yes}$ <br> If No, Skip to 3.3.3 | What is the total value of income you collected for this plot (cash and/or in-kind) in the last 12 months? (in VND)? <br> FIELDWORKER: If plot is sharecropped, record value of in-kind rent collected | What is the total value of money you have spent on this land (e.g. buildings, services, repair, maintenance, mortgage payments, etc.) in the last 12 months? (in VND) |
| (PLOTID) | (PLINCOME) | (PLVALUE) | (PLCOST) |
| [___] | [___] | [___] | [ |
| [__ ] | [_-_] | [ $-\ldots$ ] | [ |
| [__ ] | [__] | [_-] | [__ $]^{\text {] }}$ |
| [__ ] | [__-] | [__-] | [__ $]^{\text {] }}$ |
| [__ $]$ | [_-_] | [_-] | [__ $]^{\text {c }}$ ] |
| [___] | [__ ] | [__-] | [__ ${ }^{\text {c }}$ |
| [___] | [___] | [___] | [__ ${ }^{\text {c }}$ ] |

FIELDWORKER: If any plots are used for growing crops (i.e. 01 response to question 3.2 .3 above), ask the following questions. IF NO CROPS ARE GROWN SKIP TO SECTION 3B.

| 3.4 | 3.4.1 | 3.4 .2 | 3.4 .3 |
| :---: | :---: | :---: | :---: |
| PLOT (IF USED FOR GROWING CROPS) | If you are using the land to grow crops in the last 12 months, what are the main crops you grow in the plot? If more than 1 please choose the 3 most important crops in terms of household welfare. <br> (ENTER CODE FROM CODE BOX \# 2) | In the last 12 months have you irrigated any of the land? $00=\text { No, 01=Yes, }$ <br> If No, > Skip to next plot | Of the land what proportion was irrigated in the last dry season? <br> 00=None, 01=Less than half, 02=Half, 03=More than half, 04=All, |
| (PLOTID) | (CROP1) (CROP2) (CROP3) | (IRRIGAT) | (PERIRRIG) |
| [ _ _ ] | $[\ldots-][\ldots][$ _ $]$ | [__ ] | [__ ] |


| [ - - ] | [ - - ][- - ] | [___] | [__ _ ] |
| :---: | :---: | :---: | :---: |
| [ - - ] | [- - ][- - ] - - ] | [__ _ ] | [__ _ ] |
| [ $\quad$ - ] | [- - ][- - ] ${ }^{\text {[- }}$ ] | [___] | [__ _ ] |
| [ _ - ] | [ - - ][- - ] ${ }^{\text {[ }}$ - $]$ | [ _ - ] | [ = _] |
| [ - - ] | [- - ][- - ] | [__ ] | [ _ _ ] |
| [- - ] | [- - ][- - ] | [_ _ ] | [ _ _ ] |


| CODEBOX 2 - CROPS |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 92=Apples | 85=Cassava/manioc | 26=Garlic | 112=Kohirabi | $50=$ Onions | 56=Pumpkin | 69=Sweet potatoes |
| 03=Avocado | 15=Chick peas | 28=Ginger | 42=Lentils | 51=Orange | 119=Rubber | 122=Tea |
| 04=Bananas | 16=Chillies | 91=Grapes/vineyard | 111=Longans, litchis, rambutans | 52=Other cereals | $60=$ Sesame | 71=Tobacco |
| 09=Black Pepper | 109=Coconut | 31=Grass | 44=Maize | 121=Paddy | 113=Soybean | 72=Tomato |
| 118=Bonsai | 17=Coffee | 32=Groundnut | 45=Mango | 53=Paddy Rice | $65=$ Spices | 74=Vegetables |
| 117=Breeding trees | 18=Cotton | 33=Guava | 120=Mangosteens | 93=Papaya | 66=Spinach | 75=Wheat |
| 10=Cabbage | 21=Eucalyptus | 35=Haricot beans | 46=Mulberry | 54=Pineapple | 67=Sugar | 78= Yam |
| 12=Carrot | 24=Flowers | 36=Honey | 116=Mustard Greens | 110=Plums | 80=Sugar cane | 126= Water morning glory |
| 114=Cashew | 25=Fruits | 115=Jute, ramie | $48=$ Nuts | 55=Potatoes | 68=Sunflower | $77=N K, 88=N / A$ |


| 3.5 | In the last 12 months has anyone in the household used chemical fertilizer on your land? <br> $00=$ No, $01=$ Yes, $77=N K$ | [__ ] (FETILISE) |  |
| :--- | :--- | :--- | :--- |
| 3.6 | In the last 12 months did you or anyone in your household use any methods to increase the yield of your commercial crops, | [ __ ] | (METHODS) |


|  | such as HYV/improved variety seeds $00=$ No, 01=Yes, 77=NK |  |  |
| :---: | :---: | :---: | :---: |
| 3.7 | In the last 12 months did you or anyone in your household ever share a tractor or other farming vehicles with other people in the community? $00=\text { No, 01=Yes, 77=NK }$ | [_—] | (FARMSHR) |
| 3.8 | In the last 12 months did you or anyone in your household ever share agricultural labour with other people in the community? $00=\mathrm{No}, 01=\text { Yes, } 77=\mathrm{NK}$ | [_—] | (LABSHR) |


| 3.9 | Income and expenditure from crops |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.9.1 | 3.9 .2 | 3.9 .3 | 3.9.4 | 3.9.5 |
| CROP | LIST IN THIS COLUMN THE CROPS CULTIVATED BY THE HOUSEHOLD IN THE PAST 12 MONTHS <br> (ENTER CODE FROM CODE BOX \#2 BASED ON 3.4.1) | What is the total quantity of this crop harvested in the last agricultural year? <br> (USE LOCAL UNITS) -77=NK | LOCAL UNIT <br> used <br> Need the codes <br> in here or a <br> code box 2B | What is the value of the total harvest of this crop sold in the last agricultural year? (in VND) -77=NK | What were your estimated operational costs incurred in producing this crop in the last agricultural year? (in VND) -77=NK |
| (CROPID) | (CROP) | (QNTHARV) | (QNTUNIT) (SPECQUNT) | (VLCRSLD) | (CROPCOST) |
| Crop 01 | [ _ _ ] | [ _ _ _ _ ] | [ _ _ ] | [ _ _ - _ ] | [__ © _ ] |
| Crop 02 | [__ ] | [ __ - _ ] | [ _ _ ] | [ _ _ - _ ] | [__ - _ ] |
| Crop 03 | [ _ _ ] | [ __ - _ ] | [_ _ ] | [ _ _ - _ ] | [__ - _ ] |
| Crop 04 | [__ ] | [__ - _ ] | [_ _ ] | [__ - _ ] | [ _ _ _ _ ] |
| Crop 05 | [_ _ ] | [__ - - ] | [ _ _ ] | [ _ _ - _ ] | [__ - - ] |
| Crop 06 | [ _ _ ] | [__ - _ ] | [ _ _ ] | [__ - _ ] | [__ - _ ] |
| Crop 07 | [_ _ ] | [__ - _ ] | [_ _ ] | [__ - _ ] | [__ — _ ] |
| Crop 08 | [ _ _ ] | [ __ - _ ] | [ _ _ ] | [__ - _ ] | [__ - _ ] |
| Crop 09 | [ _ _ ] | [__ - _ ] | [ _ _ ] | [ | [ _ - - ] |
| Crop 10 | [ _ _ ] | [ _ _ _ _ ] | [ _ _ ] | [ _ _ _ _ ] | [__ - _ ] |

## SECTION 3B TIME ALLOCATION OF ADULTS AND CHILDREN

FIELDWORKER: This section is an attempt to get a more complete understanding of what people do-including non-agricultural activities. Also it will allow intra-household division of labour. Please note that unpaid activities such as household chores MUST be included here. For each household member record the 3 most important activities.

| 3.10 | For each member of the household please record in the tables below their 3 most important work activities (in terms of time spent) in the last 12 months beginning with the most important activity. If a household member has done less than 3 work activities or does not work at all (e.g. very young or very old) then please use the N/A code of 88 as appropriate |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.10.1 | 3.10.2 | 3.10.3 |  |  |  |
| ID (from household roster) | Does this person have a permanent disability and if so how does it affect their ability to work and take care of themselves <br> (ENTER CODE FROM CODE BOX \# 3) | Most important activity <br> (ENTER CODE FROM CODE BOX \# 4) (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX \# 4) | Enter the number of months in which any of this activity was done, give the typical number of days per week during these months and approximate hours per day |  |  |  |
|  |  |  | Number of months | Weeks per month | Days per week | Hours per day |
| (ID) | (DISAB) | (ACT1) | (MONTHS1) | (WEEKS1) | (DAYS1) | (HOURS1) |
| [___] | [___] | [___] | [___] | [___] | [___] | [___] |
| [__] | [_-_] | [_-_] | [_-_] | [__ ] | [__ ] | [_-_] |
| [__] | [__-] | [__-] | [__ ] | [___] | [__] | [__-] |
| [__ ] | [_-_] | [_-_] | [_-_] | [_-_] | [__ ] | [_-_] |
| [___] | [___] | [___] | [___] | [___] | [___] | [___] |
| [_-_] | [__ ] | [__ ] | [__ ] | [__ ] | [__] | [__] |
| [___] | [___] | [___] | [___] | [___] | [___] | [___] |
| [__ ] | [___] | [___] | [__-] | [ _ _ ] | [__ ] | [_-_] |
| [___] | [___] | [___] | [___] | [___] | [___] | [___] |

00=No disability (able to work same as others of this

age) $|$| 01=Capable of most types of full-time work but some |
| :--- |
| difficulty with physical work |

| 02=Able to work full-time but only work requiring <br> no physical activity | 04=Cannot work but able to care for themselves <br> (e.g. dress themselves, etc.) |
| :--- | :--- |
| 03=Can only do light work on a part-time basis | $05=$ Cannot work and needs help with daily <br> activities such as dressing, washing, etc. |

CODEBOX 4-ACTIVITY CODES

| AGRICULTURE \& ALLIED AGRICULTURE | CODEBOX 4 - ACTIVITY CODES |  |
| :--- | :--- | :--- | :--- |
| $01=$ Self Employed (Food crops) | $08=$ Self Employed (Manufacturing) | UNEMPLOYED OR UNPAID |
| 02=Self Employed (Non-food, including horticulture, <br> sericulture and floriculture) | 09 Self Employed (Services) | $14=$ Unemployed |
| $03=$ Self Employed (Aquaculture) | $10=$ Self Employed (Business) | $15=$ Household chores |
| $04=$ Self Employed.(Livestock) | $11=$ Self Employed (Other non-Agriculture.) | $17=$ Household dependent (child or retired <br> elder) |
| $05=$ Wage Employment (Agriculture) | $12=$ Wage Employment (Unsalaried; Non-agriculture) | $18=$ Begging |
| $06=$ Annual Farm Servant | $13=$ Regular Salaried Employment |  |
| $07=$ Other (allied) agriculture |  | $77=$ NK |


| 3.10 | Continued (Second most important activity) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.10 .4 | 3.10 .5 |  |  |  |
| ID (from household | Second most important activity <br> (ENTER CODE FROM CODE BOX \#4) <br> (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX \#4) | Enter the number of months in which any of this work was done, give the typical number of days per week during these months and approximate hours per day |  |  |  |
| roster) |  | Number of months | Weeks per month | Days per week | Hours per day |
| (ID) | (ACT2) | (MONTHS2) | (WEEKS2) | (DAYS2) | (HOURS2) |
| [__ ] | [_—] | [___] | [___] | [___] | [___] |
| [_—] | [_—] | [_-_] | [_—] | [_-] | [_—] |


| [___] | [__ ] | [___] | [___] | [ _ - ] | [__ $]$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| [___] | [_-_] | [___] | [___] | [_-_] | [_-_] |
| [___] | [_-_] | [___] | [___] | [-_] | [-_] |
| [___] | [___] | [___] | [___] | [_-_] | [_-_] |
| [___] | [__] | [__ ] | [___] | [ _ _ ] | [__ $]$ |
| [___] | [_-_] | [___] | [___] | [__ ] | [__ $]$ |
| [___] | [ _-_] | [___] | [___] | [_- ] | [_-_] |


| 3.10 | Continued (Third most important activity) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.10 .6 | 3.10 .7 |  |  |  |
| ID (from household | Third most important activity <br> (ENTER CODE FROM CODE BOX \#4) <br> (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX \#4) | Enter the number of months in which any of this work was done, give the typical number of days per week during these months and approximate hours per day |  |  |  |
| roster) |  | Number of months | Weeks per month | Days per week | Hours per day |
| (ID) | (ACT3) | (MONTHS3) | (WEEKS3) | (DAYS3) | (HOURS3) |
| [ _ - ] | [___] | [___] | [__ $]$ | [___] | [___] |
| [__] | [__ ] | [__ ] | [__ ] | [__ ] | [ [-_] |
| [-_] | [__ ] | [___] | [___] | [___] | [_-_] |
| [ | [_-_] | [-_] | [_-_] | [_-_] | [ $-\ldots$ ] |
| [_-_] | [__ ] | [_-_] | [___] | [___] | [_-_] |
| [_- ] | [_-_] | [_-_] | [_-_] | [_-_] | [_-_] |
| [ [-_] | [_-_] | [_-_] | [_-_] | [_-_] | [_-_] |
| [_- ] | [__ ] | [_-_] | [__] | [__] | [_-_] |
| [_- ] | [__ ] | [___] | [__ ] | [___] | [___] |

SAY: Now I am going to ask you some questions about the activities carried out by each individual in the household between the ages of 5 and $17 y r s$.
FIELDWORKER: TAKE THE HOUSEHOLD ROSTER CARD AND FOR EACH HOUSEHOLD MEMBER BETWEEN 5 AND 17YRS OLD RECORD THEIR ROSTER ID AND ASK THE FOLLOWING QUESTION.

| 3.11 | Typically how many hours did each child in the household (aged between 5 and 17yrs) spend on the following activities during a typical day in the last week? <br> Start with NAME. <br> 00=None, 77=NK, 88=N/A |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.11 .1 | 3.11 .2 | 3.11.3 | 3.11 .4 | 3.11 .5 | 3.11 .6 | 3.11 .7 | 3.11 .8 |
| ID from roster Start with NAME. | Sleeping | Caring for others (younger siblings, ill household members) | Domestic tasks (fetching water, firewood, cleaning, cooking, washing, shopping, etc) | Tasks on family farm, cattle herding, other family business, shepherding (not just farming) | Activities for pay outside of household or for someone not in the household | At school | Studying outside of school time (at home, extra tuition) | Play time / general leisure |
| (ID) | (SLEEP) | (CHCARE) | (HHCHORE) | (NPAYWORK) | (PAYWORK) | (SCHOOL) | (STUDY) | (PLAY) |
| [__ _ ] | [___] | [ _ _ ] | [__ _ ] | [___] | [___] | [___ ] | [___] | [___] |
| [__ $]$ | [ _ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [___] | [__ ] | [__ ] |
| [__ ] | [__ ] | [__ _ ] | [__ _] | [__ ] | [__ _] | [__ _ ] | [__ ] | [__ _ ] |
| [ _ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| [_ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| [__ _ ] | [___] | [___] | [ _ _ ] | [___] | [___] | [___] | [___] | [___] |
| [ _ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ _ ] | [__ ] | [__ $]$ |
| [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [__ ] | [__ $]$ | [ _ _ ] | [__ ] | [__ ] |
| [ _ _ ] | [ _ _ ] | [__ ] | [__ ] | [ _ _ ] | [ _ _ ] | [___] | [ _ _ ] | [ _ _ ] |
| [__ $]$ | [ _ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| [ _ _ ] | [ | [ _ _ ] | [ _ _ ] | [_-_] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] |
| [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |

## SECTION 3C - PRODUCTIVE ASSETS

SAY: Now I am going to ask you some questions about animals owned by household members in the last 12 months:

| 3.12 | D |
| :--- | :--- |
|  | I |

Do you or anyone in the household own any livestock?
$00=\mathrm{No}, 01=\mathrm{Yes}, 77=\mathrm{NK}$
[_—]
(ANIMALS)

| 3.12 |  | 3.12.1 | 3.12.2 | 3.12 .3 |
| :---: | :---: | :---: | :---: | :---: |
|  | Type of Livestock | Has anyone in the household owned any of the following animals in the last 12 months? $00=\text { No, } 01=\text { Yes, } 77=N K$ <br> IF NO, SKIP TO NEXT ANIMAL | How many of the following animals does the household currently own? -77=NK, -88=N/A | If you were to sell all your 'NAME OF ANIMAL' today how much would you get for them in VND? $-77=N K,-88=N / A$ |
|  |  | (ANYAIM) | (NUMAIM) | (VALAIM) |
|  | MILK ANIMALS |  |  |  |
| 01 | Cow (modern variety) | [_ _ ] | [_-_-] | [__ - ] |
| 02 | Cow (traditional variety) | [__ ] | [_——] | [__ e_] |
| 03 | Calves | [__ _ ] | [____] | [ |
| 04 | Buffalo (modern Variety) | [__ $]$ | [_———] | [__ ${ }^{\text {e }}$ ] |
| 05 | Buffalo (Traditional Variety) | [__ ] | [_——] | [___ ${ }^{\text {] }}$ |
|  | DRAUGHT ANIMALS |  |  |  |
| 07 | Bullock | [ _ _ ] | [____] | [ |
| 08 | He-buffalo | [__ _ ] | [____] | [__ ${ }^{\text {e }}$ ] |
| 09 | Donkey/horse/ Other | [ | [---] | [__ e_] |
|  | SMALL RUMINANTS |  |  |  |
| 13 | Sheep | [__ _ ] | [____] | [___ ${ }^{\text {e }}$ |


| 3.12 |  | 3.12.1 | 3.12 .2 | 3.12.3 |
| :---: | :---: | :---: | :---: | :---: |
| 14 | Goat | [ _ _ ] | [___ ] | [___ ${ }^{\text {e_ }}$ |
| 15 | Pigs | [_ _ ] | [___ ] | [___ ${ }^{\text {e_ }}$ ] |
| 16 | Poultry/birds | [ _ _ ] | [_-_-] | [ |
| 17 | Rabbits | [__ ] $]$ | [___] | [ |


| 3.12 .4 | Who is the main person that can make decisions about most of the animals? (GET ID \# FROM HH ROSTER, 90=PERSON NOT IN HOUSEHOLD, 80=WHOLE FAMILY) $77=N K, 88=N / A$. If the caregiver identified, skip to 3.12 .6 | [__ _ ] | DSAID |
| :---: | :---: | :---: | :---: |
| 3.12 .5 | Can the caregiver make decisions about any of the animals? (00=no, 01=yes, 77=NK, 88=N/A) | [__ _ ] | DSACG |
| 3.12 .6 | Can NAME make decisions about any of the animals? (00=no, 01=yes, 77=NK) | [__ ] | (DSACHILD) |
| 3.12 .7 | Who is the main person responsible for looking after these animals? (GET ID \# FROM HH ROSTER, 90=PERSON NOT IN HOUSEHOLD, 80=WHOLE FAMILY) 77=NK, 88=N/A. If caregiver identified, skip to 3.12 .9 | [__ ] | LKAID |
| 3.12 .8 | Is the caregiver responsible for looking after any of the animals? ( $00=$ no, 01=yes, 77=NK, 88=N/A) | [__ ] | LKACG |
| 3.12 .9 | Is NAME responsible for looking after any of the animals? (00=no, 01=yes, 77=NK) | [__ ] | (LKACHILD) |
| 3.12 .10 | Who is the main person who controls the use of the earnings from the sale of goods or rent from these animals? <br> (GET ID \# FROM HH ROSTER, 80 =HOUSEHOLD AS WHOLE, 90=PERSON NOT IN HOUSEHOLD) <br> 77=NK, 88=N/A If caregiver identified, skip to 3.12 .12 | [__ ] | BNAID |
| 3.12 .11 | Does the caregiver control the use of the earnings from the sale of goods or rent from any of the animals? (00=no, 01=yes, 77=NK, 88=N/A) | [__ ] | BNACG |
| 3.12 .12 | Does NAME control the use of the earnings from the sale of goods or rent from any of the animals? | [__ ] | (BNACHILD) |


| 3.13 | What is the money value (in VND) of the total amount purchased by the household in the last 12 months for the following items: | (ENTER VALUE IN VND, 00=NOTHING, -77=NK) |  |
| :---: | :---: | :---: | :---: |
| 01 | Animal feed | [ _ _ . _ ] | (ANFDCOST) |
| 02 | Veterinary service | [ | (VETCOST) |
| 03 | Other | [__ •__] | (ANOTCOST) |

SAY: Now I am going to ask you some questions about productive assets owned, rented or accessed by household members in the last 12 months. FIELDWORKER: These should include both farm and non-farm productive assets.

| 3.14 .1 | PRIMARY OCCUPATION PRODUCTIVE ASSETS |  |
| :--- | :--- | :--- | :--- |
|  | In the last 12 months, what was the most important occupation/money-making activity <br> of the household? <br> (ENTER CODE FROM CODE BOX \# 5) <br>  <br> FIELDWORKER: If NONE, SKIP to 3.15. | [ _ _ ] _ |


| CODEBOX 5 - PRIMARY OCCUPATION PRODUCTIVE ASSETS |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Army | $10=$ Factory Work | $19=$ Pottery |
| $02=$ Barber shop | $11=$ Farming/Agriculture | $20=$ Security guard |
| $03=$ Beauty salon | $12=$ Food preparation/Restaurant | $21=$ Tailor/Sewing |
| $04=$ Blacksmith | $13=$ Forestry/Logging | $22=$ Teaching |
| $05=$ Casual/ intermittent labour (e.g. road crew) | $14=$ Handicrafts or art | $23=$ Trading (selling commodities) |
| $06=$ Child care | $15=$ Hunting | $24=$ Transportation/ Driver/ Courier/ Taxi |
| $07=$ Construction | $16=$ Mechanic services | $25=$ Weaving |
| $08=$ Domestic work/ Cleaning/ Janitorial | $17=$ Nursing/ Medicinal services | $27=$ Fishing |
| $09=$ Entertainment services (DJ, singing, etc.) | $18=$ Plumbing service | $26=$ Other |
|  |  |  |


| Can you tell me up to three of the most VALUABLE assets (owned, rented, or borrowed) that allowed you (the household) to do this activity? |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 3.14 .2 | 3.14.3 | 3.14.4 | 3.14 .5 |
|  | ASSET - owned, rented or borrowed <br> (ENTER CODE FROM CODE BOX \# 6) <br> (IF 'Other', SPECIFY THE TYPE OF ASSET) | How many of them do you actually own? <br> (ENTER NUMBER OWNED, -77=NK) | If you sold it/them today, how much could you get? <br> (ENTER AMOUNT IN VND, 00=Nothing, -77=NK, -88=N/A | Who is the main person who decides whether to sell or give it/them away? <br> Get ID \# from HH roster, 80=Whole household, 90=Person not in household, 77=NK, 88=N/A |
| (PASSID) | (PASSET) (SPECPASS) (ESPCPASS) | (NUMPASS) | (VALPASS) | (DECPASS) |
| 01 | [__] <br> If 'Other', specify: | [_—] | [____] | [_—] |
| 02 | $[\ldots \ldots]$ <br> If 'Other', specify: | [_—] | [ | [_—] |
| 03 |  <br> If 'Other', specify: | [_—] | [ | [_—] |


| CODEBOX 6 - PRODUCTIVE ASSETS |  |  |
| :---: | :---: | :---: |
| Agricultural assets: | Non-agricultural assets: | Non-agricultural assets continued: |
| 01 = Agricultural tools (e.g. sickle, crowbar, shovels) | 09=Barber tools (scissors, mirror, etc.) | 18=Plumbing equipment |
| 02=Cart/ wheelbarrow | 10=Beauty salon equipment (hair dryer, etc.) | 19=Pottery equipment (kiln, potters wheel, etc.) |
| 03=Pesticide sprayer | 11=Blacksmith tools | $20=$ Protective clothing (overalls, steel-toed boots, hardhat, etc.) |
| 04=Plough | 12=Cleaning/domestic work equipment (mop, iron, etc.) | 21=Sewing machine |
| $05=$ Pump (motor or engine) | $13=$ Construction tools (electrical tools, carpentry tool box, etc.) | 22=Teaching supplies (books, blackboard, etc.) |


| 06=Thresher | 14=Entertainment equipment (musical instruments, speakers, lighting, karaoke machine, etc.) | $23=$ Trading equipment (display table, weighing machine, money box, etc.) |
| :---: | :---: | :---: |
| 07=Tractor | 15=Food preparation equipment (portable stove, serving bowls, etc.) | 24=Trading license (e.g. for market stall) |
| 08=Other farm equipment | 16=Gun | 25=Transport (minibus, car, motorbike, bicycle, etc.) |
|  | 17=Mechanic equipment (jack, tires, jumper cables, etc) | 26=Weaving equipment (loom, etc.) |
|  |  | 40=Fishing boat/ship |
|  |  | $30=$ Other |


| 3.14 .6 | SECONDARY OCCUPATION PRODUCTIVE ASSETS |  |
| :--- | :--- | :--- | :--- |
|  | In the last 12 months, what was the second most important occupation/money-making <br> activity of the household? <br> (ENTER CODE FROM CODE BOX \# 5) <br>  <br> FIELDWORKER: If NONE, SKIP to 3.15. | (SECOCC) |


| Can you tell me up to three of the most VALUABLE assets (owned, rented, or borrowed) that allowed you (the household) to do this activity? |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 3.14.7 | 3.14.8 | 3.14.9 | 3.14.10 |
|  | ASSET - owned, rented or borrowed <br> (ENTER CODE FROM CODE BOX \# 6) <br> (IF 'Other', SPECIFY THE TYPE OF ASSET) | How many of them do you actually own? -77=NK | If you sold it/them today, how much could you get? (in VND) $-77=N K,-88=N / A$ | Who is the main person who decides whether to sell or give it/them away? <br> Get ID \# from HH roster, 80=Whole household $90=$ Person not in household, 77=NK, 88=N/A |
| (SASSID) | (SASSET) (SPECSASS) (ESPCSASS) | (NUMSASS) | (VALSASS) | (DECSASS) |


| 01 | $[\ldots]$ <br> If 'Other', specify: | [——] | [__ - ] | [——] |
| :---: | :---: | :---: | :---: | :---: |
| 02 | $[\ldots-]$ <br> If 'Other', specify: | [——] | [ | [——] |
| 03 | $[\ldots-]$ <br> If 'Other', specify: | [——] | [__ •__] | [——] |

## SECTION 3D EARNINGS FROM NON-AGRICULTURAL ACTIVITIES

FIELDWORKERS: IN PRINCIPLE THESE EARNINGS SHOULD BE 'NET' EARNING OR PROFITS - NET OR COSTS, NET OF TAXES. THIS CAN BE TIME-CONSUMING BUT FOR LARGER EARNINGS (SUCH AS URBAN WAGE EARNERS) PLEASE ENSURE THAT THE FIGURES ARE CORRECTED FOR THIS. SINCE WE ARE MAINLY INTERESTED IN THE MORE SUBSTANTIAL EARNINGS, DO NOT SPEND TOO MUCH TIME CHASING SMALL AMOUNTS 'EXACTLY'


| 3.15 | Please provide details of earnings from working on the following activities. Exclude earnings from crops produced by the household. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 3.15.1 | 3.15.2 |  |
| 07 | Profits (earnings) from trading and selling commodities | [_ _ ] | [__ - - ] | [ _ • - ] |
| 08 | Profits (earnings) from processed food | [_ _ ] | [__ - - ] | [__ - - ] |
| 09 | Profits (earnings) from handicrafts | [_ _ ] | [__ - - ] | [__ - - ] |
| 10 | Profits (earnings) from carpentry, ironmongery, etc. | [_ _ ] | [__ • _ ] | [ |
| 11 | Profits (earnings) from 'services' (sewing, barber, other traditional, etc.) | [_ _ ] | [__ - _ ] | [__ - - ] |
| 12 | Other | [__ _ ] | [___ e__] | [___ _ _ ] |

Note to fieldworker: If the household does any activities in the 'livestock' section, ask Q3.15.3-Q3.15.6. If not, skip to Q3.15.7.

| 3.15 .3 | Who is the main person responsible for making the key decisions about the sale of livestock or livestock products? <br>  $77=\mathrm{NK}, 88=\mathrm{N} / A$. If caregiver identified, skip to 3.15 .5 | [_—] | (DECLIVID) |
| :---: | :---: | :---: | :---: |
| 3.15 .4 | Is the caregiver responsible for making the key decisions about any of these livestock activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (DECLIVCG) |
| 3.15 .5 | Who is the main person responsible for controlling the earnings from the sale of livestock or livestock products? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15 .7 | [_—] | (RSPLIVID) |
| 3.15 .6 | Is the caregiver responsible for controlling the earnings from any of these livestock activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (RSPLIVCG) |

Note to fieldworker: If the household does any activities in the 'Work for Wages' section, ask Q3.15.7-Q3.15.10. If not, skip to Q3.15.11.

| 3.15 .7 | Who is the main person responsible for making the key decisions about work for wages activities? (GET ID \# FROM HH ROSTER, $80=$ WHOLE HOUSEHOLD, $90=$ PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15.9 | [_—] | (DECWAGID) |
| :---: | :---: | :---: | :---: |
| 3.15 .8 | Is the caregiver responsible for making the key decisions about any of these work for wages activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (DECWAGCG) |
| 3.15 .9 | Who is the main person responsible for controlling the earnings from work for wages activities? (GET ID \# FROM HH ROSTER, $80=$ WHOLE HOUSEHOLD, $90=$ PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15 .11 | [_—] | (RSPWAGID) |
| 3.15 .10 | Is the caregiver responsible for controlling the earnings from any of these from work for wages activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (RSPWAGCG) |

Note to fieldworker: If the household does any activities in the 'business/self-employment' section, ask Q3.15.11-Q3.15.14. If not, skip to Q3.16.

| 3.15 .11 | Who is the main person responsible for making the key decisions about business and selfemployment activities? <br> (GET ID \# FROM HH ROSTER, $80=$ WHOLE HOUSEHOLD, $90=P E R S O N ~ N O T ~ I N ~ H O U S E H O L D) ~(~) ~$ $77=N K, 88=N / A$. If caregiver identified, skip to 3.15 .13 | [_—] | (DECBUSID) |
| :---: | :---: | :---: | :---: |
| 3.15 .12 | Is the caregiver responsible for making the key decisions about any of these business and selfemployment activities? $\text { (00=No, 01=Yes, } 77=N K, 88=N / A \text { ) }$ | [_—] | (DECBUSCG) |
| 3.15 .13 | Who is the main person responsible for controlling the earnings from business and self-employment activities? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.16 | [_—] | (RSPBUSID) |
| 3.15 .14 | Is the caregiver responsible for controlling the earnings from any of these from business and selfemployment activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (RSPBUSCG) |

## SECTION 3E TRANSFERS, REMITTANCES AND DEBT

SAY: Now I am going to ask you some questions about money or goods that people send or give you and that you send and give others.

| 3.16 | During the last 12 months have you or any other household member received any money or goods from the following? LIST THE SOURCES AND FOR EACH POSITIVE ANSWER ASK 3.15.2 THROUGH TO 3.15.5 |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 3.16.1 | 3.16.2 |  | 3.16.3 | 3.16 .4 |
|  | SOURCE OF MONEY/GOODS | Received from this source? $00=$ No, 01=Yes, 77=NK <br> IF NO, SKIP TO NEXT SOURCE, IF ALL NO SKIP TO NEXT SECTION | What is the total value (cash and in kind) received in the last 12 months?$-77=N K,-88=N / A$ |  | Who is the person who decides about the use of the money or goods received? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, <br> 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A | Did any of this money or these goods go DIRECTLY towards, or to NAME? $00=$ No, 01=Yes, 77=NK |
|  |  | (REMIT) | (CASH) (in VND) | (INKIND) | (TRNCON) | (TRNCHD) |
|  | TRANSFERS FROM GOVERNMENT OR ORGANISATION S |  |  |  |  |  |
| 01 | Retirement Pension | [__ ] | [__ - _ ] | [__ - _ ] | [_ _ ] | [_ _ ] |
| 02 | Social security/Social subsidy | [_ _ ] | [__ - _ ] | [__ • | [ _ _ ] | [_ _ ] |
| 03 | Food aid/PDS | [ _ _ ] | [ | [ | [__ ] | [__ ] |
| 04 | Other type of government benefit | [__ ] | [__ - _ ] | [__ - _ ] | [__ ] | [ _ _ ] |
| 05 | Religious organisation | [_ _ ] | [__ - ${ }^{\text {c }}$ ] | [__ - _ ] | [_ _ ] | [_ _ ] |
| 06 | Charity groups/NGOs | [_ _ ] | [__ - _ ] | [__ - _ ] | [__ ] | [_ _ ] |


| 07 | Other transfers from groups or organisations | [ - - ] | [__ - - ] | [__ •——] | [ _ - ] | [ - - ] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | TRANSFERS AND REMITTANCES FROM OTHER HOUSEHOLDS |  |  |  |  |  |
| 08 | Individuals outside the HH (e.g. family/friends) | [ - - ] | [__ •——] | [__ - - ] | [ _ - ] | [ - - ] |
| 09 | Alimony | [ - _ ] | [___ ${ }^{\text {c }}$ ] | [__ en] | [__ ] | [ - - ] |
| 10 | Other transfers and remittances | [ - - ] | [___ ${ }^{\text {c }}$ ] | [___ | [ - - ] | [ - - ] |
|  | EARNINGS FROM ASSETS AND SAVINGS |  |  |  |  |  |
| 11 | Interest from savings | [ - - ] | [__ • - ] | [__ • - ] | [_ _ ] | [ - - ] |
| 12 | Rent from property or other assets | [ - - ] | [___ - _ ] | [__ • - _ ${ }^{\text {c }}$ | [ - - ] | [ - - ] |


| 3.18 | For each individual the household sent money, gifts or goods to in the last 12 months can you please tell me : |  |  |
| :---: | :---: | :---: | :---: |
|  | 3.18 .1 | 3.18 .2 | 3.18.3 |
|  | How is the recipient related to NAME? (ENTER CODE FROM CODE BOX \# 7) | Can you tell me how much money you sent in the last 12 months? (in VND) -77=NK, -88=N/A | Can you tell me the value of the goods you sent in the last 12 months? (in VND) <br> -77=NK, -88=N/A |
| (OUTI <br> D) | (REMREL) | (REMEST) | (REMGOOD) |
| 01 | [-_] | [ | [ |
| 02 | [ - - ] | [ | [__ e_] |
| 03 | [ - - ] | [ | [ |
| 04 | [_ _ ] | [__ •__] | [__ • _ ] |
| 05 | [ _ _ ] | [ | [__ e_] |
| 06 | [_ _ ] | [__ e__] | [__ e__] |
| 07 | [ _ _ ] | [ | [__ e_] |
| 08 | [ _ _ ] | [ $\quad$ •__] | [ |

CODEBOX 7 - RELATIONSHIP TO YL CHILD

| 01=Biological parent | $07=$ Brother/sister (both parents the <br> same) | $13=$ Uncle/Aunt | $20=$ Servant (farm-worker, maid, etc.) |
| :--- | :--- | :--- | :--- |
| 02=Step-parent (partner of biological <br> parent) | $08=$ Half-sibling (same father) | $14=$ Cousin (inc. cousin brother $\&$ <br> cousin sister) | $22=$ Nanny/Child minder |
| $03=$ Adoptive parent | $09=$ Half-sibling (same mother) | $15=$ Nephew/Niece | $19=$ Other, relative |
| $04=$ Foster parent | $10=$ Step-sibling (no parent in common) | $16=$ Brother/sister-in-law (spouse of <br> sibling) | $21=$ Other, unrelated |
| $05=$ Maternal grandparent | $11=$ Adoptive brother/sister | $17=$ Great grandparent (mother's side) |  |
| $06=$ Paternal grandparent | $12=$ Foster brother/sister | $18=$ Great grandparent (father's side) | $00=$ YL Child |


| 3.19 | Do you have any serious debts? $00=$ No, 01=Yes, 77=NK | [__ ] | (DEBT) |
| :---: | :---: | :---: | :---: |
| 3.20 | What would you or other members of your household do in case of hard times and/or misfortune caused by, e.g., natural disaster, crop failure, someone losing their job? <br> (ENTER CODES FROM CODE BOX \# 8) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots \ldots]} \end{aligned}$ | (PLAN1) <br> (PLAN2) <br> (PLAN3) |

## CODEBOX 8 - PLANS FOR HARD TIMES

| CODEBOX 8 - PLANS FOR HARD TIMES |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Nothing | $07=$ Use formal credit | $13=$ Send children to work | $19=$ Borrow from farmers |
| $02=$ Ask relatives for help | $08=$ Borrow from money lender | $14=$ Take children out of school | $20=$ Other |
| $03=$ Ask friends/neighbours for help | $09=$ Get credit from informal loan <br> system (e.g. rotating funds) | $15=$ Emigrate to another country | $21=$ Borrow from neighbours (cash or <br> in kind) |
| $04=$ Look for work | $10=$ Migrate to another part of the <br> country | $16=$ Faith/pray to God/Allah | 22=Borrow from family (cash or in <br> kind) |
| $05=$ Use formal savings | $11=$ Food for work | $17=$ Sell properties or assets |  |
| $06=$ Use informal savings | $12=$ Work longer hours (take extra <br> work) | $18=$ Mortgage assets |  |


| 3.21 | Would your household be able to raise 230,000 VND in one week if you needed it? 01=Yes, very easily, 02=Probably, 03=Not at all, 77=NK, | [_—] | (RAISE) |
| :---: | :---: | :---: | :---: |
| 3.22 | How would you or other members of the household try to raise 230,000 VND in one week if you needed it? You can list up to three way, but please list the most important one first. <br> (ENTER CODES FROM CODE BOX \# 9) | $\begin{aligned} & {[\text { ——] }} \\ & \text { [——] } \\ & \text { [_二_] } \end{aligned}$ | (HOWRS1) <br> (HOWRS2) <br> (HOWRS3) |


| CODEBOX 9-HOW TO RAISE MONEY |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Sell durable goods/equipment | $06=$ From relatives/friends in the same village/town | $11=$ Microfinance |  |
| 0 | $02=$ Sell land/house | $07=$ From relatives/friends in different location | $12=$ Other |


| $03=$ Sell stocks or crops | $08=$ Take extra work |  |
| :--- | :--- | :--- | :--- |
| $04=$ Sell livestock | $09=$ Take a loan (formal) |  |
| $05=$ From savings | $10=$ Take a loan (informal) |  |


| 3.23 | Is your household included on the list of poor households created by MOLISA/Commune Committee for <br> Hunger Eradication and Poverty Reduction? <br> $O O=N o, 01=Y e s, 77=N K$ | (VNPOORHS) |
| :--- | :--- | :--- | :--- |
| 3.24 | Has your household borrowed loans in the last 12 months? <br> OO=No, O1=Yes, 77=NK <br> If No, skip to Section 4 | (VNLOANS) |


| 3.25 | SAY: I would now like to ask you about the loans your household has borrowed in the last 12 months, |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.25 .1 | 3.25 .2 | 3.25 .3 | 3.25 .4 | 3.25 .5 |
| LOAN ID | Is this a formal or an informal loan? <br> 01=Formal loan 02=Informal loan | What is the loan source? <br> 01=Social Policy Bank <br> 02= Bank for Agricultural and Rural Development <br> 03=Other Bank <br> 04=Jobs Aid Fund <br> 05=Credit Organizations <br> 06=Political-Social Organizations <br> 07=Private Individual Lenders <br> 08=Friends/Relatives <br> 10=Other | How would you assess the impact of this loan on your household's overall standard of living? <br> 01=Significantly Better <br> 02=Somewhat Better <br> 03=Same <br> 04=Somewhat Worse <br> 05=Significantly Worse <br> 77=NK | How would you assess the impact of this loan on the wellbeing of the children in your household? (e.g. time for child care, education, amusement) <br> 01=Significantly Better <br> 02=Somewhat Better <br> 03=Same <br> 04=Somewhat Worse <br> 05=Significantly Worse <br> 77=NK | Is it difficult for your household to repay these loans? <br> 01=Not difficult <br> 02=Somewhat difficult <br> 03=Very difficult <br> 04=Impossible 77=NK |
| (LOANID) | (LOANTYPE) | (LOANSRCE) | (LOANIMPT) | (LOANKIDS) | (LOANREPY) |
| 01 | [___] | [___] | [___] | [___] | [___] |
| 02 | [___] | [___] | [___] | [___] | [___] |


| 03 | [__ ] | [__ ] | [ $=$ - ] | [ $=$ - ] | [ _ - ] |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 04 | [_-_] | [ [-] ] | [ [-_] | [ [-] ] | [ [-_] |
| 05 | [-_] | [-_] | [_-] | [-_] | [-_] |

FIELDWORKER: REVIEW THE ANSWERS TO QUESTION 3.25.1- IF THE HOUSEHOLD HAS ANY INFORMAL LOANS ASK QUESTIONS 3.26. IF THE HOUSEHOLD DOES NOT HAVE ANY INFORMAL LOANS, SKIP TO QUESTION 3.27

| 3.26 | (If household has borrowed an informal loan) What are the main reasons you have borrowed an informal loan? <br> You may provide up to three reasons, but please provide the most important reason first. <br> (ENTER CODES FROM CODE BOX \#10) | $\begin{aligned} & {[-\ldots]} \\ & {[-\ldots]} \\ & {[-\ldots]} \end{aligned}$ | (VNYLOAN1) (VNYLOAN2) (VNYLOAN3) |
| :---: | :---: | :---: | :---: |


| CODEBOX 10 - REASONS FOR INFORMAL LOAN |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01 = Easy to be approved for loan | 04= Do not know procedures for loans <br> from formal sources | 07= Term/Tenure of loans from formal <br> loan sources is not suitable | 10= It is more confidential to borrow <br> from informal sources |
| $02=$ Mortgage not required | 05= Formal loan procedures are too <br> complicated | $08=$ I can repay the loan with products | $11=$ Other |
| $03=$ Low loan value | 06= Interest rates for formal loans are <br> too high | 09=Bank branch is too far away for $a$ <br> formal loan |  |

FIELDWORKER: REVIEW THE ANSWERS TO QUESTION 3.25.1 - IF THE HOUSEHOLD HAS ANY FORMAL LOANS ASK QUESTIONS 3.27. IF THE HOUSEHOLD DOES NOT HAVE ANY FORMAL LOANS, SKIP TO SECTION 4

| 3.27 | For your formal loan(s) do you have a concessionary interest rate? <br> $00=$ No, 01=Yes, 77=NK | [_-] | (VNCNRATE) |
| :--- | :--- | :--- | :--- |
| 3.28 | Has it been easy for your household to be approved for the formal loan(s)? <br> $00=N o, 01=Y e s, ~ 77=N K$ <br> If Yes, Skip to 3.30 | [_-] | (VNEASYLN) |
| 3.29 | Why was it difficult for your household to be approved for the formal loan(s)? <br> (You can provide up to two reasons, but please provide the most important reason first.) | [_-] |  |
| [_-] |  |  |  |


|  | O1=Complicated procedures, 02= Attitude of Bank staff, 03= Difficult to obtain poor certificate from <br> commune authority, 04=Other, Specify: | (SPECLOAN) <br> (ESPCLOAN) |
| :--- | :--- | :--- | :--- |


| 3.30 How do you assess your level of satisfaction with the following aspects of borrowing the formal loan(s): | 01=Extremely satisfied, 02=Satisfied, 03=Neutral, 04= Not satisfied, 05= Extremely unsatisfied, 77=NK |  |
| :---: | :---: | :---: |
| Credit organization's procedures? | [___] | (VNCOPROC) |
| Credit organization's staff's attitudes? | [_—] | (VNCOSTFF) |
| Commune procedures? | [_—] | (VNCOMPRC) |
| Term of loan? | [__ ] | (VNLNVAL) |
| Loan amount? | [___] | (VNLNAMT) |
| Interest rate? | [__ ] | (VNLNRATE) |
| Collateral (procedures \& conditions related to borrowing against collateral) | [_-_] | (VNMORT) |
| Other, Specify: | [_-] | (VNOTHER) <br> (SPECSATI) <br> (ESPCSATI) |

## SECTION 4 - HOUSEHOLD FOOD AND NON-FOOD CONSUMPTION \& EXPENDITURE

4.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)

## SECTION 4A - FOOD CONSUMPTION AND EXPENDITURE

SAY: I am now going to ask some questions about how much food has been consumed by your family in recent times. I am going to start by asking how much you consumed of goods you bought in the last two weeks, then ask you how much you consumed from your own harvest or stocks, and then how much you consumed from other sources.
FIELDWORKER: AMOUNT OF DETAIL TO BE DETERMINED BY ITEMS WITH SUBSTANTIAL CONSUMPTION IN VALUE TERMS OR IN CALORIFIC TERMS. SO ITEMS THAT ARE VERY CHEAP AND NUTRITIONALLY NOT SO IMPORTANT NEED NOT BE SPECIFICALLY ITEMISED. THE FIRST PART OF THE TABLE REFERS TO PURCHASES. THE SECOND PART REFERS TO HOUSEDHOLDS' OWN PRODUCTION.

| 4.1 | Please think about the food consumed by your family in the last two weeks (15 days) <br> FIELDWORKER: Ask if there was a major festival, wedding or other feasting or fasting ceremony in the last 15 days. If there was, specify that the respondent should think about the household's consumption in the 15 days prior to this event. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 4.1.1 |  |  |
|  | Commodity | What is the total value in VND of this item that you bought then consumed in the past two weeks?. <br> USE SHADED COLUMNS ONLY IF RESPONDENT HAS <br> DIFFICULTY ESTIMATING VALUE, USE QUANTITIES COLUMNS |  |  |
|  |  | Quantity <br> 00=None, -77=NK | Unit | Estimated value -77=NK, -88=N/A |
|  |  |  |  | (EATV) |
| 01 | Pulses/Lentils/Beans | [ ___ ${ }^{\text {e }}$ | [ _ $]$ | [ |
| 02 | Pasta/Rice/Bread/ <br> Wheat flour (processed) | [___ ${ }^{\text {e_ }}$ ] | [__ ] | [__ ${ }^{\text {e }}$ ] |
| 03 | Wheat/Maize (unprocessed) | [___ ${ }^{\text {e_ }}$ ] | [__ ] | [__ • $]$ |
| 04 | Tubers/Potatoes/Root crops | [___ ${ }^{\text {e }}$ ] | [__ ] | [__ •__] |


| 05 | Meat Products | [__ • $]$ | [ | [ |
| :---: | :---: | :---: | :---: | :---: |
| 06 | Powdered/Formula Milk | [__ ${ }^{\text {[ }}$ ] | [ | [__ ${ }^{\text {[ }}$ ] |
| 07 | Milk or mile products (milk, butter, cheese, yoghurt, etc.) | $[\ldots \ldots]$ | [ | [__ ${ }^{\text {[ }}$ ] |
| 08 | Fresh Fish | [ | [ | [ |
| 09 | Processed Fish (tinned) | [__ •__] | [___ ] | [__ e_] |
| 10 | Eggs | [___ ${ }^{\text {c }}$ ] | [ | [ |
| 11 | Vegetables | [__ $\cdot$ [_] | [___] | [ |
| 12 | Fruit | [__ ${ }^{\text {c }}$ ] | [__ $]$ | [ |
| 13 | Salt/Spices | [__ ${ }^{\text {c }}$ ] | [ | [ ${ }^{\text {c }}$ ] |
| 14 | Oil | [__ e_] | [ $]$ | [ |
| 15 | Sugar/Honey | [__ e_] | [ | [ |
| 16 | Prepared food (restaurants, food stalls) | [__ $\cdot \ldots]$ | [___] | [__ ${ }^{\text {[ }}$ ] |
| 17 | Packaged sweets (biscuits, cakes) | [__ ${ }^{\text {en }}$ ] | [ | [__ •__] |
| 18 | Coffee and Tea | [ | [ $]$ | [ |
| 19 | Soft drinks and Alcohol | [__ $\cdot \ldots]$ | [ | [__ e_] |
| 20 | Other | [__ e_] | [___] | [ $\quad$ - ] |

TABLE 4.1 CONTINUED

|  |  | 4.1 .2 | 4.1 .3 |  |
| :--- | :--- | :--- | :--- | :--- |
|  | COMMODITY | How much of this commodity did you consume <br> from your own harvest or own stock? | How much of this commodity did you consume from gifts, transfers or food aid <br> received from relatives, friends, neighbours, government or other organisations? |  |


|  |  | Quantity $00=$ None, $77=N K$ | Unit | Estimated value -77=NK, - <br> 88=N/A | Quantity 00=None, -77=NK | Unit | Estimated value $-77=N K,-88=N / A$ | Source: <br> 01=Gift from relatives, friends, neighbours 02=Food aid, transfer 03=Food for work, 77=NK |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | (OWNV) |  |  | (GIFTV) | (GIFSRC) |
| 01 | Pulses/Lentils/ Beans | [__ • _ ] | [__] | [__ •__] | [__ • _ ] | [ ___ ] | [__ • _ ] | [ $-\ldots$ ] |
| 02 | Pasta/Rice/Bread/ Wheat flour (processed) | [__ • _ ] | [__] | [__ • _ ] | [___ - ] | [ _ ] | [__ • $]$ | [-_] |
| 03 | Wheat/Maize (unprocessed) | [__ e ] ] | [___] | [___ •_] | [___ ${ }^{\text {e }}$ ] | [___] | [__ e _ ] | [ |
| 04 | Tubers/Potatoes/ Root crops | [__ • $]$ | [ | [__ •__] | [___ ${ }^{\text {e }}$ ] | [___] | [__ • $]$ | [--] |
| 05 | Meat Products | [___ ${ }^{\text {e }}$ ] | [__] | [__ • _ ] | [___ e ] | [____] | [__ • _ ] | [-—] |
| 06 | Powdered/Formula Milk | [__ • _ ] | [__] | [__ •_] | [___ ${ }^{\text {e }}$ ] | [___] | [__ • $]$ | [--] |
| 07 | Milk or milk products (milk, butter, cheese, yoghurt, etc.) | [__ • ] | [__ ] | [__ •__] | [___ • _ ] | [___] | [__ •_] | [-_] |
| 08 | Fresh Fish | [___ ${ }^{\text {c }}$ ] |  | [__ • _ ] | [__ ${ }^{\text {c }}$ ] | [___] | [__ • _ ] | [__ ${ }^{\text {] }}$ |
| 09 | Processed Fish (tinned) | [__ e ] ] | [___] | [__ $\cdot \ldots]$ | [___ ${ }^{\text {c }}$ ] | [___] | [__ e_] | [_-] |
| 10 | Eggs | [___ e_] | [___] | [___ e_] | [___ e_] | [ _ $]$ | [___ - ] | [__ $]$ |
| 11 | Vegetables | [__ e_] | [__] $]$ | [__ • _ ] | [___ $]$ | [ | [___ ${ }^{\text {e }}$ ] | [___] |
| 12 | Fruit | [__ e ] | [__] | [__ e ] | [___ $]$ | [___] | [__ e ] | [___] |
| 13 | Salt/Spices | [__ e ] | [__] | [__ e] | [__ e ] | [ ${ }^{\text {a }}$ ] | [__ el] | [___] |
| 14 | Oil | [__ e_] | [__] | [__ e ] | [__ ${ }^{\text {c }}$ ] | [ | [__ e ] | [__ ] |
| 15 | Sugar/Honey | [__ $\left.{ }^{\text {en }}\right]$ | [__] | [__ e] | [ | [___] | [__ e_] | [ |


| 16 | Prepared food (restaurants, food stalls) | $\left[\ldots \_\right]$ | [__ ] | $[\ldots$ | [___ ${ }^{\text {_ }}$ ] | [ | [__ •_] | [_—] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 17 | Packaged sweets (biscuits, cakes) | [___ - ] | [__ ] | [__ • _ ] | [__ • _ ] | [__ ] | [__ • $]$ | [_-] |
| 18 | Coffee and Tea | [__ •_] | [__ ] | [ | [ | [ | [ | [__ ] |
| 19 | Soft drinks and Alcohol |  |  |  |  |  |  |  |
| 20 | Other |  |  |  |  |  |  |  |

## SECTION 4B - NON-FOOD EXPENDITURE

SAY: I am now going to ask you how much you have spent on food and other items. Please give prices at the time of purchase.

| 4.2 | What is the money value (in VND) of the total amount purchased by the household in the last 30 days on the following items: (Enter value in VND or codes 00=Nothing, -77=NK) |  |  |
| :---: | :---: | :---: | :---: |
| 01 | Tobacco, cigarettes, etc. | [ | (SPEND01) |
| 02 | Personal care items (soap, cosmetics, hair oil, perfume, beauty parlour fees, hair cuts) | [ _ - _ $]$ | (SPENDO2) |
| 03 | Firewood, Kerosene, gas, batteries, candles | [__ •__] | (SPEND03) |
| 04 | Internet use | [ _ • ___] | (SPEND04) |
| 05 | Public transport | [__ - _ ] | (SPEND05) |
| 06 | Security (guards) | [ | (SPEND06) |


| 4.3 | What is the money value (in VND) of the total amount purchased by the household in the last 12 months on the following items: <br> (Enter value in VND or codes $00=$ Nothing, $-77=N K)$ |  |  |  |
| :---: | :--- | :--- | :--- | :--- |
| 07 | Rent (house) | Dwelling maintenance |  | [SPNDYR07) |
| 12 |  |  |  |  |


| 20 | Cleaning materials | [ | (SPNDYR20) |
| :---: | :---: | :---: | :---: |
| 13 | Rent (business, market stall) | [ | (SPNDYR13) |
| 14 | Business license | [ | (SPNDYR14) |
| 15 | Water rates | [ | (SPNDYR15) |
| 16 | Electricity rates | [ | (SPNDYR16) |
| 17 | Telephone rates, mobile phone plan | [__•_] | (SPNDYR17) |
| 19 | Vehicle maintenance | [ | (SPNDYR19) |
| 21 | Fees and paperwork | [ | (SPNDYR21) |
| 04 | Legal advice, support, aid | [ | (SPNDYR04) |
| 06 | Festivals/celebrations (regular occurrence) | [ | (SPNDYR06) |
| 11 | One-off family events (e.g. wedding/funeral, etc.) | [__ - ] | (SPNDYR11) |


| 4.4 | What is the money value (in VND) of the total amount purchased by the household in the last 12 months for the following items: |  |  |
| :---: | :---: | :---: | :---: |
|  | ITEMS | How much was spent in the last 12 months? (ENTER VALUE IN VND OR CODES OO=NOTHING,77=NK) | How much of this was spend on items for [NAME]? 00=None of it, 01=Less than half, 02=About half, $03=$ More than half but not all, 04=All, 77=NK |
|  |  | (SPYR) | (SPNAME) |
|  | Clothing |  |  |
| 01 | Clothing (adult men, 18 years and older) | [ _ |  |
| 02 | Clothing (adult women, 18 years and older) | [ _ |  |


| 03 | Clothing (girls, 17 years and younger - excluding school uniform) | [ | [_-] |
| :---: | :---: | :---: | :---: |
| 04 | Clothing (boys, 17 years and younger - excluding school uniform) | [ | [_—] |
| 05 | Footwear (e.g. shoes, slippers) (adult men, over 18 years) | [ |  |
| 06 | Footwear (e.g. shoes, slippers) (adult women) | [__.__] |  |
| 07 | Footwear (e.g. shoes, slippers) (girls) | [ | [__-] |
| 08 | Footwear (e.g. shoes, slippers) (boys) | [__.__] | [__ ] |
|  | Education |  |  |
| 09 | School uniform (boys) | [ | [__-] |
| 10 | School uniform (girls) | [__.__] | [__ ] |
| 11 | Payment for schooling fees (registration, examination) or donations to school (boys) | [ | [_—] |
| 12 | Payment for schooling fees (registration, examination) or donations to school (girls) | [ $\qquad$ -___] | [_—] |
| 13 | Payment for private tuition (boys) | [____] | [__ ] |
| 14 | Payment for private tuition (girls) | [ | [__ ] |
| 15 | School books and stationary (pens, erasers, paper) | [ | [——] |
| 16 | Transport to school | [____] | [___] |
|  | Medical |  |  |
| 17 | Payments for medical consultation and treatment | [ | [_—_] |
| 18 | Any other medical expenditure? | [ | [__] |


|  | Entertainment |  |  |
| :---: | :---: | :---: | :---: |
| 19 | Cinema/entertainment | [ _ _ - _ ] | [__ ] |
|  | Other |  |  |
| 20 | Presents or treats for children | [ | [__ ] |
| 21 | Jewellery (Gold necklace, earrings, etc. for personal use) | $[\ldots$ | [_—] |
| 22 | Any other transport costs for adults or children | [_____] | [__ ] |
| 23 | Any other expenditure of non-food consumption | [ | [__-] |

## SECTION 5 - SOCIAL CAPITAL

5.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)

## 5A SUPPORT NETWORKS

| 5.1 | If you had a problem is there someone who would help you? $00=$ No, 01=Yes, 77=NK <br> If no, skip to 5.3 | [__] | (ANYHELP) |
| :---: | :---: | :---: | :---: |
| 5.2 | Who are the people who would be most likely to help you? (You can give up to three answers but please give the most important first) (ENTER CODES FROM CODE BOX \# 11) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots-\ldots]} \end{aligned}$ | (WHOHLP1) <br> (WHOHLP2) <br> (WHOHLP3) |


| CODEBOX 11 - PEOPLE MOST LIKELY TO HELP |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Parents | $06=$ Government | $11=$ Religious leader/person | $16=$ Women's groups |
| $02=$ Children | $07=$ Other relatives | $12=$ Political leader | $17=$ Farmers' association |
| $03=$ Labour union | $08=$ Neighbour | $13=$ NGO worker | $18=$ No-one |


| $04=$ Work colleagues | $09=$ Friends | $14=$ Community leader (informal) |  |
| :--- | :--- | :--- | :--- |
| $05=$ Siblings | $10=$ Teachers (school) |  | $20=$ Other |


| 5.3 | Suppose you are in need of material support. How many people can you rely on in time of need? ( $01=1-2$ people, $02=3-5$ people, $03=6-10,04=11-15,05=16-20,06=21-30,07=$ over 30 ) Write 00 if none. $77=$ NK) | [_—_] | (FINHELP) |
| :---: | :---: | :---: | :---: |
| 5.4 | Now think back four years ago, are there more, less or about the same number of people you can rely on in time of need? <br> 01=More, 02=Less, 03=About the same, 77=NK | [__ ] | (RELYON) |

## 5B FAMILY, GROUP AND POLITICAL CAPITAL

SAY: I now want to ask about organisations, groups or informal associations to which you or members of your household belong.

| 5.5 | 5.5.1 | 5.5.2 | 5.5.3 | 5.5.4 |  | 5.5.5 | 5.5.6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Group Code (ENTER CODE FROM CODE BOX \# 12) | ID of main person in the household who is a member of the group 80=Whole family | Since when has this person been a member? <br> ENTER ALL FOUR <br> DIGITS OF THE <br> YEAR <br> -77=NK | What are the main benefits from the group? <br> (ENTER CODE FROM CODE BOX \# 13) |  | How often does this person attend the group? <br> 01=More than once per week, 02=Weekly, 03=Monthly, 04=Twice/year 05=Annually, 06=Less than once a year, 77=NK | Does this person hold a leadership or powerful position in the group? $00=\mathrm{No}, 01=\mathrm{Yes}, 77=\mathrm{NK}$ <br> If No, > SKIP TO 5.6 |
| GRPID | GROUP | IDGRP | YEARMEM | BENGRP1 | BENGRP2 | FRQATTEN | LEADMEM |
| 01 | [___] | [___] | [_____] | [___] | [___] | [___] | [___] |
| 02 | [___] | [___] | [____] | [___] | [___] | [___] | [___] |
| 03 | [___] | [___] | [____] | [___] | [___] | [___] | [___] |
| 04 | [__] | [_-_] | [___-] | [___] | [__ ] | [__-] | [_-_] |
| 05 | [__ ] | [__-] | [____] | [___] | [___] | [___] | [___] |
| 06 | [___] | [___] | [____] | [___] | [___] | [___] | [___] |


| 07 | [__ $]$ | [_-_] | [_-_- $]$ | [__ ] | [___] | [___] | [___] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 08 | [__ $]$ | [_-_] | [_-_-] | [___] | [___] | [___] | [___] |
| 09 | [_-_] | [-_] | [----] | [_-_] | [ | [_-_] | [ |
| 10 | [__ ] | [__ ] | [_-_-_] | [__ ] | [___] | [__ ] | [__ ] |


| CODEBOX 12-GROUPS |  |  |  |
| :--- | :--- | :--- | :--- |
| 02=Farmer's Co-operative | $10=$ Health Committee | $15=$ Business group | $21=$ Mother's committee |
| $05=$ Other Farmer's group | $11=$ Credit society/Co-op | $16=$ Youth group | $22=$ Labour unions |
| $06=$ Self-help group | $12=$ Chit fund | $17=$ NGO | $23=$ Women's group |
| $07=$ Education/Vidya committee | $13=$ Toddy tappers association | $18=$ Religions group | $24=$ Peasant association |
| $08=$ Caste group/association | $14=$ Traders' association | $20=$ Cultural/sport group | $25=$ Service co-operatives |
|  |  |  | $28=$ Other |


| CODEBOX 13 - BENFITS FROM THE GROUP |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Improves household income | $05=$ Important in times of need | $09=$ Benefit/recognition from NGO |
| $02=$ Improves access to services | $06=$ Offers benefits to the wider community | $10=$ Marketing outlet for produce |
| $03=$ Can get credit/savings facilities | $07=$ Enjoyment/recreation | $11=$ Other |
| $04=$ Can get knowledge and information | $08=$ Spiritual/Social status/Self-esteem |  |

FIELDWORKER: THIS IS A CONTINUATION OF THE TABLE ABOVE - YOU MUST MAKE SURE THE ROWS REFER TO THE SAME GROUP

|  | 5.5.7 | 5.5 .8 | 5.5.9 | 5.5.10 |
| :---: | :---: | :---: | :---: | :---: |
|  | Since when has this person held this position? (which year) $-77=N K,-88=N / A$ | Did any parent or close relative of this person hold this post before? $00=\mathrm{NO}, 01=$ Yes, $77=\mathrm{NK}$ | What is the main outcome from holding this position? <br> (ENTER CODE FROM CODE BOX \# 14) | Has this position allowed this person to influence decisions and activities? <br> $00=$ No, never, <br> $02=$ Somewhat/Occasionally, <br> 03=Yes, often <br> 77=NK |
| (GRPID) | (YEARLEAD) | (PAREPOST) | (WHYJOIN) | (INFLNCE) |
| 01 | [_____ ] | [___] | [___] | [___] |
| 02 | [____] | [__ ] | [__ ] | [__ ] |
| 03 | [_———] | [_-_] | [_-_] | [_-_] |
| 04 | [____] | [___] | [___] | [___] |
| 05 | [____] | [___] | [___] | [___] |
| 06 | [____-] | [___] | [___] | [___] |
| 07 | [____] | [_-_] | [_-_] | [_-_] |
| 08 | [__-_] | [_-_] | [_-_] | [ $-\ldots$ ] |
| 09 | [_____] | [___] | [___] | [___] |
| 10 | [___-_] | [___] | [___] | [___] |


| CODEBOX 14 - OUTCOMES OF LEADERSHIP POSITION |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 01= Decreases time allocated to <br> household income generation | 05=Improves access to credit/savings | $09=$ Improves individual's self esteem | $13=$ Improves individual's status <br> among officials |
| 02= Decreases time spent with family | 06=Improves access to knowledge and <br> information | $10=$ Improves individual's social status <br> in community (e.g. more respect, <br> emerge as role model) | $14=$ Improves political chances |
| 03=Decreases time for household <br> tasks | $07=$ Improves access to services | $11=$ Improves individual's status in <br> household | $15=$ Improves household's social status <br> in community |


| 04=Decreases household economic <br> status | 08=Improves household economic <br> status | $12=$ Improves individual's status with <br> relatives | $16=$ Others |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |


| 5.6 | Besides the organizations listed above, does anyone in the household hold another position of responsibility, authority or power, as a leader or in a powerful position? IF YES, THEN FOR EACH ONE RECORD THE ID OF THE HOUSEHOLD MEMBER AND THE POSITION HELD. THEN ASK QUESTIONS 5.10.3 THROUGH TO 5.10.8 |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 5.6.1 | 5.6.2 | 5.6.3 | 5.6.4 | 5.6.5 | 5.6.6 | 5.6.7 | 5.6 .8 |
|  | ID of household member holding position | Position held (ENTER CODE FROM CODE BOX \# 15) | When did this person first get this position? (ENTER YEAR) -77=NK | For how many years in total has she/he held this position? <br> (ENTER <br> NUMBER OF YEARS, 00=<1yr, 77=NK) | Is s/he still holding this position? $00=\mathrm{No}, 01=$ Yes, 77=NK | Did any parent or close relative of this person hold this post before? $\begin{aligned} & 00=\mathrm{No}, 01=\mathrm{Yes}, \\ & 77=\mathrm{NK} \end{aligned}$ | What is the main outcome from holding this position? <br> (ENTER CODE FROM CODE BOX \# 14) | Has this position allowed this person to influence decisions and activities? <br> $00=$ No/Never, <br> 02=Somewhat/Occasionally, <br> 03=Yes/Often <br> 77=NK |
| (POSID) | (ID) | (POSHELD) | (POSFIRST) | (POSYEARS) | (POSTILL) | (POSREL) | (POSOUT) | (POSEFFEC) |
| 01 | [___] | [___] | [_____] | [___] | [___] | [___] | [___] | [___] |
| 02 | [___] | [___] | [____ $]$ | [___] | [___] | [___] | [___] | [___] |
| 03 | [__ ] | [__ ] | [__ _ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| 04 | [___] | [___] | [____] | [___] | [___] | [___] | [___] | [___] |
| 05 | [___] | [ [-_] | [____] | [___] | [___] | [___] | [___] | [___] |
| 06 | [_-_] | [__-] | [____] | [__-] | [_-_] | [_-_] | [_-_] | [___] |
| 07 | [_-_] | [_-_] | [__-_] | [_-_] | [_-_] | [_-_] | [_-_] | [_-_] |
| 08 | [__-] | [ [_-] | [____ $]$ | [_-_] | [_-_] | [_-_] | [_-_] | [___] |
| 09 | [___] | [___] | [_____] | [___] | [___] | [___] | [___] | [___] |
| 10 | [__] | [___] | [____] | [___] | [___] | [___] | [___] | [__ ] |


| CODEBOX 15 - POSITION OF AUTHORITY |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Appointed village assistant | $06=$ Formal position in political party | $11=$ Political party leader | $15=$ Village militia |
| 02=Agricultural officer/assistant | $08=$ Member of the Police or Army | $13=$ Teacher/school principal | $17=$ Village ward member |
| 03=Caste group leader | $09=$ Money lender | $14=$ Traditional leader | $18=$ Other |
|  |  |  | $77=N K$ |


| 5.7 | Does the household have close relatives living in this Commune (excluding those in your own household)? $00=\text { No, 01=Yes, 77=NK }$ <br> IF NO, -> SKIP TO 5.10 | [_—] | (RELLIVE) |
| :---: | :---: | :---: | :---: |
| 5.8 | How many relatives live in this community? (00=none, 01=1-5, 02=6-10, 03=11-20, 04=21-30, 05=over 30, 77=NK, 88=NA) | [_——] | (NUMREL) |
| 5.9 | How many of these relatives are influential in the community? (00=none, 01=1-5, 02=6-10, 03=11-20, 04=21-30, 05=over 30, 77=NK, 88=NA) | [_——] | (INFREL) |

## 5C COLLECTIVE ACTION AND EXCLUSION

| 5.10 | In the last three years have you or any members of your household done any of the following: | 00=No, 01=Yes, 77=NK, 79=Refused to answer |
| :---: | :---: | :---: |
|  |  | (ACTION) |
| 04 | Talked with other people in your area about a serious problem affecting the community | [___] |
| 05 | Taken action with others about a serious problem affecting the community | [___] |
| 06 | Actively participated in an awareness raising campaign | [__-] |
| 09 | Made the media interested in a problem | [__-] |


| 5.11 | SAY: I am now going to ask you about your access to certain services. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Services/things denied | 5.11.1 Do you have access to this service? $00=\text { No, 01=Yes, 77=NK }$ <br> IF YES, SKIP TO NEXT ROW | 5.11.2 If no access, give the main reason for this. <br> 01=It is not available, <br> 02=Economic (cannot afford it) <br> 03=Don't know about it, <br> 04=Attempted to get it but access was denied <br> 05=Other, 77=NK <br> If Answer 04, ask 5.11.3 | 5.11.3 If access was denied, what do you think the reason was? 01=Social (Background, caste, ethnicity, religion, gender) $02=$ Could not afford the bribe required 03=Political reasons |
|  |  | (ACCESS) | (NOACC) | (DENIED) |
| 01 | Education/schools | [___] | [__ ] | [___] |
| 02 | Health services/clinics | [___] | [___] | [___] |
| 03 | Housing assistance | [___] | [_-_] | [__ ] |
| 04 | Job training/employment | [_-_] | [_-_] | [_-_] |
| 05 | Credit/finance | [___] | [___] | [___] |
| 06 | Transportation | [__-] | [__-] | [__-] |
| 07 | Drinking water distribution | [__-] | [___] | [___] |
| 08 | Access to agricultural knowledge/information | [_——] | [_—] | [_-] |
| 09 | Access to agricultural inputs | [__-] | [__-] | [__-] |
| 10 | Sanitation services | [___] | [__-] | [___] |
| 11 | Cultural activities | [___] | [_-_] | [_-_] |
| 12 | Self-help groups | [___] | [__ ] | [_—_] |
| 13 | Welfare programs | [__ ] | [__-] | [__-] |
| 14 | Power / electricity | [__] | [_—] | [_-_] |
| 16 | Other | [_-_] | [_-_] | [__ ] |

## 5D INFORMATION NETWORKS

Say: I am now going to ask you some questions about where you get information from.

| 5.12 | Would you or anyone in the household be able to get information on the following: |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 5.12.1 | 5.12.2 | 5.12.3 | 5.12.4 | 5.12.5 |
|  |  | $\begin{aligned} & \text { 00=No, O1=Yes, } \\ & 02=\text { Don't need it, } \\ & 77=\text { NK } \\ & \text { If Yes, go to } \\ & 5.15 .2, \\ & \text { If No, > go to } \\ & \text { next topic in list } \end{aligned}$ | Information source 1 (most important) <br> (ENTER CODE FROM CODE BOX \#16) | Information source 2 (Second most important) <br> (ENTER CODE FROM CODE BOX \#16) 88=N/A | Have you sought information on this in the last 3 yrs ? $00=\text { No, 01=Yes, } 77=N K, 88=N / A$ | Did this information help? <br> $00=$ No, 01=Yes, $77=\mathrm{NK}, 88=\mathrm{N} / \mathrm{A}$ |
|  |  | (GETINF) | (SRIN1) | (SRIN2) | (ST3YR) | (INFHLP) |
|  | TOPIC |  |  |  |  |  |
| 01 | Family planning | [___] | [___] | [___] | [___] | [___] |
| 02 | Information about child health | [_—] | [__ ] | [_—] | [__ ] | [__ ] |
| 03 | Education opportunities for children | [_—] | [_—] | [_—] | [__ ] | [——] |
| 04 | Income earning opportunities | [__ ] | [_—] | [_—] | [__ ] | [_—] |
| 05 | How to vote/who to vote for in elections | [__ ] | [__ ] | [_—] | [__ ] | [__ ] |
| 06 | Legal issues (e.g. disputes) | [__-] | [__-] | [__-] | [___] | [__-] |
| 07 | Registration, fees or other forms | [_—] | [_—] | [_—] | [_—] | [_—] |


| CODEBOX 16 - INFORMATION SOURCES |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $01=$ No information needed/not interested | $07=$ Health camp | $13=$ Local government officials | $21=$ Peer groups |  |
| $02=$ Agriculture extension worker | $08=$ Health extension worker | $15=$ Ministry of agriculture | $22=$ Radio/TV |  |


| $03=$ Church/Mosques | $09=$ Health representative | $16=$ Neighbours | $22=$ Relatives |
| :--- | :--- | :--- | :--- |
| $04=$ Contractors | $10=$ Health workers | $17=$ Newspapers | $25=$ Self resourced |
| $05=$ Education committee | $11=$ Internet | $18=$ NGO | $26=$ Teachers/head teacher |
| $06=$ Friends | $12=$ Landlord | $20=$ Outside villages | $27=$ Other |
|  |  |  |  |

## 5E - COMMUNITY WORKS

### 5.13

In the last four years, has the community where you live received investment in infrastructure from an external
source?
$00=$ No, $01=$ Yes, $77=$ NK
If No or Don't know, skip to Section 6
[__]
(VNCMINV)

| 5.14 |  | 5.14.1 In the last four years, has your community received investment in any of the following infrastructure projects from an external source? $00=\text { No, 01=Yes, } 77=\mathrm{NK}$ <br> IF No, Skip to next Type | ```5.14.2 What was the source of funding for this infrastructure project? 01=Program 135 02=Jobs and Poverty Reduction Program between 2001-05 (Project 143) 03=Other national budget source 04-Foreign non-governmental organization \(05=\) Foreign government funding \(06=\) Local individuals or organizations funding 07=Other 77=NK``` |
| :---: | :---: | :---: | :---: |
|  | (SPECPROJ) (ESPCPROJ) | (VNPROJO1)-(VNPROJ12) | (VNPRFD01)-(VNPRFD12) |
| 01 | Network Electricity | [__-] | [__ ] |
| 02 | Traffic-way | [ [-_] | [___] |
| 03 | Pre-school/ kindergarten | [___] | [___] |
| 04 | Primary school | [__ ] | [___] |
| 05 | Secondary school | [__ ] | [__ ] |
| 06 | Combined primary and secondary school | [ $-\ldots$ ] | [--] |


| 07 | Medical Station | [___] | [___] |
| :---: | :---: | :---: | :---: |
| 08 | Irrigation | [_-] | [_- ] |
| 09 | Clean water | [--] | [--] |
| 10 | Community (all ages) entertainment/amusement/ sport/recreation centre | [--] | [--] |
| 11 | Children's entertainment/amusement/s port centre | [_-] | [--] |
| 12 | Other, Specify: | [ | [ - ] |


| 5.15 | SAY: I would now like to ask about your level of participation in any of these community projects. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.15.1-5.15.4 for each. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 5.15.1 | 5.15.2 | 5.15.3 | 5.15.4 |
| (PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14 | How do you assess your level of participation in the project selection process for this infrastructure project? <br> (READ CODES) <br> 01=Veto in decision-making, <br> 02= Vote in decision-making, <br> 03=Consulted during decisionmaking process, <br> 04=Informed, but not involved in decision-making <br> 05=No participation, <br> 77=NK | How do you assess your level of participation in the management of the development of this infrastructure project? <br> (READ CODES) <br> 01=Veto in decision-making, <br> $02=$ Vote in decision-making, <br> 03=Consulted during decision-making process, <br> 04=Informed, but not involved in decision-making <br> 05=No participation, <br> 77=NK | How do you assess your level of participation in the procurement process for this infrastructure project? <br> (READ CODES) <br> 01=Veto in decision-making, <br> $02=$ Vote in decision-making, <br> 03=Consulted during decisionmaking process, <br> 04=Informed, but not involved in decision-making <br> 05=No participation, <br> 77=NK | How do you assess your level of participation in the operation and maintenance of this infrastructure project? <br> (READ CODES) <br> 01=Veto in decision-making, <br> 02= Vote in decision-making, <br> 03=Consulted during decisionmaking process, <br> 04=Informed, but not involved in decision-making <br> 05=No participation, $77=N K$ |
| (PROJID) | (PRJPRTLV) | (PRJMANDV) | (PRJPROC) | (PRJOPMNT) |
| [_—] | [___] | [___] | [___] | [___] |


| [-_] | [__ ] | [__-] | [__-] | [__-] |
| :---: | :---: | :---: | :---: | :---: |
| [--] | [__ ] | [___] | [___] | [__ ] |
| [-_] | [_-_] | [_-_] | [__-] | [_-_] |
| [ $-\ldots$ ] | [_-_] | [_-_] | [__ ] | [_- ] |
| [--] | [__ ] | [__ ] | [___] | [__ ] |


| 5.16 | SAY: I would now like to ask about the level of community participation in these projects. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.16.1-5.16.4 for each. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 5.16.1 | 5.16.2 | 5.16 .3 | 5.16.4 |
| (PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14 | How do you assess the level of community participation in the project selection process for this infrastructure project? <br> (READ CODES) <br> $01=$ Community is the decisionmaker, <br> 02= Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making <br> 77=NK | How do you assess the level of community participation in the management of the development of this infrastructure project? <br> (READ CODES) <br> 01=Community is the decision-maker, <br> 02= Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making 77=NK | How do you assess the level of community participation in the procurement process for this infrastructure project? <br> (READ CODES) <br> $01=$ Community is the decisionmaker, <br> $02=$ Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making <br> 77=NK | How do you assess the level of community participation in the operation and maintenance of this infrastructure project? <br> (READ CODES) <br> 01=Community is the decisionmaker, <br> 02= Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making <br> 77=NK |
| (PROJID) | (COMPRJLV) | (COMPRJDV) | (COMPRJPC) | (COMPRJMT) |
| [——] | [___] | [___] | [___] | [___] |
| [_—] | [_-_] | [_-_] | [__-] | [_-_] |
| [--] | [___] | [___] | [___] | [___] |
| [ _ - ] | [ [_-] | [ _ - ] | [__-] | [__-] |
| [ $-\ldots$ ] | [___] | [___] | [___] | [___] |



| 5.18 | SAY: I would now like to ask about how these different infrastructure projects may have affected your family. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.18.1-5.18.4 for each. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 5.18.1 | 5.18 .2 | 5.18.3 | 5.18 .4 |
| (PROJECT <br> ID) <br> INSERT <br> PROJECT <br> ID FROM <br> TABLE 5.14 | How do you assess the impact of this infrastructure project on your household's income? <br> 01=Significant positive impact, <br> 02=Slightly positive impact, <br> 03=No impact, <br> 04=Slightly negative impact, <br> 05=Significantly negative impact, <br> 77=NK <br> 79=Refused to answer | How do you assess the impact of this infrastructure project on your household members' health and access to health services? <br> 01=Significant positive impact, <br> 02=Slightly positive impact, <br> 03=No impact, <br> 04=Slightly negative impact, <br> 05=Significantly negative impact, <br> 77=NK | How do you assess the impact of this infrastructure project for the children of your household? (e.g. children's care, education, amusement) <br> 01=Significant positive impact, <br> 02=Slightly positive impact, <br> 03=No impact, <br> 04=Slightly negative impact, <br> 05=Significantly negative impact, | How do you assess the impact of this infrastructure project for the safety of household members? <br> 01=Significant positive impact, <br> 02=Slightly positive impact, <br> 03=No impact, <br> 04=Slightly negative impact, <br> 05=Significantly negative impact, <br> 77=NK <br> 79=Refused to answer |


|  |  | 79=Refused to answer | $\begin{aligned} & \text { 77=NK } \\ & \text { 79=Refused to answer } \end{aligned}$ |  |
| :---: | :---: | :---: | :---: | :---: |
| (PROJID) | (IMPINCOM) | (IMPHEAL) | (IMPKIDS) | (IMPSAFE) |
| [ $-\ldots$ ] | [__ ] | [ | [__ ] | [ [-] ] |
| [_- ] | [_-] | [_-] | [_-] | [_- $]$ |
| [_- ] | [-_] | [-_] | [-_] | [_- ] |
| [ | [- ${ }^{\text {[ }}$ ] | [-_] | [- - ] | [--] |
| [_-] | [-_] | [-_] | [-_] | [-_] |
| [_- ] | [-_] | [-_] | [-_] | [ |

[ ]
ID6

| 6.1 | SAY: I am now going to ask you to think about your current circumstances and how and why they might have changed over recent periods |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 6.1 .1 | 6.1.2 |  |
|  | Time period | During this period, how would you describe the household you were living in? <br> 01=Very rich, <br> 02=Rich <br> 03=Comfortable - manage to get by <br> 04=Struggle - never have quite enough <br> 05=Poor, <br> 06=Destitute | (If situation has changed) Please give the two main reasons why your situation has changed compared to the earlier period (ENTER CODE FROM CODE BOX \# 17 for IMPROVED STATUS) (ENTER CODES FROM CODE BOX \#18 FOR WORSENED STATUS) DO NOT PROMPT |  |
|  |  | (CIRCUM) | (REAS1) | (REAS2) |
| 01 | Currently | _] | N/A | N/A |
| 02 | FOUR years ago (around time of previous visit) | [_—] | [_—] | [_—] |


| CODEBOX 17 - WHY SITUATION IMPROVED |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01=Harvests have been good | $09=$ Spouse works hard | $17=$ Live in a nicer house | $26=$ Migration |
| 02=Started growing new crops | $10=$ Have new spouse | $18=$ Managed my business well | $27=$ Was willing to take risks |
| 03=Tried new farming techniques | $11=$ Have more children | $19=$ Worked hard | $28=$ Prices have risen |
| 04=Managed my crops or livestock <br> well | $12=$ Children older and more able to <br> work | 20=Own business or trade has been <br> profitable | 29=Good Luck |
| 05=Accumulated livestock | $13=$ Women in household drink less | $21=$ Set up a new business or trade | 30=God has helped |
| 06=Accumulated other resources, <br> assets, possessions | $14=$ Men in household drink less | $22=$ Started work or new job/non-farm <br> work |  |


| $07=$ My own health has improved | $15=$ More people around to help, advise <br> (Better Networks) | $23=$ Food or Cash aid | $31=$ Other |
| :--- | :--- | :--- | :--- | :--- |
| $08=$ Spouse's health has improved | $16=$ Job security (continuous security) | $24=F F W / C F W / I n c o m e ~ g e n e r a t i o n ~$ <br> programme |  |


| CODEBOX 18- WHY SITUATION WORSENED |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $41=$ Harvests have been poor | $49=$ Lost job (permanent/temporary) | $57=$ Children moved away | $65=$ Prices for goods have fallen |  |
| $42=$ Tried new crops but they failed | $50=$ Have fewer other resources, assets, <br> possessions | $58=$ Large family/too many children/ <br> increased family size | 66=Increase in price of inputs |  |
| $43=$ Tried new ways of farming but they <br> failed | $51=$ I was lazy | $59=$ Men in household drink too much | 67=Increase in food prices |  |
| $44=$ Managed my crops or livestock <br> poorly | $52=$ Spouse was lazy | $60=$ Women in household drink too <br> much | $68=$ House is not as nice as it used to be |  |
| $45=$ Have less livestock | $53=$ I have been/got ill/injured/disabled | $61=$ Less people around to help, advise <br> (Poorer Networks) | 69=Old age |  |
| $46=$ Managed my business poorly | $54=$ Spouse has been/got <br> ill/injured/disabled | $62=$ Theft/robbery | 70=Bad luck |  |
| $47=$ Own business failed or didn't do <br> well | $55=$ Spouse died | $63=$ Too many loans | $71=$ God has not helped |  |
| $48=$ Tried new business/trade but it <br> failed | $56=$ Children sick or died | $64=$ Did not want to take risks | $72=$ Other |  |

SAY: Now I am going to ask you about the most important events and changes that have happened since the last time we came to see you.
FIELDWORKER: Ask questions in shaded boxes and record responses that match EVENTS in that section. and record responses for 6.3.1 through to 6.3.3. Probe if necessary. When respondent has answered all questions (A-G), ask 6.3.4 and 6.3.5.

| 6.3 |  | 6.3 .1 | 6.3.2 | 6.3 .3 | 6.3.4 | 6.3 .5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\begin{aligned} & 00=\text { No, 01=Yes, } \\ & 77=N K \end{aligned}$ <br> IF NO, SKIP TO NEXT EVENT | Who was affected by this event? (e.g. what households and villages) <br> (ENTER CODE FROM CODE BOX \# 19) | What did your household do in response to this event? <br> You can choose up to 3 responses but list in order of importance. <br> (ENTER CODE FROM CODE BOX \# 20) | FIELDWORKER: After all the events are entered, Ask: Which of all of these events were the 3 most important? <br> 01 = Most important, <br> 02=Second most important, <br> 03=Third most important | Did these 3 important events have an impact on NAME? $\begin{aligned} & 00=\mathrm{No}, 01=\mathrm{Yes}, \\ & 77=\mathrm{NK} \end{aligned}$ |
|  | $\begin{aligned} & \text { (SPECEV45) } \\ & \text { (ESPCEV45) } \\ & \text { (SPECEV46) } \\ & \text { (ESPCEV46) } \end{aligned}$ | (EVENT) | (WIDE) | (RESP1) (RESP2) (RESP3) | (EVRANK) | (IMPACT) |
| A | SAY: Has the household been the victim of any crimes in the past 4 years? (e.g. theft, vandalism) If yes, What were these? Prompt for the listed events. <br> If no, enter $O O$ next to all events, and skip to $B$ |  |  |  |  | (Cross through events in this column that are not the 3 most important) |
| 01 | Destruction or theft of tools or inputs for production | [__ ] | [_—] | [__] [__] [_- ] | [__ ] | [_—] |
| 02 | Theft of cash | [___] | [___] | [___] [__ ] [_-_] | [___] | [___] |
| 03 | Theft of crops | [___] | [_-_] | [__] [__][__] | [_-_] | [_-_] |
| 04 | Theft of livestock | [_-_] | [_-_] | [___] [_-_][_- ] | [_-_] | [ |
| 05 | Theft or destruction of housing or consumer goods | [_—] | [_—] | [__] [_- ] [_- ] | [_—] | [_—] |
| 06 | Crime resulting in death or disablement of working adult household member | [__ ] | [__ ] | [__] [__] [_- ] | [__ ] | [_—] |



| 6.3 |  | 6.3.1 | 6.3 .2 | 6.3.3 | 6.3.4 | 6.3 .5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding purchased inputs | [_—] | [_—] | [__] [_- ] [_-] | [_—] | [_—] |
| 19 | Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding sale of output | [_—] | [_—] | [__] [_- ] [_- ] | [_—] | [_—] |
| 20 | Credit source disbanded | [_—] | [_—] | [_- ] [-_] [-- ] | [_—] | [_—] |
| 21 | Confiscation of assets | [_—] | [_—] | [__] [_- ] [_-] | [_—] | [_—] |
| 22 | Disputes with extended family members regarding land or assets | [_—] | [_—] | [__] [_- ${ }^{\text {[_- }]}$ | [_—] | [_—] |
| 23 | Disputes with neighbours regarding land or assets | [_—] | [_—] | [_-] [_- ] [_-] | [_—] | [_—] |
| D | SAY: Have you experienced any natural disasters in the last 4 years? For example, weather extremes, changes in land conditions, pests or diseases that may have affected your crops and livestock? <br> If yes, What were these? Prompt for the listed events. <br> If no, enter OO next to all events, skip to E |  |  |  |  |  |
| 24 | Drought | [___] | [___] | [___][__][__] | [___] | [___] |
| 25 | Too much rain or flood | [_—] | [_—] | [__ ] [_- ] [_- ] | [_—] | [_—] |
| 26 | Erosion | [___] | [___] | [___] [__ ] [_-_] | [__ ] | [___] |
| 27 | Frosts or hailstorm | [___] | [___] | [___][__][__] | [__ ] | [__-] |
| 28 | Pests or diseases that affected crops | [_—] | [_—] | [__] [_- ] [_-] | [_-] | [_—] |


| 6.3 |  | 6.3 .1 | 6.3.2 | 6.3.3 | 6.3.4 | 6.3.5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | before they were harvested |  |  |  |  |  |
| 29 | Crops failed | [___] | [___] | [___] [__ ] [_-_] | [___] | [___] |
| 30 | Pests or diseases that led to storage losses | [_—] | [_—] | [__ ] [_- ] [_- ] | [_—] | [_—] |
| 31 | Pests or diseases that affected livestock | [__ ] | [_—] | [_-][_-][_-] | [_—] | [_—] |
| E | SAY: Has anything hap collapse) <br> If yes, What were thes If no, enter OO next | pened in the last <br> se? Prompt for $\dagger$ o all events, skip | ears that has affec <br> isted events. $\square$ | uilding you live in? (e.g. fire, |  |  |
| 32 | Fire | [___] | [___] | [__ ] [__ ] [__] | [__ ] | [___] |
| 33 | Building collapsed | [__-] | [___] | [___] [__ ] [_- ] | [__-] | [___] |
| F | SAY: Have there been illnesses, injuries)? Or imprisonment, conscrip If yes, What were thes <br> If no, enter OO next to | any changes with other things tha tion, divorce)? se? Prompt for $\dagger$ o all shocks, skip | he family in the pas ve affected member <br> ollowing events <br> G | (including births, deaths, family? (starting school, |  |  |
| 34 | Death of child's father | [___] | [___] | [___] [__ ] [__ ] | [___] | [___] |
| 35 | Death of child's mother | [_—] | [_—] | [__ ] [_- ] [_- ] | [_—] | [_—] |
| 36 | Death of another person from the household | [_—] | [_—] | [__] [_- ${ }^{\text {[_- }]}$ | [_—] | [_—] |
| 37 | Illness of child's father | [_—] | [_—] | [_- ] [-- ] [-- ] | [_—] | [_—] |
| 38 | Illness of child's mother | [__ ] | [_—] | [_-] [_-] [_-] | [_—] | [__ ] |
| 39 | Illness of other household member | [_—] | [_—] | [__] [_- ${ }^{\text {[__ }}$ ] | [_—] | [_—] |
| 40 | Divorce, separation or | [___] | [__-] | [___] [_-_][_-_] | [___] | [__-] |


| 6.3 | 6.3.1 |  | 6.3.2 | 6.3.3 | 6.3.4 | 6.3.5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | abandonment |  |  |  |  |  |
| 41 | Birth/new household member | [ $-\ldots$ ] | [--] | [ $-\ldots$ ] [-- ] [-- ] | [ $-\ldots$ ] | [ - ] |
| 42 | Child's school enrolment - having to pay school fees | [——] | [--] | [-_] [--] [--] | [--] | [-—] |
| 43 | Imprisonment | [__ ] | [___] | [__ $]$ [__ $]$ [__] | [__ $]$ | [___] |
| 44 | Conscription, abduction or draft of working adult household members | [-_] | [-_] | [-_] [-- ][--] | [--] | [-—] |
| G | SAY: Has anything else happened in the last 4 years that has affected the economic situation of your household? <br> If yes, Specify. |  |  |  |  |  |
| 45 | Other Specify: | [-_] | [--] | [——] [-- ] [-- ] | [--] | [--] |
| 46 | Other Specify: | [-_] | [--] | [_- ] [_- ] [-- ] | [ $-\ldots$ ] | [--] |


| CODEBOX 19 - WHO AFFECTED BY EVENT |  |
| :--- | :--- | :--- | :--- |
| $01=$ Only affected my household | $04=$ Affected this village and other villages nearby |
| $02=$ Affected my household and some others in this village | $05=$ Affected areas beyond this community |
| $03=$ Affected all households in this village | $77=$ NK |
|  |  |


| CODEBOX 20-RESPONSE TO EVENT |  |  |  | $17=$ Used savings (HH has this amount <br> already so does not need to pay it <br> back to anyone when it is used) |
| :--- | :--- | :--- | :--- | :--- |
| 01=Ate less | 06=Migrated to work/find work | $12=$ Sent children to work | $18=$ Worked more/start work |  |
| $02=$ Bought less | $07=$ Nothing | $13=$ Sold things |  |  |


| 03=Destruction of livestock | 08=Received help from the <br> community/leaders | $14=$ Suicide of family member | 19=Other |
| :--- | :--- | :--- | :--- |
| 04=Fled/moved away from the <br> problem | $09=$ Received help from relatives/friends | $15=$ Took children out of school | 30= Received help from Government |
| $05=$ Insurance paid | $11=$ Sent children to be cared for by <br> friends or relatives | $16=$ Used credit (HH will have to pay <br> this amount back) | 31= Received help from NGO |

## SECTION 7 - SOCIO-ECONOMIC STATUS



SAY: Now I am going to ask some questions about the place where you live.

| 7.1 | Does anyone in your household own the land your house is on? $00=\mathrm{No}$, 01=Yes, 77=NK | [___] | (OWNHOUSE) |
| :---: | :---: | :---: | :---: |
| 7.2 | Does anyone in your household have a mortgage on your house? $00=\text { No, 01=Yes, 77=NK }$ | [__ ] | (MORTGAGE) |
| 7.3 | How many rooms are there in the house? <br> (ENTER NUMBER) 77=NK | [___] | (NUMROOM) |
| 7.4 | Do you have electricity? $00=\text { No, 01=Yes, 77=NK }$ | [___] | (ELEC) |

7.5 FIELDWORKER: OBSERVE AND RECORD WALL MAIN MATERIAL: (ENTER CODE FROM CODE BOX \#21)

| CODEBOX 21 - WALL MATERIAL |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Adobe/mud | $05=$ Fibreboard/Chipboard | $09=$ Mud \& stones | $14=$ Stone |
| $02=$ Bamboo | $06=$ Galvanised iron | $10=$ Neohouzealla wattle | $15=$ Wood/branches |
| $03=$ Brick/concrete | $07=$ Matting | $11=$ Nipa leaves |  |


| $04=$ Cement bags | $08=$ Mud \& bricks | $12=$ Plastic sheet | $16=$ Other |
| :--- | :--- | :--- | :--- |


| 7.6 | FIELDWORKER: OBSERVE and RECORD ROOF MAIN MATERIAL: (ENTER CODE FROM CODE BOX \#22) | [_—] | (ROOF) |
| :---: | :---: | :---: | :---: |


| CODEBOX 22 - ROOF MATERIAL |  | $16=$ Wood \& mud |  |
| :--- | :--- | :--- | :--- |
| $01=$ AC Roofing sheets | $06=$ Galvanised iron | $11=$ Straw/thatch | $17=$ Wood \& stones |
| $02=$ Asbestos sheets | $07=$ Grass | $12=$ Sugar leaves | $13=$ Tar slabs |
| $03=$ Bamboo | $08=$ Nipa leaves | $09=$ Palm leaves | $15=$ Tiles/slates |
| $04=$ Concrete/cement | $10=$ Plastic sheet | $19=$ Other |  |
| $05=$ Earth/mud |  |  |  |


| 7.7 | FIELDWORKER: OBSERVE and RECORD FLOOR MAIN MATERIAL: <br> (ENTER CODE FROM CODE BOX \#23) | [_—] | (FLOOR) |
| :---: | :---: | :---: | :---: |


| CODEBOX 23 - FLOOR MATERIAL |  |  |
| :--- | :--- | :--- |
| $01=$ Cement/tile | $05=$ Granite stone | $09=$ Stone/brick |
| $02=$ Cinder | 06= Laminated material (durable plastic material <br> that is used to cover floors) | $10=$ Tar slabs |
| $03=$ Concrete/cement | $07=$ Marble stone | $11=$ Wood |
| $04=$ Earth | 08=Polished stone (all polished stones other than <br> marble, e.g. limestone, granite, slate, sandstone) | $12=$ Other |

7.8 What is the main source of drinking water for members of your household? (ENTER CODE FROM CODE BOX \#24)

| CODEBOX 24 - SOURCE OF DRINKING WATER |  |  |
| :--- | :--- | :--- |
| $01=$ Bore well | $06=$ Protected spring water | $11=$ Unprotected <br> well/spring/pond/river/stream/canal |
| $02=$ Bought water (delivery or bottled) | $07=$ Protected well | $12=$ Unprotected water tank |
| $03=$ Piped into dwelling/yard/plot | $08=$ Public standpipe/tubewell | $13=$ Water tank (community/protected) |
| $04=$ Piped into neighbours dwelling/yard/plot | $09=$ Rain water | $14=$ Other |
| $05=$ Piped into relatives'dwelling/yard/plot | $10=$ Tubewell in dwelling/yard/plot |  |
|  |  |  |


| 7.9 | What kind of toilet facility does your household use? <br> (ENTER CODE FROM CODE BOX \#25) | [ _ _ ] | (TOILET) |
| :--- | :--- | :--- | :--- |


| CODEBOX 25 - TOILET FACILITY |  |  |
| :--- | :--- | :--- | :--- |
| 01= Flush toilet/septic tank | $04=$ None | $07=$ Relatives |
| $02=$ Forest/field/open place | $05=$ Pit latrine (communal) | $08=$ Simple latrine on pond |
| $03=$ Neighbours | $06=$ Pit latrine (household's) | $09=$ Toilet in health post |



CODEBOX 26 - FUEL FOR COOKING

| CODEBOX 26 - FUEL FOR COOKING |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $01=$ Bamboo | $05=$ Coal | $09=$ Kerosene/paraffin | $13=$ Shavings/sawdust |  |
| $02=$ Bio-gas | $06=$ Cow dung | $10=$ Leaves | $14=$ Straw/dead plants |  |


| 03=Branches | 07=Crop residue | 11=None |  |
| :---: | :---: | :---: | :---: |
| 04=Charcoal | 08=Gas/electricity | 12=Rice husk | $16=\mathrm{Wood}$ |


| 7.11 | Is heating used in your household? 00=No, 01=Yes, 77=NK If No or NK > skip to 7.13 | [_—] | (HEATUSED) |
| :---: | :---: | :---: | :---: |
| 7.12 | What is the main type of fuel you usually use for heating? (ENTER CODE FROM CODE BOX \#27) | [_—] | (HEATING) |

CODEBOX 27 - FUEL FOR HEATING

| $01=$ Bamboo | $05=$ Coal | $09=$ Kerosene/paraffin | $13=$ Shavings/sawdust |
| :--- | :--- | :--- | :--- |
| $02=$ Bio-gas | $06=$ Cow dung | $10=$ Leaves | $14=$ Straw/dead plants |
| $03=$ Branches | $07=$ Crop residue | $11=$ None |  |
| $04=$ Charcoal | $08=$ Gas/electricity | $12=$ Rice husk | $16=$ Wood |

SAY: I am now going to ask you whether the household owns specific items.
FIELDWORKER: Ask about each item. Then ask which five are most valuable and for these five, ask for the value

| 7.13 | Item | 7.13.1 Does anyone in the household own this item? $\begin{aligned} & 01=\mathrm{Yes}, 00=\text { No, } \\ & 77=\mathrm{NK} \end{aligned}$ <br> If No or NK, SKIP to next item. |  | 7.13.2 How many [NAME ITEM] does the household own? (Enter number, 00=none, 77=NK) |  | 7.13.3 Is this one of the five most valuable things you own from this list? $00=$ No 01=Yes |  | 7.13.4 If one of the five most valuable: If you were to sell this item, how much do you think they would fetch? (in VND) 77=NK, 88=N/A |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 | Working tractor | [_—] | (TRACTOR) | [__ ] | (NUMTRAC) | -_] | (VAL5TRAC) | [ _ $]$ | (SELLTRAC) |


| 02 | Farm equipment (pump, plough etc) | [ $-\ldots$ ] | (PUMP) | [--] | (NUMPUMP) | [——] | (VAL5PUMP) | [___] | (SELLPUMP) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 03 | Working sewing machine | [_-] | (SEWING) | [_-] | (NUMSEW) | [_-] | (VAL5SEW) | [__ ] | (SELLSEW) |
| 04 | Working television | [_-] | (TV) | [_-] | (NUMTV) | [_-] | (VAL5TV) | [__ ] | (SELLTV) |
| 05 | Working radio | [-_] | (RADIO) | [-_] | (NUMRADI) | [-_] | (VAL5RADI) | [ | (SELLRADI) |
| 06 | Working car/ truck/ automobile | [ - ] | (CAR) | [--] | (NUMCAR) | [--] | (VAL5CAR) | [__ ] | (SELLCAR) |
| 07 | Working motorbike/scooter | [ - - ] | (MOTOR) | [--] | (NUMMOTO) | [--] | (VAL5MOTO) | [__ ] | (SELLMOTO) |
| 08 | Working bicycle | [-_] | (BIKE) | [-_] | (NUMBIKE) | [_- ] | (VAL5BIKE) | [___] | (SELLBIKE) |
| 09 | Working landline telephone | [ - ] | (PHONE) | [ - ] | (NUMPHON) | [ - ] | (VAL5PHON) | [__ ] | (SELLPHON) |
| 10 | Working mobile/cell telephone | [-_] | (MOBPHONE) | [——] | (NUMMOBP) | [--] | (VAL5MOBP) | [__ ] | (SELLMOBP) |
| 11 | Working refrigerator | [_- ] | (FRIDGE) | [_-] | (NUMFRID) | [--] | (VAL5FRID) | [__ ] | (SELLFRID) |
| 12 | Electric oven/mitad | [ $-\ldots$ ] | (MITAD) | [——] | (NUMMITA) | [--] | (VAL5MITA) | [__ ] | (SELLMITA) |
| 13 | Table \& chair | [_-] | (TABCHAIR) | [_- ] | (NUMTABC) | [_-] | (VAL5TABC) | [__ ] | (SELLTABC) |
| 14 | Sofa | [_-] | (SOFA) | [_- ] | (NUMSOFA) | [_- ] | (VAL5SOFA) | [__ ] | (SELLSOFA) |
| 15 | Working Fan | [_-] | (FAN) | [_- ] | (NUMFAN) | [_-] | (VAL5FAN) | [__ ] | (SELLFAN) |
| 16 | Bedstead | [_-] | (BEDSTED) | [-_] | (NUMBEDS) | [-_] | (VAL5BEDS | [_] ] | (SELLBEDS) |


| 7.14 | Have you invested in the improvement of your dwelling in the last 4 years? $00=$ No, $01=$ Yes, $77=$ NK (If No, skip to Section 8) | [--] | (INVEST) |
| :---: | :---: | :---: | :---: |
| 7.14.1 | If yes, what have you invested in? <br> (Enter up to three codes from Code Box \#28) | $\begin{aligned} & {[--]} \\ & {[\ldots-]} \end{aligned}$ | (INVEST1) <br> (INVEST2) |

$\square$

| CODEBOX 28 - DWELLING IMPROVEMENT |  |  |
| :--- | :--- | :--- |
| $01=$ New/renovated bedrooms | $06=$ Improved wall finish | $11=$ Sanitation |
| $02=$ New/renovated kitchen | $07=$ Improved roof cover | $12=$ Water supply |
| $03=$ New/renovated bathroom | $08=$ Rebuild dwelling | $13=$ Other |
| $04=$ New/renovated living room | $09=$ Electric re-wiring |  |
| $05=$ Improved floor | $10=$ Central heating/gas servicing |  |

## SECTION 8 - CHILD CARE, EDUCATION AND ACTIVITIES

SAY: I am now going to ask you some questions about 'NAME'S' care arrangements, education and activities.

$$
\begin{array}{|l|l|l}
\hline 8.0 & \text { ID of respondent for this section (identify the respondent for this section using the ID from the household roster) }
\end{array}
$$

[__ ] ID8

## CHILD CARE AND PRESCHOOL EDUCATION

SAY: First I am going to ask you some questions about how NAME spent his/her time aged 0-36 months.
8.1 Between the ages of 0 and 36 months, was NAME regularly looked after at a (LOCAL NAME FOR FORMAL OR INFORMAL CRECHE/DAY CARE/FAMILY DAY CARE/ BABYSITTER), i.e. for a whole morning, afternoon, evening or night almost every week
[ $\qquad$ _]
00=No, 01=Yes, 77=NK
If $\mathrm{No}_{\mathrm{o}}>$ skip to 8.4

| 8.2 | Please tell me about all the crèches/day care centres that NAME attended |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 8.2.1 | 8.2.2 | 8.2 .3 | 8.2 .4 | 8.2 .5 | 8.2 .6 | 8.2.7 | 8.2 .8 |
| Crèche/ day care for children 036 months | How old was NAME (in months) when he/she first attended this NAME OF CRECHE? <br> (ENTER AGE IN MONTHS) -77=NK | How long did NAME attend NAME OF DAYCARE CENTRE for? <br> 01= $<6$ months, $02=6-12$ months, $03=>1$ year, 04=Still attending 77=NK | Who runs/ran this CRECHE? <br> 01=Private, 02=NGO/Charity/ Church (not for profit), 03=Public (Government), 04=Informal community (eg mothers cooperative), 05=other, 77=NK | Do/did you have to pay money or in kind for NAME to be able to attend? $00=\mathrm{No}$, 01=Yes, 77=NK | In your opinion, how good is standard of care at this CRECHE? <br> 01=Excellent, 02=Good, <br> 03=Reasonably ok, 04=Bad, <br> 05=Extremely bad, 77=NK <br> 79=Refused to answer, | How many days per week did NAME attend? <br> (ENTER <br> NUMBER OF DAYS/WEEK) | How many hours per day did NAME attend? <br> (ENTER NUMBER OF HOURS/DAY) -77=NK | Was it possible for you to visit the centre while the child was there for breastfeeding, checking the quality of care or any other reason? $\begin{aligned} & 00=\text { No, 01=Yes, } \\ & 77=N K \end{aligned}$ |
| (CRECHID) | (AGECRECH) | (CRECHDUR) | (CRECHTYP) | (PAYCRECH) | (CRCHQUAL) | (DAYSCREC) | (HRSCRECH) | (CRECHVIS) |
| 01 | [__ ] | [__ ] | [_—_] | [_——] | [_—_] | [__-] | [__ ] | [__-] |
| 02 | [___] | [___] | [___] | [__ ] | [___] | [___] | [___] | [___] |
| 03 | [__-] | [__-] | [___] | [___] | [___] | [__-] | [___] | [___] |

8.3 What were the main reasons that NAME attended ___ (INSERT LOCAL NAME FOR CRECHE) before 36 months? You can give up to 3 answers but please give the most important one first. (ENTER CODES FROM CODE BOX \# 29) SKIP TO 8.5

| $[\ldots \ldots]$ | $($ CRECHWH1) |
| :---: | :---: |
| $[\ldots \ldots]$ | $($ CRECHWH2 $)$ |
| $[\ldots \ldots]$ | $($ CRECHWH3 $)$ |


| CODEBOX 29 - REASONS FOR ATTENDING CRECHE/DAYCARE CENTRE/ PRESCHOOL |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $01=$ English language medium | $05=$ To keep child out of the way at home | $09=$ NAME wanted to go | $13=$ To provide safe care while <br> parents/caregivers worked in exchange <br> for money/goods |
| 02=Good education 06=It is normal for children in the area <br> to go to crèche/day care centre $10=$ There were no relatives or friends <br> available to help look after NAME <br> 03=To help NAME prepare for going to <br> school $07=$ NAME receives nutritious food there $11=$ Parents/caregivers unable to look <br> after NAME due to illness/disability <br> 04=It is important for NAME to mix with <br> other children his/her own age $08=$ NAME's siblings went there $12=$ To provide safe care while <br> parents/caregivers cared for other <br> children/family members |  |  |  |

8.4 If NAME never attended a CRECHE up to 36 months, what were the main reasons for this? You can give up to 3 answers but please give the most important one first. (ENTER CODES FROM CODE BOX \# 30)

| $[\ldots \ldots]$ | (NEVERCR1) |
| :---: | :---: |
| $[\ldots \ldots]$ | (NEVERCR2) |
| $[\ldots \ldots]$ | (NEVERCR3) |


| CODEBOX 30 - REASONS FOR NOT ATTENDING CRECHE/DAY CARE CENTRE |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Child too young | $05=$ NAME did not want to go | $09=$ Quality of care not very good |
| $02=$ Could not afford to pay fees/transport | $06=$ NAME needed to do other things | $10=$ Stigma and discrimination (child would not have been <br> welcome because of ethnic group/caste/socioeconomic group <br> etc). |
| $03=$ Did not know about crèche/day care centre | $07=$ NAME was cared for by older child <br> (sisters/brothers/cousins < 16yrs old) | $11=$ There was no crèche/day care centre within easy reach |
| 04=It is not customary; It was not considered <br> necessary/desirable | 08=NAME was cared for by other family <br> members inside or outside the home (aunts, <br> grandparents, etc.) | $12=$ Other |

8.5 Who were the main people caring for NAME on a day to day basis between the age of 0 and 36 months (when they were not in the crèches already mentioned)? You can give up to 3 answers but please give the most important one first.

01=mother, 02=father, 03=grandmother, 04=grandfather, 05=older sister, 06=older brother, 07=neighbour, 08=servant/paid help, 09=Other, 10=Other relatives, 77=NK

| $[\ldots \ldots]$ | (HMCARE1) |  |
| :--- | :--- | :--- |
| $[\ldots \ldots]$ | (HMCARE2) |  |
|  | $[\ldots \ldots]$ | (HMCARE3) |

SAY: Now I am going to ask you some questions about NAME'S attendance at preschool or child care/crèche since the age of three.
8.6 Since the age of 36 months, has NAME regularly attended a LOCAL NAME FOR FORMAL AND INFORMAL PRESCHOOL i.e. for a whole morning afternoon, evening or night almost every week?
[___] _ ] $00=$ No, 01=Yes, 77=NK
If No, > Skip to 8.13

| 8.7 | Please tell me about the pre-schools that NAME has attended (or is still attending) since the age of 3. |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 8.7.1 | 8.7 .2 | 8.7.3 | 8.7.4 | 8.7 .5 | 8.7 .6 | 8.7.7 | 8.7 .8 |
| Preschool for children over 36 months | How old was NAME when he/she first went to this LOCAL NAME FOR PRESCHOOL ATTENDED? <br> (ENTER AGE IN MONTHS) -77=NK | How long did NAME attend PRESCHOOL for? <br> $01=<6$ months, $02=6-12$ months, $03=>1$ year, 04=still attending 77=NK | Who runs this LOCAL NAME FOR PRESCHOOL? <br> 01=Private 02=NGO/Charity/ Church (not for profit), 03=Public (Government), 04=Informal community (e.g. mothers cooperative), 05=Other, 77=NK | Do/did you have to pay money or in kind for NAME to be able to attend? $00=$ No, $01=$ Yes, 77=NK | In your opinion, how good is the quality of the care and teaching at this preschool? 01=Excellent, 02=Good, 03=reasonably ok, 04=bad, 05=extremely bad 79=refused to answer, 77=NK | How many days per week did/does NAME attend? -77=NK | How many hours per day did/does NAME attend? 77=NK | Was it possible for you to visit the centre while the child was there for checking the quality of care or any other reason? $\begin{aligned} & 00=\mathrm{No}, 01=\mathrm{Yes} \\ & 77=\mathrm{NK} \end{aligned}$ |
| (PREID) | (AGEPRESC) | (PRESCDUR) | (PRESCTYP) | (PAYPRESC) | (PRESCCRE) | (DAYSPRES) | (HRSPRESC) | (PRESCVIS) |
| 01 | [___] | [___] | [___] | [___] | [___] | [__ ] | [___] | [___] |
| 02 | [___] | [___] | [__ ] | [___] | [___] | [___] | [___] | [___] |
| 03 | [__ ] | [___] | [___] | [___] | [___] | [__ ] | [__ ] | [__ ] |

What were the main reasons that NAME attended a PRESCHOOL? You can give up to 3 answers but please give the most important one first. (ENTER CODES FROM CODE BOX \# 29)

| $[\ldots \ldots]$ | (PRESCH1) |
| :--- | :--- | :--- |
| $[\ldots \ldots]$ | $($ PRESCH2 $)$ |
| $[\ldots \ldots]$ | (PRESCH3) |

## CODEBOX 29 - REASONS FOR ATTENDING CRECHE/DAYCARE CENTRE/ PRESCHOOL

| 01=English language medium | $05=$ To keep child out of the way at home | $09=$ NAME wanted to go | $13=$ To provide safe care while <br> parents/caregivers worked in exchange <br> for money/goods |
| :--- | :--- | :--- | :--- |
| 02=Good education | $06=$ It is normal for children in the area <br> to go to crèche/day care centre | $10=$ There were no relatives or friends <br> available to help look after NAME | $14=$ To get religious education |
| 03=To help NAME prepare for going to <br> school | $07=$ NAME receives nutritious food there | $11=$ Parents/caregivers unable to look <br> after NAME due to illness/disability | $15=$ Other |
| 04=It is important for NAME to mix with <br> other children his/her own age | $08=$ NAME's siblings went there | $12=$ To provide safe care while <br> parents/caregivers cared for other <br> children/family members |  |


| 8.9 | Is NAME currently attending a PRESCHOOL? (FIELDWORKER: TAKE THIS INFORMATION FROM THE EARLIER TABLE, Q 8.7.2) $00=\text { No, 01=Yes, 77=NK }$ <br> IF NO > 8.11 | [_—] | (CURPRESC) |
| :---: | :---: | :---: | :---: |
| 8.10 | How would you say that NAME is faring at preschool? 01= excellent; 02=good; 03=reasonably well; 04=poorly; 05=very bad; 77=NK; 88=N/A (SKIP TO 8.14) | [__ ] | (PERPRESC) |
| 8.11 | If no longer attending, how old was NAME when s/he stopped attending? <br> (ENTER AGE IN MONTHS, -77=NK) | [ $-\ldots$ ] | (FINPRESC) |
| 8.12 | Why did NAME stop attending? <br> You can give up to 3 answers but please give the most important one first. <br> (ENTER CODES FROM CODE BOX \# 31) <br> > SKIP TO 8.14 | $\begin{aligned} & {[\ldots-]} \\ & {[-\ldots]} \\ & {[-\ldots]} \end{aligned}$ | (STOPAT1) <br> (STOPAT2) <br> (STOPAT3) |

CODEBOX 31 - REASONS STOPPED ATTENDING PRESCHOOL

| CODEBOX 31 - REASONS STOPPED ATTENDING PRESCHOOL |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: | :---: | :---: |
| 01=Child became too old to continue | 06=NAME did not like attending | $11=$ Older child <br> (sisters/brothers/cousins <16yrs old) <br> became able to care for NAME | 16=Quality of education (teaching and <br> learning) not very good |  |  |  |
| 02=Child moved with family to area <br> with no preschool | 07=NAME needed to do other things | $12=$ Other family members (aunts, <br> grandparents, etc.) became able to care <br> for NAME | 17=Stigma and discrimination (child <br> was not welcome because of ethnic <br> group/caste/socioeconomic group etc). |  |  |  |
| 03=Child moved without family to area <br> with no preschool | 08=NAME was asked to leave | $13=$ Preschool closed | $18=$ Teachers are indifferent |  |  |  |
| 04=Couldn't afford incidental costs <br> (books, transport, clothes) | $09=$ NAME was bullied | $14=$ Preschool did not function regularly | $19=$ Other |  |  |  |
| 05=Couldn't afford to pay fees | $10=$ NAME was ill and couldn't <br> continue | $15=$ Quality of care (food, non- <br> educational care) not very good |  |  |  |  |


[——]
(NEVERPR1) but please give the most important one first (ENTER CODES FROM CODE BOX \# 32)
[_—]
(NEVERPR2)
(NEVERPR3)

## CODEBOX 32- REASONS NEVER ATTENDED PRESCHOOL

| 01=Could not afford to pay <br> fees/transport | $05=$ NAME did not want to go | $09=$ Physical disability | $13=$ There was no preschool within easy <br> reach |
| :--- | :--- | :--- | :--- |
| 02=Did not know about preschool | $06=$ NAME needed to do other things | $10=$ Quality of care (food, non- <br> educational care) not very good | $14=$ Too young |
| 03=It was not considered <br> necessary/desirable | $07=$ NAME was cared for by older child <br> (sisters/brothers/cousins <16yrs old) | $11=$ Quality of the education(teaching <br> and learning) not very good | $15=$ Other |
| $04=$ Mental disability | 08=NAME was cared for by other <br> family members (aunts, grandparents, <br> etc.) | $12=$ Stigma and Discrimination (child <br> would not have been welcome because <br> of ethnic group/caste/socioeconomic <br> group etc). |  |

SAY: Now I want to ask you about NAME starting school

| 8.14 | Has NAME begun formal school (i.e. formal primary/ first grade)? $00=$ No, 01=Yes <br> If No, skip to 8.16 | [_—] | (HASSTRT) |
| :---: | :---: | :---: | :---: |
| 8.15 | At what age did NAME start formal school? (ENTER AGE IN YEARS AND MONTHS, 77=NK). Skip to 8.18 | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots \ldots]} \end{aligned}$ | (STRTYR) <br> (STRTMTH) |
| 8.16 | If NAME has not yet begun formal school, (i.e. formal primary/ first grade), at what age do you expect NAME to begin school? (ENTER AGE IN YEARS, 66=Never, 77=NK) | [__] | (WHENSTRT) |

FIELDWORKER: IF 8.16 IS AN AGE ABOVE THE COUNTRY SPECIFIC START AGE OR NEVER EXPECTS TO ATTEND SCHOOL THEN ASK 8.17. IF THE AGE IS THE SAME OR BELOW THE COUNTRY SPECIFIC START AGE, SKIP TO 8.18.

Why do you expect NAME will begin school later than COUNTRY SPECIFIC age or never to go to school? You can give up to 3 answers but please give the most important one first.

|  | (ENTER CODES FROM CODE BOX \# 33) | [__] | (WHLATE3) |
| :--- | :--- | :--- | :--- |


| CODEBOX 33 - REASONS EXPECT NAME TO START SCHOOL LATE |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01=Fees too expensive | 08=Truancy, child does not want to go, <br> not interested | $15=$ No need for schooling for future <br> job. | 22= Family member ill/disabled/elderly <br> (including care for this family member) |
| 02= Books and/or other supplies too <br> expensive | 09= Failure to achieve necessary <br> grade/level at school | $16=$ Need to learn a trade/skill, e.g. <br> through apprenticeship so went to work | 23= Family issues e.g. problems at <br> home - parent disputes/marital conflict |
| 03= Shoes/Clothes/Uniform for school <br> too expensive | $10=$ Quality of education at school <br> (teaching and learning) poor | $17=$ Need to stay home to look after <br> siblings | $24=$ Stigma and Discrimination (child <br> was not be welcome because of ethnic <br> group/caste/socioeconomic group etc). |
| 04= Transport too expensive | $11=$ Quality of care (food, non- <br> educational care) poor | $18=$ Needed for domestic and/or <br> agricultural work at home (include <br> chores, farm work, harvest) | $25=$ Other |
| $05=$ School too far from home | $12=$ No sanitation facilities at school | $19=$ Have to do paid work to earn <br> money(including agricultural work <br> other than for household) |  |
| 06=Not safe to travel to school | $13=$ Worried about bullying/abuse from <br> peers | $20=$ It's not appropriate for girls to go <br> to/continue at school |  |
| $07=$ Lack of transport | $14=$ Worried about ill-treatment/abuse <br> from teachers/principal | $21=$ Disability, Illness |  |

SAY: Think about the primary school that NAME attends/is most likely to attend.

| 8.18 | What type of school is NAME attending/likely to attend? <br> O1=Private, O2= NGO/Charity/Religious, 03= Public (Government), <br> 04=Informal Community (e.g. mothers' cooperative), 05=Other, $77=N K, 88=N / A$ | [ - - ] | (QUALSCH) |
| :--- | :--- | :--- | :--- |
| 8.19 | Is this a single-sex school? <br> (OO=No, $01=$ Yes, $77=N K, 88=N / A)$ | [ - - ] | (QOCSCH) |

Why have you chosen this school for NAME to attend?
You can give up to 3 answers but please give the most important one first. (ENTER CODES FROM CODE BOX \# 34)

$$
[\ldots-]
$$

$$
[\ldots-]
$$

$$
[\ldots-]
$$

CODEBOX 34- REASONS FOR CHOICE OF PRIMARY SCHOOL

| CODEBOX 34- REASONS FOR CHOICE OF PRIMARY SCHOOL |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: |
| $01=$ School is near to home | $03=$ No school fees | $05=$ Other household children attend <br> this school | $07=$ Other |  |
| $02=$ No other option (only school in <br> area) | $04=$ Good quality teaching and care | $06=$ Single sex school |  |  |


| 8.21 | How long does/would it take NAME to get to school? (minutes) $-77=N K$ | [_—] | (TMSCHMIN) |
| :---: | :---: | :---: | :---: |
| 8.22 | How does/would NAME get to school? (PICK THE USUAL METHOD OF TRANSPORT) 01=walk, 02=bicycle, 03-family car, 04=school bus, 05=public bus/coach/shared taxi, 06=private hire taxi, 07=rickshaw, 08=Other, 09=Motorbike/scooter, 77=NK | [_—] | (TRANSSCH) |
| 8.23 | When travelling to school does/would NAME feel in danger? $00=\text { No, 01=Yes, 77=NK }$ <br> IF NO > SKIP TO 8.25 | [_—] | (DANGSCH) |
| 8.24 | What are the main risks? <br> (You can choose up to three, but please list the most important risk first.) 01=traffic, 02=Harassment/abuse from other children, 03= Rebels/thieves, 04=Harassment from authorities (police, local officials), 05=Natural hazards e.g. floods, 06=Other, 77=NK | $\begin{aligned} & {[\ldots-]} \\ & {[--]} \\ & {[=-]} \end{aligned}$ | (SCHRISK1) <br> (SCHRISK2) <br> (SCHRISK3) |
| 8.25 | Does/Would NAME usually go alone or with his/her parents or other adults or other children? 00=Alone, 01=With parents/adults, 02=Other children | [_—] | (TRAVALON) |

SAY: I am now going to ask you about how NAME spent their time yesterday/on $x x x d a y$ (last non-holiday).

| 8.26 | What activities did NAME do yesterday/on $x \times x$ day? |  |  |
| :---: | :---: | :---: | :---: |
|  | 8.26 .1 | 8.26 .2 | 8.26 .3 |
|  | Which of the activities did NAME do yesterday/on $x \times x$ day (last non-holiday)? (ENTER CODE FROM CODE BOX \# 35) | Who was with NAME during this activity? <br> (ENTER CODE FROM CODE BOX \# 36) | Was NAME able to choose whether or not to do this activity? <br> $00=\mathrm{No}, 01=$ Yes, 02=Sometimes, 77=NK |
| (ACTID) | (ACTYEST) | (WHOACT) | (CHOICE) |
| 01 | [_- ] | [_- ] | [_- ] |
| 02 | [_- ] | [_-_] | [_-_] |
| 03 | [_-_] | [_-_] | [_-_] |
| 04 | [_-_] | [_-_] | [_-_] |
| 05 | [_-_] | [_- ] | [_- ] |
| 06 | [_-_] | [_- ] | [__] |
| 07 | [_-_] | [_- ] | [__] |
| 08 | [-_] | [-_] | [_- ] |


| CODEBOX 35 - ACTIVITIES YESTERDAY |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $01=$ School/Creche/Preschool | 07= Caring for animals belonging to the <br> household | $13=$ Using the Internet | $19=$ Sick at home |
| $02=$ Homework/tuition | $08=$ Working on household's farm | $14=$ Paid work outside the household | $20=$ Accompanying another person to <br> their work |
| 03= Caring for younger children from <br> the household | 09= Visiting mosque/church/other place <br> of worship | $15=$ Making handicrafts (for sale) | $21=$ Going to the market |
| 04= Caring for elderly and/or <br> sick/disabled members of the family | $10=$ Spending (leisure) time with friends | $16=$ Taking younger children from the <br> household to crèche/playschool | 22=Playing with pets |
| 05= Domestic chores within the home <br> (cooking, cleaning, laundry, washing <br> dishes) | $11=$ Playing computer games | $17=$ Playing with younger children from <br> the household | $23=$ Other |
| 06= Collecting firewood or water for the <br> household | $12=$ Watching television/videos/DVDs | $18=$ Visiting relatives |  |

## CODEBOX 36- WHO WAS WITH CHILD DURING ACTIVITY

| $01=$ Parents (one or both) | $05=$ Other unrelated adults | 09=Other related children not from the <br> household |
| :--- | :--- | :--- |
| $02=$ Other related adults from the household | $06=$ Older sibling(s) | $10=$ Other unrelated children |
| $03=$ Related adults not from the household | $07=$ Younger sibling(s) | $11=$ Friends |
| $04=$ Unrelated adults from the household | $08=$ Other related children from the household | $12=$ Nobody (child was alone) |

SAY: I now want you to think about the last year of NAME's life.

| 8.27 | Has NAME done anything in the last 12 months to get money or things for themselves or their family? <br> OO=No, 01=Yes, $77=$ NK <br> IF NO, $>$ SKIP TO Section 9 | [___] | (CHLDWORK) |
| :--- | :--- | :--- | :--- |


| 8.28 | Please give details of these activities |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 8.28 .1 | 8.28 .2 | 8.28 .3 | 8.28 .4 |
|  | What were these activities? (FIELDWORKER: enter each type of activity not each individual job) (ENTER CODES FROM CODE BOX \# 37) | What form of payment was received or is expected for this activity? 01=Money, 02=In kind, 03=Both, 04=Debt relief, 77=NK | Did NAME get to keep all or some of the payment for this activity? $00=\mathrm{No}$, none, $01=$ Yes, all of it, $02=Y$ es, some of it, 77=NK | Which activity did NAME spend most time on during the year? <br> 01=most time |
| (WORKI <br> D) | (WORKACT) | (WORKPAY) | (PAYNAME) | (RANKPAY) |
| 01 | [_- ] | [_-] | [_- ] | [_- ] |
| 02 | [_- ] | [_-] | [_- ] | [_- ] |
| 03 | [_- ] | [_- ] | [_- ] | [_- ] |
| 04 | [_- ] | [_- ] | [_- ] | [_-_] |
| 05 | [_- ] | [_-_] | [_-_] | [_-_] |
| 06 | [_- ] | [_-_] | [_-_] | [_-_] |
| 07 | [_- ] | [_-_] | [_-_] | [_-_] |
| 08 | [_- ] | [_-_] | [_- ] | [_-_] |


| CODEBOX 37-PAID ACTIVITIES |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: |
|  | 01=Farm work outside your own household (inc. plantations/other households) | 05=Working for wage in non-agricultural activities, e.g. in <br> mine/workshop/factory/construction |  |  |
| 02=Domestic chores (work or childcare) for another household | 06=Domestic chores or farm work inside household for which pocket money <br> received. |  |  |  |
| $03=$ Making handicrafts/piece work (within home but for sale) | $07=$ Other |  |  |  |
| $04=$ Selling goods or services |  |  |  |  |

## SECTION 9: CHILD HEALTH



SAY: Now I am going to ask you some questions about 'NAME's health.

| 9.1 | Compared to other children of this age would you say 'NAME's health is the same, better or worse? 01=Same, 02=Better, 03=Worse, 77=NK | [_—] | (HEALTHY) |
| :---: | :---: | :---: | :---: |
| 9.2 | In the last 3 years has NAME had one or more serious illnesses or injuries when you really thought s/he might die? $00=\text { No, 01=Yes, 77=NK }$ <br> If No or NK, $>$ Skip to 9.5 | [_—] | (MIGHTDIE) |


| 9.3 | If NAME had one or more serious illnesses, what were the illnesses? IF NO ILLNESSES > SKIP TO 9.4. |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 9.3.1 | 9.3. 2 | 9.3.3 | 9.3.4 | 9.3.5 |
| Illness number | Illness <br> (ENTER CODE FROM CODE BOX \#38) | Did you seek treatment or advice for this illness at any point? <br> $00=$ No, 01=Yes, 77=NK, <br> If No or NK (77), > skip to next illness | From where did you seek this treatment/advice (if more than one put in order of attendance)? <br> (ENTER CODE FROM CODE BOX \#39) | Did you have to pay for any of this treatment? $\begin{aligned} & 00=\text { No, } 01=\text { Yes, } 77=\mathrm{NK}, \\ & 88=\mathrm{N} / \mathrm{A} \end{aligned}$ | How long did it take you to get to the first treatment place? <br> 01=less than 30 minutes, 02=less than 1 hour 03=less than 2 hours 04=more than 2 hours 77=NK, 88=N/A |
| (ILLID) | (ILLDIE) | (ILLTRT) | (TRTILL1)(TRTILL2) (TRTILL3) | (PAYILL) | (DISILL) |
| 01 | [___] | [___] | [__ $]$ [ $-\ldots$ ] | [___] | [___ ] |
| 02 | [___] | [___] | [___][_-_][_- ] | [__-] | [___ ] |
| 03 | [___] | [___] | [___][_- $]$ [__ ] | [___] | [___] |


| CODEBOX 38 - SERIOUS ILLNESS |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Malaria/High fever | 08=Hepatitis | 15=Brain tumour | 22=Parasitic infection/worms |
| 02=Pneumonia | 09=Tuberculosis | 16=Other tumour | 23=Nose bleed |
| 03=Diarrhoea \& vomiting | 10=Epilepsy | 17=Heart problem | 24=Leach |
| 04=Asthma/respiratory problem | 11-Influenza | 18= Skin diseases | 25=Midge (general name for a number of diseases) |
| 05=Malnutrition | 12=Typhoid | 19=Problems with drugs and medicines | 26=Allergies |
| 06=Anaemia | 13=HIV/AIDS | $20=$ Fatigue | $30=$ Other |
| 07=Dengue fever | 14=Other Infectious disease | 21=Migraine |  |


| CODEBOX 39 - TREATMENT FACILITIES |  |  |  |
| :--- | :--- | :--- | :--- |
| GOVERNMENT | NON-GOVERNMENT (NGO) | $12=$ Pharmacy | 19=Self-medication |
| $01=$ Hospital | $07=$ Health facility | 14 (ther private | $20=$ Faith-healer/counsellor |
| $02=$ Health Centre | $08=$ Community-based outlet | OTHER SOURCE | $21=$ Other |
| $03=$ Health station/clinic | $09=$ Other NGO | $15=$ Drug vendor |  |
| $04=$ Health post | PRIVATE | $16=$ Shop |  |
| $05=$ Community-based outlet | $10=$ Private hospital | $17=$ Relative/friend |  |
| $06=$ Other government | $11=$ Private doctor/clinic | $18=$ Herbalist/traditional healer |  |
|  |  |  |  |


| 9.4 | If they had one or more serious injuries, what were the injuries? If no injuries then skip to 9.5 |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 9.4.1 | 9.4.2 | 9.4.3 | 9.4.4 | 9.4.5 | 9.4.6 |
| Injury number | Injury (ENTER CODE FROM CODE BOX \# 40) | What was the cause of this injury? <br> (ENTER CODE FROM CODE BOX \# 41) | Did you seek treatment or advice for this injury at any point? $00=$ No, 01=Yes, 77=NK IF NO, > SKIP TO NEXT INJURY | From where did you seek this treatment/advice (if more than one put in order of attendance)? <br> (ENTER CODE FROM CODE BOX \# 39) | Did you have to pay for any of this treatment? $00=\mathrm{No}, 01=$ Yes, $77=\mathrm{NK}$ | How long did it take you to get to the first treatment place? <br> 01=Less than 30 minutes, 02=Less than one hour, 03=Less than two hours, 04 More than two hours, 77=NK |
| (INJID) | (INJURY) | (INJCAUSE) | (INJTRT) | (TRTINJ1) (TRTINJ2) (TRTINJ3) | (PAYINJ) | (DISINJ) |
| 01 | [___] | [__ ] | [___] |  | [___] | [__ ] |
| 02 | [__ ] | [__ ] | [__ ] | [__-][_- $][\ldots$ ] | [__ ] | [__ ] |
| 03 | [ | - ] | _] | $\ldots][\ldots-\ldots[\ldots]$ | [__ ] | ] |


| CODEBOX 40 - SERIOUS INJURIES |  |  |
| :---: | :---: | :---: |
| 01 = Brain injury | 06= Eye/ sight loss | 11- Other |
| 02= Broken bone | 07=Hearing loss |  |
| $03=$ Burn | 08= Poisoning |  |
| 04=Cut/ laceration | 09= Swollen muscles/ bruising |  |
| 05= Concussion | $10=$ Teeth loss |  |


| CODEBOX 41 - INJURY CAUSES |  |  |
| :---: | :---: | :---: |
| 01 = Accident while working outside dwelling | 06= Fire related incident | $11=$ Victim of physical assault by adult |
| 02= Accident while working in dwelling | 07= Food poisoning | 12= Other |
| 03= Animal/ insect/snake bite | 08= Kicked by animal |  |


| 04= Driving accident (refers to injuries if the <br> child was a passenger in a vehicle that was in an <br> accident) | $09=$ Problems caused by drugs and medicines |  |
| :--- | :--- | :--- |
| $05=$ Fighting with other children | $10=$ Traffic (refers to injuries if child was hit by a <br> vehicle when not in a vehicle, e.g. child was near a <br> road, walking, biking, sitting, etc. outside of a <br> vehicle) |  |


| 9.5 | Does 'NAME' have any long term health problems that affect their daily life? <br> OO=No, O1=Yes, $77=$ NK <br> If No or NK $(77),>$ Skip to 9.7 | [ _ _ _ ] | (LONGTERM) |
| :--- | :--- | :--- | :--- |


| 9.6 | What are the long term health problems? |  |  |
| :---: | :---: | :---: | :---: |
|  | 9.6.1 | 9.6.2 | 9.6.3 |
|  | Health problem (ENTER CODE FROM CODE BOX \# 42) | How much treatment has NAME had in the last twelve months? 00=None, 01=Once a year, 02=Monthly, 03=Weekly, 04=Daily, 77=NK, 88=NA <br> If None (00), $>$ Skip to next health problem | In the past 12 months, how much has the household spent on treatment for this health problem? |
| (HEALTHID) | (HEALTH) | (HTHTRT) | (TREATEXP) |
| 01 | [___] | [___] | [ |
| 02 | [_-_] | [_-_] | [ $\quad$ - _ ] |
| 03 | [__ ] | [__ ] | [__ _ ] |


| CODEBOX 42 - LONG TERM HEALTH PROBLEMS |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Physical disability | $06=$ Skin problems/Eczema | $11=$ Sight problems | $16=$ Congenital illness |
| $02=$ Mental disability | $07=$ Anaemia | $12=$ Speech problems | $17=$ Other |
| $03=$ Fits/Epilepsy | $08=$ HIV/AIDS | $13=$ Allergies |  |


| 04=Asthma/respiratory problem | $09=$ Digestive problems | $14=$ Tooth decay |  |
| :--- | :--- | :--- | :--- |
| $05=$ Malnutrition | $10=$ Hearing problems | $15=$ Ear ache |  |


| 9.7 | Now I am going to ask you some questions about NAME's ability to do certain things. Please describe how easy or difficult the following actions are for NAME (PROMPT IF NECESSARY) | 01=Easily, 02=With difficulty, 03=Not at all, 77=Not known |  |
| :---: | :---: | :---: | :---: |
| 01 | FIELDWORKER OBSERVE IF NAME IS PRESENT: Can NAME walk? | [___] | (DISAB01) |
| 02 | Can NAME see as well as other children his/her age? | [___] | (DISAB02) |
| 03 | Can NAME hear as well as other children his/her age? | [_—] | (DISAB03) |
| 04 | Can NAME understand what you are saying as well as other children his/her age? | [__ ] | (DISAB04) |
| 05 | Can NAME move their arms and legs freely? | [_-_] | (DISAB05) |
| 06 | Can NAME learn to do things like other children his/her age? | [__] | (DISAB06) |
| 07 | Can NAME speak like other children his/her age? | [_-_] | (DISAB07) |
| 08 | Can NAME feed themselves as well as other children his/her age? | [_-_] | (DISAB08) |
| 09 | Can NAME make friends with other children? | [__] | (DISAB09) |


| 9.8 | Does NAME have a vaccination card? $00=$ No, 01=Yes, 77=NK |  | [_- ] | (VACCARD) |
| :---: | :---: | :---: | :---: | :---: |
| 9.9 | Has NAME ever had any of the following immunizations? |  | 00=No, 01=Yes, 77=NK |  |
|  |  | BCG <br> Measles <br> DPT (3 courses) <br> OPV (polio) <br> HIB | $\begin{aligned} & {[\ldots-]} \\ & {[-\ldots]} \\ & {[\ldots-]} \\ & {[-\infty]} \\ & {[-\infty]} \end{aligned}$ | (BCG) <br> (MEASLES) <br> (DPT) <br> (OPV) <br> (HIB) |


| 9.10 | Have you received a free medical examination/treatment card for NAME? 00=No, 01=Yes, 77=NK <br> If No, skip to 9.16 | [_—] | (VNMDCARD) |
| :---: | :---: | :---: | :---: |
| 9.11 | Have you ever used this free medical examination/treatment card when NAME was injured or ill? $00=$ No, 01=Yes, 77=NK <br> If No, skip to 9.15 | [_—] | (VNMDUSED) |
| 9.12 | How would you assess the attitude of the medical staff on the occasion you used the medical examination/treatment card for NAME? <br> 01=Very good, 02=Good, 03=Neutral, 04=Poor, 05=Very poor, 77=NK, 79=Refused to answer | [__] | (VNMDATTI) |
| 9.13 | How would you assess the quality of the medical facilities on the occasion you used the medical examination/treatment card for NAME? <br> 01=Very good, 02=Good, 03=Neutral, 04=Poor, 05=Very poor, 77=NK, 79=Refused to answer | [__] | (VNMDQUAL) |
| 9.14 | How would you assess the effect of the examination or treatment of NAME on the occasion you used the medical examination/treatment card for NAME? <br> 01=Very good, 02=Good, 03=Neutral, 04=Poor, 05=Very poor, 77=NK, 79=Refused to answer | [__] | (VNMDEFFE) |
| 9.15 | If you did not use the free medical examination/treatment card on the occasion that NAME was injured or ill, what was the reason? (You can provide up to two answers, but please give the most important reason first.) <br> ENTER CODES FROM CODEBOX \# 43) | $[\ldots \ldots]$ | (VNYNOUS1) <br> (VNYNOUS2) |


| CODEBOX 43 - REASONS FOR NOT USING EXAMINATION CARD |  |  |
| :--- | :--- | :--- | :--- |
| O1 = The card is not accepted at the medical facility <br> (private medical facility) | 04 = I did not want to use this card again after a <br> previous bad experience due to medical staff's poor <br> attitude toward me | O7= I have not used this card before but I expect that <br> medicines will not be allocated effectively |
| 02= Household has enough money to provide better <br> medical services than provided with this card | 05= I have not used this card before but I expect <br> the medical staff to have a poor attitude toward me | $08=$ Other |
| 03= This card was not accepted for reasons I do not <br> understand | 06= I did not want to use this card again after a <br> previous bad experience due to poor allocation of <br> medicines | $77=N K$ |


| 9.16 | Food frequency: During the previous 24-hour period (or when child was last well), did NAME consume: | 00=No, 01=Yes, 77=NK |  |
| :---: | :---: | :---: | :---: |
| 01 | Any food before a morning meal | [__ $]$ | (FOODFRQ1) |
| 02 | A morning meal | [__ ] | (FOODFRQ2) |
| 03 | Any food between morning and midday meals | [_—] | (FOODFRQ3) |
| 04 | A midday meal | [_-_] | (FOODFRQ4) |
| 05 | Any food between midday and evening meals | [_-_] | (FOODFRQ5) |
| 06 | An evening meal | [_-_] | (FOODFRQ6) |
| 07 | Any food after the evening meal | [__] | (FOODFRQ7) |
|  | Total added automatically do not fill | [__ ] | (FOODTOT) |

FIELDWORKER: ESTABLISH FIRST IF CHILD IS VEGETARIAN. IF YES PUT 88 IN \# 06, \#07.

| 9.17 | During the previous 24-hour period did NAME consume any of the following |  |  |
| :---: | :---: | :---: | :---: |
|  |  | Did NAME consume item? $00=$ No, 01=Yes, 77=NK, 88=N/A |  |
| 01 | Cereals (maize, rice, bread) | [__ ] | (FDDIV01) |
| 02 | Roots/Tubers (potato, cassava) | [_-_] | (FDDIV02) |
| 03 | Legumes (lentils, beans, peas) + nuts | [_-] | (FDDVI03) |
| 04 | Milk/Milk Products (milk, yogurt, cheese) | [_-] | (FDDIV04) |
| 05 | Eggs | [__] | (FDDIV05) |
| 06 | Meat/offal | [_-_] | (FDDIV06) |
| 07 | Fish/Seafood | [__] | (FDDIV07) |
| 08 | Oil/Fat (butter, vegetable oil, palm oil) | [_-] | (FDDIV08) |


| 09 | Sugar/Honey |  | [_—] | (FDDIV09) |
| :---: | :---: | :---: | :---: | :---: |
| 10 | Fruits (banana, orange, mango) |  | [_-_] | (FDDIV10) |
| 11 | Vegetables (spinach, onion, carrot) |  | [_-_] | (FDDIV11) |
|  |  | Total added automatically do not fill | [_——] | (FDDIVTOT) |

NOTE: Substitute local foods as examples in each food group. Record the total number of food groups consumed by the child.

SAY: Now I am going to ask you about eating patterns within the household.

| 9.18 | Has the household had any food shortages in the last 12 months? $00=$ No, 01=Yes, 77=NK, If no, skip to section 10. | [__ ] | (FOODSHRT) |
| :---: | :---: | :---: | :---: |


| 9.19 | 9.19.1 In times of food shortage, does anyone in the household do the following: | $00=\text { No, 01=Yes, 77=NK, 88=NA }$ <br> If No to all, skip to section 10. |  | 9.19.2 If yes, who does this affect most? (INSERT CODES FROM CODEBOX \# 44) |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 01 | Eating foods that are less preferred? | [__ ] | (FOODPREF) | [___] | (FDPREFID) |
| 02 | Limiting portion size? | [ _ - ] | (PORTION) | [__-] | (PORTID) |
| 03 | Skipping meals? | [__ ] | (SKIPMEAL) | [___] | (SKIPMLID) |
| 04 | Skipping eating for a whole day? | [__ ] | (SKIPDAY) | [__ | (SKIPDYID) |
| 05 | Borrowing food or money to buy food? | [__ ] | (BORROW) | [__ _ ] | (BORROWID) |
| 06 | Is anybody in the house forfeiting their meals for other household members? | [__ ] | (BUFFER) | [__ $]$ | (BUFFERID) |


| CODEBOX 44 - FAMILY COMBINATIONS |  |  |
| :---: | :---: | :---: |
| 01=Family | 05=All males | 09=Girls |
| 02=All adults | 06=Other | 10=Boys |
| 03=All children | 07=Adult male | 11=Adult male + girls |

## SECTION 10 - ANTHROPOMETRY

| 10.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household <br> roster) | [___] | ID10 |
| :--- | :--- | :--- | :--- | :--- | :--- |

SAY: Now I am going to weigh and measure 'NAME'. First I want to ask you what you think about 'NAME'S' height and weight.

| 10.1 | Compared to other children of this age would you say 'NAME's' weight is the same, heavier or lighter? 01=Same, 02=Heavier, 03=Lighter, 77=NK | [_—] | (COMPWEIG) |
| :---: | :---: | :---: | :---: |
| 10.2 | Compared to other children of this age would you say 'NAME's' height is the same, taller or shorter? 01=Same, 02=Taller, 03=Shorter, 77=NK | [_—] | (COMPHEAL) |

SAY: I have to use XXXX to make sure the measurements are right, this won't hurt. I am going to ask you to XXXX.
MAKE SURE THE CHILD IS WEARING ONLY LIGHT CLOTHES

| 10.3 | SCALE CHECKED (TICK)? | [ ] | (SCALTCK1) |
| :---: | :---: | :---: | :---: |
| 10.4 | First child weight TO NEAREST 0.1 KG | [__ . _] | (CHWT1) |
| 10.5 | Second child weight TO NEAREST 0.1 KG? | [__ . _ ] | (CHWT2) |
| 10.6 | Agreed child weight TO NEAREST 0.1 KG? | [__-_] | (CHWEIGHT) |
| 10.7 | First child height MEASURE TO NEAREST 0.1 CM | [__-_ | (CHHT1) |
| 10.8 | Second child height MEASURE TO NEAREST 0.1 CM | [_-_ . $]$ | (CHHT2) |
| 10.9 | Agreed child height MEASURE TO NEAREST 0.1 CM | [__-_ ${ }^{\text {c }}$ ] | (CHHEIGHT]) |
| 10.10 | Why was child not measured? <br> $01=$ Child not present, $02=$ Caretaker refused, $03=$ Child ill, $04=$ Child refused, $05=$ Other, | [_—] | (NOTMEAS) |

## $77=$ NK, 88=N/A (child measured)

SAY: Now I would like to record your [the mother's] measurements. I have to use $X X X X$ to make sure the measurements are right, this won't hurt. I am going to ask you to XXXX.
MAKE SURE THE MOTHER IS WEARING ONLY LIGHT CLOTHES

| 10.11 | SCALE CHECKED (TICK)? | [ ] | (SCALTCK2) |
| :---: | :---: | :---: | :---: |
| 10.12 | First maternal weight TO NEAREST 0.1 KG | [__ . __] | (MTWT1 |
| 10.13 | Second maternal weight TO NEAREST 0.1 KG ? | [_-_ _ ] | (MTWT2) |
| 10.14 | Agreed maternal weight TO NEAREST 0.1 KG ? | [__ . _ ] | (MTWEIGHT) |
| 10.15 | First maternal length MEASURE TO NEAREST 0.1 CM | [_-_-_] | (MTHT1) |
| 10.16 | Second maternal length MEASURE TO NEAREST 0.1 CM | [_-_-_] | (MTHT2) |
| 10.17 | Agreed maternal length MEASURE TO NEAREST 0.1 CM | [_-_-_] | (MTHEIGHT) |
| 10.18 | Why was the mother not measured? <br> $01=$ Mother not present, $02=$ Mother refused, $03=$ Mother ill, $04=$ Other, <br> $77=\mathrm{NK}, 88=\mathrm{N} / \mathrm{A}$ (mother measured) | [__ ] | (NTMEASMT) |

## SECTION 11 - CAREGIVER PERCEPTIONS AND ATTITUDES

FIELDWORKERS: The respondent for this section must be the primary caregiver.
11.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)
__]

SAY: I am going to read some things that people sometimes say, think or feel. Please think about whether it sounds like something you might say, think or feel. I want you to choose one of these four cards. If it sounds like something you might say, think or feel, please choose one of the ticks (SHOW TICK CARDS). If it sounds a lot like you, please choose the big tick (SHOW BIG TICK=01). If it sounds a bit like you, please choose the small tick (SHOW SMALL TICK=02). If it doesn't sound like something you would say, think or feel, choose one of the crosses (SHOW CROSSES). If it sounds very unlike, or the opposite, of you, please choose the big cross (SHOW BIG CROSS=04). If it doesn'† really sound like you, please choose the small cross (SHOW SMALL CROSS=03). We will do a few practise questions first (FIELDWORKER: DO PRACTISE QUESTIONS UNTIL RESPONDENT UNDERSTANDS TASK - UP TO 3 TIMES).

Say: 'I like rice'
'I can run fast'
'I like cats'
SAY: I am going to read you some things that people sometimes say, think or feel. I want you to think whether it is something that you might say, think or feel. First, I want you to think about the area in which you live.

| 11.1 |  | Scale response (01-04), 77=NK |  |
| :---: | :---: | :---: | :---: |
| 01 | The nearest primary school provides a good quality education for children [+] | [_—] | (CSV1) |
| 02 | The nearest health centre provides a good quality health service for children [+] | [_—] | (CSV2) |
| 03 | The local police do their job well [+] | [——] | (CSV3) |
| 04 | People in this community can affect local government decisions that matter for people's lives [+] | [_—] | (CSV4) |
| 05 | Most people in this community are basically honest [+] | [_—] | (CSV5) |
| 06 | I believe the government does what is right for people like me [+] | [_—] | (CTR1) |
| 07 | I am confident of the ability of government officials to do their job [+] | [——] | (CTR2) |
| 08 | I feel I can trust my neighbours to look after my house if I am away [+] | [_—] | (CTR4) |
| 09 | I feel I can trust people in this community to look after NAME [+] | [ $-\ldots$ ] | (CTR5) |


| 10 | I think it is safe for NAME to go out on the street on their own [+] | [ _ _ ] |
| :--- | :--- | :---: | :---: |

SAY: Now, I want you to think about other aspects of your life.

| 11.2 |  | Scale response |
| :--- | :--- | :--- | :--- |
| (01-04), 77=NK |  |  |

FIELDWORKER CHECK: IS NAME IN SCHOOL? IF SO, ASK 11.2.13-11.2.14. IF NO, > SKIP TO 11.3.

| 11.2 |  |  |
| :--- | :--- | :---: | :---: |
| 13 | My children's teachers are unfriendly or rude to me | [__ ] |
| 14 | I can do little to help NAME do well in school, no matter how hard I try | [__ _ ] |

## SHOW LADDER/PICTURE OF LADDER

| 11.3 | There are nine steps on this ladder. Suppose we say that the ninth step, at the very top, represents the best possible <br> life for you and the bottom represents the worst possible life for you. <br> Where on the ladder do you feel you personally stand at the present time? <br> (RECORD STEP NUMBER 01-09, 77=NK, 88=NA) | [ _ - ] |  |
| :--- | :--- | :---: | :---: |


| 11.4 | Where do you think you will be on the ladder in four years from now? (RECORD STEP NUMBER 01-09, 77=NK, 88=NA) |  | [_—] | (FARLAD) |
| :---: | :---: | :---: | :---: | :---: |
| 11.5 | (If answer to 11.3 is 09 , skip to 11.6) <br> What would help you move up the ladder? (ENTER CODE FROM CODE BOX \# 45). You are allowed up to three answers but please give the most important one first. If answer to 11.3 is 09, answer 88=NA. |  |  |  |
|  | (HLPMOVE1) (HLPMOVE2) (HLPMOVE3) |  |  |  |
| 01 | [__ ] |  |  |  |
| 02 | [__-] |  |  |  |
| 03 | [_-_] |  |  |  |
| 11.6 | (If answer to 11.3 is 01 , skip to 11.7) <br> What would cause you to move down the ladder? (ENTER CODE FROM CODE BOX \# 46). You are allowed up to three answers but please give the most important one first. If answer to 11.3 is 01 , insert $88=N A$ ) |  |  |  |
|  | (HLPDOWN1) (HLPDOWN2) (HLPDOWN3) |  |  |  |
| 01 | [__-] |  |  |  |
| 02 | [_—] |  |  |  |
| 03 | [__ ] |  |  |  |

CODEBOX 45 - HELP MOVING UP THE LADDER

| CODEBOX 45 - HELP MOVING UP THE LADDER |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01=Making more money | $07=$ Improve my existing skills | $13=$ Better/more government loan <br> facilities | 19=Gambling/winning the lottery |
| 02=My children's education | $08=$ Learn new skills | $14=$ Learn to do things by themselves <br> /not be dependent on others | 20= $=$ Support of NGOs/other <br> organisations |
| 03=Leaving this town/migrating | $09=$ Send children to a hostel for education | $15=$ Work harder (additional work) | 21=Rise in prices |
| 04=Good luck/God's blessing | $10=$ Become a member of a local <br> organisation | $16=$ Good harvest | $22=$ Better credit facilities |
| 05=Government (local or national) <br> giving me opportunities such as Food <br> For Work, Cash for work, Food aid | $11=$ Support of husband | $17=$ Job security (continuous work) | $23=$ Receiving remittances |
| $06=$ Better health status | $12=$ Support of family | $18=$ Better job | $24=$ Other |


| CODEBOX 46 - REASONS FOR MOVING DOWN LADDER |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $01=$ Making less money | $08=$ Can't find steady work | $15=$ Children leaving home | $22=$ No support from NGOs/other <br> organisations |  |
| $02=$ Poor credit facilities | $09=$ Job is poor | $16=$ Children poorly educated | $23=$ Have to end education early |  |
| $03=$ Poor government loan facilities | $10=$ Can't improve skills | $17=$ Death/illness of household member |  |  |
| 04=Government (local or national) denying <br> opportunities such as FFW, Cash for work, <br> Food aid | $11=$ Poor harvest | $18=$ No support from family |  |  |
| $05=$ Poor health status | $12=$ Too dependent on others | $19=$ No support from spouse | $30=$ Other |  |
| $06=$ Gambling debts | $13=$ Not receiving remittances | $20=$ Marriage | $77=$ NK |  |
| $07=$ Rise/fall in prices | $14==$ Leaving this town/migrating | $21=$ Bad luck/Don't have God's blessing |  |  |

SAY: I am now going to ask you your opinion on various issues. There are no right and wrong answers; I just want to know what you think.
11.7 Imagine that a family in the VILLAGE/SUBURB has a 12 year old son who is attending school full-time. The family badly needs to increase the household income, one option is to send the son to work but the son wants to stay in school. [
(FAMSON) What should the family do?

01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know
FIELDWORKER CHECK RESPONSE TO QUESTION 2.1.1: DID RESPONDENT GO TO SCHOOL? IF YES, ASK QUESTION 11.8. IF NO, ASK QUESTION 11.9 (DO NOT ANSWER BOTH 11.8 AND 11.9. GO TO 11.10 AFTER EITHER ANSWER)

| 11.8 | Do you think that formal schooling has been useful in your life? <br> $00=$ No, it has not been useful, 01=Yes, it is essential, 02=Yes, but it is not essential, 77=NK <br> >SKIP TO 11.10 | [_—] | (SCUSEFUL) |
| :---: | :---: | :---: | :---: |
| 11.9 | Do you think that formal schooling would have been useful to you in your life? $00=\mathrm{No}$, it is not useful, $01=$ Yes, it is essential, $02=$ Yes, but it is not essential, $77=\mathrm{NK}$ | [_—] | (NSCUSE) |
| 11.10 | When NAME is about 20 years old, what job do you think s/he will be doing? (ENTER CODE FROM CODE BOX \# 47 - Do not prompt ) | [_—_] | (CFUTURJB) |


| CODEBOX 47 - EXPECTATION/AMBITION FOR CHILD |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Accountant | $12=$ Domestic Worker | $23=$ Mason | $34=$ Sportsman/woman |
| $02=$ Actor/actress | $13=$ Driver | $24=$ Mechanic | $35=$ Tailor |
| $03=$ Artist | $14=$ Engineer | $25=$ Nurse | $36=$ Taxi Driver |
| $04=$ Civil servant | $16=$ Farmer | $26=$ Painter/decorator | $37=$ Teacher |
| $05=$ Computer operator | $17=$ Fisherman | $27=$ Pilot | $38=$ Trader/businessman/woman |
| $06=$ Conductor | $18=$ Fulltime parent/Housewife | $29=$ Politician | $39=$ Traditional occupation |
| $07=$ Construction worker | $19=$ Labourer | $30=$ President/leader of country | $40=$ University Student |
| $08=$ Cook | $20=$ Lawyer | $31=$ Scientist | $41=$ Vet |
| $09=$ Dentist | $21=$ Lecturer | $32=$ Singer | $42=$ Other |
| $10=$ District collector | $22=$ Market Trader/shop assistant/ | $33=$ Soldier |  |
| $11=$ Doctor |  |  |  |
|  |  |  |  |


| 11.11 | Ideally what level of formal education would you like NAME to complete? <br> (Enter grade 01 to 12, 13=Post-secondary, vocational, 14=University, 28=Adult literacy, 29=Religious education, 00=None, 77=NK) | [_—] | (GRADLIKE) |
| :---: | :---: | :---: | :---: |
| 11.12 | Do you expect NAME will reach that level of education? $00=\text { No, 01=Yes, 77=NK }$ <br> IF YES, > SKIP TO 11.14 | [_—] | (EXPGRADE) |
| 11.13 | What are main reasons NAME is most likely to drop out of school early? You can give up to three answers but please give the most important one first. (ENTER CODES FROM CODE BOX \# 48 - DO NOT PROMPT) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots-\ldots]} \end{aligned}$ | (YDROPOT1) <br> (YDROPOT2) <br> (YDROPOT3) |


| CODEBOX 48- REASONS MAY LEAVE SCHOOL EARLY |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Fees will be too expensive | 08=Truancy, lack of interest | 15= Bullying/abuse from peers | 22 = It won't be appropriate for girls to carry on |
| $02=$ Books and/or other supplies will be too expensive | 09=May be banned from school for behaviour reasons | 16 $=$ Ill-treatment/abuse from teachers/principal | 23=Marriage |
| 03= Shoes/Clothes/Uniform for school will be too expensive | 10=May be banned from school because away for too long | 17= No need for schooling for future job. | 24= Disability, Illness |
| 04= Transport will be too expensive | 11= May be banned from school because failed to achieve necessary grade/level at school | 18= Need to learn a trade/skill, e.g. through apprenticeship so will need to go to work | $25=$ Stigma and Discrimination (child will not be welcome because of ethnic group/caste/socioeconomic group etc). |
| 05= May move further from school | $12=$ Quality of education at school (teaching and learning) may be poor | 19= Will need to do paid work to earn money (including agricultural work other than for household) | 26=Family member may become ill/disabled/elderly (including care for this family member) |
| 06= Will become unsafe to travel to school | 13= Quality of care (food, noneducational care) may be poor | $20=$ Will need to stay home to look after siblings | 27=Other |
| 07= Lack of transport | 14= Lack of sanitation facilities at school will become a problem | $21=$ Will need to stay home for domestic and/or agricultural work (include chores, farm work, harvest) |  |


| 11.14 | What do you think would be the ideal number of sons for you to have or to have had? (ENTER NUMBER, 77=NK, $80=$ No preference) | [_——] | (NUMSON) |
| :---: | :---: | :---: | :---: |
| 11.15 | What do you think would be the ideal number of daughters for you to have or to have had? (ENTER NUMBER, 77=NK, 80=No preference) | [_——] | (NUMDTR) |
| 11.16 | Imagine that a family in the VILLAGE/SUBURB has a 12 year old daughter who is attending school full-time. The family badly needs to increase the household income, and one option is to send the daughter to work but the daughter wants to stay in school. What should the family do? <br> 01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know | [ ——] | (FAMDTR) |



| 11.18 | Of all of these qualities (listed above), which three do you think are the most important? <br> (Fieldworker enter the number of the quality from 11.17) |
| :--- | :--- |
|  | (IMPCAR1) (IMPCAR2) (IMPCAR3) |
|  | $[\ldots \ldots]$ |
|  | $[\ldots \ldots]$ |
|  | $[\ldots \ldots]$ |


| 11.19 | SAY: I have a list here of reasons people may give for wanting to have children in general. Please use this scale as a guide. Think about your experience with your own (child/children) and tell me how important the following reasons for wanting to have children are to you personally. |  |  |
| :---: | :---: | :---: | :---: |
| NB These items are taken from the Value of Children project and wordings MUST NOT be changed. Also, 1-11 form a scale and cannot be removed. |  |  |  |
|  |  | 01=Not important at all, 02=Not very important, 03=moderately important, 04=important, 05=Very important, 77=NK |  |
| 01 | Because having children increases your sense of responsibility and helps you to develop | [_—] | (EPREAS1) |
| 02 | To be sure that enough children will survive to adulthood. | [___] | (NEREAS1) |
| 03 | Because it is fun to have young children around the house. | [_—] | (EPREAS2) |
| 04 | To have one more person to help your family economically. | [__-] | (NEREAS2) |
| 05 | Because of the pleasure you get from watching your children grow. | [_-_] | (EPREAS3) |
| 06 | To carry on the family name. | [_-_] | (NEREAS3) |
| 07 | Your children can help you when you're old. | [__] | (NEREAS4) |
| 08 | Because of the special feeling of love that develops between a parent and a child. | [_—] | (EPREAS4) |
| 09 | Because a child helps around the house | [__-] | (NEREAS5) |
| 10 | Because it is a duty to have children according to your beliefs/religion. | [_—] | (NEREAS6) |
| 11 | Because raising children helps you to learn about life and yourself. | [_——] | (EPREAS5) |
| 12 | To have a girl/another girl. | [_-_] | (INREAS1) |


| 13 | To have a boy/another boy. | [__ ] | (INREAS2) |
| :--- | :--- | :---: | :---: |
| 14 | Because having children brings your husband/wife and you closer together | [__ ] | (INREAS3) |


| 11.20 | SAY: Please tell me the extent to which you expect the following kinds of help from NAME when s/he is grown-up |  |  |
| :---: | :---: | :---: | :---: |
|  |  | $01=\text { Not at all, } 02=\text { A little, } 03=\text { Somewhat, } 04=$ $\text { Quite a lot, } 05 \text { = A lot, } 77=\mathrm{NK}$ |  |
| 01 | that s/he continues living close to you. | [__ ] | (GUCLOSE) |
| 02 | that s/he provides financial assistance to his/her younger brothers and sisters. | [___] | (GUFINAN) |
| 03 | that s/he helps you with housework. | [_-_] | (GUHELPS) |
| 04 | that $s /$ he provides financial assistance to you. | [__ ] | (GUFINYOU) |
| 05 | that s/he helps you care for his/her younger siblings. | [__ ] | (GUCARES) |
| 06 | that s/he cares for you when you are old. | [___] | (GUOLD) |
| 07 | that s/he provides emotional support to you. | [__ ] | (GUEMOT) |

SAY: I am now going to ask you about some of your expectations for NAME's life specifically:

| 11.21 | At what age did NAME/do you expect NAME to: |  |  |
| :---: | :---: | :---: | :---: |
|  |  | ENTER AGE, 00=no expectation, 77=NK |  |
| 01 | Start earning money to support your household? | [___] | (EXPEARN) |
| 02 | Leave full-time education? | [ | (EXPEDU) |
| 03 | Be financially independent of HIS/HER parents? | [__-] | (EXPIND) |
| 04 | Leave this household? | [___] | (EXPLEAV) |
| 05 | Get married and start living together? | [__ ] | (EXPMAR) |
| 06 | Have a child? | [_—] | (EXPCHILD) |


| 11.22 | What job would you most like NAME to do in the future? <br> (ENTER CODE FROM CODE BOX \# 49- Do not prompt ) | [ _ - ] | (CAMBITN) |
| :--- | :--- | :---: | :---: |


| CODEBOX 49- EXPECTATION/AMBITION FOR CHILD |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Accountant | $12=$ Domestic Worker | $23=$ Mason | $34=$ Sportsman/woman |
| $02=$ Actor/actress | $13=$ Driver | $24=$ Mechanic | $35=$ Tailor |
| $03=$ Artist | $14=$ Engineer | $25=$ Nurse | $36=$ Taxi Driver |
| $04=$ Civil servant | $15=$ Farmer | $26=$ Painter/decorator | $37=$ Fireman/woman |
| $05=$ Computer operator | $17=$ Fisherman | $28=$ Policeman/woman | $38=$ Trader/businessman/woman |
| $06=$ Conductor | $18=$ Fulltime parent/Housewife | $29=$ Politician | $39=$ Traditional occupation |
| $07=$ Construction worker | $19=$ Labourer | $30=$ President/leader of country | 40=University Student |
| $08=$ Cook | $20=$ Lawyer | $31=$ Scientist | $41=$ Vet |
| $09=$ Dentist | $21=$ Lecturer | $32=$ Singer | $42=$ Other |
| $10=$ District collector | $22=$ Market Trader/shop assistant// | $33=$ Soldier |  |
| $11=$ Doctor |  |  |  |

## SECTION 12 - CHILD DEVELOPMENT

The respondent for this section must be NAME.
12.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)
[_—]
Comments: Please add below any comments regarding this household

## THE YOUNG LIVES STUDY - CHILD QUESTIONNAIRE - 12yr old

## CHILDID AND DATE



DATA HANDLERS

| Fieldworker | Name: | Code: [ ___ ] | CFLDCODE |
| :---: | :---: | :---: | :---: |
|  | Signature: | Date of check: $\overline{\mathrm{d}} \overline{\mathrm{~d}}^{\prime} / \overline{\mathrm{m}} \overline{\mathrm{~m}}^{\prime} / \overline{\mathrm{yyyy}}--$ | CFLDDAY CFLDMTH CFLDYEAR |
| Supervisor | Name: | Code: [___] | CSUPCODE |
|  | Signature: | Date of check: $\qquad$ $\bar{d} / / m \mathrm{~m} / \text { yyyy }$ | CSUPDAY CSUPMTH CSUPYEAR |


| Data entry clerk (first data entry) | Name: | Code: [ ___] | CDE1CODE |
| :---: | :---: | :---: | :---: |
|  | Signature: | Date of first data entry: $\qquad$ <br> / / yyyy | CDE1DAY CDE1MTH CDE1YEAR |
| Data entry clerk (second data entry) | Name: | Code: [ _ _ ] | CDE2CODE |
|  | Signature: | Date of second data entry: | CDE2DAY <br> CDE2MTH <br> CDE2YEAR |

## SECTION 1 - SCHOOL AND ACTIVITIES

## SECTION 1A - CHILD'S SCHOOLING

SAY: Now I am going to ask you some questions about school.

| 1.1 | Have you ever attended formal school? (not pre-school) $00=\text { No, 01=Yes }$ <br> If No $>$ skip to 1.30 | [_—] | (EVERSCH) |
| :---: | :---: | :---: | :---: |
| 1.2 | Are you currently enrolled in school? $00=\text { No, 01=Yes }$ <br> If No > skip to 1.15 | [__ ] | (ENRSCH) |
| 1.3 | Is this a single-sex school? $00=$ No, 01=Yes, 88=N/A | [_—] | (SINGLSEX) |
| 1.4 | What type of school are you attending? 01=Private, 02= NGO/Charity/Religious (not-for-profit), 03= Public (Government), 04=Informal Community (e.g. mothers' cooperative), 05=Other, 77=NK, 88=N/A | [__ ] | (SCTYPE) |
| 1.5 | Which grade/class are you in now? ENTER GRADE 01 to 12 77=NK, 88=N/A | [__ ] | (GRADNOW) |
| 1.6 | How long does it take you to get to school? (IN MINUTES) $-77=N K,-88=N / A$ | $[\text { minutes }-]$ | (TMSCHMIN) |
| 1.7 | How do you usually travel to school? <br> 01=Walk, 02=Bicycle, 03-Family car, 04=School bus, 05=Public bus/coach/shared taxi/motorbike, 06=Private hire taxi, 07=Rickshaw, 08=Other, 09=Motorbike, 77=NK, 88=N/A | [__ ] | (TRANSSCH) |
| 1.8 | When travelling to school do you feel you are in danger? $00=$ No, 01=Yes, 77=NK, 88=N/A <br> If No, $>$ skip to 1.10 | [__ ] | (DANGSCH) |
| 1.9 | What are the main risks? <br> 01=Traffic, 02=Harassment/abuse from other children, 03=Rebels/thieves, 04=Harassment from authorities (e.g. police, local officials), 05=Natural hazards (e.g. floods), 06= Other, 07=Wild animals, 77=NK, 88=N/A |  | (SCHRISK1) (SCHRISK2) <br> (SCHRISK3) |

Young Lives - 12yr old Child Questionnaire - Vietnam

| 1.10 | During the last 12 months, have you missed more than one whole week of school at any one time? (excluding school holidays, national holidays, etc) $00=\text { No, 01=Yes, } 88=\mathrm{N} / \mathrm{A}$ <br> If $\mathrm{N}_{\mathrm{o}},>$ skip to 1.13 | [_—] | (MISSCH) |
| :---: | :---: | :---: | :---: |
| 1.11 | If yes, why did you miss school? (You can give up to three answers.) (ENTER UP TO THREE CODES FROM CODEBOX \#1. DO NOT PROMPT) | $\begin{aligned} & {[-\ldots]} \\ & {[--]} \\ & {[\ldots-\ldots]} \end{aligned}$ | (WMISSC1) <br> (WMISSC2) <br> (WMISSC3) |


| CODEBOX 1 - WHY MISSED SCHOOL |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01=Fees too expensive | 07= Truancy, child did not want to go, <br> not interested | $13=$ Needed to stay home to look after <br> siblings | 19=Family function (e.g. wedding, <br> funeral) |
| 02= Books and/or other supplies too <br> expensive | 08=Banned from school for behaviour <br> reasons | $14=$ Needed for domestic and/or <br> agricultural work at home (include <br> chores, farm work, harvest) | $20=$ Festivals |
| 03= Shoes/Clothes/Uniform for school <br> too expensive | 09=Banned from school because away <br> for too long | $15=$ Had to do paid work to earn <br> money(including agricultural work <br> other than for household) | 21=Migration with parents |
| 04= Transport too expensive | $10=$ Banned from school because failed <br> to achieve necessary grade/level at <br> school | 16=Illness, Injury | 22= School not accessible for seasonal <br> reasons: river prohibits access |
| 05= Not safe to travel to school | $11=$ Bullying/abuse from peers | $17=$ Family issues e.g. problems at <br> home - parent disputes/marital conflict | 23=Other |
| 06= Lack of transport | $12=$ Ill-treatment/abuse from <br> teachers/principal | $18=$ Family member ill/disabled/elderly <br> (including care for this family member) | 79=Refused to answer |


| 1.12 | In which months did most of these absences (periods away from school) occur, if any? 01=January, 02=February, 03=March, 04=April, 05=May, 06=June, 07=July, 08=August, 09= September, 10=October, 11=November, 12=December, 13=None, 77=NK (CODE UP TO THREE POSSIBLE MONTHS BASED ON WHAT CHILDREN SAY) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots \ldots]} \end{aligned}$ | (SEAMISO1) <br> (SEAMISO2) <br> (SEAMIS03) |
| :---: | :---: | :---: | :---: |
| 1.13 | What are the best things about being at school? <br> (You can give up to three answers but please give the most important one first.) <br> (ENTER UP TO THREE CODES FROM CODEBOX \#2. DO NOT PROMPT) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-\ldots]} \\ & {[\ldots \ldots]} \end{aligned}$ | (BESTSCH1) <br> (BESTSCH2) <br> (BESTSCH3) |


| CODEBOX 2 - BEST THINGS ABOUT SCHOOL |  |  |  |
| :--- | :--- | :--- | :--- |
| 01 = My teachers are/were good and <br> teach well | $06=$ Better prospects for my future | $11=$ Good playground | $16=$ Good sanitation/toilets |
| $02=$ Teachers there do not beat me | $07=$ Feel proud to be in school | $12=$ Library | $17=$ Nothing |
| $03=$ Teachers very friendly and helpful | $08=$ I'm not bullied | $13=$ School physical environment in <br> general | $18=$ Other |
| $04=$ Interactive learning environment | $09=$ Having time to play | $14=$ Good atmosphere |  |
| $05=$ Learning useful skills and <br> knowledge | $10=$ Seeing my friends | $15=$ Food is very good |  |

$[-\infty]$
$[\ldots-]$

[__ ]
(WORSTSC3)

| CODEBOX 3 - WORST THINGS ABOUT SCHOOL |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Teachers beating us | 09=Teachers change too often | $17=$ No food provided | 25=School is too far away |
| 02=Teachers or Principal shouting at <br> us | $10=$ The teaching is poor/we don't learn <br> useful things | $18=$ Poor infrastructure facilities | $26=$ Being in a mixed school |
| 03=The teachers discriminate against <br> us (are mean to/pick on/undervalue) on <br> the basis of gender | $11=$ Other pupils teasing/bullying me <br> because of my gender | $19=$ The school is dirty | 27=Too many students |
| 04=Teachers discriminate against us <br> (are mean to/pick on/undervalue) on <br> the basis of economic status | $12=$ Other pupils teasing/bullying me <br> because of my disability | $20=$ Poor physical environment / no <br> plants/flowers | 28=Other |
| 05= Teachers discriminate against us <br> (are mean to/pick on/undervalue) on <br> the basis of disability | $13=$ Other pupils teasing/bullying me <br> because of my economic status | $21=$ No compound wall |  |
| 06=Teachers discriminate against us <br> (are mean to/pick on/undervalue) <br> ethnic group/language | $14=$ Other pupils teasing/bullying me <br> because of my ethnic group/language | $22=$ No drinking water tap | 29=Nothing/ No problems |
| 07=Absenteeism amongst teachers | $15=$ Being in a noisy classroom | $23=$ Lack of teaching materials | 79=Refused to answer |
| 08=Lack of teachers | 16= Students fighting | $24=$ Lack of toilets/poor sanitation |  |

## 1B - EXTRA CLASSES

| 1.15 | During the past 6 months, have you attended any extra classes? $00=\mathrm{No}, 01=$ Yes <br> If Yes, skip to 1.17 | [__] | (VNEXCLSS) |
| :---: | :---: | :---: | :---: |
| 1.16 | Why did you not participate in extra classes in the past 6 months? <br> You can provide up to three answers, but please list the most important reasons first. <br> (ENTER CODE FROM CODE BOX \#4) <br> Skip to 1.22 | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[=\ldots]} \end{aligned}$ | (VNYEXCL1) <br> (VNYEXCL2) <br> (VNYEXCL3) |


| CODEBOX 4 - REASONS FOR NO EXTRA CLASSES |  |  |
| :--- | :--- | :--- |
| $01=$ Not necessary for knowledge | $05=$ Fees to expensive | $09=$ Bullying/abuse from peers |
| $02=$ Child must do paid work to earn money | $06=$ Books and/or other supplies too expensive | $10=$ Child not interested (truancy) |
| 03=Child must stay home to help family <br> with other tasks | $07=$ Not safe to travel to classes | $11=$ Child does other activities (e.g. sport, music, etc.) |
| $04=$ Disability or illness of child | $08=$ Ill treatment/abuse from teachers/principal | $12=$ Other |
|  |  |  |


| 1.17 In the last 6 months, which extra classes did you participate in? |  |  |  |
| :---: | :---: | :---: | :---: |
|  | $\begin{aligned} & \text { 1.17.1 } \\ & \text { TYPE of Extra Class } \end{aligned}$ | 1.17.3 How many hours per week have you spent in extra classes for this subject? | 1.17.4 Who has been the teacher of this extra class:? <br> 01=Teacher of main class, <br> 02=Teacher at school but not teaching NAME's main class, <br> 03=Teacher from another school, <br> 04=Private teacher <br> 05=Other |
|  | (SPECCLAS) (ESPCCLAS) | (AMEXSC01-08) | (TEXCLS01-08) |
| 01 | Math | [___] | [___] |
| 02 | Physics | [_-_] | [_-_] |
| 03 | Chemistry | [___] | [___] |
| 04 | Literature | [_-_] | [___] |


| 05 | History | $[\ldots \ldots]$ | $[\ldots-\ldots]$ |
| :--- | :--- | :---: | :---: |
| 06 | Geography | $[\ldots \ldots]$ | $[\ldots]]$ |
| 07 | Foreign Languages | $[\ldots \ldots]$ | $[\ldots \ldots]$ |
| 08 | Other, Specify: | $[\ldots \ldots]$ | $[\ldots-\ldots]$ |


| 1.18 | What are the main reasons that you attend these extra classes? <br> You can provide up to three answers, but please list the most important reasons first. <br> (ENTER CODES FROM CODE BOX \# 5) | $\begin{aligned} & {[--]} \\ & {[--]} \\ & {[-,]} \end{aligned}$ | (VNYATEX1) <br> (VNYATEX2) <br> (VNYATEX3) |
| :---: | :---: | :---: | :---: |


| CODEBOX 5 - REASONS FOR EXTRA CLASSES |  |  |  |
| :--- | :--- | :--- | :---: |
| 01=Regular school teachers requested child <br> attend extra classes | $04=$ Almost all children take extra classes | $07=$ Helpful practice for enrolment examination for <br> higher level of education |  |
| 02=To improve child's academic <br> performance in regular school | $05=$ Helpful practice for examinations for main classes | $08=$ Child wants to attend |  |
| $03=$ To enjoy friends | $06=$ Knowledge in regular school classes is not enough | $09=$ Other |  |
|  |  |  |  |


| 1.19 | Do you enjoy attending these extra classes? $00=$ No, 01=Yes <br> If Yes, skip to 1.21 | [_—] | (VNENJEXC) |
| :---: | :---: | :---: | :---: |
| 1.20 | Why do you not enjoy these extra classes? <br> $01=$ Too much work, 02=Knowledge is not useful, 03=Teachers' instruction methods are not interesting, 05=Takes too much time, 06=Friends don't attend, 07=Other | [_—] | (VNYNOENJ) |
| 1.21 | Do you think these extra classes are useful in terms of gaining knowledge and improving your school performance? $00=\mathrm{No}, 01=\mathrm{Yes}, 77=\mathrm{NK}$ | [_—] | (VNEXCUSE) |

## FIELDWORKER: IF CHILD IS CURRENTLY ATTENDING SCHOOL > SKIP TO 1.31

| 1.22 | If you are no longer in school, what was the last grade you completed before you left school? (ENTER GRADE; 77=NK) | [_—] | (GRADCOMP) |
| :---: | :---: | :---: | :---: |
| 1.23 | How old were you when you stopped attending school? (ENTER AGE IN YEARS; 77=NK) | [_—] | (AGESTOP) |
| 1.24 | Was this a single-sex school? $00=\text { No, } 01=\text { Yes } 88=N / A$ | [__ ] | (XSINGLSX) |
| 1.25 | What type of school was it? <br> 01=Private, 02=NGO/Charity/Religious (not-for-profit), 03=Public (Government), <br> 04=Informal Community (e.g. mothers' cooperative), 05=Other, 77=NK, 88=N/A | [_—] | (XSCTYPE) |
| 1.26 | How long did it take you to get to school? (IN MINUTES) -77=NK | $[\text { minutes }-]$ | (XTMSCHMN) |
| 1.27 | How did you usually travel to school? <br> 01=Walk, 02=Bicycle, 03-Family car, 04=School bus, 05=Public bus/coach/shared taxi/motorbike, 06=Private hire taxi, 07=Rickshaw, 08=Other, 09=Motorbike, 77=NK, 88=N/A | [__] | (XTRNSSCH) |
| 1.28 | When travelling to school did you feel you were in danger? $00=\text { No, 01=Yes, } 77=\mathrm{NK}, 88=\mathrm{N} / \mathrm{A}$ <br> If No, $>$ skip to 1.30 | [_—] | (XDANGSCH) |
| 1.29 | What were the main risks? 01=Traffic, 02=Harassment/abuse from other children, 03= Rebels/thieves, 04=Harassment from authorities (e.g. police, local officials), 05=Natural hazards (e.g. floods), 06=Other, 07=Wild animals, 77=NK, 88=N/A | [__] | (XSCHRISK) |
| 1.30 | What are the main reasons you are not going to school? (You can give up to three answers. Please give the most important one first.) (ENTER UP TO THREE CODES FROM CODEBOX \#6. DO NOT PROMPT) | $\begin{aligned} & {[--]} \\ & {[--]} \\ & {[\ldots-]} \end{aligned}$ | (SCHWHY1) <br> (SCHWHY2) <br> (SCHWHY3) |


| CODEBOX 6 - REASONS NOT IN SCHOOL |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Fees too expensive | 09=Banned from school for behaviour reasons | 17= No need for schooling for future job. | $25=$ Family member ill/disabled/elderly (including care for this family member) |
| 02=Books and/or other supplies too expensive | 10=Banned from school because away for too long | 18= Need to learn a trade/skill, e.g. through apprenticeship so went to work | 26= Family issues e.g. problems at home - parent disputes/marital conflict |
| 03= Shoes/Clothes/Uniform for school too expensive | 11= Banned from school because failed to achieve necessary grade/level at school | 19=Need to stay home to look after siblings | 27=Stigma and Discrimination (child was not be welcome because of ethnic group/ caste/ socioeconomic group etc). |
| 04= Transport too expensive | $12=$ Quality of education at school (teaching and learning) poor | $20=$ Needed for domestic and/or agricultural work at home (include chores, farm work, harvest) | 28= School not accessible for seasonal reasons: river prohibits access |
| 05= School too far from home | 13= Quality of care (food, noneducational care) poor | 21=Have to do paid work to earn money(including agricultural work other than for household) | 29=Other |
| 06=Not safe to travel to school | 14= No sanitation facilities at school | 22= It's not appropriate for girls to go to/continue at school | 79=Refused to answer |
| 07= Lack of transport | 15= Bullying/abuse from peers | 23=Marriage |  |
| 08=Truancy, child does not want to go, not interested | 16= Ill-treatment/abuse from teachers/principal | 24= Disability, Illness |  |

Note to fieldworker: If answer 'too poor', probe to see what this means - can't afford fees/transport etc or child needs to work etc

## SECTION 1C - CHILD'S TIME USE

Say: I am now going to ask you about how you spent your time yesterday.
(FIELDWORKER: If yesterday was a holiday, ask about the most recent day that was not a holiday.)

|  | What activities did you do yesterday / on $\times x \times$ day (last working day)? |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 1.31 | 1.32 | 1.33 | 1.34 |
|  | Which activities did you do yesterday? <br> (ENTER CODE FROM CODE BOX \#7) | Were you supervised during this activity? $00=\mathrm{No}, 01=$ Yes, $77=\mathrm{NK}$ | Were you supervising younger children during this activity? $00=$ No, 01=Yes, 77=NK | Were you able to choose whether or not to do this activity? <br> $00=$ No, 01=Yes, $77=$ NK |
| (ACTID) | (ACTYEST) | (ACTSUPER) | (ACTCARE) | (CHOICE) |
| 01 | [_- ] | [_-_] | [_- ] | [_- ] |
| 02 | [_- ] | [_- ] | [_- ] | [_-] |
| 03 | [_- ] | [_- ] | [_- ] | [_- ] |
| 04 | [_-] | [_- ] | [_- ] | [_- ] |
| 05 | [_- ] | [-_] | [_- ] | [_- ] |
| 06 | [_- ] | [_- ] | [_-_] | [_-_] |
| 07 | [_- ] | [_- ] | [_-] | [_- ] |
| 08 | [_-] | [_- ] | [_-] | [_- ] |


| CODEBOX 7-ACTIVITIES YESTERDAY |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ School | 07= Caring for animals belonging to <br> the household | $13=$ Using the Internet | $19=$ Sick at home |
| $02=$ Homeworktuition | $08=$ Working on household's farm | $14=$ Paid work outside the <br> household | 20=Accompanying another person to <br> their work |


| 03= Caring for younger children <br> from the household | 09= Visiting mosque/church/other <br> place of worship | $15=$ Making handicrafts (for sale) | $21=$ Going to the market |
| :--- | :--- | :--- | :--- |
| 04= Caring for elderly and/or <br> sick/disabled members of the family | $10=$ Spending (leisure) time with <br> friends | $16=$ Taking younger children from <br> the household to crèche/playschool | $22=$ Playing with pets |
| 05= Domestic chores within the <br> home (cooking, cleaning, laundry, <br> washing dishes) | $11=$ Playing computer games | $17=$ Playing with younger children <br> from the household | $23=$ Other |
| 06= Collecting firewood or water for <br> the household | $12=$ Watching <br> television/videos/DVDs | $18=$ Visiting relatives |  |

SAY: I want to know about how you spent your time on a typical day in the last week.

| 1.35 | Firstly, can you tell me how many hours you spend asleep on a typical night? FIELDWORKER: RECORD IN HOURS -77=NK |  |  |
| :---: | :---: | :---: | :---: |
| 01 | Sleep | [___] | (CSLEEP) |
|  | Say: Now, think about the rest of your day. I want you to tell me how much time you spent on the following activities during a typical day. <br> FIELDWORKER: RECORD IN HOURS -77=NK |  |  |
| 02 | Care for others (younger siblings, ill household members) |  | (CCHCARE) |
| 03 | Domestic tasks (fetching water, firewood, cleaning, cooking, washing, shopping) | [___] | (CHHCHORE) |
| 04 | Tasks on family farm, cattle herding, other family business, shepherding (not just farming) | [___] | (CNPAYWRK) |
| 05 | Activities for pay outside of household or for someone not in the household | [___] | (CPAYWORK) |
| 06 | At school | [___] | (CSCHOOL) |
| 07 | Studying outside of school (extra tuition, studying at home) | [___] | (CSTUDY) |
| 08 | Play time/general leisure | [__] | (CPLAY) |


| 1.36 | Have you done work or anything else in the last 12 months to get money or things for yourself or for your family? $00=\text { No, 01=Yes, 77=NK }$ <br> If $N o$ or $N K(77)>$ skip to 1.40 | [_—] | (CHLDWORK) |
| :---: | :---: | :---: | :---: |

Young Lives - 12yr old Child Questionnaire - Vietnam

| 1.37 | Please give details of these activities |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 1.37.1 | 1.37.2 | 1.37.3 | 1.37.4 |
|  | What were these activities? <br> (FIELDWORKER: enter each type of activity not each individual job) <br> (ENTER CODES FROM CODE BOX \#8) | What form of payment was received or is expected from this activity? $01=$ Cash, 02=In-kind (non-cash payment, e.g. gifts, food), 03=Both cash and in-kind, 04=Debt relief, 77=NK | Did you get to keep all or some of the payment from this activity? <br> $00=$ No, none, $01=$ Yes, all of it, $02=$ Yes, some of it, 77=NK | Which activity did you spend most time on during the year? <br> 01=Most time, 77=NK, 88=N/A |
| (WORKID) | (WORKACT) | (WORKPAY) | (PAYNAME) | (RANKPAY) |
| 01 | [_—] | [__-] | [_-_] | [_—] |
| 02 | [__ ] | [__ ] | [_—] | [_—] |
| 03 | [_—] | [_—] | [_—] | [_—] |
| 04 | [__-] | [__-] | [_-_] | [__-] |
| 05 | [__ ] | [__ ] | [__ ] | [_—_] |
| 06 | [__-] | [__ ] | [_—_] | [_—_] |
| 07 | [_—_] | [_——] | [_-_] | [_-_] |
| 08 | [__-] | [_——] | [_-_] | [_-_] |

## CODEBOX 8 - PAID ACTIVITIES

| $01=$ Farm work outside your own household (inc. plantations/other households) | $05=$ Working for wage in non-agricultural activities, e.g. in <br> mine/workshop/factory/construction |
| :--- | :--- |
| $02=$ Domestic chores (work or childcare) for another household | $06=$ Domestic chores or farm work inside household for which pocket money <br> received. |
| $03=$ Making handicrafts/piece work (within home but for sale) | $07=$ Other |
| $04=$ Selling goods or services | $79=$ Refused to answer |


| 1.38 | What do you most like about doing $\qquad$ (FIELDWORKER: INSERT NAME OF PRIMARY JOB)? You can give up to three answers but please give the most important one first. <br> (ENTER CODES FROM CODE BOX \#9) <br> 77=NK, 88=N/A | $\begin{aligned} & {[-\ldots]} \\ & {[--]} \\ & {[\ldots-]} \end{aligned}$ | (PAYLIKE1) <br> (PAYLIKE2) <br> (PAYLIKE3) |
| :---: | :---: | :---: | :---: |


| CODEBOX 9 - LIKES ABOUT WORK |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Earning money | $05=$ Friendship/having fun | $09=$ Other |  |
| $02=$ Supporting family | $06=$ Spending time with parents | $10=$ Feeling independent |  |
| $03=$ Skills and training | $07=$ Spending time with other children |  |  |
| $04=$ Pride and respect | $08=$ Nothing |  |  |


| 1.39 | What do you least like about doing $\qquad$ (FIELDWORKER: NAME OF PRIMARY JOB)? You can give up to three answers but please give the most important one first. <br> (ENTER CODES FROM CODE BOX \#10) <br> 77=NK, 88=N/A, 79=Refused to answer | $\begin{aligned} & {[-\infty]} \\ & {[--]} \\ & {[\ldots-\ldots]} \end{aligned}$ | (PYNOLIK1) <br> (PYNOLIK2) <br> (PYNOLIK3) |
| :---: | :---: | :---: | :---: |



| 1.41 | In the last four years, have you missed school because you were working for money or goods? $00=\text { No, } 01=\text { Yes, } 88=\mathrm{N} / \mathrm{A}$ | [__ ] | (SCHMISS) |
| :---: | :---: | :---: | :---: |
| 1.42 | In the last four years, have you been seriously injured while working or while doing house-keeping activities or have you been seriously ill due to work? $00=\text { No, } 01=\text { Yes, } 77=N K$ <br> If No $>$ Skip to Section 2 | [__ ] | (WRKINJ) |
| 1.42.1 | What was/were the serious illnesses/injuries? <br> You can give up to three answers but please give the most important one first. <br> (ENTER CODES FROM CODE BOX \#11) <br> If other, specify: $\qquad$ | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots \ldots]} \end{aligned}$ | (WRKINJ1) <br> (WRKINJ2) <br> (WRKINJ3) <br> (SPECWKIN) <br> (ESPCWKIN) |


| CODEBOX 11 - WORK ILLNESS/INJURY |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Amputation/loss of body parts | $05=$ Crushing Injury | $09=$ Poisoning | $13=$ Psychological Injury |
| $02=$ Broken bones | $06=$ Respiratory Problem | $10=$ Near drowning | $14=$ Suffocation |
| 03= Muscle injuries (strains, <br> dislocation) | $07=$ Eye Problem | $11=$ Orthopaedic | $15=$ Physical abuse |
| $04=$ Cuts/cutting injury | $08=$ Burns | $12=$ Skin Disease | $16=$ Other |
|  |  | $79=$ Refused to answer |  |

Note to Fieldworker: If answer is 'fall', probe to see what the injury was - we have lots of injuries here that could be caused by fall - a fall itself is not an injury so please probe to find out what the result of the fall was.

## SECTION 1D - ACHIEVEMENT AND DEVELOPMENT INSTRUMENTS

| Fieldworker: | Name: $\qquad$ <br> Code: [ _ _ _ ] | (FLDCODCD) |
| :---: | :---: | :---: |
| Date when this section is filled | _/ ___ _ _ (day / month / year) | (DAYCDS) (MONTHCDS) (YEARCDS) (DATECDS) |

The respondent for this section must be NAME.

| 1.43 | ID of respondent for this section (identify the respondent for this section using the ID from the <br> household roster) | ID1C |
| :--- | :--- | :--- | :--- |

## Peabody Picture Vocabulary Test (PPVT)

FIELDWORKER: Use the PPVT picture easel here. Be sure to read the training items with the child and make sure the child understands what needs to be done before starting the actual test.

All instructions for introducing the PPVT and using the Training Items are located on the examiner's side of the Training Plates (picture easel). Use Training Items C and D (designed for children 8 years and older)

| FIELDWORKER: TRAINING ITEMS |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Plate | Series 1 | Series 2 | Series 3 | Series 4 |
|  | For most subjects under age 8 years |  |  |  |
| A |  |  |  |  |
| B |  |  |  |  |
|  | For most subjects age 8 years and over |  |  |  |
| $C$ |  |  |  |  |
| D |  |  |  |  |

FIELDWORKER: After you have administered the appropriate Training Items, begin testing using the Sets of Test Items. Once you begin a set of test items, always administer all 12 items in that set in order, and always start with the first item in the set.

Use numerals (1-4) to record the test taker's response to each item in the blank in the "Resp" column. The "Key" column indicates the correct response (1-4). Compare the child's response with the correct response and indicate errors by drawing a line through the E in the last column as shown below:

| Item | Word | Key | Resp | Error |
| :--- | :--- | :--- | :--- | :---: |
| 1 | Bus | (4) |  |  |

At the end of each item set, record the number of errors in the box provided
FIELDWORKER: Please complete questions 1.44 and 1.45 after administering the PPVT with the child. (These items will aid the database entry process.)

| 1.44 | Lowest item responded to (possible values: from 0 to 204, -79 Refused to answer, $-88=N A$ ) | [__ _ ] | (PPVTLOW) |
| :---: | :---: | :---: | :---: |
| 1.45 | Highest item responded to <br> (possible values: from 0 to 204, -79 Refused to answer, $-88=N A$ ) | [__ _ ] | (PPVTHIGH) |

## PPVT Administration:

| 1.46 | Test administration: Start Time (hour) (possible values: 07 to 17) | Hours: [ ___ ] | (STRTHRPP) |
| :---: | :---: | :---: | :---: |
| 1.47 | Test administration: Start Time (minutes) (possible values: 00 to 59) | Minutes: [ _ _ ] | (STRTMNPP) |


| $\begin{array}{\|l} \hline \text { START } \\ 1 \\ \hline \end{array}$ | $\text { Ages } 2.5$ |  |  | SET | STAR <br> 2 | $\text { F Age } 4$ |  |  | SET |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error |
| 1 | Bus | (4) |  | E | 13 | Digging | (2) |  | E |
| 2 | Drinking | (3) |  | E | 14 | Cow | (1) |  | E |
| 3 | Hand | (1) |  | E | 15 | Drum | (3) |  | E |
| 4 | Climbing | (1) |  | E | 16 | Feather | (1) |  | E |
| 5 | Key | (4) |  | E | 17 | Painting | (3) |  | E |
| 6 | Reading | (1) |  | E | 18 | Cage | (2) |  | E |
| 7 | Closet | (2) |  | E | 19 | Knee | (1) |  | E |
| 8 | Jumping | (3) |  | E | 20 | Wrapping | (4) |  | E |
| 9 | Lamp | (4) |  | E | 21 | Fence | (3) |  | E |
| 10 | Helicopter | (2) |  | E | 22 | Elbow | (4) |  | E |
| 11 | Smelling | (2) |  | E | 23 | Garbage | (2) |  | E |
| 12 | Fly | (3) |  | $E$ | 24 | Exercising | (4) |  | E |
| No. of Errors |  |  |  |  | No. of Errors |  |  |  |  |


| START Age 5 SET 3 |  |  |  |  | SET 4 |  |  |  |  | START Ages 6-7 |  |  | SET 5 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error |
| 25 | Empty | (1) |  | E | 37 | Castle | (2) |  | E | 49 | Parachute | (3) |  | E |
| 26 | Shoulder | (3) |  | E | 38 | Sawing | (4) |  | E | 50 | Delivering | (1) |  | E |
| 27 | Square | (4) |  | E | 39 | Cactus | (3) |  | E | 51 | Rectangle | (1) |  | E |
| 28 | Measuring | (4) |  | E | 40 | Farm | (1) |  | E | 52 | Diving | (2) |  | E |
| 29 | Porcupine | (1) |  | E | 41 | Going | (2) |  | E | 53 | Camper | (4) |  | E |
| 30 | Arrow | (2) |  | E | 42 | Harp | (1) |  | E | 54 | Target | (2) |  | E |
| 31 | Peeling | (3) |  | E | 43 | Astronaut | (3) |  | E | 55 | Writing | (1) |  | E |
| 32 | Fountain | (2) |  | E | 44 | Raccoon | (4) |  | E | 56 | Furry | (4) |  | E |
| 33 | Accident | (2) |  | E | 45 | Juggling | (4) |  | E | 57 | Drilling | (2) |  | E |
| 34 | Penguin | (1) |  | E | 46 | Envelope | (2) |  | E | 58 | Hook | (3) |  | E |
| 35 | Decorated | (4) |  | E | 47 | Tearing | (3) |  | E | 59 | Group | (3) |  | E |
| 36 | Nest | (3) |  | E | 48 | Claw | (1) |  | E | 60 | Dripping | (4) |  | E |
| No. of Errors |  |  |  |  | No. of Errors |  |  |  |  | No. of Errors |  |  |  |  |


|  |  |  |  | SET 6 | STAR | Ages 8-9 |  |  | ET 7 | STAR | Ages 10-11 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error |
| 61 | Vehicle | (4) |  | E | 73 | Gigantic | (2) |  | E | 85 | Flamingo | (2) |  | E |
| 62 | Oval | (1) |  | E | 74 | Nostril | (4) |  | E | 86 | Tambourine | (4) |  | E |
| 63 | Luggage | (2) |  | E | 75 | Vase | (3) |  | E | 87 | Palm | (1) |  | E |
| 64 | Awarding | (3) |  | E | 76 | Knight | (1) |  | E | 88 | Surprised | (4) |  | E |
| 65 | Hydrant | (4) |  | E | 77 | Towing | (1) |  | E | 89 | Canoe | (3) |  | E |
| 66 | Swamp | (3) |  | E | 78 | Horrified | (3) |  | E | 90 | Interviewing | (1) |  | E |
| 67 | Calculator | (2) |  | E | 79 | Trunk | (2) |  | E | 91 | Clarinet | (4) |  | E |
| 68 | Signal | (1) |  | E | 80 | Selecting | (1) |  | E | 92 | Exhausted | (2) |  | E |
| 69 | Squash | (4) |  | E | 81 | Island | (2) |  | E | 93 | Pitcher | (3) |  | E |
| 70 | Globe | (2) |  | E | 82 | Camcorder | (4) |  | E | 94 | Reptile | (2) |  | E |
| 71 | Vegetable | (3) |  | E | 83 | Heart | (3) |  | E | 95 | Polluting | (3) |  | E |
| 72 | Frame | (1) |  | E | 84 | Wrench | (4) |  | E | 96 | Vine | (1) |  | E |
| No. of Errors |  |  |  |  | No. of Errors |  |  |  |  | No. of Errors |  |  |  |  |


| SET 9 |  |  |  |  | START Ages 12-16 |  |  |  |  | SET 11 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error | Item | Word | Key | Resp | Error |
| 97 | Pedal | (2) |  | E | 109 | Solo | (4) |  | E | 121 | Carpenter | (2) |  | E |
| 98 | Dissecting | (2) |  | E | 110 | Citrus | (2) |  | E | 122 | Dilapidated | (4) |  | E |
| 99 | Bouquet | (4) |  | E | 111 | Inflated | (3) |  | E | 123 | Hazardous | (3) |  | E |
| 100 | Rodent | (3) |  | E | 112 | Lecturing | (3) |  | E | 124 | Adapter | (2) |  | E |
| 101 | Inhaling | (4) |  | E | 113 | Timer | (1) |  | E | 125 | Valve | (3) |  | E |
| 102 | Valley | (1) |  | E | 114 | Injecting | (1) |  | E | 126 | Isolation | (1) |  | E |
| 103 | Tubular | (3) |  | E | 115 | Links | (4) |  | E | 127 | Feline | (2) |  | E |
| 104 | Demolishing | (4) |  | E | 116 | Cooperating | (2) |  | E | 128 | Wailing | (1) |  | E |
| 105 | Tusk | (1) |  | E | 117 | Microscope | (1) |  | E | 129 | Coast | (4) |  | E |
| 106 | Adjustable | (2) |  | E | 118 | Archery | (2) |  | E | 130 | Appliance | (1) |  | E |
| 107 | Fern | (1) |  | E | 119 | Garment | (4) |  | E | 131 | Foundation | (4) |  | E |
| 108 | Hurdling | (3) |  | E | 120 | Fragile | (3) |  | E | 132 | Hatchet | (3) |  | E |


|  |  |  | SET 12 |  |
| :--- | :--- | :--- | :--- | :---: |
| Item | Word | Key | Resp | Error |
| 133 | Blazing | $(3)$ |  | E |
| 134 | Mammal | $(2)$ |  | E |
| 135 | Reprimanding | $(1)$ |  | E |
| 136 | Upholstery | $(4)$ |  | E |
| 137 | Hoisting | $(1)$ |  | E |
| 138 | Exterior | $(1)$ |  | E |
| 139 | Consuming | $(4)$ |  | E |
| 140 | Pastry | $(4)$ |  | E |
| 141 | Cornea | $(2)$ |  | E |
| 142 | Constrained | $(3)$ |  | E |
| 143 | Pedestrian | $(2)$ |  | E |
| 144 | Colt | $(3)$ |  | E |


| START Ages 17 - Adult |  |  | SET 13 |  |
| :---: | :---: | :---: | :---: | :---: |
| Item | Word | Key | Resp | Error |
| 145 | Syringe | (4) |  | E |
| 146 | Transparent | (3) |  | E |
| 147 | Ladle | (2) |  | E |
| 148 | Replenishing | (3) |  | E |
| 149 | Abrasive | (1) |  | E |
| 150 | Parallelogram | (3) |  | E |
| 151 | Cascade | (4) |  | E |
| 152 | Lever | (1) |  | E |
| 153 | Detonation | (2) |  | E |
| 154 | Pillar | (2) |  | E |
| 155 | Cultivating | (1) |  | E |
| 156 | Aquatic | (4) |  | E |


|  |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: |
| Item | Word | Key | Resp | Error |
| 157 | Indigent | $(2)$ |  | E |
| 158 | Oasis | $(1)$ |  | E |
| 159 | Disappointed | $(4)$ |  | E |
| 160 | Perpendicular | $(3)$ |  | E |
| 161 | Poultry | $(4)$ |  | E |
| 162 | Confiding | $(1)$ |  | E |
| 163 | Periodical | $(2)$ |  | E |
| 164 | Filtration | $(1)$ |  | E |
| 165 | Primate | $(4)$ |  | E |
| 166 | Spherical | $(2)$ |  | E |
| 167 | Talon | $(3)$ |  | E |
| 168 | Octagon | $(3)$ |  | E |
| Nof Errors |  |  |  |  |


| SET 15 |  |  |  |  |
| :--- | :--- | :---: | :---: | :---: |
| Item | Word | Key | Resp | Error |
| 169 | Incandescent | $(4)$ |  | E |
| 170 | Pilfering | $(2)$ |  | E |
| 171 | Trajectory | $(1)$ |  | E |
| 172 | Mercantile | $(3)$ |  | E |
| 173 | Derrick | $(4)$ |  | E |
| 174 | Ascending | $(2)$ |  | E |
| 175 | Monetary | $(3)$ |  | E |
| 176 | Entomologist | $(2)$ |  | E |
| 177 | Gaff | $(1)$ |  | E |
| 178 | Quintet | $(3)$ |  | E |
| 179 | Nautical | $(4)$ |  | E |
| 180 | Incarcerating | $(1)$ |  | E |
| No. of Errors |  |  |  |  |
|  |  |  |  |  |


| SET 16 |  |  |  |  |
| :--- | :--- | :--- | :---: | :---: |
| Item | Word | Key | Resp | Error |
| 181 | Coniferous | $(4)$ |  | E |
| 182 | Wildebeest | $(1)$ |  | E |
| 183 | Caster | $(3)$ |  | E |
| 184 | Reposing | $(4)$ |  | E |
| 185 | Convex | $(1)$ |  | E |
| 186 | Gourmand | $(3)$ |  | E |
| 187 | Dromedary | $(2)$ |  | E |
| 188 | Diverging | $(4)$ |  | E |
| 189 | Incertitude | $(2)$ |  | E |
| 190 | Quiescent | $(3)$ |  | E |
| 191 | Honing | $(1)$ |  | E |
| 192 | Cupola | $(2)$ |  | E |
| No. of Errors |  |  |  |  |


| SET 17 |  |  |  |  |
| :--- | :--- | :--- | :--- | :---: |
| Item | Word | Key | Resp | Error |
| 193 | Embossed | $(4)$ |  | $E$ |
| 194 | Perambulating | $(2)$ |  | $E$ |
| 195 | Arable | $(3)$ |  | $E$ |
| 196 | Importunity | $(1)$ |  | $E$ |
| 197 | Cenotaph | $(1)$ |  | $E$ |
| 198 | Tonsorial | $(4)$ |  | $E$ |
| 199 | Nidificating | $(3)$ |  | $E$ |
| 200 | Terpsichorear | $(1)$ |  | $E$ |
| 201 | Cairn | $(4)$ |  | $E$ |
| 202 | Osculating | $(2)$ |  | $E$ |
| 203 | Vitreous | $(3)$ |  | $E$ |
| 204 | Lugubrious | $(2)$ |  | $E$ |
| No. of Errors |  |  |  |  |


| 1.48 | Test administration: Finish Time (hour) (possible values: 07 to 18) | Hours: [ _ _ ] | (FINHRPP) |
| :---: | :---: | :---: | :---: |
| 1.49 | Test administration: Finish Time (minutes) (possible values: 00 to 59) | Minutes: [___ ] | (FINMNPP) |

FIELDWORKER: Fill out 1.50 to 1.52 after you have finished all tests with the child and without the child present.

| 1.50 | Ceiling item (possible values: from 1 to 204, -79=Refused to answer, -88=NA) | [____] | (CEILING) |
| :---: | :---: | :---: | :---: |
| 1.51 | Minus errors (possible values: from 0 to 100, -79=refused to answer, -88=NA) | [__ | (MINERR) |
| 1.52 | Raw score (possible values: from 0 to 204, -79=refused to answer, -88=NA) | [__ _ ] | (RAWSCRE) |

 in using the PPVT) who will: a) check that the chronological age, ceiling item, number of errors and raw score are correct, and b) estimate and enter the standard score based on the tables provided in the manual.
1.53

Standard score (possible values: 40 to 160, -79=refused to answer, -88=NA)
[ _ _ _
(STDSCRE)

Young Lives - 12yr old Child Questionnaire - Vietnam
FIELDWORKER: Fill out 1.54 to 1.57 after you have finished all tests and survey with children.

| 1.54 | Were the conditions for the administration of the PPVT adequate? 00=No 01=Yes $\rightarrow$ Skip to 1.56 88=NA | [_—] | (CONDPP) |
| :---: | :---: | :---: | :---: |
| 1.55 | Why were the conditions inadequate for the PPVT? <br> Please mark up to two reasons, giving the most important reason first. <br> (FIELDWORKER: Write answers and then enter codes) <br> 01=no flat surface to work on 05=insufficient light <br> 02=too much noise or distractions 06=child could not hear or see well <br> $03=$ child was unmotivated, seemed sick, tired or distracted 07=other (specify in the box) <br> 04=fieldworker did not speak the language of the child <br> 88=NA |  |  |
|  | First reason: | [_—] | (YNOADQ01) (SPECAQ01) (ESPCAQ01) |
|  | Second reason: | [_—] | (YNOADQO2) (SPECAQO2) (ESPCAQ02) |
| 1.56 | Language used by field worker during administration. 10=Other, 41=Vietnamese, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Giay, 77=NK | -_] | (PPFWLANG) |
| 1.57 | Language used by child during administration. 10=Other, 41=Vietnamese, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Giay, 77=NK | [__] | (PPCDLANG) |

## Achievement Test (Reading, Writing and Mathematics)

FIELDWORKER: Use the literacy card and achievement booklet here. Administer the items on reading and writing with the literacy card and fill out the items below.

| 1.58 | Test administration: Start Time (hour) (possible values: 07 to 17) | Hours: <br> [___] | (STRTHRWM) |
| :---: | :---: | :---: | :---: |
| 1.59 | Test administration: Start Time (minutes) (possible values: 00 to 59) | Minutes: <br> [___] | (STRTMRWM) |


| 1.60 | Reading Item. $\quad$ 79=refused to answer $\quad 88=N A$ <br> $77=N K$ <br> 01=Can't read anything (reads 0 or 1 letter) <br> O2=Reads letters (reads all two letters) <br> 03=Reads word <br> 04=Reads sentence | [ - - ] |  |
| :--- | :--- | :---: | :---: |
|  |  |  |  |


| 1.61 | Writing Item. | [_—] | (LEVLWRIT) |
| :---: | :---: | :---: | :---: |

FIELDWORKER: Read the instructions for the mathematics items from the achievement booklet to the child to make sure s/he understands the instructions. Then let the child work by herself/himself with the booklet under your supervision. Do not interfere with her/his work unless s/he has a question about how to answer. Later on fill in the answer table below with the answers provided by the subject.

| 1.62 | Math Item 1 (values from 1 to 9999, -77=NK, -79=refused to answer, -88=NA) | [__-_] | (MATHO1) |
| :---: | :---: | :---: | :---: |
| 1.63 | Math Item 2 (values from 0 to 99, -77=NK, -79-refused to answer, -88=NA) | [__] | (MATHO2) |
| 1.64 | Math Item 3 (values from 0 to 99, -77=NK, -79-refused to answer, -88=NA) | [_—] | (MATHO3) |
| 1.65 | Math Item 4 (values 01=a, 02=b, 03=c, 04=d, 77=NK, 79=refused to answer, 88=NA) | [_—_] | (MATH04) |
| 1.66 | Math Item 5 (values 01=a, 02=b, 03=c, 04=d, 77=NK, 79=refused to answer, 88=NA) | [_-] | (MATH05) |
| 1.67 | Math Item 6 (values 01=a, 02=b, 03=c, 04=d, 77=NK, 79=refused to answer, 88=NA) | [__ ] | (MATH06) |
| 1.68 | Math Item 7 (values 01=a, 02=b, 03=c, 04=d, 77=NK, 79=refused to answer, 88=NA) | [_—] | (MATH07) |
| 1.69 | Math Item 8 (values 01=a, 02=b, 03=c, 04=d, 77=NK, 79=refused to answer, 88=NA) | [_-_] | (MATH08) |
| 1.70 | Math Item 9 (values from 0 to 99, -77=NK, -79=refused to answer, -88=NA) | [__ ] | (MATH09) |
| 1.71 | Math Item 10 (values from 0 to 999, -77=NK, -79-refused to answer, -88=NA) | [_-_] | (MATH10) |


| 1.72 | Test administration: Finish Time (hour) (possible values: 07 to 18 ) | Hours: | (FINHRRWM) |
| :--- | :--- | :--- | :--- |
| 1.73 | Test administration: Finish Time (minutes) (possible values: 00 to 59) | Minutes: | (FINMRWM) |

Young Lives - 12yr old Child Questionnaire - Vietnam
FIELDWORKER: Fill out 1.74 to 1.76 after you have finished all tests and survey with children.

| 1.74 | Were the conditions of the administration of the achievement test adequate? 00=No 01=Yes $\rightarrow$ Skip to $1.76 \quad 88=N A$ | [ ——] | (CONDRWAQ) |
| :---: | :---: | :---: | :---: |
| 1.75 | Why were the conditions inadequate for the achievement test? Please mark up to two reasons. <br> (FIELDWORKER: Write answers and then enter codes) <br> 01=no flat surface to work on <br> 05=insufficient light <br> 02=too much noise or distractions <br> $03=$ child was unmotivated, seemed sick, tired or distracted 07=other (specify in the box) <br> 04=fieldworker did not speak the language of the child <br> 88=NA |  |  |
|  | First reason: | [_—] | (YNORWMO1) (SPECRWM1) (ESCPRWM1) |
|  | Second reason: | [_—] | (YNORWMO2) (SPECRWM2) (ESCPRWM2) |

## EVALUATION OF ADMINISTRATION

| 1.76 | Comments on the administration (please comment on any situations that might affect the validity of the test results, especially <br> if you considered the administration of either test inadequate) | (CDCOMMAD) <br> (ECDCOMMA) |
| :--- | :--- | :--- |


| Date when this section is finished | __I__ _ (day / month / year) | (DAYCDF) <br> (MONTHCDF) <br> (YEARCDF) <br> (DATECDF) |
| :---: | :---: | :---: |
| Time when this section is finished | ————— | (TIMECDF) |
| Fieldworker | Name: $\qquad$ <br> Code: [__ _ ] | (FLDFINCD) |

## SECTION 2 - CHILD HEALTH

SAY: Now I am going to ask you some questions about your health

| 2.1 | Compared to other children of your age would you say that your health is the same, better or worse? <br> $01=$ Same, $02=$ Better, $03=$ Worse, $77=$ NK | [ _ _ ] |
| :--- | :---: | :---: |

Food (solid or liquid) frequency:

| 2.2 | SAY: During the previous 24-hour period (or on typical day before child was ill), did you consume: | 00=No, 01=Yes, 77=NK |  |
| :---: | :---: | :---: | :---: |
| 01 | Any food before a morning meal | [__ ] | (CFODFRQ1) |
| 02 | A morning meal/breakfas $\dagger$ | [_-] | (CFODFRQ2) |
| 03 | Any food between morning and midday meals | [_-_] | (CFODFRQ3) |
| 04 | A midday meal/lunch | [__] | (CFODFRQ4) |
| 05 | Any food between midday and evening meals | [_-_] | (CFODFRQ5) |
| 06 | An evening meal/dinner | [_-_] | (CFODFRQ6) |
| 07 | Any food after the evening meal | [_- ] | (CFODFRQ7) |
|  | Total added automatically do not fill | [_—] | (CFOODTOT) |

NOTE: Ask about each possible meal or snack. People often leave out or forget certain meal times

FIELDWORKER: ESTABLISH FIRST IF CHILD IS VEGETARIAN. IF YES, PUT 88 IN \# 06 and \#07.

| 2.3 | During the previous 24-hour period did you consume any of the following: | 00=No, 01=Yes, 77=NK,88=N/A |
| :---: | :---: | :---: |
|  | ITEM | (CFDDIV01-11) (CFDIVTOT) |
| 01 | Cereals (maize, rice, bread) | [__] |
| 02 | Roots/Tubers (potato, cassava) | [_- ] |
| 03 | Legumes (lentils, beans, peas) | [_-_] |
| 04 | Milk/Milk Products (milk, yogurt, cheese) | [_- ] |
| 05 | Eggs | [__ ] |
| 06 | Meat/Offal | [_- ] |
| 07 | Fish/Seafood | [_-] |
| 08 | Oil/Fat (butter, vegetable oil, palm oil) | [_- ] |
| 09 | Sugar/Honey | [__] |
| 10 | Fruits | [_- ] |
| 11 | Vegetables | [_-] |
|  | Total added automatically do not fill | [ _ _ ] |

NOTE: Substitute local foods as examples in each food group. Record the total number of food groups consumed by the child.

| 2.4 | (For girls) Have you started your periods yet and if so, at what age did they start? (If Yes, ENTER AGE - otherwise enter 00=No, 88=N/A) | [_—] | (MENARCHE) |
| :---: | :---: | :---: | :---: |
| 2.5 | (For boys) Has your voice changed (deepened) and if so, at what age did you notice it changing? (If Yes, ENTER AGE - otherwise enter 00=No, 88=N/A) | [__ ] | (VOICE) |
| 2.6 | (For boys) Has hair started growing on your chin (OBSERVE and ask when). (If Yes, ENTER AGE - otherwise enter $00=\mathrm{No}, 88=\mathrm{N} / \mathrm{A}$ ) | [_—] | (HAIRCHIN) |

## SECTION 3 - SOCIAL NETWORKS, SOCIAL SKILLS \& SOCIAL SUPPORT

SAY: Now I am going to ask you some questions about the people in your life.

| 3.1 | If you had a problem is there someone who would help you? <br> OO=No, 01=Yes, $77=$ NK <br> If No or NK (77) > Skip to 3.3 | [_-_] | (CANYHELP) |
| :--- | :--- | :--- | :--- |
| 3.2 | Who is this person? (ENTER CODE FROM CODE BOX \#12, 88=N/A) | [__-_] |  |


| CODEBOX 12 - PEOPLE MOST LIKELY TO HELP |  |  | $16=$ Women's groups |
| :--- | :--- | :--- | :--- |
| $01=$ Mother | $06=$ Aunt/Uncle | $11=$ Religious leader/person | $12=$ Child protection officer <br> (government/public services) |
| $02=$ Father | $07=$ Other relatives | $13=$ NGO worker | $17=$ Friend 14 years old and under |
| $03=$ Grandparents | $08=$ Neighbour | $09=$ Adult Friend (over 15yrs old) | $14=$ Community leader (informal) |
| $04=$ Brother | $10=$ Teacher | $15=$ Community leader (formal) | $19=$ Other |
| $05=$ Sister |  |  |  |
|  |  |  |  |


| 3.3 | Is there someone who could help you with the following situations: | 00=No, 01=Yes, 77=NK, 88=N/A |  |
| :---: | :---: | :---: | :---: |
| 01 | If you were having a problem with your studies at school? | [__ ] | (CHELPO1) |
| 02 | If you were worried about something at home? | [__ ] | (CHELPO2) |
| 03 | If you were being teased or bullied by another child? | [__] | (CHELPO3) |
| 04 | If you needed advice about a religious matter | [__ ] | (CHELPO4) |
| 05 | If you needed pocket money? | [__ ] | (CHELPO5) |
| 06 | If you needed help getting to school or work? | [_-_] | (CHELPO6) |


| 3.4 | Please tell me the names of your friends that you have spoken to in the past week. <br> (FIELDWORKER: COUNT NUMBER AND ENTER THE NUMBER.) | [___] |
| :--- | :--- | :--- | :--- |

SAY: Please answer the following question with 'always', 'sometimes' or 'never'.

| 3.5 | Do your friends look up to you as a leader? <br> 01=Always, $02=$ Sometimes, $03=$ Never, $77=N K, 88=N / A$ | [__ ] |
| :--- | :--- | :--- | :--- |$\quad$ (LEAD)

FIELDWORKER: IF THE CHILD IS CURRENTLY ATTENDING SCHOOL, ASK 3.6-3.8. IF NOT > SKIP TO 3.9

| 3.6 | Do other children include you in their games at break time at school? 01=Always, 02=Sometimes, 03=Never, 77=NK | [_—] | (INCGAME) |
| :---: | :---: | :---: | :---: |
| 3.7 | Do you find it hard to talk to other children in your class? 01=Always, 02=Sometimes, 03=Never, 77=NK | [_—] | (HARDTALK) |
| 3.8 | Do you help other children who have a problem at school? 01=Always, 02=Sometimes, 03=Never, 77=NK | _ ] | (HELPCHLD) |

FIELDWORKER: IF THE CHILD DOES PAID WORK, ASK 3.9-3.13. IF NOT > SKIP TO 3.14

| 3.9 | When you are doing paid work, are you working alongside other children under 15 ? 01=Always, 02=Sometimes, 03=Never, 77=NK <br> If Never or NK (77) > skip to 3.13 | [_—] | (UNDERFIF) |
| :---: | :---: | :---: | :---: |
| 3.10 | Do you find it easy to work alongside other children? 01=Always, 02=Sometimes, 03=Never, 77=NK | [_—] | (WORKWITH) |
| 3.11 | Do you find it hard to talk to other children at work? 01=Always, 02=Sometimes, 03=Never, 77=NK | [_——] | (WOTALK) |
| 3.12 | Do you help other children who have a problem at work? 01=Always, 02=Sometimes, 03=Never, 77=NK | [_—] | (WOHELP) |


| 3.13 | Is it possible for you to talk to the person responsible for paying you in money or goods if you do not get <br> paid on time/paid less? <br> 01=Always, 02=Sometimes, 03=Never, 77=NK | [___] | (PAYTALK) |
| :--- | :--- | :--- | :--- |


| 3.14 | SAY: I am now going to ask you some questions about groups in your local area that you may be a member of. |  |  |
| :---: | :---: | :---: | :---: |
|  |  | 3.14.1 | 3.14.2 |
|  | NAME OF GROUP | Are you a member of $\qquad$ (NAME OF GROUP)? <br> 00=No, 01=Yes, 77=NK <br> IF NO, SKIP TO NEXT TYPE OF GROUP | How often do you attend meetings? 01=More than once a week, 02=Weekly, 03=Monthly, 04=Twice a year, 05=Annually, 06=Less than once a year, 77=NK |
|  | (SPECGRP) (ESCPGRP) | (GRPMEM01-08) | (GRPATT01-08) |
| 01 | Youth group | [__ ] | [__ ] |
| 02 | Sports group | [___] | [___] |
| 03 | Religious group | [__-] | [__-] |
| 04 | After school club (refers to any kind of club on school premises but outside normal school hours) | [_—] | [_—] |
| 05 | Informal child organised group | [__-] | [__-] |
| 06 | Work related group | [___] | [__-] |
| 07 | School committees | [_-_] | [__-] |
| 08 | Other, specify: | [——] | [——] |

## SECTION 4 - FEELINGS AND ATTITUDES

| 4.1 | Imagine that a family in the VILLAGE/SUBURB has a 12 year old son who is attending school full-time. The family <br> badly needs to increase the household income, one option is to send the son to work but the son wants to stay in school. <br> What should the family do? <br> 01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know | (CFAMSON) |
| :--- | :--- | :--- | :--- |


| 4.2 | There are nine steps on this ladder. Suppose we say that the ninth step, at the very top, represents the best possible life for you and the bottom represents the worst possible life for you. <br> Where on the ladder do you feel you personally stand at the present time? <br> (RECORD STEP NUMBER 01-09, 77=NK, 88=NA) | [_—] | (CLADDER) |
| :---: | :---: | :---: | :---: |
| 4.3 | Where do you think you will be on the ladder in four years from now? (RECORD STEP NUMBER 01-09, 77=NK, 88=NA) | [_—] | (CFARLAD) |
| 4.4 | (Unless answer to 4.2 is 9) What would help you move up the ladder? (ENTER CODE FROM CODE BOX \#13). <br> You are allowed up to three answers but please give the most important one first. (If answer to 4.2 is 9 , answer 88=NA) |  |  |
|  | (CHLPMV1) (CHLPMV2) (CHLPMV3) |  |  |
| 01 | [__-] |  |  |
| 02 | [__-] |  |  |
| 03 | [_—] |  |  |
| 4.5 | (Unless answer to 4.2 is 01) What would cause you to move down the ladder? (ENTER CODE FROM CODE BOX \#14). <br> You are allowed up to three answers but please give the most important one first. (If answer to 4.2 is 01 , answer 88=NA) |  |  |
|  | (CHLPDWN1) (CHLPDWN2) (CHLPDWN3) |  |  |
| 01 | [__ ] |  |  |
| 02 | [__ ] |  |  |
| 03 | [__ ] |  |  |


| CODEBOX 13 - HELP MOVING UP THE LADDER |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Making more money | 07=Improve my existing skills | 13= Better/more government loan facilities for me/my household | 19=Gambling/winning the lottery |
| 02=My education (including getting better grades) | 08=Learn new skills | 14= Learn to do things by myself /not be dependent on others | $20=$ = Support of NGOs/other organisations for me/my household/family |
| 03=Leaving this town,/migrating | 09= Go to a hostel for education | 15= Work harder (additional work) | $21=$ Rise in prices |
| 04=Good luck/God's blessing | 10= Become a member of a local organisation | 16= Good harvest | 22=Better credit facilities for me/my household/family |
| 05=Government (local or national) giving me/my household opportunities such as FFW, Cash for work, Food aid | 11= Support of father/father figure for me/my family | 17= Job security (continuous work) for me/my household/family | 23=Receiving remittances for me/my household/family |
| 06=Better health status | 12= Support of family | 18= Better job for me/my household/family | $24=$ Other |

CODEBOX 14 - REASONS FOR MOVING DOWN THE LADDER

| 01=Making less money | $07=$ I/my family can't find steady work | $13=$ Other children leaving the <br> household | $19=$ No support from NGOs/other <br> organisations |
| :--- | :--- | :--- | :--- |
| 02=Poor credit facilities for me/my <br> household | $08=$ Job is poor | $14=$ Being poorly educated | $20=$ Have to end education early |
| O3=Poor government loan facilities for <br> me/my household | 09=Can't improve skills | $15=$ Death/illness of household member | $21=$ Other |
| O4=Government (local or national) <br> denying me/my household <br> opportunities such as FFW, Cash for <br> work, Food aid | $10=$ Poor harvest | $16=$ No support from family |  |
| 05=Poor health status | $11=$ Too dependent on others | $17=$ No support from father figure for <br> me/ household |  |
| $06=$ Gambling debts | $12=$ Me/my household not receiving <br> remittances | $18=$ Marriage or leaving home |  |
|  |  |  |  |


| 4.6 | Imagine that a family in the VILLAGE/SUBURB has a 12 year old daughter who is attending school full-time. The <br> family badly needs to increase the household income, and one option is to send the daughter to work but the daughter <br> wants to stay in school. What should the family do? <br> O1=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know | (CFAMDTR) |
| :--- | :--- | :--- | :--- |

FIELDWORKER: ONLY ANSWER ONE OF THE TWO FOLLOWING QUESTIONS: IF CHILD HAS RECEIVED SOME SCHOOLING, ASK 4.7. IF NOT, ASK 4.8

| 4.7 | Do you think that formal schooling will be useful in your future life? <br> (READ CODES) <br> $00=\mathrm{No}$, it is not useful, $01=$ Yes, it is essential, $02=$ Yes, but it is not essential, $77=\mathrm{NK}$ <br> $>$ Skip to 4.9 | [——] | (CSCUSEFL) |
| :---: | :---: | :---: | :---: |
| 4.8 | Do you think that formal schooling would have been useful to you in your future life? (READ CODES) <br> $00=$ No, it is not useful, $01=$ Yes, it is essential, $02=$ Yes, but it is not essential, $77=$ NK | [_—] | (CNSCUSE) |


| 4.9 | When you are about 20 years old, what job do you think you will be doing? <br> (ENTER CODE FROM CODE BOX \#15) <br> (DO NOT PROMPT) | [ _-_ ] | (CHFUTURE) |
| :--- | :--- | :---: | :---: |

CODEBOX 15 - EXPECTATION/AMBITION

| 01=Accountant | 12=Domestic Worker | 23=Mason | 34=Sportsman/woman |
| :---: | :---: | :---: | :---: |
| 02=Actor/actress | $13=$ Driver | 24=Mechanic | 35=Tailor |
| 03=Artist | 14=Engineer | 25=Nurse | 36=Taxi Driver |
| 04=Civil servant | 15=Farmer | 26=Painter/decorator | 37=Teacher |
| 05=Computer operator | 16=Fireman/woman | $27=$ Pilot | 38=Trader/businessman/woman |
| 06=Conductor | 17=Fisherman | 28=Policeman/woman | 39=Traditional occupation |
| 07=Construction worker | 18=Fulltime parent/Housewife | 29=Politician | 40=University Student |
| 08=Cook | 19=Labourer | 30=President/leader of country | $41=$ Vet |
| 09= Dentist | 20=Lawyer | 31=Scientist | 42=Other |
| 10= District collector | 21= Lecturer | 32=Singer |  |
| 11= Doctor | 22=Market Trader/shop assistant/ | 33=Soldier |  |

FIELDWORKER: IF CHILD IS STILL IN SCHOOL, ASK 4.10-4.12, OTHERWISE > Skip to 4.13

| 4.10 | Imagine you had no constraints and could stay at school as long as you liked, what level of formal education would you like to complete? <br> ENTER GRADE 01 to 12, 13=Post-secondary, vocational, 14=University, 00=None, 77=NK | [_—] | (CGRDLIKE) |
| :---: | :---: | :---: | :---: |
| 4.11 | Given your current situation do you expect you will reach that level of education? $01=\text { Yes, } 00=\mathrm{No}, 77=\mathrm{NK}$ <br> If yes or $77=$ NK, $>$ Skip to 4.14 | [__] | (CEXPGRAD) |


| 4.12 | What are main reasons you are most likely to drop out of school early? You can give up to three answers but please give the most important one first. |
| :---: | :---: |
|  | (ENTER UP TO THREE CODES FROM CODE BOX \#16. DO NOT PROMPT) |
| 01 | (CYDRPOT1) (CYDRPOT2) (CYDRPOT3)) |
| 02 | $[\ldots \ldots]$ |
| 03 | $[\ldots \ldots]$ |

Note to Fieldworker: If 'financial problems' is given as an answer, probe to see whether this means things will be too expensive or child will have to work etc.

| CODEBOX 16 - REASONS MAY LEAVE SCHOOL EARLY |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Fees will be too expensive | 08=Truancy, lack of interest | 15= Bullying/abuse from peers | 22 = It won't be appropriate for girls to carry on |
| 02=Books and/or other supplies will be too expensive | 09=May be banned from school for behaviour reasons | 16= Ill-treatment/abuse from teachers/principal | 23=Marriage |
| 03= Shoes/Clothes/Uniform for school will be too expensive | 10=May be banned from school because away for too long | 17= No need for schooling for future job. | 24= Disability, Illness |
| 04= Transport will be too expensive | 11 = May be banned from school because failed to achieve necessary grade/level at school | 18= Need to learn a trade/skill, e.g. through apprenticeship so will need to go to work | $25=$ Stigma and Discrimination (child will not be welcome because of ethnic group/caste/ socioeconomic group etc). |
| 05= May move further from school | 12= Quality of education at school (teaching and learning) may be poor | 19= Will have to do paid work to earn money (including agricultural work other than for household) | 26=Family member may become ill/disabled/elderly (including care for this family member) |
| $06=$ Will become unsafe to travel to school | 13= Quality of care (food, noneducational care) may be poor | $20=$ Will need to stay home to look after siblings | 27=Other |
| 07= Lack of transport | 14= Lack of sanitation facilities at school will become a problem | $21=$ Will need to stay home for domestic and/or agricultural work at home (include chores, farm work, harvest) |  |

## FIELDWORKER: ASK ALL CHILDREN THESE QUESTIONS

SAY: I am going to read some things that young people your age sometimes say, think or feel. Please think about whether it sounds like something you might say, think or feel. I want you to choose one of these four cards. If it sounds like something you might say, think or feel, please choose one of the ticks (SHOW TICK CARDS). If it sounds a lot like you, please choose the big tick (SHOW BIG TICK=01). If it sounds a bit like you, please choose the small tick (SHOW SMALL TICK=02). If it doesn't sound like something you would say, think or feel, choose one of the crosses (SHOW CROSSES). If it sounds very unlike, or the opposite of you, please choose the big cross (SHOW BIG CROSS=04). If it doesn't really sound like you, please choose the small cross (SHOW SMALL CROSS=03). We will do a few practise questions first (FIELDWORKER: DO PRACTISE QUESTIONS UNTIL CHILD UNDERSTANDS TASK - UP TO 3 TIMES).

Say: 'I like rice'
'I can run fast'
'I like cats'
SAY: I am now going to ask you your opinion about some things in the local area.

| 4.13 | Question | Scale response (01-04), 77=NK, |  |
| :---: | :---: | :---: | :---: |
| 01 | Most people in my neighbourhood are basically honest [+] | [__-] | (TR1) |
| 02 | Most people in my neighbourhood can be trusted [+] | [__-] | (TR2) |
| 03 | I believe the government does what is right for people like me [+] | [__ ] | (TR3) |
| 04 | The nearest primary school provides a good quality education to the children in this community | [___] | (SV1) |
| 05 | The nearest health centre provides a good quality health service for children | [___] | (SV2) |
| 06 | I feel safe when I go out of the house on my own | [___] | TR4) |
| 07 | The local police do their job well | [___] | (SV5) |
| 08 | People in this community can affect local government decisions that matter for people's lives | [__-] | (SV6) |

SAY: I am now going to read some things that young people your age sometimes say, think or feel. I want you to tell me if they sound like things you might say, think or feel.

| 4.14 | Question | Scale response (01-04), 77=NK, |  |
| :---: | :---: | :---: | :---: |
| 01 | If I try hard, I can improve my situation in life [+] | [___] | (AG1) |
| 02 | Other people in my family make all the decisions about how I spend my time | [___] | (AG2) |


| 03 | I like to make plans for my future studies and work [+] | [___] | (AG3) |
| :---: | :---: | :---: | :---: |
| 04 | I feel proud to show my friends or other visitors where I live [+] | [___] | (PS1) |
| 05 | I am ashamed of my clothes | [___] | (PS2) |
| 06 | I am ashamed of my shoes (88=no shoes) | [___] | (PS7) |
| 07 | I feel proud of the job my [INSERT RELATIONSHIP TO CHILD OF HOUSEHOLD HEAD] does [+] | [__ ] | (PS3) |
| 08 | When I am at shops/market I am usually treated by others with fairness and with respect [+] | [__ ] | (SD1) |
| 09 | Adults in my STREET/VILLAGE treat me worse than other children my age | [__ ] | (SD2) |

FIELDWORKER: IF THE CHILD ANSWERED 01 OR 02 (YES) TO THE LAST QUESTION 4.14.09 ASK 4.14.1. IF NO, SKIP TO 4.15 THESE QUESTIONS MAY BE HIGHLY SENSITIVE. DO NOT PRESSURE FOR A RESPONSE
4.14.1 Why do you think adults in your street/village treat you worse than other children?

| $[\ldots \ldots]$ |
| :--- | :--- |
| $[\ldots \ldots]$ |
| $\left[\ldots \_\right]$ |\(\quad\left(\begin{array}{l}(WHYBAD1) <br>

(WHYBAD2) <br>
(WHYBAD3)\end{array}\right.\)
(FIELDWORKER ENTER UP TO THREE CODES FROM CODE BOX \#17; DO NOT PROMPT)

CODEBOX 17 - WHY TREATED WORSE THAN OTHER CHILDREN

| 01=Gender | 06=My language/the way I talk | 11=I have HIV/AIDS |
| :---: | :---: | :---: |
| 02=My family has less money/fewer material possessions than others | 07=The clothes I wear/my appearance | 12=Other |
| 03=I am from a single parent family/my parents are divorced/separated | 08=The job I do | 79=Refused to answer |
| 04=Because of my ethnic group | 09=The job my father/mother/guardian does |  |
| 05=Because of my caste | 10=I am disabled |  |

Note to Fieldworker: If child answers 'because drunk' probe why adult drunk picks on them specifically

FIELDWORKER: IF THE CHILD IS IN SCHOOL, ASK 4.15, IF NOT > SKIP TO 4.16

| 4.15 | Question | Scale response (01-04), 77=NK, |  |
| :---: | :---: | :---: | :---: |
| 01 | I am often embarrassed because I do not have the right books, pencils and other equipment for school | [___] | (PS4) |
| 02 | I am worried that I don't have the correct uniform | [ _ _ ] | (PS8) |
| 03 | The other children in my class treat me with respect [+] | [___] | (SD3) |
| 04 | If I study hard at school I will be rewarded by a better job in future [+] | [__-] | (AG4) |
| 05 | Other pupils in my class tease me at school | [___] | (SD4) |
| 06 | I am proud of my achievements at school [IF NECESSARY PROMPT: IN READING/SPORT/MATHS] [+] | [___] | (PS5) |
| 07 | My teachers treat me worse than other children | [__-] | (SD5) |

FIELDWORKER: IF THE CHILD ANSWERED 01 (YES) TO THE LAST QUESTION 4.15.07 ASK 4.15.1 IF NO, SKIP TO 4.16 THESE QUESTIONS MAY BE HIGHLY SENSITIVE. DO NOT PRESSURE FOR A RESPONSE

| 4.15 .1 | Why do you think teachers treat you worse than other children? You are allowed up to three answers but <br> please give the most important one first. <br> (FIELDWORKER ENTER UP TO THREE CODES FROM CODE BOX \#18; DO NOT PROMPT) | [ _ _ ] <br> [__ ] <br> [___] | (TEABAD1) |
| :--- | :--- | :--- | :--- |
| (TEABAD2) |  |  |  |


| CODEBOX 18 - WHY TREATED WORSE THAN OTHER CHILDREN |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Gender | $06=$ My language/the way I talk | $11=$ I have HIV/AIDS |
| O2=My family has less money/fewer material possessions than <br> others | $07=$ The clothes I wear/my appearance | $12=$ Other |
| 03=I am from a single parent family/my parents are <br> divorced/separated | $08=$ The job I do | $79=$ Refused to answer |
| $04=$ Because of my ethnic group | $09=$ The job my father/mother/guardian does |  |
| $05=$ Because of my caste | $10=$ I am disabled |  |
|  |  |  |

FIELDWORKER: IF THE CHILD DOES ANY WORK, ASK 4.16, IF NOT > SKIP TO 4.17

| 4.16 | SAY: Please think about whether the next statements sound like something you might say, think or feel. | Scale response (01-04), 77=NK, |  |
| :---: | :---: | :---: | :---: |
| 01 | I am embarrassed by/ashamed of the work I have to do | [___] | (PS6) |
| 02 | I have no choice about the work I do - I must work | [___] | (AG5) |
| 03 | The job I do makes me feel proud [+] | [___] | (PS9) |

## SECTION 5 - PARENTS AND HOUSEHOLD ISSUES

## FIELDWORKER: ASK ALL CHILDREN THESE QUESTIONS

SAY: I am going to read some things that young people your age sometimes say, think or feel. Please think about whether it sounds like something you might say, think or feel. I want you to choose one of these four cards. If it sounds like something you might say, think or feel, please choose one of the ticks (SHOW TICK CARDS). If it sounds a lot like you, please choose the big tick (SHOW BIG TICK=01). If it sounds a bit like you, please choose the small tick (SHOW SMALL TICK=02). If it doesn't sound like something you would say, think or feel, choose one of the crosses (SHOW CROSSES). If it sounds very unlike, or the opposite, of you, please choose the big cross (SHOW BIG CROSS=04). If it doesn't really sound like you, please choose the small cross (SHOW SMALL CROSS=03). We will do a few practise questions first (FIELDWORKER: DO PRACTISE QUESTIONS UNTIL CHILD UNDERSTANDS TASK - UP TO 3 TIMES).

Say: 'I like rice'
'I can run fast'
'I like cats'

| 5.1 | Question | Scale response (01-04), 77=NK, 88=N/A |  |
| :---: | :---: | :---: | :---: |
| 01 | I always obey my parents [+] | [___] | (OBEY) |
| 02 | My parents/guardians rarely talk with me about the things that matter to me | [__-] | (NOTALK) |
| 03 | I always feel loved by my parents/guardians [+] | [__-] | (LOVED) |
| 04 | My parents/guardians never support me in the things I want to do | [__-] | (NOSUPP) |
| 05 | I usually feel able to speak out my views and feelings with my parents [+] | [__-] | (SPEAK) |
| 06 | Most of the time my parents/guardians treat me fairly when I do something wrong [+] | [_—] | (FAIRPUN) |
| 07 | Compared to my sisters fewer things (clothes, money, food etc) are provided for me | [__ ] | (SISLESS) |

Young Lives - 12yr old Child Questionnaire - Vietnam

| 08 | I receive lots of time and attention from my parents/guardians [+] | [_—] | (TIMATT) |
| :---: | :---: | :---: | :---: |
| 09 | Compared to my brothers, fewer things (clothes, money, food etc) are provided for me | [ $-\ldots$ ] | (BROLESS) |
| 10 | Compared to my brothers, I have less freedom to leave the house when I want | [___] | (BROFREE) |
| 11 | Compared to my sisters, I have less freedom to leave the house when I want | [___] | (SISFREE) |
| 12 | My parents/guardians treat me worse than other children in my family | [_-_] | (PARWOR) |
| 5.2 | Have your parents/guardians ever made a major decision about your life that you were not happy with? $00=\text { No, 01=Yes, 77=NK }$ <br> If No or NK (77) > go to Section 6 | [_—] | (DECISION) |
| 5.3 | If yes, which parent/guardian made the decision? 01=Mother/Female guardian only, 02=Father/Male guardian only, 03=Joint decision between parents/guardians, 77=NK, 88=N/A | [_—] | (PARDEC) |
| 5.5 | What was it about? ENTER CODE FROM CODE BOX \#19 <br> If other, please specify: $\qquad$ | [_—] | (WHATDEC) <br> (SPECDEC) <br> (ESPCDEC) |
| 5.6 | Did you feel able to try to change their mind/s about it? 00=No, 01=Yes, 77=NK, 88=N/A | [_—] | (MINDS) |


| CODEBOX 19 - NATURE OF DISAGREEMENT |  |  |
| :---: | :---: | :---: |
| 01 = My education (including whether/when to start it/stop it; which school to go to; how much study to do etc) | $05=$ Day-to-day household management (including assignment of domestic roles and tasks, who sleeps where, who is responsible for whom, what food is eaten etc) | 09=Religion (including extent and nature of religious observance/belief etc) |
| 02=The family structure (including divorce, remarriage, other siblings living in/away from home etc) | 06=Discipline (including nature of discipline and reasons for it etc) | 10=Health (including treatmentseeking; disability; seriousness of an illness etc) |
| 03=My work (including whether/when to start it/stop it; which job to do; how many hours to work etc) | $07=$ What I do in my leisure time (including where spend it and what do in it, including alcohol, smoking and drug use etc) | 11=Other |
| $04=$ Where we live (including moving house/issues to do with the house, home, farm etc) | 08= My friends and partners (including boy/girl friends not immediately seen as marriage partners; who they are; what they do etc) |  |

## SECTION 6 - PERCEPTIONS OF FUTURE, ENVIRONMENT AND HOUSEHOLD WEALTH

SAY: I am now going to ask you some more questions about your life now and in the future.

| 6.1 | What do you want to be when you grow up? <br> (ENTER CODE FROM CODE BOX \#20 - DO NOT PROMPT unless absolutely necessary) | (AMBITION) |
| :--- | :--- | :--- | :--- |

CODEBOX 20 - EXPECTATION/AMBITION

| $01=$ Accountant | $12=$ Domestic Worker | $23=$ Mason | $34=$ Sportsman/woman |
| :--- | :--- | :--- | :--- |
| $02=$ Actor/actress | $13=$ Driver | $24=$ Mechanic | $35=$ Tailor |
| $03=$ Artist | $14=$ Engineer | $25=$ Nurse | $36=$ Taxi Driver |
| $04=$ Civil servant | $15=$ Farmer | $26=$ Painter/decorator | $37=$ Teacher |
| $05=$ Computer operator | $16=$ Fireman/woman | $27=$ Pilot | $38=$ Trader/businessman/woman |
| $06=$ Conductor | $17=$ Fisherman | $28=$ Policeman/woman | $39=$ Traditional occupation |
| $07=$ Construction worker | $18=$ Fulltime parent/Housewife | $29=$ Politician | $40=$ University Student |
| $08=$ Cook | $19=$ Labourer | $30=$ President/leader of country | $41=$ Vet |
| $09=$ Dentist | $20=$ Lawyer | $31=$ Scientist | $42=$ Other |
| $10=$ District collector | $21=$ Lecturer | $32=$ Singer |  |
| $11=$ Doctor | $22=$ Market Trader/shop assistant/ | $33=$ Soldier |  |
|  |  |  |  |

SAY: I now want you to think about the household you are living in.

| 6.2 | Which of the following best describes your household: <br> O1=Very rich, 02=Rich, 03=Comfortable - can manage to get by, <br> 04=Never have quite enough, struggle to get by, 05=Poor, 06=Destitute | [_-] |  |
| :--- | :--- | :---: | :---: |
| 6.3 | How would you describe the your household four years ago: <br> O1=Very rich, 02=Rich, 03=Comfortable - can manage to get by, <br> 04=Never have quite enough, struggle to get by, 05=Poor, 06=Destitute <br> If unchanged, Skip to 6.5 | [_-] |  |

6.4 (If situation has changed) Please give up to two main reasons for the change from four years ago to now (ENTER UP TO TWO CODES FROM CODE BOX \#21 if improvement and CODE BOX \#22 if worsened)
$[-\longrightarrow]$
 ]

CODEBOX 21 - WHY SITUATION IMPROVED

| 01=Harvests have been good | $09=$ HH has worked hard | $17=$ Live in a nicer house | $25=$ Panchyat is providing all facilities |
| :--- | :--- | :--- | :--- |
| $02=$ HH Started growing new crops | $10=$ Parent has new spouse | $18=$ HH Managed business well | $26=$ Migration |
| $03=$ HH Tried new farming techniques | $11=$ More children in HH | $19=$ I have worked hard | $27=$ HH Was willing to take risks |
| 04=HH Managed crops or livestock <br> well | $12=$ HH Children older and more able <br> to work | $20=$ HH business or trade has been <br> profitable | $28=$ Prices have risen |
| $05=$ HH Accumulated livestock | $13=$ Women in household drink less | $21=$ HH Set up a new business or trade | $29=$ Good Luck |
| 06=HH Accumulated other resources, <br> assets, possessions | $14=$ Men in household drink less | $22=$ HH Started work or new job/non- <br> farm work | $30=$ God has helped |
| 07=My own health has improved | $15=$ More people around to help, advise <br> (Better Networks) | $23=$ Food or Cash aid | $31=$ Other |
| 08=Health of HH (or individuals in <br> HH) has improved | $16=$ Job security (continuous security) | $24=$ FFW/CFW/Income generation <br> programme |  |

CODEBOX 22 - WHY SITUATION WORSENED

| CODEBOX 22 - WHY SITUATION WORSENED |  |  |  |
| :--- | :--- | :--- | :--- |
| $41=$ Harvests have been poor | $49=$ Lost job (permanent/temporary) | $57=$ Children moved away | $65=$ Prices for goods have fallen |
| $42=$ HH Tried new crops but they failed | $50=$ Have fewer other resources, assets, <br> possessions | $58=$ Large family/too many children/ <br> increased family size | 66=Increase in price of inputs |
| $43=$ HH Tried new ways of farming but <br> they failed | $51=$ I was lazy | $59=$ Men in household drink too much | 67=Increase in food prices |
| $44=$ HH Managed crops or livestock <br> poorly | $52=$ HH was lazy | $60=$ Women in household drink too <br> much | $68=$ House is not as nice as it used to be |
| $45=$ HH Has less livestock | $61=$ Less people around to help, advise <br> (Poorer Networks) (isabled have been injured /got ill/ | $69=$ Old age of HH adults |  |
| $46=$ HH Managed business poorly | $54=$ Adult/s in HH has been/got <br> ill/injured/disabled | $62=$ Theft/robbery | $70=$ Bad luck |
| $47=$ HH business failed or didn't do <br> well | $55=$ Adult/s in HH died | $63=$ Too many loans | $71=$ God has not helped |
| $48=$ HH Tried new business/trade but it <br> failed | $56=$ Children in HH sick or died | $64=$ HH Did not want to take risks | $72=$ Other |

SAY: Now think about the area where you live. Think about $\qquad$ (Insert name of Village/Suburb).
6.5 Compared to other households here in $\square$ , would you describe your household at the moment as: 01=The richest, 02=Among the richest, 03=Richer than most households, 04=About Average,
05=A little poorer than most households, 06=Among the poorest, 07=The poorest,
77=NK

## THE YOUNG LIVES STUDY - HOUSEHOLD QUESTIONNAIRE - 12yr old

CONFIRM CONSENT - SAY: "When we saw you yesterday (or whenever) you agreed to continue being part of the YL study. Now that you have had time to consider this are you still happy to be part of the study - remember you can withdraw at any time?"

FIELDWORKER: If they are happy to continue then show them the consent form - either give them time to read it themselves or read it to them (if they cannot read). Then ask them to sign the consent form or put their mark.

CHILD ID AND DATE

| 0.1 | INSERT CHILD ID | VN___ ${ }^{1} \ldots \ldots$ | CHILDID |
| :---: | :---: | :---: | :---: |
| 0.2 | Date of interview | $\overline{\mathrm{dd}} \mathrm{I}^{\prime} / \mathrm{mm} \mathrm{~m}_{\text {yyyy }}^{1} 2006$ | DINTDAY DINTMTH DINTYEAR |

DATA HANDLERS

| Fieldworker | Name: | Code: [ _ _ _ ] | FLDCODE |
| :---: | :---: | :---: | :---: |
|  | Signature: | Date of check: $\qquad$ $\overline{\mathrm{d}} \mathrm{~d}^{\prime} / \overline{\mathrm{mm}} / \mathrm{yyyy}-\quad-$ | FLDDAY <br> FLDMTH <br> FLDYEAR |
| Supervisor | Name: | Code: [ _ _ _ ] | SUPCODE |
|  | Signature: | Date of check: | SUPDAY <br> SUPMTH <br> SUPYEAR |


| Data entry clerk (first data entry) | Name: | Code: [ _ _ _ ] | DE1CODE |
| :---: | :---: | :---: | :---: |
|  | Signature: | Date of first data entry: | DE1DAY DE1MTH DE1YEAR |
| Data entry clerk (second data entry) | Name: | Code: [ ___ ] | DE2CODE |
|  | Signature: | Date of second data entry: | DE2DAY DE2MTH DE2YEAR |

## SECTION 1 - PARENTAL BACKGROUND

1.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)
___ ]
FIELDWORKER: For this section, ask the family to identify the father, the mother and the primary caregiver (the person who spends the most time looking after the child). The mother or father could be the primary caregiver, in which case there is no need to enter any details in the last column (Primary caregiver). If e.g., mother is primary caregiver then no need to fill in last column. If neither is the primary caregiver then identify who is the primary caregiver and fill in these details in the last column If any of the individuals are not members of the household (and are therefore not on the household roster) then use code "90".

|  |  | Father |  | Mother |  | Primary caregiver |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.1 | Is this person still alive? $01=\text { Yes, } 00=\text { No }$ <br> If No, skip to 1.12 for this person | [_—] | (DADAL) | [_—] | (MUMAL) |  |  |
| 1.2 | Is this the biological parent? $01=\text { Yes, } 00=\mathrm{No}$ | [_-] | (BIODAD) | [_-] | (BIOMUM) |  |  |
| 1.3 | Is this the primary caregiver? 01=Yes, 00=No <br> IF ONE OF THE PARENTS IS THE PRIMARY CAREGIVER <br> THEN CROSS THROUGH THE PRIMARY CAREGIVER COLUMN, OTHERWISE IDENTIFY THE CAREGIVER AND COMPLETE THE COLUMN. | [_—] | (PRIDAD) | [_—] | (PRIMUM) |  |  |
| 1.4 | Roster ID of this person ( 90 if not a member of the household) | [__] | (DADID) | [__] | (MUMID) | [__ ] | (CAREID) |
| 1.5 | How often does this person see NAME? <br> 01=Daily, 02=Weekly, 03=Monthly 04=Annually, 05=Irregularly, 06=Never, 77=NK | [__] | (SEEDAD) | [_—] | (SEEMUM) | [__ ] | (SEECARE) |


|  |  | Father |  | Mother |  | Primary caregiver |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.6 | How long has this person lived in this commune? <br> (ENTER YEARS) $00=<1 \mathrm{yr},-66=$ All his/her life, 77=N/K | [_—] | (DADLIVE) | [_—] | (MUMLIVE) | [__ ] | (CARELIVE) |
| 1.7 | What was the first language this person learnt as a child? (mother tongue) <br> 10=Other, 41=Vietnamese, <br> 42=Chinese, 43=Tay, 44=H'Mong, <br> 45=Nung, 46=Ede, 47=Thai, <br> 48=Dao, 49=Giay, 77=NK | [__] | (DADLANG) | [_—] | (MUMLANG) | [_—] | (CARELANG) |
| 1.8 | Can this person read and understand a letter or newspaper in Vietnamese? 01=Easily, 02=With difficulty, 03=Not at all, 77=N/K If yes, skip to 1.10 for this person | [_—] | (DADLITS) | [_—] | (MUMLITS) | [_—] | (CARELITS) |
| 1.9 | Can this person read and understand a letter, newspaper etc, in any language? 01=Easily, 02=With difficulty, 03=Not at all, 77=NK | [__ ] | (DADLITA) | [_—] | (MUMLITA) | [_—] | (CARELITA) |
| 1.10 | Can this person speak Vietnamese? $00=\text { No, 01=Yes, 77=NK }$ <br> If no $>$ Skip to 1.12 for this person | [_—] | (DADSPK) | [_—] | (MUMSPK) | [_—] | (CARESPK) |
| 1.11 | How well does this person speak Vietnamese? <br> 01=Fluently (like mother tongue) <br> 02=Well (easily understood) <br> 03=Basic (difficult to be understood), 77=NK | [_—] | (DADFLU) | [__] | (MUMFLU) | [_—] | (CAREFLU) |


|  |  | Father |  | Mother |  | Primary caregiver |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.12 | Because of his/her ancestors and according to your customs, which of the following origins does this person belonging to? <br> 01=Mixed, 10=Other, <br> 41=Kinh, 42=Chinese, 43=Tay, <br> 44=H'Mong, 45=Nung, 46=Ede, <br> 47=Thai, 48=Dao, 49=Giay, <br> 77=NK | [_—] | (DADETH) | [_—] | (MUMETH) | [__ ] | (CAREETH) |
| 1.13 | What is this person's religion? 01=Christian (Catholic), 02=Muslim, 03=Buddhist, <br> 06=Christian (Protestant) <br> 10=Other, 12=Cao Dai, 14=None <br> 15=Hao Hoa, 77=NK | [_—] | (DADREL) | [__] | (MUMREL) | [_—] | (CAREREL) |
| 1.14 | What is the highest education grade attained (completed) by this person? <br> ENTER GRADE 01-12, <br> 13= Vocational post-secondary, <br> 14=University, 28=Adult literacy, <br> 29=Religious education, 00=None | [_—] | (DADED) | [_—] | (MUMED) | [_—] | (CAREED) |


| 1.15 | Where did NAME live when he/she was born? <br> 01=Same commune, 02=Outside commune, within district, 03=Outside district, within region, 05= Outside region, within the country, 06=Other country, 77=NK | [__ ] | (CHILDLIV) |
| :---: | :---: | :---: | :---: |
| 1.16 | How long has NAME lived here? (in years) <br> (THIS NUMBER HAS TO BE MORE THAN 4 if child is in same area as in FIRST ROUND) | [__ ] | (LONGLIV) |
| 1.17 | Has NAME ever left this locality? 00=No, 01=Yes, 77=NK | [__ ] | (LEFT) |
| 1.18 | How many children were born (and lived for at least 24 hours) to NAME's mother before NAME? | [___] | (BORNBEF) |
| 1.19 | How many children have been born (and lived for at least 24 hours) to NAME's mother after NAME? | [___] | (BORNAFT) |
| 1.20 | Which of the following origins does NAME belong to? <br> 01=Mixed, $10=$ Other, $77=N K$ <br> 41=Kinh, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Giay | [__ ] | (CHLDETH) |


| 1.21 | What is 'NAME's religion? <br> 01=Christian (Catholic), 02=Muslim, 03=Buddhist, 06=Christian (Protestant), 10=Other, 12=Cao Dai, 14=None, 15=Hao Hoa, 77=NK, 88=N/A | [_—] | (CHLDREL) |
| :---: | :---: | :---: | :---: |
| 1.22 | Is there a male household member that the child considers as his/her example role model? (ENTER ID CODE FROM HOUSEHOLD ROSTER. IF NONE USE 88=N/A) | [_—] | (MALEROLE) |
| 1.23 | Is there a female household member that the child considers as his/her example role model? (ENTER ID CODE FROM HOUSEHOLD ROSTER. IF NONE USE 88=N/A) | [_—] | (FEMROLE) |
| 1.24 | What was the first language NAME learned at home? <br> 10=Other, 41=Vietnamese, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Doa, 49=Giay, 77=NK | [__ ] | (CHLNG1ST) |
| 1.25 | Currently, does NAME speak Vietnamese? <br> $00=$ Not at all, $01=$ Yes, speaks and understands, $02=$ Does not speak, but understands | [__ ] | (CHSPKLNG) |

## SECTION 2 - HOUSEHOLD EDUCATION

| 2.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household <br> roster) | [___] | ID2 |
| :--- | :--- | :--- | :--- |

## 2 A - HOUSEHOLD EDUCATION

SAY: Now I am going to ask you some questions about the education level of each individual in the household.
FIELDWORKER: Place the household roster card over the 'ID' column of this table. Work through the columns in the table asking questions 2.1.1 to 2.1.4 for each individual identified in the household roster card who is aged aged 18 and over.

FIELDWORKER: Note: You have already collected highest grade attained for mother, father and/or caregiver in question 1.12. Therefore, do not ask 2.1 .1 for mother, father, and/or caregiver. DO ASK 2.1.2 for all household member, including mother, father and/or caregiver.

| 2.1 | 2.1.1 | 2.1.2 |
| :---: | :---: | :---: |
| ID | What was the highest grade that this person completed (excluding pre-primary)? <br> (ENTER GRADE 01-12; 13=Post-secondary, Vocational; 14=University; 28=Adult literacy; 29=Religious education, 00=None) | Is this person currently in full time education? $00=\text { No, }$ <br> 01=Yes, attending regularly, <br> 02=Yes, but attending irregularly, <br> 77=NK |
| (ID) | (GRADE) | (STILL) |
| [___] | [___] | [___] |
| [__-] | [__-] | [_-_] |
| [__ ] | [_-_] | [_-_] |
| [_-_] | [_-_] | [ $-\ldots$ ] |
| [__ ] | [__ ] | [__ ] |
| [__ ] | [__] | [__] |
| [__ ] | [__] | [_-] |
| [___] | [___] | [___] |


| [___] | [__-] | [__-] |
| :---: | :---: | :---: |
| [___] | [__-] | [__ ] |
| [__ $]$ | [_-_] | [_-_] |
| [___] | [___] | [ |

SAY: Now I'm going to ask about the education of all children in household between the ages and 5 and 17 .
FIELDWORKER: Transfer IDs for all 5-17 year olds in ID column below.

| 2.2 | FOR AGES 5-17 ONLY |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2.2.1 | 2.2.2 | 2.2 .3 | 2.2 .4 | 2.2 .5 |
| $\begin{aligned} & \text { CHILD } \\ & \text { ID } \end{aligned}$ | What was the highest grade that this person completed (excluding pre-primary)? <br> (ENTER GRADE 01-12; <br> 13=Post-secondary, <br> Vocational; <br> 14=University; 28=Adult literacy; 29=Religious education, 00=None) | Is this person currently in full time education? <br> $00=$ No, but has previously been in school 01=Yes, attending regularly, 02=Yes, but attending irregularly, <br> 04=No, has never been in school <br> 77=NK <br> (If yes, Skip to 2.2.5) | Why is this child currently NOT in fulltime education? <br> (ENTER CODE FROM CODE BOX 1) <br> (If never enrolled, SKIP to next child) | [If this child has ever been in full-time education] <br> How old was this child when s/he completed/ stopped full-time education? <br> (ENTER AGE, 77=NK, 88=N/A) | [If this child has ever been in fulltime education] <br> How old was this child when s/he first entered full-time education? <br> (ENTER AGE, 77=NK, 88=N/A; 89=Never) <br> If currently NOT enrolled in fulltime education, SKIP to next child. |
| (ID) | (CHGRADE) | (CHSTILL) | (WHYNOT) | (AGEGRADE) | (AGESTSCH) |
| [___] | [___] | [___] | [___] | [___] | [___] |
| [ | [_-_] | [_-_] | [__-] | [_-_] | [_-_] |
| [__ ] | [___] | [___] | [__ ] | [___] | [___] |
| [__ $]$ | [__-] | [___] | [__-] | [___] | [___] |
| [ $-\ldots$ ] | [_-_] | [_-_] | [_-_] | [_-_] | [_-_] |
| [ $-\ldots$ ] | [_—_] | [_——] | [_——] | [_-_] | [_——] |
| [__ $]$ | [___] | [ [-_] | [___] | [___] | [___] |
| [ | [__-] | [___] | [___] | [___] | [___] |



| CODEBOX 1 - REASONS NOT IN SCHOOL |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Fees too expensive | 09=Banned from school for behaviour reasons | 17= No need for schooling for future job. | 25= Family member ill/disabled/elderly (including care for this family member) |
| 02=Books and/or other supplies too expensive | 10=Banned from school because away for too long | 18= Need to learn a trade/skill, e.g. through apprenticeship so went to work | 26= Family issues e.g. problems at home - parent disputes/marital conflict |
| 03= Shoes/Clothes/Uniform for school too expensive | 11= Banned from school because failed to achieve necessary grade/level at school | 19=Need to stay home to look after siblings | 27=Stigma and Discrimination (child was not be welcome because of ethnic group/caste/socioeconomic group etc). |
| 04= Transport too expensive | 12= Quality of education at school (teaching and learning) poor | $20=$ Needed for domestic and/or agricultural work at home (include chores, farm work, harvest) | 28= School not accessible for seasonal reasons: river prohibits access |
| 05= School too far from home | 13= Quality of care (food, noneducational care) poor | 21=Have to do paid work to earn money(including agricultural work other than for household) | 29=Child too young |
| 06=Not safe to travel to school | 14= No sanitation facilities at school | 22 = It's not appropriate for girls to go to/continue at school | 30=Child Still in preschool |
| 07= Lack of transport | 15= Bullying/abuse from peers | 23=Marriage | 31=Other |
| 08=Truancy, child does not want to go, not interested | 16= Ill-treatment/abuse from teachers/principal | 24= Disability, Illness | 79=Refused to answer |

FIELDWORKER: For all children in the household aged between 5 and 17 yrs old who are currently enrolled in Full Time Education, ask the following questions.

| 2.2 | FOR AGES 5-17 (Currently enrolled in full-time education) |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 2.2 .6 | 2.2.7 | 2.2.8 | 2.2.9 |
| CHILD ID | What type of school are they attending? <br> 01=Private, <br> 02=Public community school <br> (e.g. NGO/Charity/Church <br> not-for-profit), <br> 03= Public of the National <br> Government, <br> 04=Informal community (e.g. <br> mothers' cooperative), <br> 05=Other, 77=NK, 88=N/A | What is the name of his/her school? <br> FIELDWORKER: Please record name of school in space below. | How would you say the child is performing? <br> 01= Excellent: <br> 02=Good; 03=Reasonably well; <br> 04=Poorly; <br> 05=Very bad; <br> 77=NK; 88=N/A | How good would you say the school is in terms of overall quality (including teaching and infrastructure)? <br> 01: Excellent <br> 02=Good; 03=Reasonably OK; <br> 04=Bad; 05=Extremely bad |
| (ID) | (HHSCHTYP) | (SCHNAME) | (PERF) | (SCHOOLEN) |
| [___ ] | [___] |  | [___] | [___] |
| [___] | [___] |  | [___] | [___] |
| [___] | [___] |  | [___] | [___] |
| [___] | [_-_] |  | [__-] | [__-] |
| [___] | [_-_] |  | [_-_] | [_-_] |
| [___] | [___] |  | [__-] | [__-] |
| [___] | [_-_] |  | [_-_] | [_-_] |
| [___] | [___] |  | [___] | [___] |
| [__ ] | [___] |  | [___] | [__-] |

## 2B - EDUCATION AID

| 2.3 | Over the last 12 months, has NAME received support in cash or in-kind from government or educational organisations for his/her schooling? $00=\text { No, 01=Yes }$ <br> If No, skip to 2.7 | [_—] | (VNEDAID) |
| :---: | :---: | :---: | :---: |
| 2.4 | Has NAME been exempted from tuition by the government? $01=\text { Yes, } 00=\text { No }$ <br> If No, skip to 2.7 | [__] | (VNEXTUIT) |
| 2.4.1 | What are the reasons for NAME being exempted from tuition? <br> 01=Household's low economic status, 02=Ethnic minority status, 03=Martyr, 04=Household member is disabled or a sick veteran, 05=Household's remote location, 06=Household's difficult situation, 07=Primary pupil, 08=School offers free tuition, 09=Household has many children, <br> 10=Other, Specify: | [_—] | (VNYEXTUT) <br> (SPECTUIT) <br> (ESPCTUIT) |
| 2.5 | What is the total amount of tuition exempted for NAME each month? (in VND) | _ _ ] | (VNEXAMNT) |
| 2.6 | What percentage of NAME's education cost does this exemption cover? $\begin{aligned} & 01=0-10 \%, 02=10-20 \%, 03=20-30 \%, 04=30-40 \%, 05=40-50 \%, 06=50-60 \%, 07=60-70 \%, 08=70-80 \%, 09=80-90 \%, \\ & 10=90-100 \% \end{aligned}$ | [_—] | (VNEXPERC) |


| 2.7 | In the last 12 months, how much have you contributed for education of your children, exclusive of officially compulsory tuition fees? |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | TYPE of contribution | 2.7.1 Thinking about the school(s) attended by children from your household - Do the parents of children at these schools contribute in cash towards the following: $00=\text { No, }$ <br> $01=$ Yes, compulsory, $02=$ Yes, voluntary. | 2.7.2 How much did your household contribute over the past 12 months? (in VND) | 2.7.3 What was the value of the exemption your household was granted for this contribution? (in VND) | 2.7.4 What was the reason for this exemption? (ENTER CODE FROM CODE BOX \#2) |
|  | (SPECSCON) (ESPCSCON) | (SCHPAYO1)-(SCHPAY06) | (SCHCONO1) (SCHCONO6) | (EXSCH01)-(EXSCH06) | $\begin{aligned} & \hline \text { (REASEX01) (REASEX06) } \\ & (\text { SPECRX01) - (SPECRX06) } \\ & (E S C P R X 01)-(E S P C R X 06) \end{aligned}$ |
| 01 | School construction fee | [___] | [__.__] | [__.__] | [___] |
| 02 | Accident insurance | [___] | [__._-] | [__-_] | [___] |


| 03 | School security | [_-_] | [_-_-_-] | [___.-_] | [_-_] |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 04 | Class's fund | [_-_] | [__._-_] | [__._-_] | [_-_] |
| 05 | Pupils' parents' fund | [_-_] | [_-_-_-] | [__-_-_] | [_-_] |
| 06 | Other, <br> Specify: | [_-] | [_----] | [_-_-_] | [_-] |



| 2.8 | Over the past 12 months, what is the total amount that has been received from educational aid organizations for NAME's education? (in VND) -77=NK <br> If 0, skip to Section $2 C$ | [__._-] | (VNAMTREC) |
| :---: | :---: | :---: | :---: |
| 2.9 | (FIELDWORKER: ONLY ASK IF SOME EDUCATION AID OR TUITITON EXEMPTION WAS RECEIVED) If you had not received education aid and/or tuition exemptions, would you still send NAME to school? 00=No, 01=Yes, 77=NK | [_—] | (VNSTSCHL) |

## 2C - EXTRA SCHOOLING

| 2.10 | Has NAME participated in extra classes (additional to regular schooling) in the last six months? $00=$ No, 01=Yes, 77=NK <br> If Yes, skip to 2.12 | [_—] | (VNEXCLS) |
| :---: | :---: | :---: | :---: |
| 2.11 | Why does NAME not attend extra classes? <br> You can provide up to three answers, but please list the most important reasons first. (ENTER CODES FROM CODE BOX \#3) <br> Skip to Section 3 | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots-]} \end{aligned}$ | (VNYNOCL1) <br> (VNYNOCL2) <br> (VNYNOCL3) |


| CODEBOX 3 - REASONS FOR NO EXTRA CLASSES |  |  |
| :--- | :--- | :--- |
| $01=$ Not necessary for knowledge | $05=$ Fees too expensive | $09=$ Bullying/abuse from peers |
| 02=Child must do paid work to earn <br> money | $06=$ Books and/or other supplies too expensive | $10=$ Child not interested (truancy) |
| 03=Child must stay home to help family <br> with other tasks | $07=$ Not safe to travel to classes | $11=$ Child does other activities (e.g. sport, music, etc.) |
| $04=$ Disability or illness of child | $08=$ Ill treatment/abuse from teachers/principal | $12=$ Other |

2.12 What are the main reasons that NAME attends extra classes?

You can provide up to three answers, but please list the most important reasons first.
$[— —]$
$[— —]$
(VNYXCLS1) (ENTER CODES FROM CODE BOX \#4)
(VNYXCLS2)
[_—_]
(VNYXCLS3)

| CODEBOX 4-REASONS FOR EXTRA CLASSES |  |  |
| :--- | :--- | :--- | :--- |
| 01=Regular school teachers requested child <br> attend extra classes | $04=$ Almost all children take extra classes | 07=Helpful practice for enrolment examination for <br> higher level of education |
| 02=To improve child's academic <br> performance in regular school | $05=$ Helpful practice for examinations for main classes | $08=$ Child wants to attend |

```
03=To enjoy friend
```

$06=$ Knowledge in regular school classes is not enough $\quad 09=$ Other

| 2.13 | During an average week, how many hours has NAME attended extra classes? -77=NK | [ $\qquad$ <br> Hours/week | (VNXCLHRS) |
| :---: | :---: | :---: | :---: |
| 2.14 | In your opinion, is this amount of time for extra classes too much, too little or reasonable? 01=Too little, 02=Reasonable, 03=Too much, 77=NK | [_—] | (VNOPHRS) |
| 2.15 | How many hours each week would you prefer for NAME to attend extra classes? -77=NK | [ $\qquad$ <br> Hours/week | (VNPRHRS) |
| 2.16 | Over the past six months, approximately how much money has the household paid for NAME's extra classes? (in VND) -77=NK | [_—._—] | (VNXCCOST) |
| 2.17 | Have you had any difficulty paying for NAME's extra classes? $00=$ No, 01=Yes | [_—] | (VNXCPAYD) |
| 2.18 | How would you rate the impacts of extra classes for NAME's performance in ordinary school classes? 01=Extremely positive, 02=Positive, 03=No Impact, 04=Negative, 05=Extremely negative | [__ ] | (VNXCPERF) |
| 2.19 | How would you rate the impacts of extra classes for NAME's physical development? 01=Extremely positive, 02=Positive, 03=No Impact, 04=Negative, 05=Extremely negative | [_—] | (VNXCDEVP) |

## SECTION 3 - LIVELIHOODS AND ASSET FRAMEWORK

3.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster) [ ID3

SAY: I am going to ask you about what people in this household do to make a living and about the assets that the household has access to.

## 3A LAND AND CROP AGRICULTURE

SAY: Now I am going to ask you some questions about how the household makes a living.

| 3.1 | In the last 12 months has anyone in your household owned, borrowed or rented any land? <br> $00=$ No, $01=$ Yes <br> If No $>$ skip to 3.10 | [ - - ] |
| :--- | :--- | :--- | :--- |$\quad$ (OWNLAND)


| 3.2 | Please tell me about each plot and its uses in the last 12 months/agricultural year? Start with the plot your house is built on. |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.2.1 | 3.2.2 | 3.2.3 | 3.2.4 | 3.2 .5 | 3.2 .6 |
| PLOT | Size of plot in metres squared -77=NK | Which of the following best describes this plot? <br> 01=Owned <br> 02=Rented in, <br> 03=Borrowed <br> 04=Sharecropped in, <br> 05=Common property, <br> 06=Squatted <br> 31=Leased from state, <br> 33=Mortgaged <br> 77=NK | What is the plot used for? 01=Farming (arable), <br> 02=Pasture, <br> 03= Gardening <br> 04=Building (house/shop), <br> 05=Industry, <br> 06=Rented out, <br> 07=Sharecropped out, <br> 08=Nothing/left fallow, <br> 09=Recreation, 10=Grazing <br> livestock, 11=Aquaculture, <br> 12=Forestry, 77=NK | Would you be able to transfer the full use of the plot to anyone else, through sale, gift or bequest? $00=$ No; 01=Full transfer rights; 02=Only bequest; 03=Transfer only with formal community approval. | Would anyone in the household be able to use this plot as collateral? $00=\text { No, } 01=\text { Yes }$ <br> If No or NK > Skip to next plot | Who would be able to transfer this plot or use it for collateral? <br> Get ID \# from hh roster - use 90 if person not in household, Use 80 if household as a whole $88=N / A$ |
| (PLOTID) | (LAREA) | (LOWN) | (LUSE) | (TRANS) | (COLLAT) | (TRANSID) |
| 01 (HOUSE) | [___ - _ ] | [ _ _ ] | [__ _ ] | [__ ] | [_ _ ] | [__ ] |
| 02 | [__ • _ ] | [_ _ ] | - _ ] | [ _ _ ] | [__ _ ] | [__ _ ] |
| 03 | [___ _ _ ] | [ _ _ ] | [_ _ ] | - _] | [__ ] ] | [__ ] |
| 04 | [___ - ${ }^{\circ}$ ] | [_ _ ] | [__ _ ] | [__ _ ] | [__ _ ] | [__ _ ] |


| 05 | [___ - ] | [ - - ] | [ _ _ ] | [ _ _ ] | [ - - ] | [ _ _ ] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 06 | [___ - ] | [ _ - ] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] |
| 07 | [___ - ] | [ - - ] | [_ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] |


| 3.2.7 | Who is the main person responsible for making the key decisions about most of the land? (Get ID \# from HH roster: use 90 if person not in household, use 80 if household as a whole, 88=N/A (e.g. if only one person) If caregiver identified, skip to 3.2.10 | [__ ] | DECID |
| :---: | :---: | :---: | :---: |
| 3.2 .8 | Is the caregiver responsible for making the key decisions about any of the plots? ( $00=$ No, 01=Yes, 77=NK) If no, skip to 3.2.10 | [__ ] | DECCG |
| 3.2 .9 | If yes, which plots? (Give up to three plots) <br> (Insert plot ID for up to three plots. Give the most important one first) | [__ ] [__ ][_ _ ] | (DECPL1) (DECPL2) (DECPL3) |
| 3.2.10 | Who is the main person responsible for looking after/farming most of the land? Get ID \# from HH roster: use 90 if person not in household, use 80 if household as a whole 88=N/A (e.g. if plot is left fallow) If caregiver identified, skip to 3.2.13 | [__ ] | LOOKID |
| 3.2.11 | Is the caregiver responsible for looking after/farming any of the plots? ( $00=$ No, $01=$ Yes, $77=\mathrm{NK}$ ) If no, skip to 3.2.13 | [__ ] | LOOKCG |
| 3.2.12 | If yes, which plots? (Give up to three plots) <br> (Insert plot ID for up to three plots. Give the most important one first) | [__ ][_ _ ] [_ _ ] | $\begin{aligned} & \text { (LOOKPL1) } \\ & (\text { (LOOKPL2) } \\ & \text { (LOOKPL3) } \end{aligned}$ |
| 3.2.13 | Who mainly controls the use of the earnings from the sale of goods or rent from most of the land? Get ID \# from HH roster: use 90 if person not in household, use 80 if household as a whole $88=$ N/A (e.g. if plot is left fallow) If caregiver identified, skip to 3.3 | [__ _ ] | BENID |
| 3.2.14 | Does the caregiver control the use of the earnings from the sale of goods or rent from any of these plots? <br> ( $00=$ No, 01=Yes, 77=NK) If no, skip to 3.3 | [__ _ ] | BENCG |
| 3.2.15 | If yes, which plots? (Give up to three plots) <br> (Insert plot ID for up to three plots. Give the most important one first) | [__ ][__ ][_ _ ] | (BENPL1) (BENPL2) <br> (BENPL3) |

FIELDWORKER: Ask about all land that the household is not using for growing crops (See question 3.2.3 for codes other than 01):

| 3.3 | 3.3.1 | 3.3.2 | 3.3.3 |
| :---: | :---: | :---: | :---: |
| (PLOTID) <br> INSERT <br> PLOT ID <br> FROM <br> TABLE <br> 3.2 | Did the household receive any income (cash or in-kind) from this land in the last 12 months? $00=\mathrm{No}, 01=\mathrm{Yes}$ <br> If No, Skip to next plot | What is the total value of income you collected for this plot (cash and/or in-kind) in the last 12 months? (in VND)? <br> FIELDWORKER: If plot is sharecropped, record value of in-kind rent collected | What is the total value of money you have spent on this land (e.g. buildings, services, repair, maintenance, mortgage payments, etc.) in the last 12 months? (in VND) |
| (PLOTID) | (PLINCOME) | (PLVALUE) | (PLCOST) |
| [___] | [__ ] | [__-] | [__ $]^{\text {] }}$ |
| [__ ] ] | [___] | [_-_] | [ |
| [___] | [__-] | [__-] | [__ ${ }^{\text {c }}$ |
| [___] | [__-] | [__-] | [ |
| [ [__] | [_-_] | [_-_] | [ |
| [ [_-] | [___] | [_-_] | [__ $]$ |
| [___] | [___] | [___] | [__ ${ }^{\text {[ }}$ ] |

FIELDWORKER: If any plots are used for growing crops (i.e. 01 response to question 3.2 .3 above), ask the following questions. IF NO CROPS ARE GROWN SKIP TO SECTION 3B.

| 3.4 | 3.4 .1 | 3.4 .2 | 3.4 .3 |
| :---: | :---: | :---: | :---: |
| PLOT (IF USED FOR GROWING CROPS) | If you are using the land to grow crops in the last 12 months, what are the main crops you grow in the plot? If more than 1 please choose the 3 most important crops in terms of household welfare. <br> (ENTER CODE FROM CODE BOX \# 5) | In the last 12 months have you irrigated any of the land? $00=\text { No, 01=Yes, }$ <br> If No , > Skip to next plot | Of the land what proportion was irrigated in the last dry season? <br> 00=None, 01=Less than half, 02=Half, 03=More than half, 04=All, |
| (PLOTID) | (CROPO1) (CROPO2) (CROPO3) | (IRRIGAT) | (PERIRRIG) |
| [ _ _ ] |  | [ _ _ ] | [ _ _ ] |
| [ _ _ ] | [_ _ ][_ _ ][_ _ ] | [__ _ ] | [__ _ ] |


| [ - - ] | [ - - ][- - ][- - ] | [__ $]$ | [__ ${ }^{\text {] }}$ |
| :---: | :---: | :---: | :---: |
| [ $-\ldots$ ] | [ - - ] ${ }^{\text {- }}$ - $][-\ldots]$ | [ _ _ ] | [ _ _ ] |
| [ $-\ldots$ ] | [- - ][- - ] | [_ _ ] | [__ _ ] |
| [ - - ] | [ - - ][- - ][- - ] | [__ _ ] | [ _ _ ] |
| [_ _ ] | [_ _ ] ${ }^{\text {- }}$ - $][-\ldots]$ | [ _ _ ] | [ _ _ ] |


| CODEBOX 5-CROPS |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 92=Apples | 85=Cassava/manioc | 26=Garlic | 112=Kohirabi | 50=Onions | 56=Pumpkin | 69=Sweet potatoes |
| 03=Avocado | 15=Chick peas | 28=Ginger | 42=Lentils | 51=Orange | 119=Rubber | 122=Tea |
| 04=Bananas | 16=Chillies | 91=Grapes/vineyard | 111=Longans, litchis, rambutans | 52=Other cereals | 60=Sesame | 71=Tobacco |
| 09=Black Pepper | 109=Coconut | 31=Grass | 44=Maize | 121=Paddy | 113=Soybean | 72=Tomato |
| 118=Bonsai | 17=Coffee | 32=Groundnut | 45=Mango | 53=Paddy Rice | 65=Spices | 74=Vegetables |
| 117=Breeding trees | 18=Cotton | 33=Guava | 120=Mangosteens | 93= Papaya | 66=Spinach | $75=$ Wheat |
| 10=Cabbage | $21=$ Eucalyptus | 35=Haricot beans | 46=Mulberry | 54=Pineapple | 67=Sugar | $78=$ Yam |
| 12=Carrot | 24=Flowers | 36=Honey | 116=Mustard Greens | 110=Plums | 80=Sugar cane | 126= Water morning glory |
| 114=Cashew | 25=Fruits | 115=Jute, ramie | $48=$ Nuts | 55=Potatoes | 68=Sunflower | $77=N K, 88=N / A$ |


| 3.5 | In the last 12 months has anyone in the household used chemical fertilizer on your land? $00=$ No, 01=Yes, 77=NK | [_—] | (FETILISE) |
| :---: | :---: | :---: | :---: |
| 3.6 | In the last 12 months did you or anyone in your household use any methods to increase the yield of your commercial crops, such as HYV/improved variety seeds $00=\mathrm{No}, 01=\mathrm{Y} \text { es, } 77=\mathrm{NK}$ | [_—] | (METHODS) |
| 3.7 | In the last 12 months did you or anyone in your household ever share a tractor or other farming vehicles with other people in the community? $00=\text { No, 01=Yes, 77=NK }$ | [_—] | (FARMSHR) |
| 3.8 | In the last 12 months did you or anyone in your household ever share agricultural labour with other people in the community? $00=\text { No, 01=Yes, 77=NK }$ | [_—] | (LABSHR) |

SAY: Now I am going to ask you about income and expenditure from crops you have grown in the last agricultural year.
3.9 Income and expenditure from crops

|  | 3.9 .1 | 3.9 .2 | 3.9.3 | 3.9.4 | 3.9 .5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| CROP | LIST IN THIS COLUMN THE CROPS CULTIVATED BY THE HOUSEHOLD IN THE PAST 12 MONTHS <br> (ENTER CODE FROM CODE BOX \# 5 - BASED ON 3.4.1) | What is the total quantity (in quintiles) of this crop harvested in the last agricultural year? <br> Quantity (in quintiles), 77=NK | LOCAL UNITS <br> (Need a code box for this) | What is the value of the total harvest of this crop sold in the last agricultural year? (in VND) -77=NK | What were your estimated operational costs incurred in producing this crop in the last agricultural year? (in VND) $-77=N K$ |
| (CROPID) | (CROP) | (QNTHARV) | (QNTUNIT) (SPECQUNT) (ESPCQUNT) | (VLCRSLD) | (CROPCOST) |
| Crop 01 | [_ _ ] | [__ - ] | [_—] | [__ - ] ] | [__ - ] ] |
| Crop 02 | [_ _ ] | [__ - _ ] | [_—] | [__ - _ ] | [__ - _ ] |
| Crop 03 | [ _ _ ] | [__ - ] | [——] | [__ _ ] | [__ _ ] |
| Crop 04 | [_ _ ] | [__ - _ ] | [_—] | [__ - _ ] | [__ - _ ] |
| Crop 05 | [_ _ ] | [__ - _ ] | [_—] | [__ - _ ] | [__ - ] |
| Crop 06 | [_ _ ] | [__ - _ ] | [_—] | [__ - ] | [__ - ] |
| Crop 07 | [_ _ ] | [__ - _ ] | [_—] | [__ - _ ] | [__ - _ ] |
| Crop 08 | [_ _ ] | [__ ${ }^{\text {c }}$ ] $]$ | [_—] | [__ - ] | [__ - ] |
| Crop 09 | [_ _ ] | [__ - _ ] | [_—] | [__ - _ ] | [__ - _ ] |
| Crop 10 | [ _ _ ] | [__ _ ] | [_—] | [__ - _ ] | [__ - _ ] |

## SECTION 3B TIME ALLOCATION OF ADULTS AND CHILDREN

FIELDWORKER: This section is an attempt to get a more complete understanding of what people do - including non-agricultural activities. Also it will allow intra-household division of labour. Please note that unpaid activities such as household chores MUST be included here. For each household member record the 3 most important activities.

| 3.10 | For each member of the household please record in the tables below their 3 most important work activities (in terms of time spent) in the last 12 months beginning with the most important activity. If a household member has done less than 3 work activities or does not work at all (e.g. very young or very old) then please use the N/A code of 88 as appropriate |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.10.1 | 3.10.2 <br> Most important activity <br> (ENTER CODE FROM CODE BOX \# 7) <br> (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX \# 7) | 3.10.3 |  |  |  |
| ID (from household roster) | Does this person have a permanent disability and if so how does it affect their ability to work and take care of themselves <br> (ENTER CODE FROM CODE BOX \# 6) |  | Enter the number of months in which any of this activity was done, give the typical number of days per week during these months and approximate hours per day |  |  |  |
|  |  |  | Number of months per year | Number of weeks per month | Days per week | Hours per day |
| (ID) | (DISAB) | (ACT1) | (MONTHS1) | (WEEKS1) | (DAYS1) | (HOURS1) |
| [___] | [___] | [__ ] | [___] | [__ ] | [___] | [__ ] |
| [___] | [__ ] | [__ ] | [__ ] | [__ ] | [___] | [__ ] |
| [__ ] | [__-] | [___] | [_-_] | [__-] | [__-] | [_-_] |
| [___] | [__-] | [_-_] | [__-] | [__-] | [___] | [_-_] |
| [__ ] | [_-_] | [__-] | [_-_] | [_-_] | [__ ] | [__] |
| [__ ] | [___] | [___] | [___] | [___] | [___] | [___] |
| [__ ] | [ [_-] | [__-] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [__-] |
| [___] | [___] | [__ ] | [___] | [__ ] | [__ ] | [__] |
| [__ ] | [___] | [___] | [___] | [___] | [___] | [__ ] |

## CODEBOX 6 - DISABILITY SCALE

[^0]04=Cannot work but able to care for themselves (e.g. dress themselves, etc.)
$01=$ Capable of most types of full-time work but some difficulty with physical work

03=Can only do light work on a part-time basis
$05=$ Cannot work and needs help with daily activities such as dressing, washing, etc.

| CODEBOX 7 - OCCUPATION CODES |  |  |
| :--- | :--- | :--- |
| AGRICULTURE \& ALLIED AGRICULTURE | NON-AGRICULTURE |  |
| $01=$ Self Employed (Food crops) | $08=$ Self Employed (Manufacturing) | UNEMPLOYED OR UNPAID |
| 02=Self Employed (Non-food, including horticulture, <br> sericulture and floriculture) | 09 Self Employed (Services) | $14=$ Unemployed |
| $03=$ Self Employed (Aquaculture) | $10=$ Self Employed (Business) | $15=$ Household chores |
| $04=$ Self Employed.(Livestock) | $11=$ Self Employed (Other non-Agriculture.) | $17=$ Household dependent (child or retired <br> elder) |
| $05=$ Wage Employment (Agriculture) | $12=$ Wage Employment (Unsalaried; Non-agriculture) | $18=$ Begging |
| $06=$ Annual Farm Servant | $13=$ Regular Salaried Employment |  |
| $07=$ Other (allied) agriculture |  | $77=$ NK |


| 3.10 | Continued (Second most important activity) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.10.4 | 3.10 .5 |  |  |  |
| ID (from household | Second most important activity <br> (ENTER CODE FROM CODE BOX \# 7) <br> (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX \# 7) | Enter the number of months in which any of this work was done, give the typical number of days per week during these months and approximate hours per day |  |  |  |
| roster) |  | Number of months per year | Number of weeks per month | Days per week | Hours per day |
| (ID) | (ACT2) | (MONTHS2) | (WEEKS2) | (DAYS2) | (HOURS2) |
| [_—_] | [_—_] | [_—_] | [__ ] | [__-] | [_—_] |
| [__ ] | [_-_] | [_-_] | [__-] | [__-] | [__-] |
| [_—] | [_—] | [_—] | [_—] | [_—] | [_—] |


| [___] | [ $=\ldots$ ] | [___] | [_-_] | [___] | [__-] |
| :---: | :---: | :---: | :---: | :---: | :---: |
| [__ $]$ | [__ ] | [___] | [_-_] | [___] | [__-] |
| [_-_] | [_-_] | [_-_] | [_-] | [__ ] | [_-_] |
| [ | [_-_] | [ | [ $=-1$ | [_-_] | [ |
| [ | [_-_] | [_-_] | [_-] | [__ ] | [_-_] |
| [__-] | [-_] | [__-] | [-_] | [__] | [_-_] |


| 3.10 | Continued (Third most important activity) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.10 .6 | 3.10 .7 |  |  |  |
| ID (from household | Third most important activity <br> (ENTER CODE FROM CODE BOX \# 7) <br> (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX \# 7) | Enter the number of months in which any of this work was done, give the typical number of days per week during these months and approximate hours per day |  |  |  |
| roster) |  | Months per year | Weeks per month | Days per week | Hours per day |
| (ID) | (ACT3) | (MONTHS3) | (WEEKS3) | (DAYS3) | (HOURS3) |
| [_-_] | [__ ] | [___] | [__ ] | [__] | [__ ] |
| [_- ] | [__] | [__] | [__] | [__] | [__] |
| [ | [_-_] | [_-_] | [_-_] | [__ ] | [__ ] |
| [-_] | [_- ] | [__ ] | [_-_] | [__ ] | [__ $]$ |
| [_-_] | [___] | [___] | [___] | [__ ] | [___] |
| [--] | [_-_] | [ $=-1$ ] | [_-_] | [_-_] | [-_] |
| [ | [_- ] | [_-_] | [ $=\ldots$ ] | [__ ] | [_-_] |
| [ $=-1$ | [_-_] | [ $=-1$ ] | [ [-_] | [_-_] | [_-_] |
| [-_] | [_-_] | [__ ] | [__ ] | [__ ] | [_-_] |

SAY: Now I am going to ask you some questions about the activities carried out by each individual in the household between the ages of 5 and 17 yrs .
FIELDWORKER: TAKE THE HOUSEHOLD ROSTER CARD AND FOR EACH HOUSEHOLD MEMBER BETWEEN 5 AND 17YRS OLD RECORD THEIR ROSTER ID AND ASK THE FOLLOWING QUESTION.

| 3.11 | Typically how many hours did each child in the household (aged between 5 and 17yrs) spend on the following activities during a typical day in the last week? Start with NAME. 00=None, 77=NK, 88=N/A |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.11 .1 | 3.11 .2 | 3.11 .3 | 3.11 .4 | 3.11.5 | 3.11 .6 | 3.11.7 | 3.11 .8 |
| ID from roster Start with NAME. | Sleeping | Caring for others (younger siblings, ill household members) | Domestic tasks (fetching water, firewood, cleaning, cooking, washing, shopping, etc) | Tasks on family farm, cattle herding, other family business, shepherding (not just farming) | Activities for pay outside of household or for someone not in the household | At school | Studying outside of school time (at home, extra tuition) | Play time / general leisure |
| (ID) | (SLEEP) | (CHCARE) | (HHCHORE) | (NPAYWORK) | (PAYWORK) | (SCHOOL) | (STUDY) | (PLAY) |
| [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [__ ] | [__ ] | [ _ _ ] | [__ ] | [ _ _ ] |
| [__ ] | [__ ] | [__ ] | [___] | [__ ] | [___] | [ _ _ ] | [__ _ ] | [__ ] |
| [_ _ ] | [ _ _ ] | [_ _ ] | [__ ] | [_ _ ] | [__ ] | [ _ _ ] | [_ _] | [_-_] |
| [__ _ ] | [__ ] ] | [__ ] | [__ _ ] | [__ _ ] | [__ _ ] | [ _ _ ] | [__ ] | [__ ] |
| [_ _ ] | [__ _ ] | [__ _] | [__ _] | [__ _] | [__ _] | [__ ] | [__ _] | [__ _ ] |
| [_ _ ] | [ _ _ ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] ] | [__ ] | [__ ] |
| [ _ _ ] | [__ ] | [__ ] | [ _ _ ] | [_ _ ] | [__ ] | [ _ _ ] | [__ ] | [__ ] |
| [_ _ ] | [ _ _ ] | [ | [ _ _ ] | [ | [__ ] | [ _ _ ] | [__ ] | [___] |
| [ [ _ ] | [ _ _ ] | [_-_] | [__] | [_-_] | [__] | [ _ _ ] | [__] | [_-_] |
| [ _ _ ] | [ _ _ ] | [__] | [ _ _ ] | [_-_] | [_-_] | [ _ _ ] | [_-_] | [_-_] |
| [__] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [ _ _ ] | [__] | [__] |
| [__ ] | [__ _ ] | [__ ] | [__ _] | [__ ] | [__ _] | [__ _ ] | [__ _ ] | [__ _ ] |

## SECTION 3C - PRODUCTIVE ASSETS

SAY: Now I am going to ask you some questions about animals owned by household members in the last 12 months:
3.12 Has anyone in the household owned any livestock in the last 12 months?
$00=$ No, 01=Yes, 77=NK
[__ ]
(ANIMALS)

| 3.12 |  | 3.12 .1 | 3.12 .2 | 3.12 .3 |
| :---: | :---: | :---: | :---: | :---: |
|  | Type of Livestock | Has anyone in the household owned any of the following animals in the last 12 months? $00=\text { No, } 01=\text { Yes, } 77=N K$ <br> IF NO, SKIP TO NEXT ANIMAL | How many of the following animals does the household currently own? -77=NK, -88=N/A | If you were to sell all your 'NAME OF ANIMAL' today how much would you get for them in VND? <br> $-77=N K,-88=N / A$ |
|  |  | (ANYAIM) | (NUMAIM) | (VALAIM) |
|  | MILK ANIMALS |  |  |  |
| 01 | Cow (modern variety) | [_ - ] | [_--] | [__ • _ ] |
| 02 | Cow (traditional variety) | [_ - ] | [-——] | [__ - ] |
| 03 | Calves | [ _ - ] | [_-_-] | [ |
| 04 | Buffalo (modern Variety) | [_ - ] | [_--] | [__ •_] |
| 05 | Buffalo <br> (Traditional Variety) | [ - - ] | [---] | [__ ${ }^{\text {en }}$ ] |
|  | DRAUGHT ANIMALS |  |  |  |
| 07 | Bullock | [ _ - ] | [__-_] | [ |
| 08 | He-buffalo | [_ _ ] | [__-_] | [__ •__] |
| 09 | Donkey/horse/ Other | [ - - ] | [---] | [__ •__] |
|  | SMALL RUMINANTS |  |  |  |
| 13 | Sheep | [__ _ ] | [_-_-] | [ |


| 3.12 |  | 3.12 .1 | 3.12 .2 | 3.12 .3 |
| :---: | :---: | :---: | :---: | :---: |
| 14 | Goat | [__ ] | [___] | [ |
| 15 | Pigs | [__ ] | [___] | [ |
| 16 | Poultry/birds | [__] | [___] | [ |
| 17 | Rabbits | [__] | [___] | [ |


| 3.12 .4 | Who is the main person that can make decisions about most of the animals? (GET ID \# FROM HH ROSTER, 90=PERSON NOT IN HOUSEHOLD, 80=WHOLE FAMILY) 77=NK, 88=N/A. If the caregiver identified, skip to 3.12.6 | [__ ] | DSAID |
| :---: | :---: | :---: | :---: |
| 3.12 .5 | Can the caregiver make decisions about any of the animals? (00=no, 01=yes, 77=NK, 88=N/A) | [__ ] | DSACG |
| 3.12 .6 | Can NAME make decisions about any of the animals? (00=no, 01=yes, 77=NK) | [__ ] | (DSACHILD) |
| 3.12 .7 | Who is the main person responsible for looking after these animals? <br> (GET ID \# FROM HH ROSTER, 90=PERSON NOT IN HOUSEHOLD, 80=WHOLE FAMILY) <br> 77=NK, 88=N/A. If caregiver identified, skip to 3.12 .9 | [__ ] | LKAID |
| 3.12 .8 | Is the caregiver responsible for looking after any of the animals? (00=no, 01=yes, 77=NK, 88=N/A) | [__ ] | LKACG |
| 3.12 .9 | Is NAME responsible for looking after any of the animals? ( $00=$ no, 01=yes, 77=NK) | [__ ] | (LKACHILD) |
| 3.12 .10 | Who is the main person who controls the use of the earnings from the sale of goods or rent from these animals? <br> (GET ID \# FROM HH ROSTER, 80 =HOUSEHOLD AS WHOLE, 90=PERSON NOT IN HOUSEHOLD) <br> 77=NK, 88=N/A If caregiver identified, skip to 3.12.12 | [__ ] | BNAID |
| 3.12 .11 | Does the caregiver control the use of the earnings from the sale of goods or rent from any of the animals? (00=no, 01=yes, 77=NK, 88=N/A) | [__ ] | BNACG |
| 3.12.12 | Does NAME control the use of the earnings from the sale of goods or rent from any of the animals? | [__ ] | (BNACHILD) |


| 3.13 | What is the money value (in VND) of the total amount purchased by the household in the last 12 months for the following items: | (ENTER VALUE IN VND, 00=NOTHING, -77=NK) |  |
| :---: | :---: | :---: | :---: |
| 01 | Animal feed | [____] | (ANFDCOST) |
| 02 | Veterinary service | [ _ | (VETCOST) |
| 03 | Other | [____] | (ANOTCOST) |

SAY: Now I am going to ask you some questions about productive assets owned, rented or accessed by household members in the last 12 months. FIELDWORKER: These should include both farm and non-farm productive assets.

| 3.14 .1 | PRIMARY OCCUPATION PRODUCTIVE ASSETS |  |
| :--- | :--- | :--- | :--- |
|  | In the last 12 months, what was the most important occupation/money-making activity <br> of the household? <br> (ENTER CODE FROM CODE BOX \# 8) <br> FIELDWORKER: If NONE, SKIP to 3.15. | [ _ _ ] |$\quad$| (PRIMOCC) |
| :--- |


| CODEBOX 8 - PRIMARY OCCUPATION PRODUCTIVE ASSETS |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Army | $10=$ Factory Work | $19=$ Pottery |
| $02=$ Barber shop | $\mathbf{1 1 = \text { Farming/Agriculture }}$ | $20=$ Security guard |
| $03=$ Beauty salon | $12=$ Food preparation/Restaurant | $21=$ Tailor/Sewing |
| $04=$ Blacksmith | $13=$ Forestry/Logging | $22=$ Teaching |
| $05=$ Casual/ intermittent labour (e.g. road crew) | $14=$ Handicrafts or art | $23=$ Trading (selling commodities) |
| $06=$ Child care | $15=$ Hunting | $24=$ Transportation/ Driver/ Courier/ Taxi |
| $07=$ Construction | $16=$ Mechanic services | $25=$ Weaving |
| $08=$ Domestic work/ Cleaning/ Janitorial | $17=$ Nursing/ Medicinal services | $27=$ Fishing |
| $09=$ Entertainment services (DJ, singing, etc.) | $18=$ Plumbing service | $26=$ Other |
|  |  |  |


| Can you tell me up to three of the most VALUABLE assets (owned, rented, or borrowed) that allowed you (the household) to do this activity? |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 3.14 .2 | 3.14 .3 | 3.14.4 | 3.14 .5 |
|  | ASSET - owned, rented or borrowed <br> (ENTER CODE FROM CODE BOX \# 9) <br> (IF 'Other', SPECIFY THE TYPE OF ASSET) | How many of them do you actually own? <br> (ENTER NUMBER OWNED, $-77=N K)$ | If you sold it/them today, how much could you get? <br> (ENTER AMOUNT IN VND, 00=Nothing, -77=NK, -88=N/A | Who is the main person who decides whether to sell or give it/them away? <br> Get ID \# from HH roster, 80=Whole household, $90=$ Person not in household, 77=NK, 88=N/A |
| (PASSID) | (PASSET) (SPECPASS) | (NUMPASS) | (VALPASS) | (DECPASS) |
| 01 | [——] <br> If 'Other', specify: | [_—] | [ ___ - _ ] | [_—] |
| 02 |  <br> If 'Other', specify: | [_-_] | [ _ .___] | [_—] |
| 03 | $[\ldots-]$ <br> If 'Other', specify: $\qquad$ | [_-] | [ _ - ___] | [_—] |


| CODEBOX 9 - PRODUCTIVE ASSETS |  |  |
| :---: | :---: | :---: |
| Agricultural assets: | Non-agricultural assets: | Non-agricultural assets continued: |
| $01=$ Agricultural tools (e.g. sickle, crowbar, shovels) | 09=Barber tools (scissors, mirror, etc.) | 18=Plumbing equipment |
| 02=Cart/ wheelbarrow | 10=Beauty salon equipment (hair dryer, etc.) | 19=Pottery equipment (kiln, potters wheel, etc.) |
| 03=Pesticide sprayer | 11=Blacksmith tools | $20=$ Protective clothing (overalls, steel-toed boots, hardhat, etc.) |
| 04=Plough | 12=Cleaning/domestic work equipment (mop, iron, etc.) | 21=Sewing machine |
| 05=Pump (motor or engine) | 13=Construction tools (electrical tools, carpentry tool box, etc.) | 22=Teaching supplies (books, blackboard, etc.) |



| 3.14 .6 | SECONDARY OCCUPATION PRODUCTIVE ASSETS |  |
| :--- | :--- | :--- | :---: |
|  | In the last 12 months, what was the second most important occupation/money-making <br> activity of the household? <br> (ENTER CODE FROM CODE BOX \# 8) <br> FIELDWORKER: If NONE, SKIP to 3.15. |  |


| Can you tell me up to three of the most VALUABLE assets (owned, rented, or borrowed) that allowed you (the household) to do this activity? |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 3.14 .7 | 3.14 .8 | 3.14 .9 | 3.14.10 |
|  | ASSET - owned, rented or borrowed <br> (ENTER CODE FROM CODE BOX \# 9) <br> (IF 'Other', SPECIFY THE TYPE OF ASSET) | How many of them do you actually own? -77=NK | If you sold it/them today, how much could you get? (in VND) $-77=N K,-88=N / A$ | Who is the main person who decides whether to sell or give it/them away? <br> Get ID \# from HH roster, 80=Whole household 90=Person not in household, 77=NK, 88=N/A |
| (SASSID) | (SASSET) (SPECSASS) | (NUMSASS) | (VALSASS) | (DECSASS) |
| 01 | [___] | [___] | [___ $]$ | [___] |


|  | If 'Other', specify: |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 02 | [_—] <br> If 'Other', specify: | [_—] | [ | [——] |
| 03 | [__] <br> If 'Other', specify: | [_—] | [ | [_-] |

## SECTION 3D EARNINGS FROM NON-AGRICULTURAL ACTIVITIES

FIELDWORKERS: IN PRINCIPLE THESE EARNINGS SHOULD BE 'NET' EARNING OR PROFITS - NET OR COSTS, NET OF TAXES. THIS CAN BE TIME-CONSUMING BUT FOR LARGER EARNINGS (SUCH AS URBAN WAGE EARNERS) PLEASE ENSURE THAT THE FIGURES ARE CORRECTED FOR THIS. SINCE WE ARE MAINLY INTERESTED IN THE MORE SUBSTANTIAL EARNINGS, DO NOT SPEND TOO MUCH TIME CHASING SMALL AMOUNTS 'EXACTLY'

| 3.15 | Please provide details of earnings from working on the following activities. Exclude earnings from crops produced by the household. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 3.15 .1 | 3.15 .2 |  |
|  | Type of activity | Has anyone in the household earned any income from this activity in the last 12 months? <br> $00=$ No, 01=Yes, 77=NK <br> IF NO, SKIP TO NEXT ACTIVITY | What were the total earnings (income) from this activity in the last 12 months? -77=NK, -88=N/A |  |
|  |  | (ACT) | (EARN) | (COSTS) |
|  | LIVESTOCK |  |  |  |
| 01 | Sale of livestock products (milk, cheese, butter, ...) | [ - - ] | [ - - ] | [__ - - ] |
| 02 | Sale of live small ruminants | [ - - ] | [__ • - ] | [__ • - ] |
|  | WORK FOR WAGES |  |  |  |
| 03 | Agricultural wage work | [ - - ] | [ - - ] | [__ - _ ] |
| 04 | Salaried/regular wage work | [ - - ] | [__ • - ] | [__ • - ] |
| 05 | Casual wage work | [ - - ] | [___ - _ ] | [___ - _] |


| 3.15 | Please provide details of earnings from working on the following activities. Exclude earnings from crops produced by the household. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 3.15 .1 | 3.15 .2 |  |
| 06 | Food-for-work or cash-for-work program | [ - - ] | [__ • - ] | [__ •——] |
|  | BUSINESS/SELF <br> -EMPLOYMENT <br> INCOME |  |  |  |
| 07 | Profits (earnings) from trading and selling <br> commodities | [ - - ] | [__ • - ] | [__ - - ] |
| 08 | Profits (earnings) from processed food | [ - - ] | [__ • - ] | [__ - - ] |
| 09 | Profits (earnings) from handicrafts | [ - - ] | [___ - ] | [__ - _ ] |
| 10 | Profits (earnings) from carpentry, ironmongery, etc. | [ - - ] | [__ • - ] | [__ ${ }^{\text {c }}$ ] |
| 11 | Profits (earnings) from 'services' (sewing, barber, other traditional, etc.) | [ - - ] | [__ • - ] | [__ - - ] |
| 12 | Other | [__ $]$ | [___ - _] | [ |

Note to fieldworker: If the household does any activities in the 'livestock' section, ask Q3.15.3-Q3.15.6. If not, skip to Q3.15.7.

| 3.15 .3 | Who is the main person responsible for making the key decisions about the sale of livestock or <br> livestock products? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) <br> $77=N K, 88=N / A . ~ I f ~ c a r e g i v e r ~ i d e n t i f i e d, ~ s k i p ~ t o ~$ <br> 3.15 .5 | [___] | (DECLIVID) |
| :--- | :--- | :--- | :--- |
| 3.15 .4 | Is the caregiver responsible for making the key decisions about any of these livestock activities? <br> $(O 0=N o, 01=Y e s, 77=N K, 88=N / A)$ | [___] |  |


| 3.15 .5 | Who is the main person responsible for controlling the earnings from the sale of livestock or livestock products? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15 .7 | [_—] | (RSPLIVID) |
| :---: | :---: | :---: | :---: |
| 3.15 .6 | Is the caregiver responsible for controlling the earnings from any of these livestock activities? (00=No, 01=Yes, 77=NK, 88=N/A) | - ] | (RSPLIVCG) |

Note to fieldworker: If the household does any activities in the 'Work for Wages' section, ask Q3.15.7-Q3.15.10. If not, skip to Q3.15.11.

| 3.15 .7 | Who is the main person responsible for making the key decisions about work for wages activities? (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15 .9 | [_—] | (DECWAGID) |
| :---: | :---: | :---: | :---: |
| 3.15 .8 | Is the caregiver responsible for making the key decisions about any of these work for wages activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (DECWAGCG) |
| 3.15 .9 | Who is the main person responsible for controlling the earnings from work for wages activities? (GET ID \# FROM HH ROSTER, $80=$ WHOLE HOUSEHOLD, $90=$ PERSON NOT IN HOUSEHOLD) $77=N K, 88=N / A$. If caregiver identified, skip to 3.15.11 | [_—] | (RSPWAGID) |
| 3.15 .10 | Is the caregiver responsible for controlling the earnings from any of these from work for wages activities? (00=No, 01=Yes, 77=NK, 88=N/A) | [_—] | (RSPWAGCG) |

Note to fieldworker: If the household does any activities in the 'business/self-employment' section, ask Q3.15.11-Q3.15.14. If not, skip to Q3.16.

| 3.15 .11 | Who is the main person responsible for making the key decisions about business and self- <br> employment activities? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) <br> 77 NK, 88=N/A. If caregiver identified, skip to 3.15 .13 | [ ___] | [DECBUSID) |
| :--- | :--- | :--- | :--- |
| 3.15 .12 | Is the caregiver responsible for making the key decisions about any of these business and self- <br> employment activities? <br> (OO=No, 01=Yes, 77=NK, 88=N/A) | (DECBUSCG) |  |
| 3.15 .13 | Who is the main person responsible for controlling the earnings from business and self-employment <br> activities? | [RSPBUSID) |  |


|  | (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) <br> $77=N K, 88=N / A . ~ I f ~ c a r e g i v e r ~ i d e n t i f i e d, ~ s k i p ~ t o ~$ <br> 3.16 |  |  |
| :--- | :--- | :---: | :---: |
| 3.15 .14 | Is the caregiver responsible for controlling the earnings from any of these from business and self- <br> employment activities? <br> $(00=$ No, $01=$ Yes, $77=N K, 88=N / A)$ | [ _ _ ] |  |

## SECTION 3E TRANSFERS, REMITTANCES AND DEBT

SAY: Now I am going to ask you some questions about money or goods that people send or give you and that you send and give others.

| 3.16 | During the last 12 months have you or any other household member received any money or goods from the following? LIST THE SOURCES AND FOR EACH POSITIVE ANSWER ASK 3.15.2 THROUGH TO 3.15.5 |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 3.16.1 | 3.16.2 |  | 3.16.3 | 3.16.4 |
|  | SOURCE OF MONEY/GOODS | Received from this source? $00=$ No, 01=Yes, 77=NK <br> IF NO, SKIP TO NEXT SOURCE, IF ALL NO SKIP TO NEXT SECTION | What is the total value (cash and in kind) received in the last 12 months? <br> $-77=N K,-88=N / A$ |  | Who is the person who decides about the use of the money or goods received? <br> (GET ID \# FROM HH ROSTER, 80=WHOLE HOUSEHOLD, <br> 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A | Did any of this money or these goods go DIRECTLY towards, or to NAME? $00=$ No, 01=Yes, 77=NK |
|  |  | (REMIT) | (CASH) (in VND) | (INKIND) | (TRNCON) | (TRNCHD) |
|  | TRANSFERS FROM GOVERNMENT OR ORGANISATIONS |  |  |  |  |  |
| 01 | Retirement Pension | [__ _ ] | [_____] | [__ e__] | [__ _ ] | [__ _ ] |
| 02 | Social security/Social subsidy | [__ ] | [__ | [__ - _ ] | [__ _ ] | [_ _ ] |
| 03 | Food aid/PDS | [__ ] | [__ | [__ - _ ] | [__ _ ] | [__ _ ] |
| 04 | Other type of government benefit | [__ ] | [___ - ${ }^{\text {] }}$ | [__ - _ ] | [_ _ ] | [_ _ ] |


| 05 | Religious organisation | [_ _ ] | [__ - ${ }^{\text {] }}$ | [__ - _ ] | [_ _] | [_ _ ] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 06 | Charity groups/NGOs | [_ _ ] | [__ - ${ }^{\text {e }}$ ] | [__ - ${ }^{\text {e }}$ ] | [_ _ ] | [_ _ ] |
| 07 | Other transfers from groups or organisations | [_ _ ] | [__ - _ ] | [__ - _ ] | [_ _ ] | [_ _ ] |
|  | TRANSFERS AND REMITTANCES FROM OTHER HOUSEHOLDS |  |  |  |  |  |
| 08 | Individuals outside the HH (e.g. <br> family/friends) | [_ _ ] | [__ - _ ] | [__ - _ ] | [_ _ ] | [_ _ ] |
| 09 | Alimony | [__ _ ] | [ | [ | [ _ _ ] | [__ ] |
| 10 | Other transfers and remittances | [_ _ ] | [__ - _ ] | [__ - ${ }^{\text {e }}$ ] | [_ _ ] | [_ _ ] |
|  | EARNINGS FROM ASSETS AND SAVINGS |  |  |  |  |  |
| 11 | Interest from savings | [_ _ ] | [__ - _ ] | [__ - ${ }^{\text {c }}$ ] | [_ _ ] | [ _ _ ] |
| 12 | Rent from property or other assets | [_ _ ] | [__ - _ ] | [__ - _ ] | [_ _ ] | [_ _ ] |

$\left.3.17 \begin{array}{l}\text { During the last } 12 \text { months, have you or any other member(s) of the household given money or goods to support } \\ \text { individuals outside the household? } \\ \quad 01=\text { Yes, } 00=\mathrm{No}, 77=\mathrm{NK}\end{array}\right\}$
[__] (OREMIT)

| 3.18 | For each individual the household sent money, gifts or goods to in the last 12 months can you please tell me: |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  | $\mathbf{3 . 1 8 . 1}$ | $\mathbf{3 . 1 8 . 2}$ | 3.18 .3 |
|  | How is the recipient related to NAME? <br> (ENTER CODE FROM CODE BOX \# 10) | Can you tell me how much money you sent in the <br> last 12 months? (in VND) <br> $-77=N K,-88=N / A$ | Can you tell me the value of the goods you sent in <br> the last 12 months? (in VND) <br> $-77=N K,-88=N / A$ |
| (OUTI | (REMREL) | (REMEST) | (REMGOOD) |


| D) |  |  |  |
| :---: | :---: | :---: | :---: |
| 01 | [__ ] | [ | [ |
| 02 | [___] | [ | [ |
| 03 | [_-_] | [ | [ ${ }^{\text {ene] }}$ |
| 04 | [__ ] | [__ • ] | [__ e_] |
| 05 | [_-_] | [__ •__] | [ |
| 06 | [_-_] | [__ e__] | [ |
| 07 | [ - - ] | [ | [ |
| 08 | [ $-\ldots$ ] | [ | [ |

CODEBOX 10 - RELATIONSHIP TO YL CHILD

| 01=Biological parent | 07=Brother/sister (both parents the <br> same) | $13=$ Uncle/Aunt | $20=$ Servant (farm-worker, maid, etc.) |
| :--- | :--- | :--- | :--- |
| 02=Step-parent (partner of biological <br> parent) | $08=$ Half-sibling (same father) | $14=$ Cousin (inc. cousin brother $\&$ <br> (cousin sister) | $22=$ Nanny/Child minder |
| $03=$ Adoptive parent | $09=$ Half-sibling (same mother) | $15=$ Nephew/Niece | $19=$ Other, relative |
| $04=$ Foster parent | $10=$ Step-sibling (no parent in common) | $16=$ Brother/sister-in-law (spouse of <br> sibling) | $21=$ Other, unrelated |
| $05=$ Maternal grandparent | $11=$ Adoptive brother/sister | $17=$ Great grandparent (mother's side) |  |
| $06=$ Paternal grandparent | $12=$ Foster brother/sister | $18=$ Great grandparent (father's side) | $00=$ YL Child |


| 3.19 | Do you have any serious debts? $00=$ No, 01=Yes, 77=NK | [_—] | (DEBT) |
| :---: | :---: | :---: | :---: |
| 3.20 | What would you or other members of your household do in case of hard times and/or misfortune caused by, e.g., natural disaster, crop failure, someone losing their job? <br> (ENTER CODES FROM CODE BOX \# 11) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[=-]} \end{aligned}$ | (PLAN1) <br> (PLAN2) <br> (PLAN3) |


| CODEBOX 11 - PLANS FOR HARD TIMES |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Nothing | $07=$ Use formal credit | $13=$ Send children to work | $19=$ Borrow from farmers |
| $02=$ Ask relatives for help | $08=$ Borrow from money lender | $14=$ Take children out of school | $20=$ Other |
| $03=$ Ask friends/neighbours for help | 09=Get credit from informal loan <br> system (e.g. rotating funds) | $15=$ Emigrate to another country | $21=$ Borrow from neighbours (cash or <br> in kind) |
| $04=$ Look for work | $10=$ Migrate to another part of the <br> country | $16=$ Faith/pray to God/Allah | $22=$ Borrow from family (cash or in <br> kind) |
| $05=$ Use formal savings | $11=$ Food for work | $17=$ Sell properties or assets |  |
| $06=$ Use informal savings |  |  |  |
| work) |  |  |  |


| 3.21 | Would your household be able to raise 230,000 VND in one week if you needed it? 01=Yes, very easily, 02=Probably, 03=Not at all, 77=NK, | [_—] | (RAISE) |
| :---: | :---: | :---: | :---: |
| 3.22 | How would you or other members of the household try to raise 230,000 VND in one week if you needed it? You can list up to three way, but please list the most important one first. <br> (ENTER CODES FROM CODE BOX \# 12) | $\begin{aligned} & {[— —]} \\ & {[\text { —— }]} \\ & {[\text { ——] }} \end{aligned}$ | (HOWRS1) <br> (HOWRS2) <br> (HOWRS3) |


| CODEBOX 12 - HOW TO RAISE MONEY |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Sell durable goods/equipment | $06=$ From relatives/friends in the same village/town | $11=$ Microfinance |
| $02=$ Sell land/house | $07=$ From relatives/friends in different location | $12=$ Other |
| $03=$ Sell stocks or crops | $08=$ Take extra work |  |
| $04=$ Sell livestock | $09=$ Take a loan (formal) |  |
| $05=$ From savings | $10=$ Take a loan (informal) |  |

3.23 Is your household included on the list of poor households created by MOLISA/Commune Committee for Hunger Eradication and Poverty Reduction?

|  | $00=$ No, $01=$ Yes, $77=$ NK |  |
| :--- | :--- | :--- | :--- |
| 3.24 | Has your household borrowed loans in the last 12 months? <br> $00=$ No, 01=Yes, $77=N K$ <br> If No, skip to Section 4 | [ - _ ] |


| 3.25 | SAY: I would now like to ask you about the loans your household has borrowed in the last 12 months, |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3.25.1 | 3.25.2 | 3.25.3 | 3.25.4 | 3.25.5 |
| LOAN ID | Is this a formal or an informal loan? <br> 01=Formal loan <br> 02=Informal loan | What is the loan source? <br> 01=Social Policy Bank <br> 02= Bank for Agricultural and Rural Development <br> 03=Other Bank <br> 04=Jobs Aid Fund <br> 05=Credit Organizations <br> 06=Political-Social Organizations <br> 07=Private Individual Lenders <br> 08=Friends/Relatives <br> 10=Other | How would you assess the impact of this loan on your household's overall standard of living? <br> 01=Significantly Better <br> 02=Somewhat Better <br> 03=Same <br> 04=Somewhat Worse <br> 05=Significantly Worse <br> 77=NK | How would you assess the impact of this loan on the wellbeing of the children in your household? (e.g. time for child care, education, amusement) <br> 01=Significantly Better <br> 02=Somewhat Better <br> 03-Same <br> 04=Somewhat Worse <br> 05=Significantly Worse <br> 77=NK | Is it difficult for your household to repay these loans? <br> 01=Not difficult <br> 02=Somewhat difficult <br> 03=Very difficul $\dagger$ <br> 04=Impossible <br> 77=NK |
| (LOANID) | (LOANTYPE) | (LOANSRCE) | (LOANIMPT) | (LOANKIDS) | (LOANREPY) |
| 01 | [___] | [___] | - ] | -_] | [__] |
| 02 | [__-] | [___] | [___] | [___] | [___] |
| 03 | [__-] | [__-] | [_-_] | [_-_] | [_-_] |
| 04 | [_-_] | [___] | [__-] | [_-_] | [_-_] |
| 05 | [___] | [___] | [___] | [___] | [___] |

FIELDWORKER: REVIEW THE ANSWERS TO QUESTION 3.25.1 - IF THE HOUSEHOLD HAS ANY INFORMAL LOANS ASK QUESTIONS 3.26.
IF THE HOUSEHOLD DOES NOT HAVE ANY INFORMAL LOANS, SKIP TO QUESTION 3.27.

| 3.26 | (If household has borrowed an informal loan) What are the main reasons you have borrowed an informal <br> loan? | [VNYLOAN1) _ _ |
| :--- | :--- | :--- |



| CODEBOX 13 - REASONS FOR INFORMAL LOAN |  |  |  |
| :---: | :---: | :---: | :---: |
| 01 = Easy to be approved for loan | 04= Do not know procedures for loans from formal sources | 07=Term/Tenure of loans from formal loan sources is not suitable | 10= It is more confidential to borrow from informal sources |
| 02= Mortgage not required | $05=$ Formal loan procedures are too complicated | 08= I can repay the loan with products | 11= Other |
| 03= Low loan value | 06= Interest rates for formal loans are too high | 09=Bank branch is too far away for a formal loan |  |

FIELDWORKER: REVIEW THE ANSWERS TO QUESTION 3.25.1 - IF THE HOUSEHOLD HAS ANY FORMAL LOANS ASK QUESTIONS 3.27.
IF THE HOUSEHOLD DOES NOT HAVE ANY FORMAL LOANS, SKIP TO SECTION 4

| 3.27 | For your formal loan(s) do you have a concessionary interest rate? $00=$ No, $01=$ Yes, $77=$ NK | [_—] | (VNCNRATE) |
| :---: | :---: | :---: | :---: |
| 3.28 | Has it been easy for your household to be approved for the formal loan(s)? $00=$ No, $01=$ Yes, $77=$ NK <br> If Yes, Skip to | [_—] | (VNEASYLN) |
| 3.29 | Why was it difficult for your household to be approved for the formal loan(s)? <br> (You can provide up to two reasons, but please provide the most important reason first.) $01=$ Complicated procedures, 02= Attitude of Bank staff, 03= Difficult to obtain poor certificate from commune authority, 77=Other, Specify: $\qquad$ | $\begin{aligned} & {[— —]} \\ & {[— —]} \end{aligned}$ | (VNYHLNO1) (VNYHLNO2) (SPECLOAN) (ESPCLOAN) |

### 3.30 How do you assess your level of satisfaction with the following

 aspects of borrowing the formal loan(s):01=Extremely satisfied, 02=Satisfied, 03=Neutral,

|  | 04= Not satisfied, 05= Extremely unsatisfied, 77=NK |  |
| :---: | :---: | :---: |
| Credit organization's procedures? | [ _ _ _] | (VNCOPROC) |
| Credit organization's staff's attitudes? | [ [-] | (VNCOSTFF) |
| Commune procedures? | [_-] | (VNCOMPRC) |
| Term of loan? | [__-] | (VNLNVAL) |
| Loan amount? | [_-_] | (VNLNAMT) |
| Interest rate? | [__ ] | (VNINRATE) |
| Collateral (procedures and conditions related to borrowing against collateral) | [_—] | (VNMORT) |
| Other, Specify: | [_—] | (VNOTHER) (SPECSATI) (ESPCSATI) |

## SECTION 4 - HOUSEHOLD FOOD AND NON-FOOD CONSUMPTION \& EXPENDITURE

4.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)

## SECTION 4A - FOOD CONSUMPTION AND EXPENDITURE

SAY: I am now going to ask some questions about how much food has been consumed by your family in recent times. I am going to start by asking how much you consumed of goods you bought in the last two weeks, then ask you how much you consumed from your own harvest or stocks, and then how much you consumed from other sources.

FIELDWORKER: AMOUNT OF DETAIL TO BE DETERMINED BY ITEMS WITH SUBSTANTIAL CONSUMPTION IN VALUE TERMS OR IN CALORIFIC TERMS. SO ITEMS THAT ARE VERY CHEAP AND NUTRITIONALLY NOT SO IMPORTANT NEED NOT BE SPECIFICALLY ITEMISED. THE FIRST PART OF THE TABLE REFERS TO PURCHASES. THE SECOND PART REFERS TO HOUSEDHOLDS' OWN PRODUCTION

| 4.1 | Please think about the food consumed by your family in the last two weeks ( 15 days) <br> FIELDWORKER: Ask if there was a major festival, wedding or other feasting or fasting ceremony in the last 15 days. If there was, specify that the respondent should think about the household's consumption in the 15 days prior to this event. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 4.1.1 |  |  |
|  | Commodity | What is the total value in VND of this item that you bought then consumed in the past two weeks? <br> USE SHADED COLUMNS ONLY IF RESPONDENT HAS DIFFICULTY ESTIMATING VALUE, USE QUANTITIES COLUMNS |  |  |
|  |  | Quantity <br> $00=$ None, $-77=$ NK | Unit | Estimated value -77=NK, -88=N/A |
|  |  |  |  | (EATV) |
| 01 | Pulses/Lentils/Beans | [___ e_] | [ _ $]$ | [ |
| 02 | Pasta/Rice/Bread/ <br> Wheat flour (processed) | [___ ${ }^{\text {[_] }}$ | [__ ] | [__ ${ }^{\text {[ }}$ ] |
| 03 | Wheat/Maize (unprocessed) | [___ e_] | [__ ] | [___ ] |
| 04 | Tubers/Potatoes/Root crops | [___ ${ }^{\text {e_ }}$ ] | [___] | [__ •__] |


| 05 | Meat Products | [__ • _ ] | [ | [ |
| :---: | :---: | :---: | :---: | :---: |
| 06 | Powdered/Formula Milk | [__ $\cdot$ _ ] | [ | [___ ${ }^{\text {[ }}$ ] |
| 07 | Milk or mile products (milk, butter, cheese, yoghurt, etc.) | [__ ${ }^{\text {a }}$ ] | [ | [__ $\left.{ }^{\text {e_ }}\right]$ |
| 08 | Fresh Fish | [__ - ] | [ $]$ | [ |
| 09 | Processed Fish (tinned) | [__ - ] | [___] | [__ e _ ] |
| 10 | Eggs | [__ • _ ] | [ | [ |
| 11 | Vegetables | [__ $\cdot$ [_] | [___] | [__ e_] |
| 12 | Fruit | [__ ${ }^{\text {a }}$ ] | [ | [ |
| 13 | Salt/Spices | [__ ${ }^{\text {c }}$ ] | [ $]$ | [ ${ }^{\text {e__] }}$ |
| 14 | Oil | [__ e_] | [ | [ |
| 15 | Sugar/Honey | [__ - ] | [ | [ |
| 16 | $\begin{aligned} & \text { Prepared food } \\ & \text { (restaurants, food } \\ & \text { stalls) } \end{aligned}$ | [__ • _ ] | [___] | [__ e_] |
| 17 | Packaged sweets (biscuits, cakes) | [__ • _ ] | [___] | [__ ${ }^{\text {e_ }}$ ] |
| 18 | Coffee and Tea | [ [_- ] $]$ | [ | [ |
| 19 | Soft drinks and Alcohol | [__ • _ ] | [___] | [__ - ] |
| 20 | Other | [__ e_] | [ | [ |

TABLE 4.1 CONTINUED

|  |  | 4.1 .2 | 4.1 .3 |  |
| :--- | :--- | :--- | :--- | :--- |
|  | COMMODITY | How much of this commodity did you consume <br> from your own harvest or own stock? | How much of this commodity did you consume from gifts, transfers or food aid <br> received from relatives, friends, neighbours, government or other organisations? |  |


|  |  | $\begin{aligned} & \text { Quantity } \\ & 00=\text { None, - } \\ & 77=N K \end{aligned}$ | Unit | Estimated value -77=NK, - <br> 88=N/A | Quantity 00=None, $-77=N K$ | Unit | Estimated value -77=NK, -88=N/A | Source: <br> 01=Gift from relatives, friends, neighbours 02=Food aid, transfer 03=Food for work, 77=NK |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | (OWNV) |  |  | (GIFTV) | (GIFSRC) |
| 01 | Pulses/Lentils/ Beans | [__ e _ ] | [__ ] | [__ •__] | [___ ${ }^{\text {en }}$ ] | [__ ] | [__ • _ ] | [ |
| 02 | Pasta/Rice/Bread/ Wheat flour (processed) | [__ • _ ] | [__ ] | [__ • _ ] | [___ - ] | [__] | [__ • _ ] | [_-] |
| 03 | Wheat/Maize (unprocessed) | [__ e ${ }^{\text {c }}$ ] | [___] | [__ • _ ] | [___ ${ }^{\text {a }}$ ] | [___] | [__ • _ ] | [ |
| 04 | Tubers/Potatoes/ Root crops | [__ e - ] | [ | [__ •_] | [___ e ] | [__ ] | [__ • _ ] | [ $-\ldots$ ] |
| 05 | Meat Products | [__ • - ] | [__] | [__ • _ ] | [___ ${ }^{\text {c }}$ | [__] | [__ • _ ] | [--] |
| 06 | Powdered/Formula Milk | [__ • _ ] | [___] | [__ •__] | [___ ${ }^{\text {] }}$ | [__ ] | [__ • _ ] | [——] |
| 07 | Milk or milk products (milk, butter, cheese, yoghurt, etc.) | [__ - ] | [___] | [__ •_] | [___ - ] | [__ ] | [__ •__] | [_—] |
| 08 | Fresh Fish | [___ - ] | [ | [___ - ] | [___ ${ }^{\text {] }}$ | [__ ] | [___ e__] | [___] |
| 09 | Processed Fish (tinned) | [__ e _ ] | [___] | [___ ${ }^{\text {[_] }}$ ] | [___ ${ }^{\text {a }}$ ] | [ | [__ •__] | [-_] |
| 10 | Eggs | [__ • _ ] | [ | [___ •__] | [___ e_] | [ | [___ •__] | [__ ] |
| 11 | Vegetables | [___ e_] | [___] | [__ •__] | [ | [ | [__ e_] | [__ ] |
| 12 | Fruit | [__ e ] | [ | [__ •__] | [ | [ $]$ | [__ • ] ] | [___] |
| 13 | Salt/Spices | [__ e ] ] | [__] | [__ • ] ] | [___ $]$ | [ $]$ | [__ • $]$ | [___] |
| 14 | Oil | [__ e ] | [ $]$ | [__ e] | [ | [ | [__ e ] | [__ ] |
| 15 | Sugar/Honey | [__ el] | [ | [__ $\left.{ }^{\text {e }}\right]$ | [__ ${ }^{\text {c }}$ ] | [ $]$ | [__ $]^{\text {] }}$ | [_-_] |


| 16 | Prepared food (restaurants, food stalls) | [__ • _ ] | [___] | [__ •__] | [__ ${ }^{\text {a }}$ ] | [__] | [__ • _ ] | [--] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 17 | Packaged sweets (biscuits, cakes) | [__ e _ ] | [__ ] | [__ •__] | [___ ${ }^{\text {en }}$ ] | [__] | [__ • _ ] | [-_] |
| 18 | Coffee and Tea | [__ • _ ] | [ | [ | [ | [ | [__ - ] | [ - - ] |
| 19 | Soft drinks and Alcohol |  |  |  |  |  |  |  |
| 20 | Other |  |  |  |  |  |  |  |

## SECTION 4B - NON-FOOD EXPENDITURE

SAY: I am now going to ask you how much you have spent on food and other items. Please give prices at the time of purchase.

| 4.2 | What is the money value (in VND) of the total amount purchased by the household in the last 30 days on the following items: (Enter value in VND or codes 00=Nothing, -77=NK) |  |  |
| :---: | :---: | :---: | :---: |
| 01 | Tobacco, cigarettes, etc. | [ | (SPEND01) |
| 02 | Personal care items (soap, cosmetics, hair oil, perfume, beauty parlour fees, hair cuts) | [ _ - _ $]$ | (SPENDO2) |
| 03 | Firewood, Kerosene, gas, batteries, candles | [__ •__] | (SPEND03) |
| 04 | Internet use | [ _ • ___] | (SPEND04) |
| 05 | Public transport | [__ - _ ] | (SPEND05) |
| 06 | Security (guards) | [ | (SPEND06) |


| 4.3 | What is the money value (in VND) of the total amount purchased by the household in the last 12 months on the following items: <br> (Enter value in VND or codes $00=$ Nothing, $-77=N K)$ |  |  |  |
| :---: | :--- | :--- | :--- | :--- |
| 07 | Rent (house) | Dwelling maintenance |  | [SPNDYR07) |
| 12 |  |  |  |  |


| 20 | Cleaning materials | [ | (SPNDYR20) |
| :---: | :---: | :---: | :---: |
| 13 | Rent (business, market stall) | [ | (SPNDYR13) |
| 14 | Business license | [ | (SPNDYR14) |
| 15 | Water rates | [ | (SPNDYR15) |
| 16 | Electricity rates | [ | (SPNDYR16) |
| 17 | Telephone rates, mobile phone plan | [__•_] | (SPNDYR17) |
| 19 | Vehicle maintenance | [ | (SPNDYR19) |
| 21 | Fees and paperwork | [ | (SPNDYR21) |
| 04 | Legal advice, support, aid | [ | (SPNDYR04) |
| 05 | Bribes | [ | (SPNDYR05) |
| 06 | Festivals/celebrations (regular occurrence) | [ | (SPNDYR06) |
| 11 | One-off family events (e.g. wedding/funeral, etc.) | [ | (SPNDYR11) |


| 4.4 | What is the money value (in VND) of the total amount purchased by the household in the last 12 months for the following items: |  |  |
| :---: | :---: | :---: | :---: |
|  | ITEMS | How much was spent in the last 12 months? (ENTER VALUE IN VND OR CODES 00=NOTHING, 77=NK) | How much of this was spend on items for [NAME]? $00=$ None of it, 01=Less than half, 02=About half, $03=$ More than half but not all, 04=All, 77=NK |
|  |  | (SPYR) | (SPNAME) |
|  | Clothing |  |  |
| 01 | Clothing (adult men) | [ _ |  |


| 02 | Clothing (adult women) | [__ •__] |  |
| :---: | :---: | :---: | :---: |
| 03 | Clothing (girls - excluding school uniform) | [__ •__] | [_—] |
| 04 | Clothing (boys - excluding school uniform) | [ | [_-] |
| 05 | Footwear (e.g. shoes, slippers) (adult men)) | [ |  |
| 06 | Footwear (e.g. shoes, slippers) (adult women) | [ |  |
| 07 | Footwear (e.g. shoes, slippers) (girls) | [ | [_-] |
| 08 | Footwear (e.g. shoes, slippers) (boys) | [ | [_- ] |
|  | Education |  |  |
| 09 | School uniform (boys) | [_ •__] | [_-] |
| 10 | School uniform (girls) | [ | [_-] |
| 11 | Payment for schooling fees (registration, examination) or donations to school (boys) | [__ - _ ] | [_-] |
| 12 | Payment for schooling fees (registration, examination) or donations to school (girls) | [__ - ] ] | [——] |
| 13 | Payment for private tuition (boys) | [ | [-_] |
| 14 | Payment for private tuition (girls) | [ | [_-] |
| 15 | School books and stationary (pens, erasers, paper) | [ | [--] |
| 16 | Transport to school | [ | [_- ] |
|  | Medical |  |  |
| 17 | Payments for medical consultation and treatment | [_] .__] | [_-] |
| 18 | Any other medical expenditure? | [_]._] | [-_] |

$\left.\begin{array}{|c|l|l|l|}\hline & \text { Entertainment } & & \\ \hline 19 & \text { Cinema/entertainment } & \text { Other } & \\ \hline 20 & \text { Presents or treats for children } & {\left[\begin{array}{l}\text { Jewellery (Gold necklace, earrings, etc. for } \\ \text { personal use) }\end{array}\right.} & {[\ldots]}\end{array}\right]$

## SECTION 5 - SOCIAL CAPITAL

5.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)

## 5A SUPPORT NETWORKS

| 5.1 | If you had a problem is there someone who would help you? $00=$ No, 01=Yes, 77=NK <br> If no, skip to 5.3 | [_—] | (ANYHELP) |
| :---: | :---: | :---: | :---: |
| 5.2 | Who are the people who would be most likely to help you? <br> (You can give up to three answers but please give the most important first) <br> (ENTER CODES FROM CODE BOX \# 14) | $\begin{aligned} & {[\ldots \ldots]} \\ & {[\ldots \ldots]} \\ & {[\ldots \ldots]} \end{aligned}$ | (WHOHLP1) <br> (WHOHLP2) <br> (WHOHLP3) |


| CODEBOX 14 - PEOPLE MOST LIKELY TO HELP |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Parents | $06=$ Government | $11=$ Religious leader/person | $16=$ Women's groups |
| $02=$ Children | $07=$ Other relatives | $12=$ Political leader | $17=$ Farmers' association |
| $03=$ Labour union | $08=$ Neighbour | $13=$ NGO worker | $18=$ No-one |


| $04=$ Work colleagues | $09=$ Friends | $14=$ Community leader (informal) | $19=$ IDDIR |
| :--- | :--- | :--- | :--- |
| $05=$ Siblings | $10=$ Teachers (school) | $15=$ Community leader (formal) | $20=$ Other |


| 5.3 | Suppose you are in need of material support. How many people can you rely on in time of need? ( $01=1-2$ people, $02=3-5$ people, $03=6-10,04=11-15,05=16-20,06=21-30,07=$ over 30 ) Write 00 if none. $77=$ NK) | [_—] | (FINHELP) |
| :---: | :---: | :---: | :---: |
| 5.4 | Now think back four years ago, are there more, less or about the same number of people you can rely on in time of need? <br> 01=More, 02=Less, 03=About the same, 77=NK | [_—] | (RELYON) |

## 5B FAMILY, GROUP AND POLITICAL CAPITAL

| 5.5 | 5.5.1 | 5.5.2 | 5.5.3 | 5.5.4 |  | 5.5.5 | 5.5.6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Group Code <br> (ENTER <br> CODE <br> FROM <br> CODE BOX <br> \# 15) | ID of main person in the household who is a member of the group $80=$ Whole family | Since when has this person been a member? <br> ENTER ALL FOUR DIGITS OF THE <br> YEAR <br> -77=NK | What are the main benefits from the group? <br> (ENTER CODE FROM CODE BOX \# 16) |  | How often does this person attend the group? <br> 01=More than once per week, 02=Weekly, 03=Monthly, 04=Twice/year 05=Annually, 06=Less than once a year, $77=$ NK | Does this person hold a leadership or powerful position in the group? $00=\mathrm{No}, 01=$ Yes, $77=\mathrm{NK}$ <br> If No, > SKIP TO 5.6 |
| GRPID | GROUP | IDGRP | YEARMEM | BENGRP1 | BENGRP2 | FRQATTEN | LEADMEM |
| 01 | [__ ${ }^{\text {] }}$ ] | [___] | [__-_- ] | [___] | [__-] | [___] | [___] |
| 02 | [__ ] | [__ ] | $[-\ldots-\ldots]$ | [__ ] | [_-_] | [__ ] | [__] |
| 03 | [ [_-] | [__ ${ }^{\text {] }}$ ] | [ | [__ ] | [_-_] | [___] | [__ $]$ |
| 04 | [ [-_] | [_-_] | [-_---] | [_-_] | [-_] | [_-_] | [_-_] |
| 05 | [__ ] | [__ ] | $[\ldots=\ldots-]$ | [__ ] | [__ ] | [__] | [__ ] |
| 06 | [__ ] | [__ ] | [__-_] | [__] | [__] | [__] | [__] |
| 07 | [ | [__ ] | [_-_-_] | [__ ] | [___] | [__ ] | [ |


| 08 | [__ ] | [_- ] | [ $=-\ldots$ ] | [__ ] | [___] | [_-] | [__] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 09 | [-_] | [_- ] | [_-_- ] | [__-] | [___] | [_- ] | [__ ] |
| 10 | [_-_] | [_-_] | [_-_- ] | [___] | [___] | [__ ] | [__] |


| CODEBOX 15-GROUPS |  |  |  |
| :---: | :---: | :---: | :---: |
|  | 08=Caste group/association | 15=Business group | 22= Labour unions |
| 02=Farmer's Co-operative |  | 16=Youth group | 23= Women's group |
|  | 10=Health Committee | $17=$ NGO | 24=Peasant association |
|  | 11=Credit society/Co-op | 18=Religions group | 25=Service co-operatives |
| 05=Other Farmer's group | 12=Chit fund |  |  |
| 06=Self-help group | 13=Toddy tappers association | 20=Cultural/sport group |  |
| 07=Education/Vidya committee | 14=Traders' association | 21= Mother's committee | $28=$ Other |


| CODEBOX 16 - BENFITS FROM THE GROUP |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Improves household income | $05=$ Important in times of need | $09=$ Benefit/recognition from NGO |  |
| $02=$ Improves access to services | $06=$ Offers benefits to the wider community | $10=$ Marketing outlet for produce |  |
| $03=$ Can get credit/savings facilities | $07=$ Enjoyment/recreation | $11=$ Other |  |
| $04=$ Can get knowledge and information | $08=$ Spiritual/Social status/Self-esteem |  |  |

FIELDWORKER: THIS IS A CONTINUATION OF THE TABLE ABOVE - YOU MUST MAKE SURE THE ROWS REFER TO THE SAME GROUP

|  | 5.5.7 | 5.5.8 | 5.5.9 | 5.5.10 |
| :---: | :---: | :---: | :---: | :---: |
|  | Since when has this person held this position? (which year) $-77=N K,-88=N / A$ | Did any parent or close relative of this person hold this post before? 00=NO, 01=Yes, 77=NK | What is the main outcome from holding this position? <br> (ENTER CODE FROM CODE BOX \# 17) | Has this position allowed this person to influence decisions and activities? <br> $00=$ No, never, <br> 02=Somewhat/Occasionally, <br> 03=Yes, often <br> 77=NK |
| (GRPID) | (YEARLEAD) | (PAREPOST) | (WHYJOIN) | (INFLNCE) |
| 01 | [___——] | [___] | [___] | [___] |
| 02 | [__-_-] | [___] | [___] | [___] |
| 03 | [__-_-] | [__ ] | [__ ] | [___] |
| 04 | [__-_] | [__] | [__ ] | [__ ] |
| 05 | [_-_-] | [__] | [__] | [__] |
| 06 | [___-_] | [__ ] | [___] | [___] |
| 07 | [__-_-] | [__] | [__ ] | [__] |
| 08 | [__-_] | [__ ] | [__ ] | [__ ] |
| 09 | [__-_-] | [__ ] | [___] | [___] |
| 10 | [_-_-_] | [__-] | [___] | [___] |


| CODEBOX 17 - OUTCOMES OF LEADERSHIP POSITION |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01= Decreases time allocated to <br> household income generation | 05=Improves access to credit/savings | 09=Improves individual's self esteem | $13=$ Improves individual's status <br> among officials |  |
| 02= Decreases time spent with family | 06=Improves access to knowledge and <br> information | $10=$ Improves individual's social status <br> in community (e.g. more respect, <br> emerge as role model) | 14=Improves political chances |  |
| 03= Decreases time for household <br> tasks | 07=Improves access to services | $11=$ Improves individual's status in <br> household | 15=Improves household's social status <br> in community |  |


| 04=Decreases household economic <br> status | 08=Improves household economic <br> status | $12=$ Improves individual's status with <br> relatives |
| :--- | :--- | :--- | :--- | :--- |


| 5.6 | Besides the organizations listed above, does anyone in the household hold another position of responsibility, authority or power, as a leader or in a powerful position? IF YES, THEN FOR EACH ONE RECORD THE ID OF THE HOUSEHOLD MEMBER AND THE POSITION HELD. THEN ASK QUESTIONS 5.10.3 THROUGH TO 5.10.8 |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 5.6.1 | 5.6.2 | 5.6.3 | 5.6.4 | 5.6.5 | 5.6 .6 | 5.6.7 | 5.6.8 |
|  | ID of household member holding position | Position held (ENTER CODE FROM CODE BOX \# 18) | When did this person first get this position? (ENTER YEAR) -77=NK | For how many years in total has she/he held this position? (ENTER NUMBER OF YEARS, 00=<1yr, 77=NK) | Is s/he still holding this position? $00=$ No, 01=Yes, 77=NK | Did any parent or close relative of this person hold this post before? $00=\text { No, } 01=\text { Yes, }$ $77=\mathrm{NK}$ | What is the main outcome from holding this position? <br> (ENTER CODE FROM CODE BOX \# 17) | Has this position allowed this person to influence decisions and activities? <br> $00=$ No/Never, <br> 02=Somewhat/Occasionally, <br> 03=Yes/Often <br> 77=NK |
| (POSID) | (ID) | (POSHELD) | (POSFIRST) | (POSYEARS) | (POSTILL) | (POSREL) | (POSOUT) | (POSEFFEC) |
| 01 | [___] | [___] | [___-_] | [___] | [___] | [___] | [___] | [___] |
| 02 | [___] | [___] | [____] | [__ ] | [___] | [__ ] | [__ ] | [___] |
| 03 | [__ ] | [__ ] | [_-_- ] | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| 04 | [__ $]$ | [__-] | [ $=$ - =- ] | [__-] | [_-_] | [__-] | [_-_] | [_-_] |
| 05 | [_-_] | [_-_] | [-_--] | [_-_] | - ] | [_-_] | [_-_] | [_-_] |
| 06 | [___] | [___] | [___-_] | [___] | [__ ] | [___] | [___] | [___] |
| 07 | [__ ] | [___] | [____] | [__ ] | [___] | [___] | [___] | [___] |
| 08 | [__ ] | [__ ] | [_-_-] | [__ ] | [__] | [__ ] | [_-_] | [_-_] |
| 09 | [__ ] | [__ ] | [ $=-=-$ ] | [__ ] | [_-_] | [__ ] | [__ ] | [__ ] |
| 10 | [___] | [___] | [__-_-] | [___] | [__ ] | [___] | [__ ] | [__ ] |


| CODEBOX 18 - POSITION OF AUTHORITY |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Appointed village assistant | $06=$ Formal position in political party | $11=$ Political party leader |  |
| $02=$ Agricultural officer/assistant |  |  | $17=$ Village ward member |
| $03=$ Caste group leader | $08=$ Member of the Police or Army | $13=$ Teacher/school principal | $18=$ Other |
|  | $09=$ Money lender | $14=$ Traditional leader |  |
|  |  | $15=$ Village militia | $77=N K$ |


| 5.7 | Does the household have close relatives living in this Commune (excluding those in your own household)? $00=\text { No, 01=Yes, 77=NK }$ <br> IF NO, -> SKIP TO 5.10 | [__] | (RELLIVE) |
| :---: | :---: | :---: | :---: |
| 5.8 | How many relatives live in this community? (00=none, 01=1-5, 02=6-10, 03=11-20, 04=21-30, 05=over 30, 77=NK, 88=NA) | [_——] | (NUMREL) |
| 5.9 | How many of these relatives are influential in the community? (00=none, 01=1-5, 02=6-10, 03=11-20, 04=21-30, 05=over 30, 77=NK, 88=NA) | [__] | (INFREL) |

## 5C COLLECTIVE ACTION AND EXCLUSION

| 5.10 | In the last three years have you or any members of your household done any of the following: | 00=No, 01=Yes, 77=NK, 79=Refused to answer |
| :---: | :---: | :---: |
|  |  | (ACTION) |
| 04 | Talked with other people in your area about a serious problem affecting the community | [___] |
| 05 | Taken action with others about a serious problem affecting the community | [__ ] |
| 06 | Actively participated in an awareness raising campaign | [___] |
| 09 | Made the media interested in a problem | [___] |


|  | Services/things denied | 5.11.1 Do you have access to this service? <br> $00=\mathrm{No}, 01=$ Yes, $77=\mathrm{NK}$ <br> IF YEs, SKIP TO NEXT ROW | 5.11.2 If no access, give the main reason for this. <br> $01=I t$ is not available, <br> 02=Economic (cannot afford it) <br> 03=Don't know about it, <br> 04=Attempted to get it but access was denied <br> 05=Other, 77=NK <br> If Answer 04, ask 5.11.3 | 5.11.3 If access was denied, what do you think the reason was? 01=Social (Background, caste, ethnicity, religion, gender) $02=$ Could not afford the bribe required 03=Political reasons |
| :---: | :---: | :---: | :---: | :---: |
|  |  | (ACCESS) | (NOACC) | (DENIED) |
| 01 | Education/schools | [___] | [___] | [___] |
| 02 | Health services/clinics | [___] | [___] | [__ ] |
| 03 | Housing assistance | [__ $]$ | [__ ] | [__] |
| 04 | Job training/employment | [_-_] | [__ ] | [_-_] |
| 05 | Credit/finance | [__ ${ }^{\text {] }}$ ] | [__ ] | [___] |
| 06 | Transportation | [___] | [__ $]$ | [___] |
| 07 | Drinking water distribution | [__ ] | [_-_] | [__] |
| 08 | Access to agricultural knowledge/information | [_- ] | [--] | [--] |
| 09 | Access to agricultural inputs | [___] | [__ ] | [___] |
| 10 | Sanitation services | [__ ] | [__ ] | [___] |
| 11 | Cultural activities | [___] | [___] | [__ ] |
| 12 | Self-help groups | [___] | [___] | [__ ] |
| 13 | Welfare programs | [__ $]$ | [___] | [_-_] |
| 14 | Power / electricity | [___] | [_-_] | [___] |
| 16 | Other | [__ ] | [___] | [_-_] |

## 5D INFORMATION NETWORKS

Say: I am now going to ask you some questions about where you get information from.
5.12 Would you or anyone in the household be able to get information on the following:

|  |  | 5.12.1 | 5.12.2 | 5.12.3 | 5.12 .4 | 5.12 .5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $00=$ No, 01=Yes, 02=Don't need it, <br> 77=NK <br> If Yes, go to 5.15.2, <br> If No, > go to next topic in list | Information source 1 (most important) <br> (ENTER CODE FROM CODE BOX \#19) | Information source 2 (Second most important) <br> (ENTER CODE FROM CODE BOX \#19) 88=N/A | Have you sought information on this in the last 3 yrs ? $00=\text { No, 01=Yes, 77=NK, 88=N/A }$ | Did this information help? $00=\text { No, 01=Yes, 77=NK, 88=N/A }$ |
|  |  | (GETINF) | (SRIN1) | (SRIN2) | (ST3YR) | (INFHLP) |
|  | TOPIC |  |  |  |  |  |
| 01 | Family planning | [__-] | [___] | [___] | [___] | [___] |
| 02 | Information about child health | [_—] | [_—] | [_—_] | [__ ] | [——] |
| 03 | Education opportunities for children | [ $-\ldots$ ] | [ $-\ldots$ ] | [——] | [——] | [——] |
| 04 | Income earning opportunities | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| 05 | How to vote/who to vote for in elections | [__ ] | [__ ] | [__ ] | [__ ] | [__ ] |
| 06 | Legal issues (e.g. disputes) | [__ ] | [___] | [___] | [__ ] | [__ ] |
| 07 | Registration, fees or other forms | [ $-\ldots$ ] | [_—] | [_—] | [_—] | [_—] |

CODEBOX 19 - INFORMATION SOURCES

| $01=$ No information needed/not interested | $09=$ Health representative | $17=$ Newspapers | $25=$ Self resourced |
| :--- | :--- | :--- | :--- |
| $02=$ Agriculture extension worker | $10=$ Health workers | $18=$ NGO | $26=$ Teachers/head teacher |


| 03=Church/Mosques | 11=Internet |  | 27=Other |
| :---: | :---: | :---: | :---: |
| 04=Contractors | 12=Landlord | $20=$ Outside villages |  |
| 05=Education committee | 13=Local government officials | $21=$ Peer groups |  |
| 06=Friends |  | $22=$ Radio/TV |  |
| 07=Health camp | 15=Ministry of agriculture | 23=Relatives |  |
| 08=Health extension worker | 16=Neighbours |  |  |

## 5E - COMMUNITY WORKS

5.13 In the last four years, has the community where you live received investment in infrastructure from an external source?
00=No, 01=Yes, 77=NK

| 5.14 |  | 5.14.1 In the last four years, has your community received investment in any of the following infrastructure projects from an external source? $00=\text { No, } 01=\text { Yes, } 77=N K$ <br> IF No, Skip to next Type | 5.14.2 What was the source of funding for this infrastructure project? <br> 01=Program 135 <br> 02=Jobs and Poverty Reduction Program between 2001-05 (Project 143) <br> 03=Other national budget source <br> 04=Foreign non-governmental organization <br> 05=Foreign government funding <br> 06=Local individuals or organizations funding <br> 07=Other <br> 77=NK |
| :---: | :---: | :---: | :---: |
|  | (SPECPROJ) (ESPCPROJ) | (VNPROJ01) - (VNPROJ12) | (VNPRFD01) (VNPRFD12) |
| 01 | Network Electricity | [___] | [___] |
| 02 | Traffic-way | [__ ] | -_] |
| 03 | Pre-school/ kindergarten | [___] | [___] |


| 04 | Primary school | [__ ] | [__ ] |
| :---: | :---: | :---: | :---: |
| 05 | Secondary school | [ [-] | [ [-_] |
| 06 | Combined primary and secondary school | [-_] | [ $-\ldots$ ] |
| 07 | Medical Station | [__ ] | [___] |
| 08 | Irrigation | [_-] | [-_] |
| 09 | Clean water | [- - ] | [-_] |
| 10 | Community (all ages) entertainment/amusement/ sport/recreation centre | [-_] | [--] |
| 11 | Children's entertainment/amusement/s port centre | [-_] | [--] |
| 12 | Other, Specify: | [--] | [ |


| 5.15 | SAY: I would now like to ask about your level of participation in any of these community projects. <br> 5.14 for any community infrastructure projects over the past four years) <br> and ask 5.15.1-5.15.4 for each. |
| :--- | :--- | :--- | :--- | :--- |


|  | 77=NK | 77=NK | 77=NK | 77=NK |
| :---: | :---: | :---: | :---: | :---: |
| (PROJID) | (PROJPRTLV) | (PRJMANDV) | (PRJPROC) | (PRJOPMNT) |
| [ $-\ldots$ ] | [___] | [___] | [ =_-] | [___] |
| [--] | [___] | [___] | [ [-_] | [___] |
| [--] | [__ ] | [__ ] | [_-_] | [__ ] |
| [--] | [__ ] | [__] | [__ ] | [__ ] |
| [ $-\ldots$ ] | [__ $]$ | [__ ] | [__ ] | [___] |
| [--] | [__-] | [___] | [ [-_] | [___] |


| 5.16 | SAY: I would now like to ask about the level of community participation in these projects. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.16.1-5.16.4 for each. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 5.16.1 | 5.16.2 | 5.16.3 | 5.16.4 |
| (PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14 | How do you assess the level of community participation in the project selection process for this infrastructure project? <br> (READ CODES) <br> $01=$ Community is the decisionmaker, <br> 02= Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making 77=NK | How do you assess the level of community participation in the management of the development of this infrastructure project? <br> (READ CODES) <br> 01=Community is the decision-maker, <br> 02= Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making <br> 77=NK | How do you assess the level of community participation in the procurement process for this infrastructure project? <br> (READ CODES) <br> 01=Community is the decisionmaker, <br> 02= Community has significant influence on decision-making <br> 03=Community has some influence on decision-making <br> 04=Community has no impact on decision-making 77=NK | How do you assess the level of community participation in the operation and maintenance of this infrastructure project? <br> (READ CODES) <br> 01=Community is the decisionmaker, <br> 02= Community has significant influence on decision-making <br> $03=$ Community has some influence on decision-making <br> 04=Community has no impact on decision-making 77=NK |
| (PROJID) | (COMPRJLV) | (COMPRJDV) | (COMPRJPC) | (COMPRJMT) |
| [——] | [___] | [___] | [___] | [___] |
| [ $-\ldots$ ] | [___] | [___] | [___] | [___] |


| [--] | [_-_] | [___] | [___] | [__ ] |
| :---: | :---: | :---: | :---: | :---: |
| [--] | [_-_] | [ | [_-_] | [ |
| [--] | [_-_] | [___] | [_-_] | [ [-_] |
| [--] | [ _ _ ] | [ _ - ] | [__ ] | [ [-] |


| 5.17 | FIELDWORKER: RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years and ask 5.17 .1 for each. |
| :---: | :---: |
| (PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14 | 5.17.1 On the whole, do you agree with the decisions made related to these infrastructure projects? (READ CODES) <br> 01=Fully agree, 02=Basically Agree, 03=Neutral, 04=Don't agree, 05=Strongly disagree, 77=NK, 79=Refused to answer |
| (PROJID) | (PRJAGREE) |
| [ ——] | [__] |
| [-_] | [_- ] |
| [--] | [--] |
| [_- ] | [_- ] |
| [-_] | [-_] |
| [-_] | [_-] |


| 5.18 | SAY: I would now like to ask about how these different infrastructure projects may have affected your family. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.18.1-5.18.4 for each. |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 5.18.1 | 5.18 .2 | 5.18.3 | 5.18.4 |
| (PROJECT <br> ID) <br> INSERT <br> PROJECT <br> ID FROM <br> TABLE 5.14 | How do you assess the impact of this infrastructure project on your household's income? <br> 01=Significant positive impact, <br> 02=Slightly positive impact, <br> 03=No impact, | How do you assess the impact of this infrastructure project on your household members' health and access to health services? <br> 01=Significant positive impact, <br> 02=Slightly positive impact, | How do you assess the impact of this infrastructure project for the children of your household? (e.g. children's care, education, amusement) <br> 01=Significant positive impact, | How do you assess the impact of this infrastructure project for the safety of household members? <br> 01=Significant positive impact, <br> 02=Slightly positive impact, <br> 03=No impact, |


|  | 04=Slightly negative impact, <br> 05=Significantly negative impact, 77=NK <br> 79=Refused to answer | 03=No impact, <br> 04=Slightly negative impact, <br> 05=Significantly negative impact, <br> 77=NK <br> 79=Refused to answer | 02=Slightly positive impact, <br> 03=No impact, <br> 04=Slightly negative impact, <br> 05=Significantly negative impact, <br> 77=NK <br> 79=Refused to answer | 04=Slightly negative impact, 05=Significantly negative impact, 77=NK <br> 79=Refused to answer |
| :---: | :---: | :---: | :---: | :---: |
| (PROJID) | (IMPINCOM) | (IMPHEAL) | (IMPKIDS) | (IMPSAFE) |
| [_—] | [___] | [___] | [__ ] | [___] |
| [ _ - ] | [_-] | [_-] | [ - - ] | [ _ - ] |
| [_—] | [_-] | [_-] | [——] | [_-] |
| [_—] | [_- ] | [_- ] | [_-] | [_-] |
| [_—] | [_-] | [_-] | [_-] | [_-] |
| [_—] | [_-] | [_-] | [_-] | [_-] |

## SECTION 6 - ECONOMIC CHANGES AND RECENT LIFE HISTORY

| 6.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ ___ ] | ID6 |
| :--- | :--- | :--- | :--- | :--- |


| 6.1 | SAY: I am now going to ask you to think about your current circumstances and how and why they might have changed over recent periods |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 6.1 .1 | 6.1 .2 |  |
|  | Time period | During this period, how would you describe the household you were living in? ```01=Very rich, 02=Rich 03=Comfortable - manage to get by 04=Struggle - never have quite enough 05=Poor, 06=Destitute``` | (If situation has changed from the previous period to the current one) Please give the two main reasons why your situation has changed compared to the earlier period <br> (ENTER CODE FROM CODE BOX \# 20for IMPROVED STATUS) <br> (ENTER CODES FROM CODE BOX \#21 FOR WORSENED STATUS) <br> DO NOT PROMPT |  |
|  |  | (CIRCUM) | (REAS1) | (REAS2) |


| 01 | Currently | $[\ldots \ldots]$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| :--- | :--- | :---: | :---: | :---: |
| 02 | FOUR years ago (around time of previous <br> visit) | $[\ldots \ldots]$ | $[\ldots \ldots]$ | $[\ldots-\ldots]$ |
| 03 | The year before NAME was born | $[\ldots \ldots]$ | $[\ldots \ldots]$ | $[\ldots \ldots]$ |


| CODEBOX 20 - WHY SITUATION IMPROVED |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Harvests have been good | 09=Spouse works hard | 17=Live in a nicer house | $25=$ Panchyat is providing all facilities |
| 02=Started growing new crops | 10=Have new spouse | 18=Managed my business well | 26=Migration |
| 03=Tried new farming techniques | 11=Have more children | 19=Worked hard | 27=Was willing to take risks |
| 04=Managed my crops or livestock well | 12=Children older and more able to work | 20=Own business or trade has been profitable | 28=Prices have risen |
| 05=Accumulated livestock | 13= Women in household drink less | 21=Set up a new business or trade | 29=Good Luck |
| 06=Accumulated other resources, assets, possessions | 14=Men in household drink less | 22=Started work or new job/non-farm work | $30=$ God has helped |
| 07=My own health has improved | 15=More people around to help, advise (Better Networks) | 23=Food or Cash aid | 31=Other |
| 08=Spouse's health has improved | 16= Job security (continuous security) | 24=FFW/CFW/Income generation programme |  |


| CODEBOX 21- WHY SITUATION WORSENED |  |  |  |
| :---: | :---: | :---: | :---: |
| 41=Harvests have been poor | 49=Lost job (permanent/temporary) | 57=Children moved away | 65=Prices for goods have fallen |
| 42=Tried new crops but they failed | 50=Have fewer other resources, assets, possessions | 58=Large family/too many children/ increased family size | 66=Increase in price of inputs |
| 43=Tried new ways of farming but they failed | 51=I was lazy | 59=Men in household drink too much | 67=Increase in food prices |
| 44=Managed my crops or livestock poorly | 52=Spouse was lazy | $60=$ Women in household drink too much | 68=House is not as nice as it used to be |


| $45=$ Have less livestock | $53=$ I have been/got ill/injured/disabled | $61=$ Less people around to help, advise <br> (Poorer Networks) | $69=$ Old age |
| :--- | :--- | :--- | :--- |
| $46=$ Managed my business poorly | 54=Spouse has been/got <br> ill/injured/disabled | $62=$ Theft/robbery | $70=$ Bad luck |
| $47=$ Own business failed or didn't do <br> well | $55=$ Spouse died | $63=$ Too many loans | $71=$ God has not helped |
| $48=$ Tried new business/trade but it <br> aailed | $56=$ Children sick or died | $64=$ Did not want to take risks | $72=$ Other |

### 6.2 Compared to other households in this VILLAGE/SUBURB, would you describe your household at the moment as:

 01=The richest, 02=Among the richest, 03=Richer than most households, 04=About average, $05=$ A little poorer than most households, 06=Among the poorest, 07=The poorest, 77=NK| $[$ _-_] | (RELCIRC) |
| :--- | :--- |

SAY: Now I am going to ask you about the most important events and changes that have happened since the last time we came to see you.
FIELDWORKER: FIELDWORKER: Ask questions in shaded boxes and record responses that match EVENTS in that section. Record responses for 6.3.1 through to 6.3.3. Probe if necessary. When respondent has answered all questions (A-G), ask 6.3.4 and 6.3.5.

| 6.3 |  | 6.3.1 | 6.3.2 | 6.3.3 | 6.3.4 | 6.3.5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\begin{aligned} & 00=\text { No, 01=Yes, } \\ & 77=\text { NK } \end{aligned}$ <br> IF NO, SKIP TO NEXT EVENT | Who was affected by this event? (e.g. what households and villages) <br> (ENTER CODE FROM CODE BOX \# 22) | What did your household do in response to this event? You can choose up to 3 responses but list in order of importance. (ENTER CODE FROM CODE BOX \# 23) | FIELDWORKER: After all the events are entered, Ask: Which of all of these events were the 3 most important? 01 = Most important, 02=Second most important, 03=Third most important | Did these 3 important events have an impact on NAME? $00=\mathrm{No}, 01=\mathrm{Yes}$, 77=NK |
|  | (SPECEV45) <br> (SPECEV46) | (EVENT) | (WIDESPRD) | (RESP1) (RESP2) (RESP3) | (EVRANK) | (IMPACT) |
| A | SAY: Has the household been the victim of any crimes in the past 4 years? (e.g. theft, vandalism) If yes, What were these? Prompt for the listed events. <br> If no, enter $O O$ next to all events, and skip to B |  |  |  |  |  |
| 01 | Destruction or theft of tools or inputs for production | [_-] | [_- ] | [_- ] [-_] [-- ] | [-_] | [-_] |
| 02 | Theft of cash | [__ ] | _] | [_- ] [_-_][_- ] | [__ $]$ | [__ ] |
| 03 | Theft of crops | [__ ] | [-_] | $[-\ldots][-\ldots][-\ldots]$ | [__ ] | [ [-_] |
| 04 | Theft of livestock | [__-] | [ [-_] | [_-_][_-_][_-_] | [ [-_] | [ [-_] |
| 05 | Theft or destruction of housing or consumer goods | [_- ] | [-_] | [_- ] [-- ][_-] | [--] | [--] |
| 06 | Crime resulting in death or disablement of | [--] | [-_] |  | [_- ] | [_- ] |


| 6.3 |  | 6.3.1 | 6.3.2 | 6.3.3 | 6.3.4 | 6.3.5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | working adult household member |  |  |  |  |  |
| B | SAY: Have any regulations or actions had a negative impact on the household in the past 4 years? (e.g. land redistribution, forced eviction or movement, restrictions on movement or forced contributions) If yes, What were these? Prompt for the listed events. <br> If no, enter $O O$ next to all events, skip to $C$ |  |  |  |  |  |
| 07 | Land redistribution in the PA | [ | [ | [_-_] [-- ] [-- ] | [ - - ] | [——] |
| 08 | Resettlement, villagisation or forced migration | [——] | [-_] | [_- ] [-_] [-_] | [-_] | [-_] |
| 09 | Ban or restrictions on migration | [ - -] | [ $-\ldots$ ] | [-_][--][--] | [ $-\ldots$ ] | [ - -] |
| 10 | Forced contributions or arbitrary taxation or protection money | [_—] | [-_] | [-_] [-- ][--] | [--] | [——] |
| 11 | Eviction | [___] | [___] | [___][__][__] | [___] | [___] |
| c | SAY: Have any changes to economic conditions affected the household in the last 4 years (e.g. changes to prices, employment, asset disputes) <br> If yes, What were these? Prompt for the listed events. <br> If no, enter OO next to all events, skip to $D$ |  |  |  |  |  |
| 12 | Large increase in input prices | [__] | [--] | [-- ] [-- ] [-- ] | [_- ] | [_-] |
| 13 | Large decrease in output prices | [-_] | [--] | [_- ] [-- ] [-- ] | [--] | [--] |
| 14 | Livestock died | [___] | [ [-_] | [ =_-][_- ] [_- ] | [ [-_] | [___] |
| 15 | Place of employment shut down/destroyed | [--] | [--] | [--][--][--] | [--] | [--] |
| 16 | Job loss/source of income/family enterprise | [-_] | [--] | [_- ] [——] [-- ] | [--] | [-—] |
| 17 | Industrial action | [_- ] | [__-] | [_-_][--][--] | [-_] | [_-_] |


| 6.3 |  | 6.3.1 | 6.3.2 | 6.3.3 | 6.3.4 | 6.3.5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding purchased inputs | [_—] | [_—] | [__] [_- ] [__] | [_—] | [_—] |
| 19 | Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding sale of output | [_—] | [_—] | [__] [_- ] [_- ] | [_—] | [_—] |
| 20 | Credit source disbanded | [_—] | [ _ - ] | [_- ] [_- ] [_- ] | [_—] | [_—] |
| 21 | Confiscation of assets | [_—] | [_—] | [_-] [_-] [——] | [_—] | [_—] |
| 22 | Disputes with extended family members regarding land or assets | [_—] | [_—] | [_-] [_- ] [_-] | [_—] | [_—] |
| 23 | Disputes with neighbours/PA members regarding land or assets | [_—] | [_—] | [__] [_- ] [_- ] | [_—] | [_—] |
| D | SAY: Have you experienced any natural disasters in the last 4 years? For example, weather extremes, changes in land conditions, pests or diseases that may have affected your crops and livestock? If yes, What were these? Prompt for the listed events. <br> If no, enter OO next to all events, skip to E |  |  |  |  |  |
| 24 | Drought | [___] | [___] | [___][__ ] [__ ] | [___] | [___] |
| 25 | Too much rain or flood | [_—] | [_—] | [__] [_- ] [_- ] | [_—] | [_—] |
| 26 | Erosion | [__ ] | [__ ] | [__ ] [_- ] [__] | [___] | [___] |
| 27 | Frosts or hailstorm | [___] | [___] | [___][__][__] | [___] | [__-] |
| 28 | Pests or diseases that affected crops | [_—] | [_—] | [__] [_- ] [_-] | [_—] | [_—] |


| 6.3 |  | 6.3 .1 | 6.3.2 | 6.3.3 | 6.3.4 | 6.3 .5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | before they were harvested |  |  |  |  |  |
| 29 | Crops failed | [__ ] | [__ ] |  | [__ ] | [__ ] |
| 30 | Pests or diseases that led to storage losses | [__ ] | [__ ] |  | [__ ] | [__ ] |
| 31 | Pests or diseases that affected livestock | - _ ] | -_] |  | [__ ] | [__ ] |
| $E$ | SAY: Has anything happ collapse) <br> If yes, What were thes <br> If no, enter OO next to | pened in the last <br> se? Prompt for th o all events, skip | years that has affec <br> isted events. | uilding |  |  |
| 32 | Fire | [___] | -_] |  | [__ ] | [___] |
| 33 | Building collapsed | [___] | [___] |  | [__ ] | [__ ] |
| F | SAY: Have there been illnesses, injuries)? Or imprisonment, conscript If yes, What were thes <br> If no, enter $O O$ next to | any changes with other things tha tion, divorce)? se? Prompt for the o all shocks, skip | he family in the pas ve affected member <br> ollowing events | (inclu family |  |  |
| 34 | Death of child's father | [___] | [__ ] |  | [__ ] | [__ ] |
| 35 | Death of child's mother | _ _ ] | - ] |  | [__ ] | [__ ] |
| 36 | Death of another person from the household | [__ ] | [__ ] |  | [__ ] | [__ ] |
| 37 | Illness of child's father | _ _ ] | - ] ] |  | [__ ] | [__ ] |
| 38 | Illness of child's mother | [__ ] | - ] ] |  | _ _ ] | [__ ] |
| 39 | Illness of other household member | [__ ] | - ] |  | [__ ] | [__ ] |
| 40 | Divorce, separation or | [__ ] | [__ ] |  | [__ ] | [__ ] |


| 6.3 | 6.3.1 |  | 6.3.2 | 6.3.3 | 6.3.4 | 6.3.5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | abandonment |  |  |  |  |  |
| 41 | Birth/new household member | [ $-\ldots$ ] | [ $-\ldots$ ] | [ $-\ldots$ ] [-- ] [-- ] | [--] | [ $-\ldots$ ] |
| 42 | Child's school enrolment - having to pay school fees | [--] | [-—] | [--] [-- ] [-- ] | [--] | [-_] |
| 43 | Imprisonment | [__ ] | [___] | [__ $]$ [__ $][\ldots \ldots]$ | [__ ] | [___] |
| 44 | Conscription, abduction or draft of working adult $\dagger$ household members | [--] | [-_] | [-_] [-- ][--] | [--] | [--] |
| G | SAY: Has anything else happened in the last 4 years that has affected the economic situation of your household? <br> If yes, Specify. |  |  |  |  |  |
| 45 | Other Specify: | [ - - ] | [ $-\ldots$ ] | [--] [-- ] [-- ] | [--] | [ $-\ldots$ ] |
| 46 | Other Specify: | [--] | [--] | [-_][--] [-- ] | [--] | [--] |


| CODEBOX 22-WHO AFFECTED BY EVENT |  |
| :--- | :--- | :--- |
| $01=$ Only affected my household | $04=$ Affected this village and other villages nearby |
| $02=$ Affected my household and some others in this village | $05=$ Affected areas beyond this community |
| $03=$ Affected all households in this village | $77=$ NK |
|  |  |


| CODEBOX 23 - RESPONSE TO EVENT |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $01=$ Ate less | $06=$ Migrated to work/find work | $12=$ Sent children to work | $17=$ Used savings |
| $02=$ Bought less | $07=$ Nothing | $13=$ Sold things | $18=$ Worked more/start work |
| $03=$ Destruction of livestock | 08=Received help from the <br> community/leaders | $14=$ Suicide of family member | 30= Received help from Government |
| 04=Fled/moved away from the <br> problem | $09=$ Received help from relatives/friends | $15=$ Took children out of school | 31= Received help from NGO |
| $05=$ Insurance paid | $11=$ Sent children to be cared for by <br> friends or relatives | $16=$ Used credit | $19=$ Other |

## SECTION 7 - SOCIO-ECONOMIC STATUS

| 7.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [___] | ID7 |
| :--- | :--- | :--- | :--- |


| 7.1 | Does anyone in your household own the land your house is on? $00=$ No, 01=Yes, 77=NK | [___] | (OWNHOUSE) |
| :---: | :---: | :---: | :---: |
| 7.2 | Does anyone in your household have a mortgage on your house? $00=$ No, 01=Yes, 77=NK | [___] | (MORTGAGE) |
| 7.3 | How many rooms are there in the house? <br> (ENTER NUMBER) 77=NK | [___] | (NUMROOM) |
| 7.4 | Do you have electricity? $00=\text { No, 01=Yes, 77=NK }$ | [___] | (ELEC) |

\(\left.\begin{array}{|l|l|l|l|}\hline 7.5 \& \begin{array}{l}FIELDWORKER: OBSERVE AND RECORD WALL MAIN MATERIAL: <br>

(ENTER CODE FROM CODE BOX \# 24)\end{array} \& [ _ _ ]\end{array}\right]\)| (WALL) |
| :--- |

CODEBOX 24 - WALL MATERIAL

| CODEBOX 24 - WALL MATERIAL |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Adobe/mud | $05=$ Fibreboard/Chipboard | $09=$ Mud $\&$ stones | $13=$ Quincha |
| $02=$ Bamboo | $06=$ Galvanised iron | $10=$ Neohouzealla wattle | $14=$ Stone |
| $03=$ Brick/concrete | $07=$ Matting | $11=$ Nipa leaves | $15=$ Wood/branches |
| $04=$ Cement bags | $08=$ Mud $\&$ bricks | $12=$ Plastic sheet | $16=$ Other |


| 7.6 | FIELDWORKER: OBSERVE and RECORD ROOF MAIN MATERIAL: <br> (ENTER CODE FROM CODE BOX \#25) | [ROOF) _ _ ] |
| :--- | :--- | :--- | :--- |


| CODEBOX 25 - ROOF MATERIAL |  | $16=$ Wood $\&$ mud |  |
| :--- | :--- | :--- | :--- |
| $01=$ AC Roofing sheets | $06=$ Galvanised iron | $11=$ Straw/thatch | $17=$ Wood \& stones |
| $02=$ Asbestos sheets | $07=$ Grass | $12=$ Sugar leaves | $13=$ Tar slabs |
| $03=$ Bamboo | $08=$ Nipa leaves | $14=$ Thuthuri sticks | $18=$ Wood/planks |
| $04=$ Concrete/cement | $09=$ Palm leaves | $15=$ Tiles/slates | $19=$ Other |
| $05=$ Earth/mud | $10=$ Plastic sheet |  |  |

7.7 FIELDWORKER: OBSERVE and RECORD FLOOR MAIN MATERIAL: [__]

(ENTER CODE FROM CODE BOX \#27)
[__ ]

| CODEBOX 27 - SOURCE OF DRINKING WATER |  |  |
| :--- | :--- | :--- |
| $01=$ Bore well | $06=$ Protected spring water | $11=$ Unprotected <br> well/spring/pond/river/stream/canal |
| $02=$ Bought water (delivery or bottled) | $07=$ Protected well | $12=$ Unprotected water tank |
| $03=$ Piped into dwelling/yard/plot | $08=$ Public standpipe/tubewell | $13=$ Water tank (community/protected) |
| $04=$ Piped into neighbours dwelling/yard/plot | $09=$ Rain water | $14=$ Other |
| $05=$ Piped into relatives'dwelling/yard/plot | $10=$ Tubewell in dwelling/yard/plot |  |

### 7.9 What kind of toilet facility does your household use?

 (ENTER CODE FROM CODE BOX \#28)$$
[-\quad]
$$

(TOILET)

| CODEBOX 28 - TOILET FACILITY |  |  |
| :--- | :--- | :--- | :--- |
| 01= Flush toilet/septic tank | $04=$ None | $07=$ Relatives |
| $02=$ Forest/field/open place | $05=$ Pit latrine (communal) | $08=$ Simple latrine on pond |
| $03=$ Neighbours | $06=$ Pit latrine (household's) | $09=$ Toilet in health post |
|  |  |  |

7.10 What is the main type of fuel you use for cooking?
(ENTER CODE FROM CODE BOX \#29)
[——]

CODEBOX 29 - FUEL FOR COOKING

| 01=Bamboo CODEBOX 29 - FUEL FOR COOKING |  |  |  |
| :--- | :--- | :--- | :--- |
| $02=$ Bio-gas | $05=$ Coal | $09=$ Kerosene/paraffin | $13=$ Shavings/sawdust |
| $03=$ Branches | $06=$ Cow dung | $10=$ Leaves | $14=$ Straw/dead plants |
| $04=$ Charcoal | $07=$ Crop residue | $11=$ None |  |


| 7.11 | Is heating used in your household? <br> OO=No, 01=Yes, $77=$ NK | [ - - ] | (HEATUSED) |
| :--- | :--- | :---: | :---: |
| 7.12 | What is the main type of fuel you usually use for heating? <br> (ENTER CODE FROM CODE BOX \#30) | [ |  |

## CODEBOX 30 - FUEL FOR HEATING

| $01=$ Bamboo | $05=$ Coal | $09=$ Kerosene/paraffin | $13=$ Shavings/sawdust |
| :--- | :--- | :--- | :--- |


| 02=Bio-gas | 06=Cow dung | 10=Leaves | 14=Straw/dead plants |
| :---: | :---: | :---: | :---: |
| 03=Branches | 07=Crop residue | 11=None |  |
| 04=Charcoal | 08=Gas/electricity | 12=Rice husk | 16=Wood |

SAY: I am now going to ask you whether the household owns specific items.
FIELDWORKER: Ask about each item. Then ask which five are most valuable and for these five, ask for the value

| 7.13 | Item | 7.13.1 Does anyone in the household own this item? $\begin{aligned} & 01=\text { Yes, } 00=\text { No, } \\ & 77=\text { NK } \end{aligned}$ <br> If No or NK, SKIP to next item. |  | 7.13.2 How many [NAME ITEM] does the household own? (Enter number, 00=none, 77=NK) |  | 7.13.3 Is this one of the five most valuable things you own from this list? \|00=No 01=Yes |  | 7.13.4 If one of the five most valuable: If you were to sell this item, how much do you think they would fetch? (in VND) 77=NK, 88=N/A |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01 | Working tractor | [__ ] | (TRACTOR) | [__ ] | (NUMTRAC) | [__ ] | (VAL5TRAC) | [___] | (SELLTRAC) |
| 02 | Farm equipment (pump, plough etc) | [_—] | (PUMP) | [_—] | (NUMPUMP) | [_—] | (VAL5PUMP) | [ _ $]$ | (SELLPUMP) |
| 03 | Working sewing machine | _-_] | (SEWING) | [_—] | (NUMSEW) | [__ ] | (VAL5SEW) | [___] | (SELLSEW) |
| 04 | Working television | [_-] | (TV) | [__ ] | (NUMTV) | [__ ] | (VAL5TV) | [__ ] | (SELLTV) |
| 05 | Working radio | [__ ] | (RADIO) | [__ ] | (NUMRADI) | [__ ] | (VAL5RADI) | [___] | (SELLRADI) |
| 06 | Working car/ truck/ automobile | _ _ ] | (CAR) | [_—_] | (NUMCAR) | [_—_] | (VAL5CAR) | [ _ ] | (SELLCAR) |
| 07 | Working motorbike/scooter | [__ ] | (MOTOR) | [__ ] | (NUMMOTO) | [__ ] | (VAL5MOTO) | [__ ] | (SELLMOTO) |
| 08 | Working bicycle | [__ ] | (BIKE) | [___] | (NUMBIKE) | [__ ] | (VAL5BIKE) | [ _ $]$ | (SELLBIKE) |
| 09 | Working landline | [__] | (PHONE) | [__-] | (NUMPHON) | [__ ] | (VAL5PHON) | [__] | (SELLPHON) |


|  | telephone |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 10 | Working mobile/cell telephone | [--] | (MOBPHONE) | [ | (NUMMOBP) | [--] | (VAL5MOBP) | [___] | (SELLMOBP) |
| 11 | Working refrigerator | [--] | (FRIDGE) | [-—] | (NUMFRID) | [--] | (VAL5FRID) | [___] | (SELLFRID) |
| 12 | Electric oven/mitad | [-_] | (MITAD) | [_-] | (NUMMITA) | [--] | (VAL5MITA) | [___] | (SELLMITA) |
| 13 | Table \& chair | [_-] | (TABCHAIR) | [_-_] | (NUMTABC) | [_-] | (VAL5TABC) | [ | (SELLTABC) |
| 14 | Sofa | [_-] | (SOFA) | [_-_] | (NUMSOFA) | [_-] | (VAL5SOFA) | [ | (SELLSOFA) |
| 15 | Working Fan | [-_] | (FAN) | [_- ] | (NUMFAN) | [-_] | (VAL5FAN) | [_] ] | (SELLFAN) |
| 16 | Bedstead | [--] | (BEDSTED) | [_-] | (NUMBEDS) | [_-] | (VaL5BEDS | [_] | (SELLBEDS) |


| 7.14 | Have you invested in the improvement of your dwelling in the last 4 years? $00=$ No, $01=$ Yes, $77=$ NK (If No, skip to Section 8) | [__ ] | (INVEST) |
| :---: | :---: | :---: | :---: |
| 7.14.1 | If yes, what have you invested in? <br> (Enter up to three codes from Code Box \#31) | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots-\ldots]} \end{aligned}$ | (INVEST1) <br> (INVEST2) <br> (INVEST3) |


| CODEBOX 31 - DWELLING IMPROVEMENT |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ New/renovated bedrooms | $06=$ Improved wall finish | $11=$ Sanitation |  |
| $02=$ New/renovated kitchen | $07=$ Improved roof cover | $12=$ Water supply |  |
| $03=$ New/renovated bathroom | $08=$ Rebuild dwelling | $13=$ Other |  |
| $04=$ New/renovated living room | $09=$ Electric re-wiring |  |  |
| $05=$ Improved floor | $10=$ Central heating/gas servicing |  |  |

## SECTION 8 - CHILD CARE, EDUCATION AND ACTIVITIES

This section does not exist for caregivers of 12 year old children.

## SECTION 9: CHILD HEALTH

| 9.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) |  | [__ ] |
| :--- | :--- | :--- | :--- |

SAY: Now I am going to ask you some questions about NAME's health.

| 9.1 | Compared to other children of this age would you say NAME's health is the same, better or worse? 01=Same, 02=Better, 03=Worse, 77=NK | [_—] | (HEALTHY) |
| :---: | :---: | :---: | :---: |
| 9.2 | In the last 3 years has NAME had one or more serious illnesses or injuries when you really thought s/he might die? $00=\text { No, 01=Yes, 77=NK }$ <br> If No or NK, $>$ Skip to 9.5 | [_—] | (MIGHTDIE) |


| 9.3 | If NAME had one or more serious illnesses, what were the illnesses? IF NO ILLNESSES > SKIP TO 9.4. |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 9.3 .2 | 9.3.3 | 9.3.4 | 9.3.5 | 9.3 .6 |
| Illness number | Illness <br> (ENTER CODE FROM CODE BOX \#32) | Did you seek treatment or advice for this illness at any point? $00=\text { No, 01=Yes, } 77=\mathrm{NK} \text {, }$ <br> If No or NK (77), > skip to next illness | From where did you seek this treatment/advice (if more than one put in order of attendance)? <br> (ENTER CODE FROM CODE BOX \#33) | Did you have to pay for any of this treatment? $\begin{aligned} & 00=\mathrm{No}, 01=\mathrm{Yes}, 77=\mathrm{NK}, \\ & 88=\mathrm{N} / \mathrm{A} \end{aligned}$ | How long did it take you to get to the first treatment place? <br> 01=less than 30 minutes, <br> 02=less than 1 hour <br> 03=less than 2 hours <br> 04=more than 2 hours <br> 77=NK, 88=N/A |
| (ILLID) | (ILLDIE) | (ILLTRT) | (TRTILL1)(TRTILL2) (TRTILL3) | (PAYILL) | (DISILL) |
| 01 | [__ ] | [__ ] | [__][__][__] | [___] | [__ _ ] |
| 02 | [__ ] | [__ ] | [__][_- ][_- ] | [__ ] | [ _ _ _ ] |
| 03 | [___] | [___] | [__ ][_- $]$ [__] | [___] | [___ _] |


| CODEBOX 32 - SERIOUS ILLNESS |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Malaria/High fever | 08=Hepatitis | 15=Brain tumour | 22=Parasitic infection/worms |
| 02=Pneumonia | 09=Tuberculosis | 16=Other tumour | 23=Nose bleed |
| 03=Diarrhoea \& vomiting | 10=Epilepsy | 17=Heart problem | 24=Leach |
| 04=Asthma/respiratory problem | 11= Influenza | 18= Skin diseases | 25=Midge (general name for a number of diseases) |
| 05=Malnutrition | 12=Typhoid | 19=Problems with drugs and medicines | 26=Allergies |
| 06=Anaemia | 13=HIV/AIDS | 20=Fatigue |  |
| 07=Dengue fever | 14=Other Infectious disease | 21=Migraine |  |


| CODEBOX 33 - TREATMENT FACILITIES |  |  | 19=Self-medication |
| :--- | :--- | :--- | :--- |
| GOVERNMENT | NON-GOVERNMENT (NGO) | $12=$ Pharmacy | $20=$ Faith-healer/counsellor |
| $01=$ Hospital | $07=$ Health facility | $13=$ RMP/PMP |  |
| $02=$ Health Centre | $08=$ Community-based outlet | OTHER SOURCE |  |
| $03=$ Health station/clinic | $09=$ Other NGO | $15=$ Drug vendor | $21=$ Other |
| $04=$ Health post | PRIVATE | $16=$ Shop |  |
| $05=$ Community-based outlet | $10=$ Private hospital | $17=$ Relative/friend |  |
| $06=$ Other government | $11=$ Private doctor/clinic | $18=$ Herbalist/traditional healer |  |
|  |  |  |  |


| 9.4 | If they had one or more serious injuries, what were the injuries? If no injuries then skip to 9.5 |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 9.4.1 | 9.4.2 | 9.4.3 | 9.4.4 | 9.4.5 | 9.4.6 |
| Injury number | Injury (ENTER CODE FROM CODE BOX \# 34) | What was the cause of this injury? <br> (ENTER CODE FROM CODE BOX \# 35) | Did you seek treatment or advice for this injury at any point? $00=$ No, 01=Yes, 77=NK IF NO, > SKIP TO NEXT INJURY | From where did you seek this treatment/advice (if more than one put in order of attendance)? <br> (ENTER CODE FROM CODE BOX \# 33) | Did you have to pay for any of this treatment? $00=$ No, 01=Yes, 77=NK | How long did it take you to get to the first treatment place? <br> 01=Less than 30 minutes, 02=Less than one hour, <br> 03=Less than two hours, <br> 04 More than two hours, <br> 77=NK |
| (INJID) | (INJURY) | (INJCAUSE) | (INJTRT) | (TRTINJ1) (TRTINJ2) <br> (TRTINJ3) | (PAYINJ) | (DISINJ) |
| 01 | [___] |  | [___] | [__ $]$ [___][__] | [___] | [___] |
| 02 | [___] |  | [__] | $[\ldots \ldots][\ldots-\ldots[\ldots]$ | [__ ] | [__] |
| 03 | [___] |  | ] | _][__ $][$ _ $]$ | [__] | $\ldots$ |


| CODEBOX 34 - SERIOUS INJURIES |  |  |
| :--- | :--- | :--- |
| $01=$ Brain injury | $06=$ Eye/ sight loss | $11=$ Other |
| $02=$ Broken bone | $07=$ Hearing loss |  |
| $03=$ Burn | $08=$ Poisoning |  |
| $04=$ Cut/ laceration | $09=$ Swollen muscles/ bruising |  |
| $05=$ Concussion | $10=$ Teeth loss |  |


| CODEBOX 35 - INJURY CAUSES |  |  |
| :---: | :---: | :---: |
| 01 = Accident while working outside dwelling | 06= Fire related incident | $11=$ Victim of physical assault by adult |
| 02 = Accident while working in dwelling | 07= Food poisoning | 12= Other |
| 03 = Animal/ insect/snake bite | 08= Kicked by animal |  |
| 04 = Driving accident (in vehicle) | 09= Problems caused by drugs and medicines |  |
| 05 = Fighting with other children | 10= Traffic (e.g. hit by car in road) |  |
|  |  |  |


| 9.5 | Does 'NAME' have any long term health problems that affect their daily life? <br> OO=No, $1=$ Y Yes, $77=N K$ <br> If $N o$ or $N K(77),>$ Skip to 9.7 | [___] | (LONGTERM) |
| :--- | :--- | :--- | :--- |


| 9.6 | What are these long term health problems? |  |  |
| :---: | :---: | :---: | :---: |
|  | 9.6.1 | 9.6.2 | 9.6.3 |
|  | Health problem (ENTER CODE FROM CODE BOX \# 36) | How much treatment has NAME had in the last year? $00=$ None, 01=Once a year, 02=Monthly, 03=Weekly, 04=Daily, 77=NK, 88=NA <br> If None (00), > Skip to next health problem | In the past 12 months, how much has the household spent on treatment for this health problem? |
| (HEALTHID) | (HEALTH) | (HTHTRT) | (TREATEXP) |
| 01 | [_- ] | [ $=$-] | [ |
| 02 | [___] | [___] | [ $\quad$ e_ ] |
| 03 | [_-_] | [_-_] | [ $\quad$ - _ ] |


| CODEBOX 36 - LONG TERM HEALTH PROBLEMS |  |  |  |
| :--- | :--- | :--- | :--- |
| 01=Physical disability | $06=$ Skin problems/Eczema | $11=$ Sight problems | 16=Congenital illness |
| $02=$ Mental disability | $07=$ Anaemia | $12=$ Speech problems | $17=$ Other |
| $03=$ Fits/Epilepsy | $08=$ HIV/AIDS | $13=$ Allergies |  |


| $04=$ Asthma/respiratory problem | $09=$ Digestive problems | $14=$ Tooth decay |
| :--- | :--- | :--- | :--- |
| $05=$ Malnutrition | $10=$ Hearing problems | $15=$ Ear ache |


| 9.7 | Now I am going to ask you some questions about NAME's ability to do certain things. Please describe how easy or difficult the following actions are for NAME (PROMPT IF NECESSARY) | 01=Easily, 02=With difficulty, 03=Not at all, 77=Not known |  |
| :---: | :---: | :---: | :---: |
| 01 | FIELDWORKER OBSERVE IF NAME IS PRESENT: Can NAME walk? | [__ ] | (DISAB01) |
| 02 | Can NAME see as well as other children his/her age? | [__ ] | (DISAB02) |
| 03 | Can NAME hear as well as other children his/her age? | [__ ] | (DISAB03) |
| 04 | Can NAME understand what you are saying as well as other children his/her age? | [__ ] | (DISAB04) |
| 05 | Can NAME move their arms and legs freely? | [__-] | (DISAB05) |
| 06 | Can NAME learn to do things like other children his/her age? | [__ ] | (DISAB06) |
| 07 | Can NAME speak like other children his/her age? | [__ ] | (DISAB07) |
| 08 | Can NAME feed themselves as well as other children his/her age? | [_-_] | (DISAB08) |
| 09 | Can NAME make friends with other children? | [_-_] | (DISAB09) |



| 9.10 | Food frequency: During the previous 24-hour period (or when child was last well), did NAME consume: | 00=No, 01=Yes, 77=NK |  |
| :---: | :---: | :---: | :---: |
| 01 | Any food before a morning meal | [___] | (FOODFRQ1) |
| 02 | A morning meal | [__ ] | (FOODFRQ2) |
| 03 | Any food between morning and midday meals | [_-_] | (FOODFRQ3) |
| 04 | A midday meal | [__ ] | (FOODFRQ4) |
| 05 | Any food between midday and evening meals | [_-_] | (FOODFRQ5) |
| 06 | An evening meal | [_—] | (FOODFRQ6) |
| 07 | Any food after the evening meal | [_-_] | (FOODFRQ7) |
|  | Total added automatically do not fill | [ _ _ ] | (FOODTOT) |

FIELDWORKER: ESTABLISH FIRST IF CHILD IS VEGETARIAN. IF YES PUT 88 IN \# 06, \#07. 9.11 During the previous 24-hour period did NAME consume any of the following

|  |  | Did NAME consume item? 00=No, 01=Yes, 77=NK, 88=N/A |  |
| :---: | :---: | :---: | :---: |
| 01 | Cereals (maize, rice, bread) | [_—] | (FDDIV01) |
| 02 | Roots/Tubers (potato, cassava) | [_-] | (FDDIVO2) |
| 03 | Legumes (lentils, beans, peas) + nuts | [_-] | (FDDVIO3) |
| 04 | Milk/Milk Products (milk, yogurt, cheese) | [_- ] | (FDDIV04) |
| 05 | Eggs | [_-] | (FDDIV05) |
| 06 | Meat/offal | [_-] | (FDDIV06) |
| 07 | Fish/Seafood | [-_] | (FDDIV07) |
| 08 | Oil/Fat (butter, vegetable oil, palm oil) | [_-] | (FDDIV08) |
| 09 | Sugar/Honey | [-_] | (FDDIV09) |
| 10 | Fruits (banana, orange, mango) | [--] | (FDDIV10) |
| 11 | Vegetables (spinach, onion, carrot) | [--] | (FDDIV11) |
|  | Total added automatically do not fill | [_- ] | (FDDIVTOT) |

NOTE: Substitute local foods as examples in each food group. Record the total number of food groups consumed by the child.
SAY: Now I am going to ask you about eating patterns within the household.
9.12 Has the household had any food shortages in the last 12 months?
(FOODSHRT) $00=$ No, 01=Yes, 77=NK, If no, skip to section 10.

$$
[--]
$$

| 9.13 | 9.13.1 In times of food shortage, does anyone in the household do the following: | $00=\text { No, 01=Yes, 77=NK, 88=NA }$ <br> If No to all, skip to section 10. |  | 9.13.2 If yes, who does this affect most? (INSERT CODES FROM CODEBOX \# 37) |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 01 | Eating foods that are less preferred? | [ $-\ldots$ ] | (FOODPREF) | [_—] | (FDPREFID) |
| 02 | Limiting portion size? | [_—] | (PORTION) | [_—] | (PORTID) |
| 03 | Skipping meals? | [__ ] | (SKIPMEAL) | [_—] | (SKIPMLID) |
| 04 | Skipping eating for a whole day? | [_—] | (SKIPDAY) | [_—] | (SKIPDYID) |
| 05 | Borrowing food or money to buy food? | [_—] | (BORROW) | [_—] | (BORROWID) |
| 06 | Is anybody in the house forfeiting their meals for other household members? | [_—] | (BUFFER) | [_—] | (BUFFERID) |


| CODEBOX 37 - FAMILY COMBINATIONS |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Family | $05=$ All males | $09=$ Girls |
| $02=$ All adults | $06=$ Other | $10=$ Boys |
| $03=$ All children | $07=$ Adult male | $11=$ Adult male + girls |
| $04=$ All females | $08=$ Adult female | $12=$ Adult female + boys |
|  |  |  |

## SECTION 10 - ANTHROPOMETRY

| 10.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household <br> roster) | [__ ] |
| :--- | :--- | :---: | :---: |

SAY: Now I am going to weigh and measure 'NAME'. First I want to ask you what you think about 'NAME'S' height and weight.

| 10.1 | Compared to other children of this age would you say 'NAME's' weight is the same, heavier or lighter? 01=Same, 02=Heavier, 03=Lighter, 77=NK | [__ ] | (COMPWEIG) |
| :---: | :---: | :---: | :---: |
| 10.2 | Compared to other children of this age would you say 'NAME's' height is the same, taller or shorter? 01=Same, 02=Taller, 03=Shorter, 77=NK | [__ $]$ | (COMPHEAL) |

SAY: I have to use $X X X X$ to make sure the measurements are right, this won't hurt. I am going to ask you to $X X X X$.
MAKE SURE THE CHILD IS WEARING ONLY LIGHT CLOTHES

| 10.3 | SCALE CHECKED (TICK)? | [ ] | (SCALTCK1) |
| :---: | :---: | :---: | :---: |
| 10.4 | First child weight TO NEAREST 0.1 KG | [__ . ._] | (CHWT1) |
| 10.5 | Second child weight TO NEAREST 0.1 KG? | [__ . __] | (CHWT2) |
| 10.6 | Agreed child weight TO NEAREST 0.1 KG? | [__ . __] | (CHWEIGHT) |
| 10.7 | First child height MEASURE TO NEAREST 0.1 CM | [__ _ . _ ] | (CHHT1) |
| 10.8 | Second child height MEASURE TO NEAREST 0.1 CM | [__ _ . _] | (CHHT2) |
| 10.9 | Agreed child height MEASURE TO NEAREST 0.1 CM | [__ _ . _] | (CHHEIGHT]) |
| 10.10 | Why was child not measured? <br> $01=$ Child not present, $02=$ Caretaker refused, $03=$ Child ill, $04=$ Child refused, $05=$ Other, <br> $77=$ NK, $88=$ N/A (child measured) | [__ $]$ | (NOTMEAS) |

 XXXX
MAKE SURE THE MOTHER IS WEARING ONLY LIGHT CLOTHES


| 10.12 | First maternal weight TO NEAREST 0.1 KG | [__ . __] | (MTWT1) |
| :---: | :---: | :---: | :---: |
| 10.13 | Second maternal weight TO NEAREST 0.1 KG ? | [ _ _ . __] | (MTWT2) |
| 10.14 | Agreed maternal weight TO NEAREST 0.1 KG? | [__ . _ ] | (MTWEIGHT) |
| 10.15 | First maternal length MEASURE TO NEAREST 0.1 CM | [__-_ $]$ | (MTHT1) |
| 10.16 | Second maternal length MEASURE TO NEAREST 0.1 CM | [_-_-_] | (MTHT2) |
| 10.17 | Agreed maternal length MEASURE TO NEAREST 0.1 CM | [_-_ . - ] | (MTHEIGHT) |
| 10.18 | Why was the mother not measured? <br> $01=$ Mother not present, $02=$ Mother refused, $03=$ Mother ill, $04=$ Other, <br> $77=$ NK, $88=$ N/A (mother measured) | [__ ] | (NOMEASMT) |

## SECTION 11 - CAREGIVER PERCEPTIONS AND ATTITUDES

FIELDWORKERS: The respondent for this section must be the primary caregiver.
11.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)
__ ]
SAY: I am going to read some things that people sometimes say, think or feel. Please think about whether it sounds like something you might say, think or feel. I want you to choose one of these four cards. If it sounds like something you might say, think or feel, please choose one of the ticks (SHOW TICK CARDS). If it sounds a lot like you, please choose the big tick (SHOW BIG TICK=01). If it sounds a bit like you, please choose the small tick (SHOW SMALL TICK=02). If it doesn't sound like something you would say, think or feel, choose one of the crosses (SHOW CROSSES). If it sounds very unlike, or the opposite, of you, please choose the big cross (SHOW BIG CROSS=04). If it doesn'† really sound like you, please choose the small cross (SHOW SMALL CROSS=03). We will do a few practise questions first (FIELDWORKER: DO PRACTISE QUESTIONS UNTIL RESPONDENT UNDERSTANDS TASK - UP TO 3 TIMES).

Say: 'I like rice'
'I can run fast'
'I like cats'

SAY: I am going to read you some things that people sometimes say, think or feel. I want you to think whether it is something that you might say, think or feel. First, I want you to think about the area in which you live.

| 11.1 |  | Scale response (01-04), 77=NK |  |
| :---: | :---: | :---: | :---: |
| 01 | The nearest primary school provides a good quality education for children [+] | [__ ] | (CSV1) |
| 02 | The nearest health centre provides a good quality health service for children [+] | [——] | (CSV2) |
| 03 | The local police do their job well [+] | [_—] | (CSV3) |
| 04 | People in this community can affect local government decisions that matter for people's lives [+] | [_—] | (CSV4) |
| 05 | Most people in this community are basically honest [+] | [_—] | (CSV5) |
| 06 | I believe the government does what is right for people like me [+] | [_-_] | (CTR1) |
| 07 | I am confident of the ability of government officials to do their job [+] | [_-_] | (CTR2) |
| 08 | I feel I can trust my neighbours to look after my house if I am away [+] | [_-_] | (CTR4) |
| 09 | I think it is safe for NAME to go out on the street on their own [+] | [_——] | (CTR6) |

SAY: Now, I want you to think about other aspects of your life.

| 11.2 |  | Scale response (01-04), 77=NK |  |
| :---: | :---: | :---: | :---: |
| 01 | If I try hard, I can improve my situation in life [+] | [___] | (CAG1) |
| 02 | I feel proud to show my friends or other visitors where I live [+] | [___] | (CPS1) |
| 03 | I like to make plans for my future [+] | [__-] | (CAG2) |
| 04 | I am ashamed of my clothes | [ | (CPS2) |
| 05 | I feel proud of the job done by my [INSERT HOUSEHOLD HEAD'S RELATIONSHIP TO CAREGIVER] [+] | [_—] | (CPS3) |
| 06 | I had no choice about which school to send NAME to | [__-_] | (CAG3) |
| 07 | When I am at shops/market I am usually treated by others with fairness and with respect [+] | [__-] | (CSD1) |
| 09 | The job I do makes me feel proud [+] | [___] | (CPS4) |
| 10 | If NAME gets really sick, I can do little to help them get better | [_-_] | (CAG4) |
| 11 | Other people in my STREET/VILLAGE look down on me and my family | [___] | (CSD2) |
| 12 | I feel proud of my children [+] | [_-_] | (CPS5) |

FIELDWORKER CHECK: IS NAME IN SCHOOL? IF SO, ASK 11.2.13-11.2.14. IF NO, > SKIP TO 11.3.

| 11.2 |  |  |
| :--- | :--- | :--- | :--- |
| 13 | My children's teachers are unfriendly or rude to me | [ _ _ _ ] |
| 14 | I can do little to help NAME do well in school, no matter how hard I try | [__ ] |

## SHOW LADDER/PICTURE OF LADDER

| 11.3 | There are nine steps on this ladder. Suppose we say that the ninth step, at the very top, represents the best possible life for you and the bottom represents the worst possible life for you. <br> Where on the ladder do you feel you personally stand at the present time? <br> (RECORD STEP NUMBER 01-09, 77=NK, 88=NA) | [_—] | (LADDER) |
| :---: | :---: | :---: | :---: |
| 11.4 | Where do you think you will be on the ladder in four years from now? (RECORD STEP NUMBER 01-09, 77=NK, 88=NA) | [_—] | (FARLAD) |
| 11.5 | (If answer to 11.3 is 09, skip to 11.6) |  |  |


|  | What would help you move up the ladder? (ENTER CODE FROM CODE BOX \# 38). You are allowed up to three answers but please give the most important one first. If answer to 11.3 is 09, answer 88=NA. |
| :---: | :---: |
|  | (HLPMOVE1) (HLPMOVE2) (HLPMOVE3) |
| 01 | [__ ] |
| 02 | [_- ] |
| 03 | [_- ] |
| 11.6 | (If answer to 11.3 is 01 , skip to 11.7) <br> What would cause you to move down the ladder? (ENTER CODE FROM CODE BOX \# 39). You are allowed up to three answers but please give the most important one first. If answer to 11.3 is 01 , insert $88=\mathrm{NA}$ ) |
|  | (HLPDOWN1) (HLPDOWN2) (HLPDOWN3) |
| 01 | [_- ] |
| 02 | [_-_] |
| 03 | [_-_] |

## CODEBOX 38 - HELP MOVING UP THE LADDER

| CODEBOX 38 - HELP MOVING UP THE LADDER |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01=Making more money | $07=$ Improve my existing skills | $13=$ Better/more government loan <br> facilities | $19=$ Gambling/winning the lottery |
| 02=My children's education | $08=$ Learn new skills | $14=$ Learn to do things by themselves <br> /not be dependent on others | 20= $=$ Support of NGOs/other <br> organisations |
| 03=Leaving this town/migrating | $09=$ Send children to a hostel for education | $15=$ Work harder (additional work) | $21=$ Rise in prices |
| 04=Good luck/God's blessing | $10=$ Become a member of a local <br> organisation | $16=$ Good harvest | $22=$ Better credit facilities |
| 05=Government (local or national) <br> giving me opportunities such as FFW, <br> Cash for work, Food aid | $11=$ Support of husband | $17=$ Job security (continuous work) | $23=$ Receiving remittances |
| 06=Better health status | $12=$ Support of family | $18=$ Better job | $24=$ Other |


| CODEBOX 39 - REASONS FOR MOVING DOWN LADDER |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 01=Making less money | $08=$ Can't find steady work | $15=$ Children leaving home | $22=$ No support from NGOs/other <br> organisations |
| 02=Poor credit facilities | $09=$ Job is poor | $16=$ Children poorly educated | $23=$ Have to end education early |
| $03=$ Poor government loan facilities | $10=$ Can't improve skills | $17=$ Death/illness of household member |  |
| 04=Government (local or national) denying <br> opportunities such as FFW, Cash for work, <br> Food aid | $11=$ Poor harvest | $18=$ No support from family |  |
| $05=$ Poor health status | $12=$ Too dependent on others | $19=$ No support from spouse |  |
| $06=$ Gambling debts | $13=$ Not receiving remittances | $20=$ Marriage | $30=$ Other |
| $07=$ Rise/fall in prices | $14==$ Leaving this town/migrating | $21=$ Bad luck/Don't have God's blessing | $77=$ NK |

SAY: I am now going to ask you your opinion on various issues. There are no right and wrong answers; I just want to know what you think.

| 11.7 | Imagine that a family in the VILLAGE/SUBURB has a 12 year old son who is attending school full-time. The family <br> badly needs to increase the household income, one option is to send the son to work but the son wants to stay in school. <br> What should the family do? <br> 01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know | [FAMSON) |
| :--- | :--- | :--- | :--- |$\quad$| [_— ] |
| :--- |

FIELDWORKER CHECK RESPONSE TO QUESTION 2.1.1: DID RESPONDENT GO TO SCHOOL? IF YES, ASK QUESTION 11.8. IF NO, ASK QUESTION 11.9 (DO NOT ANSWER BOTH 11.8 AND 11.9. GO TO 11.10 AFTER EITHER ANSWER)

| 11.8 | Do you think that formal schooling has been useful in your life? $00=$ No, it has not been useful, 01=Yes, it is essential, $02=$ Yes, but it is not essential, $77=\mathrm{NK}$ >SKIP TO 11.10 | [_—] | (SCUSEFUL) |
| :---: | :---: | :---: | :---: |
| 11.9 | Do you think that formal schooling would have been useful to you in your life? $00=$ No, it is not useful, $01=$ Yes, it is essential, 02=Yes, but it is not essential, 77=NK | [__ ] | (NSCUSE) |
| 11.10 | When NAME is about 20 years old, what job do you think s/he will be doing? <br> (ENTER CODE FROM CODE BOX \# 40 - Do not prompt ) | [__ ] | (CFUTURJB) |


| CODEBOX 40 - EXPECTATION/AMBITION FOR CHILD |  |  |  |
| :--- | :--- | :--- | :--- |
| $01=$ Accountant | $12=$ Domestic Worker | $23=$ Mason | $34=$ Sportsman/woman |
| $02=$ Actor/actress | $13=$ Driver | $24=$ Mechanic | $35=$ Tailor |
| $03=$ Artist | $14=$ Engineer | $25=$ Nurse | $36=$ Taxi Driver |
| $04=$ Civil servant | $15=$ Farmer | $26=$ Painter/decorator | $37=$ Teacher |
| $05=$ Computer operator | $17=$ Fisherman | $27=$ Pilot | $38=$ Trader/businessman/woman |
| $06=$ Conductor | $18=$ Fulltime parent/Housewife | $29=$ Politician | $39=$ Traditional occupation |
| $07=$ Construction worker | $19=$ Labourer | $30=$ President/leader of country | $40=$ University Student |
| $08=$ Cook | $20=$ Lawyer | $31=$ Scientist | $41=$ Vet |
| $09=$ Dentist | $21=$ Lecturer | $32=$ Singer | $42=$ Other |
| $10=$ District collector | $22=$ Market Trader/shop assistant/ | $33=$ Soldier |  |
| $11=$ Doctor |  |  |  |
|  |  |  |  |


| 11.11 | Ideally what level of formal education would you like NAME to complete? <br> (Enter grade 01 to 12, 13=Post-secondary, vocational, 14=University, 28=Adult literacy, 29=Religious education, 00=None, 77=NK, 88=NA) | [_—] | (GRADLIKE) |
| :---: | :---: | :---: | :---: |
| 11.12 | Do you expect NAME will reach that level of education? $00=$ No, 01=Yes, 77=NK, 88=NA <br> IF YES, > SKIP TO 11.14 | [__] | (EXPGRADE) |
| 11.13 | What are main reasons NAME is most likely to drop out of school early? You can give up to three answers but please give the most important one first. (ENTER CODES FROM CODE BOX \# 41 - DO NOT PROMPT) 88=NA | $\begin{aligned} & {[\ldots-]} \\ & {[\ldots-]} \\ & {[\ldots \ldots]} \end{aligned}$ | (YDROPOT1) <br> (YDROPOT2) <br> (YDROPOT3) |


| CODEBOX 41 - REASONS MAY LEAVE SCHOOL EARLY |  |  |  |
| :---: | :---: | :---: | :---: |
| 01=Fees will be too expensive | 08=Truancy, lack of interest | 15= Bullying/abuse from peers | 22 = It won't be appropriate for girls to carry on |
| $02=$ Books and/or other supplies will be too expensive | 09=May be banned from school for behaviour reasons | 16= Ill-treatment/abuse from teachers/principal | 23=Marriage |
| 03= Shoes/Clothes/Uniform for school will be too expensive | 10=May be banned from school because away for too long | 17= No need for schooling for future job. | 24= Disability, Illness |
| 04= Transport will be too expensive | 11= May be banned from school because failed to achieve necessary grade/level at school | 18= Need to learn a trade/skill, e.g. through apprenticeship so will need to go to work | 25=Stigma and Discrimination (child will not be welcome because of ethnic group/caste/socioeconomic group etc). |
| 05= May move further from school | 12= Quality of education at school (teaching and learning) may be poor | 19= Will need to do paid work to earn money (including agricultural work other than for household) | 26=Family member may become ill/disabled/elderly (including care for this family member) |
| 06=Will become unsafe to travel to school | 13= Quality of care (food, noneducational care) may be poor | $20=$ Will need to stay home to look after siblings | 27=Other |
| 07= Lack of transport | 14= Lack of sanitation facilities at school will become a problem | $21=$ Will need to stay home for domestic and/or agricultural work (include chores, farm work, harvest) |  |


| 11.14 | What do you think would be the ideal number of sons for you to have or to have had? (ENTER NUMBER, $77=$ NK, $80=$ No preference) | [_—] | (NUMSON) |
| :---: | :---: | :---: | :---: |
| 11.15 | What do you think would be the ideal number of daughters for you to have or to have had? (ENTER NUMBER, $77=$ NK, $80=$ No preference) | [__ ] | (NUMDTR) |
| 11.16 | Imagine that a family in the VILLAGE/SUBURB has a 12 year old daughter who is attending school full-time. The family badly needs to increase the household income, and one option is to send the daughter to work but the daughter wants to stay in school. What should the family do? <br> 01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know | [_—] | (FAMDTR) |



| 11.18 | Of all of these qualities (listed above), which three do you think are the most important? <br> (Fieldworker enter the number of the quality from 11.17) |
| :--- | :--- | (Fieldworker enter the number of the quality from 11.17)

(IMPCAR1) (IMPCAR2) (IMPCAR3)

|  | $[\ldots]$ |
| :--- | :---: |
|  | $[\ldots-]$ |
|  | $[\ldots-]$ |


| 11.19 | SAY: I have a list here of reasons people may give for wanting to have children in general. Please use this scale as a guide. Think about your experience with your own (child/children) and tell me how important the following reasons for wanting to have children are to you personally. |  |  |
| :---: | :---: | :---: | :---: |
| NB These items are taken from the Value of Children project and wordings MUST NOT be changed. Also, 1-11 form a scale and cannot be removed. |  |  |  |
|  |  | 01=Not important at all, 02=Not very important, 03=moderately important, 04=important, 05=Very important, 77=NK |  |
| 01 | Because having children increases your sense of responsibility and helps you to develop | [_—] | (EPREAS1) |
| 02 | To be sure that enough children will survive to adulthood. | [__ ] | (NEREAS1) |
| 03 | Because it is fun to have young children around the house. | [__ ] | (EPREAS2) |
| 04 | To have one more person to help your family economically. | [__-] | (NEREAS2) |
| 05 | Because of the pleasure you get from watching your children grow. | [__ ] | (EPREAS3) |
| 06 | To carry on the family name. | [__ ] | (NEREAS3) |
| 07 | Your children can help you when you're old. | [__ ] | (NEREAS4) |
| 08 | Because of the special feeling of love that develops between a parent and a child. | [__-] | (EPREAS4) |
| 09 | Because a child helps around the house | [__ ] | (NEREAS5) |
| 10 | Because it is a duty to have children according to your beliefs/religion. | [__ ] | (NEREAS6) |
| 11 | Because raising children helps you to learn about life and yourself. | [__ ] | (EPREAS5) |
| 12 | To have a girl/another girl. | [_—] | (INREAS1) |
| 13 | To have a boy/another boy. | [__-] | (INREAS2) |
| 14 | Because having children brings your husband/wife and you closer together | [_-_] | (INREAS3) |


| 11.20 | SAY: Please tell me the extent to which you expect the following kinds of help from NAME when s/he is grown-up |  |  |
| :---: | :---: | :---: | :---: |
|  |  | $01=$ Not at all, $02=$ A little, $03=$ Somewhat, $04=$ Quite a lot, 05 = A lot, 77=NK |  |
| 01 | that s/he continues living close to you. | [__ ] | (GUCLOSE) |
| 02 | that s/he provides financial assistance to his/her younger brothers and sisters. | [__ ] | (GUFINAN) |
| 03 | that s/he helps you with housework. | [__ ] | (GUHELPS) |
| 04 | that s/he provides financial assistance to you. | [___] | (GUFINYOU) |
| 05 | that s/he helps you care for his/her younger siblings. | [___] | (GUCARES) |
| 06 | that s/he cares for you when you are old. | [__ ] | (GUOLD) |
| 07 | that s/he provides emotional support to you. | [__ ] | (GUEMOT) |

SAY: I am now going to ask you about some of your expectations for NAME's life specifically:

| 11.21 | At what age did NAME/do you expect NAME to: |  |  |
| :---: | :---: | :---: | :---: |
|  |  | ENTER AGE, 00=no expectation, 77=NK |  |
| 01 | Start earning money to support your household? | [___] | (EXPEARN) |
| 02 | Leave full-time education? | [__ ] | (EXPEDU) |
| 03 | Be financially independent of HIS/HER parents? | [__ ] | (EXPIND) |
| 04 | Leave this household? | [__-] | (EXPLEAV) |
| 05 | Get married and start living together? | [__ ] | (EXPMAR) |
| 06 | Have a child? | [__-] | (EXPCHILD) |


| 11.22 | What job would you most like NAME to do in the future? <br> (ENTER CODE FROM CODE BOX \# 42-Do not prompt) | [ _ _ ] | (CAMBITN) |
| :--- | :--- | :---: | :---: |

## CODEBOX 42 - EXPECTATION/AMBITION FOR CHILD

| $01=$ Accountant | $12=$ Domestic Worker | $23=$ Mason | $34=$ Sportsman/woman |
| :--- | :--- | :--- | :--- |
| $02=$ Actor/actress | $13=$ Driver | $24=$ Mechanic | $35=$ Tailor |
| $03=$ Artist | $14=$ Engineer | $25=$ Nurse | $36=$ Taxi Driver |
| $04=$ Civil servant | $15=$ Farmer | $26=$ Painter/decorator | $37=$ Teacher |
| $05=$ Computer operator | $17=$ Fireman/woman | $27=$ Pilot | $38=$ Trader/businessman/woman |
| $06=$ Conductor | $18=$ Fulltime parent/Housewife | $29=$ Politician | $39=$ Traditional occupation |
| $07=$ Construction worker | $19=$ Labourer | $30=$ President/leader of country | $41=$ Scientist |
| $08=$ Cook | $20=$ Lawyer | $32=$ Singer | $41=$ Vet |
| $09=$ Dentist | $21=$ Lecturer | $32=$ Soldier | $42=$ Other |
| $10=$ District collector | $22=$ Market Trader/shop assistant/ |  |  |
| $11=$ Doctor |  |  |  |

Comments: Please add below any comments regarding this household


[^0]:    $00=$ No disability (able to work same as others of this age)

