



THE KINGDOM OF LESOTHO



CMS 2013/14

THIRD QUARTER

CONFIDENTIAL

EA code								Household No.			
Repeat the codes											
PSU											
Survey month								Week no			
		Jan = 01, Feb =0 2...									
Questionnaire number (same household) out of total number Urban=1 , Rural=2											

Identification information		Codes		
Name of Head of household				
District				
Constituency				
Community council				
Ecological Zone				
Village				
Chief/Headman				
Staff details		Enumerator		
Name of Enumerator				
		1 st Visit	2 nd Visit	3 rd Visit
Date of interview				
Name of Supervisor				
Date of inspection				
Name of District Supervisor				
Date of inspection				
Response Details				
Completed				1
No household member at home				2
Entire household absent for extended period of time				3
Dwelling vacant				4
Dwelling destroyed				5
Other				6

B. DEMOGRAPHIC CHARACTERISTICS												
Read bold text for the household		Member no										
		a	1	2	3	4	5	6	7	8	9	10
<i>Write the same names as at the first visit in the same order</i> Are there any new permanent members? <i>Add the first name of new members after the others</i>												
Who are... ...dead=1 ...permanently away=2 ...new=3		b	1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
			3	3	3	3	3	3	3	3	3	3
Has <i>name</i> lived somewhere else during the past 3 months? <i>If yes: Where?</i>	No = 1 In other part in Lesotho = 2 RSA = 3 Other Countries = 4	c	1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
			3	3	3	3	3	3	3	3	3	3
			4	4	4	4	4	4	4	4	4	4
What is <i>name</i>'s sex?	Male = 1 Female = 2	d	1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
How old is <i>name</i>?	<i>Age at last birthday. Note "0" for children under 1 year</i>	e										
Date of Birth	<i>D=day</i> <i>M=month</i> <i>Y=year</i>	D										
		M										
		Y										

C. ECONOMIC CHARACTERISTICS <i>for the person aged 15 years and above</i>											
		Member no									
		1	2	3	4	5	6	7	8	9	10
LF1. Past 7 days, didwork for pay or profit, in cash or in kind, even if it was only for one hour?	YES = 1 skip to LF4 NO = 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2
LF 2. Past 7 days, did ... help or work without pay for a family business or farm?	YES = 1 skip to LF4 NO = 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2
LF 3. Past 7 days, did ... have a job or a farm or a business from which he/she was temporarily absent, but to which he/she will definitively return?	YES = 1 NO = 2 skip to LF17	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2
LF 4. What type of work does ... usually do in the main job/activity that he/she had in the Past 7 days, <i>(e.g.: street trader, subsistence farmer, school teacher, domestic worker, fruit vendor, truck driver, private security guard)</i>	<i>Note occupational title</i>										
Code for office use											
LF 5. What kind of activity, business or service is carried out at ... place of work?	<i>Interviewer:</i> <i>Write the main industry, economic activity, product or service of (the person's) employer or company (e.g. banking, supermarket, police service)</i> OR <i>Write the activity of the person if self-employed (e.g. subsistence farming, tailoring)</i> OR <i>If paid domestic work in a private household, write Domestic Service</i>										

LF 6.What are the main goods or services produced at ... place of work or its main functions?													
LF7. In this main job/activity does ... work as a/an ... <i>If 2 to 5 skip to LF10</i>	Employee =1 Employer =2 Own-account worker=3 Contributing family worker=4 Other=5		1	1	1	1	1	1	1	1	1	1	1
LF8. Does... have a written contract or oral agreement?	Yes, written contract =1 Yes, oral agreement=2 No =3 Go to LF10 Don't know=4 Go to LF10		1	1	1	1	1	1	1	1	1	1	1

			Member no									
			1	2	3	4	5	6	7	8	9	10
LF9. What is the duration of...’s contract?	Daily =1 < 1 month=2 1 to < 3 months=3 3 to < 6 months=4 6 to < 12 months=5 Fixed term of 12+ months=6 Permanent or unlimited time duration=7		1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
			3	3	3	3	3	3	3	3	3	3
			4	4	4	4	4	4	4	4	4	4
			5	5	5	5	5	5	5	5	5	5
			6	6	6	6	6	6	6	6	6	6
			7	7	7	7	7	7	7	7	7	7
LF10. Does ... work in...?	Government=1 State-owned enterprise=2 NGO=3 Private business or farm=4 Private household=5 Embassy, foreign organization =6		1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
			3	3	3	3	3	3	3	3	3	3
			4	4	4	4	4	4	4	4	4	4
			5	5	5	5	5	5	5	5	5	5
			6	6	6	6	6	6	6	6	6	6
<i>If the LF10 is 3 or 4</i> LF11. Is the business/farm where ... worked registered at the [GOVERNMENT ENTITY] ?	Yes=1 It is in the process=2 No=3 Don’t know=4		1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
			3	3	3	3	3	3	3	3	3	3
			4	4	4	4	4	4	4	4	4	4
LF12. In the last 7 days, did ... have any other job or activity from which he/she obtain any income in cash or in kind?	Yes=1 No=2		1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2
LF13. How many hours does ...usually work per week in	Main job..... Other Jobs..... Total.....	
		
		
LF14. In the past 7 days, how many hours did ... actually work in ... <i>If total is 45hrs skip to w56</i>	Main job..... Other Jobs..... Total.....	
		
		
LF15. In the past 7 days, would ... have liked to work more hours than actually worked provided the extra hours had been paid?	Yes=1 No=2 <i>Go to next section</i>		1	1	1	1	1	1	1	1	1	1
			2	2	2	2	2	2	2	2	2	2

LF16. How many additional hours could ... have worked in the past week? <i>Skip to next section</i>												
LF17. In the past 30 days, did ... look for a job or try to start a business? <i>For persons not currently employed</i>	Yes =1 No =2 <i>Go to LF20</i>		1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2
LF18. What did ... do in the last 30days to look for a job or start a business? <i>Take the most recent</i>	Register at the public job centre=1 Apply directly to employers=2 Post or respond to job postings, advertisements=3 Ask for help from family and friends=4 Wait on the street for casual work=5 Seek financial assistance to start a business=6 Look for land, building, equipment, machinery to start a business or farming=7 Apply for permit or license to start a business=8 Other (specify)=9		1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	
LF19. For how long has ... been without work and looking for a job or trying to start a business? <i>Skip to next section</i>	< 3 months=1 3 to < 6 months=2 6 to < 12 months=3 12+ months =4		1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4	1 2 3 4

LF20. What was the main reason why ... did not seek work or try to start a business in the last 30 days?	Found a job but will start later =1		1	1	1	1	1	1	1	1	1	1
	Has undertaken all necessary steps to start a business at a later date=2		2	2	2	2	2	2	2	2	2	2
	Waiting for the results of a vacancy competition or an interview=3		3	3	3	3	3	3	3	3	3	3
	Awaiting the season for work=4		4	4	4	4	4	4	4	4	4	4
	Does not know how to search for a job=5		5	5	5	5	5	5	5	5	5	5
	Cannot find a suitable job=6		6	6	6	6	6	6	6	6	6	6
	Does not have enough knowledge and certifications=7		6	6	6	6	6	6	6	6	6	6
	Studying=8		7	7	7	7	7	7	7	7	7	7
	Busy with household tasks=9		8	8	8	8	8	8	8	8	8	8
	Lack of consent from family=10		9	9	9	9	9	9	9	9	9	9
	Retired or too old for work=11		10	10	10	10	10	10	10	10	10	10
	Disability or illness=12		11	11	11	11	11	11	11	11	11	11
	Does not want to work=13		12	12	12	12	12	12	12	12	12	12
	Other (specify)=14		13	13	13	13	13	13	13	13	13	13
			14	14	14	14	14	14	14	14	14	14
LF21. If a job or business opportunity had been offered to ..., could he/she have started to work last 7days?	Yes = 1 <i>Skip to next section</i>		1	1	1	1	1	1	1	1	1	1
	No = 2		2	2	2	2	2	2	2	2	2	2
LF22. Why wouldnot take a job or business opportunity if offered in the last 7days?	Student=1		1	1	1	1	1	1	1	1	1	1
	Housekeeping, caring for family =2		2	2	2	2	2	2	2	2	2	2
	Sick, disabled=3		3	3	3	3	3	3	3	3	3	3
	Retired or too old for work=4		4	4	4	4	4	4	4	4	4	4
	Pregnancy=5		5	5	5	5	5	5	5	5	5	5
	Too young to work=6		6	6	6	6	6	6	6	6	6	6
	No desire to work=7		7	7	7	7	7	7	7	7	7	7
	Off-season=8		8	8	8	8	8	8	8	8	8	8
	Other (specify)=9		9	9	9	9	9	9	9	9	9	9

Please could you now answer some questions about water related health issues?

	Water related health issues		1	2	3	4	5	6	7	8	9	10
w58	Write the first names of all the members household in column 1-10 in the same order as on page 2	First name										
w59	In the last 2 weeks did (name) experience diarrhoea?	Yes = 1 No = 2 Skip to W63	za	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2
W60	If yes: What symptoms did (name) experience?	One entry: Note code from List 1										
W61	What treatment did (name) receive?	Up to three entries Note code from List 2	tr ts tt
W62	Did (name) need to be cared for?	Yes = 1 No = 2	tu	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2	1 2
W63	How often has (name) had diarrhoea over the last year?	Once = 1 Twice = 2 Three times = 3 Four times = 4 More = 5 Never=6, skip to W68	tv	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6	1 2 3 4 5 6
W64	For those attending school: How often has (name) been off school with this illness over the last year? For 1 to 5 skip to W66	Once = 1 Twice = 2 Three times = 3 Four times = 4 More = 5 Never=6 Not Applicable=7	tw	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7
w65	For employed only: How often has (name) lost workdays with this illness this year?	Once = 1 Twice = 2 Three times = 3 Four times = 4 More = 5 Never=6 Not applicable=7	tx	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7
w66	For those with diarrhoea: How many days did (name) need/receive care at home during the last episode?	Note number of days Did not need care = 0	sa									
w67	How many days did (name) need/receive care at a health facility during the last episode?	Note number of days Did not need care: note 0	sb									
W68	For all household members: How often has (name) lost workdays or had to miss school to care for a family member (in the list above) with diarrhoea over the last year?	Once = 1 Twice = 2 Three times = 3 Four times = 4 More = 5 Never=6 Not applicable=7	ty	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7	1 2 3 4 5 6 7

I now request you to answer some questions about the dwelling and how the household is using water:

Dwelling and water usage				
W1	What are the sources of water available to your household? <i>Multiple responses possible:</i> <i>If Response start from 3 to 15, skip to w 22</i>	Piped water into dwelling = 1 Piped water to yard/plot = 2 Piped into someone else's yard/plot = 3 Borehole at home = 4 Rainwater harvesting at home = 5 Rainwater harvesting, neighbour = 6 Public tap/standpipe = 7 Public borehole = 8 Protected spring = 9 Unprotected spring = 10 Surface water (river, dam, lake, pond, stream, canal, irrigation channels) = 11 Bottled water = 12 Cart with small tank/drum = 13 Tanker-truck = 14 Other = 15 (specify).....	wa wb wc wd we wf wg wh wi wj wk wl wm wn wo	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15
The following questions should be answered by households that have options 1 and 2 as sources of water				
W2	What type of connection do you have? <i>If not 1, skip to 8</i>	Connected to the mains = 1 Connection to neighbour = 2 Private water system (with a water pump) = 3 Other, = 4 (specify).....	wp	1 2 3 4
W3	When were you connected to the water mains?	<i>(Write the year YYYY)</i> <i>Don't know=1</i>	wqyear 1
W4	How much did it cost for you to be connected?	Less than M1000 = 1 M1001 – M2000 = 2 M2001 – M3000 = 3 M3001 – M4000 = 4 More than M4001 = 5 Don't know = 6	wr	1 2 3 4 5 6
W5	Which agency undertook your water connection?	WASCO = 1 DRWS = 2 Other = 3 (Specify)..... Do not know = 4	ws	1 2 3 4
W6	Is your water connection functioning?	Yes = 1 No= 2	wt	1 2
W7	Normally, in a week, how many days does water flow?	Record Number of days [1-7]	wu days
W8	Approximately how many hours does water flow on a daily basis?	Less than 12 hrs = 1 12 to 18 = 2 18 and more = 3	zb	1 2 3
W9	Is the water you receive safe to drink?	Yes=1→Skip to w11 No=2	zc	1 2

W10	Why do you feel water is not safe to drink?	...colour=1 ...taste=2 ...Smell=3 ...Other=4, Specify.....	zd	1 2 3 4
W11	For drinking water, do you treat the water you receive through this connection?	No=1 Boiling=2 Add bleach/chlorine=3 Strain through cloth=4 Use a water filter(ceramic, sand, composite, etc)=5 Let it stand and settle=6 Solar disinfection=7 Other=8, Specify.....	ze	1 2 3 4 5 6 7 8
W12	Does your connection have a water-meter?	Yes = 1 No = 2 Skip to w14	zf	1 2
W13	How many cubic meters of water did you use last month? <i>Ask for last invoice or receipt. Try to estimate the use last month.</i>	Record number of Cubic meters consumed Don't know = 999	zg m ³ 999
W14	How much did you pay over the past month? <i>Probe for an approximate figure if the actual figure is not available</i>	Record the amount in Maloti Do not know = 9999	zhMaloti 9999
W15	How do you normally pay for the water; pay per cubic meter, per month, per week, year, hour, or other method?	Cubic meter(pre-paid) = 1 Month = 2 Week = 3 Year = 4 Hour = 5 Per container (specify size.....) = 6 Other=7, specify.....	zi	1 2 3 4 5 6 7
W16	What size of container do you use to store water?	Do not store water = 1 → Skip to w19 20 litre containers = 2 200 litre tanks = 3 Other (specify) = 4.....	zj	1 2 3 4
W17	How many of these containers does your household use per day?	Record the number of containers	zkcontainers
W18	Are all containers protected, i.e. covered and placed above the ground?	Full protection = 1 Some protection = 2 No protection = 3	zl	1 2 3
W19	Do you supply water to your neighbours?	Yes = 1 No = 2 → Skip to w22	zm	1 2
W20	If yes: How much do they pay per household?	Record the Amount in Maloti They do not pay=1 → Skip to w22	znMaloti 1

W21	How do they mostly pay? <i>Read the responses to the respondent if necessary</i>		Per day = 1 Per week = 2 Per month = 3 Per year = 4 Per cubic meter = 5 Per 20 litre container = 6 Per 200 litre tank = 7 Other = 8, specify:.....	Z0	1 2 3 4 5 6 7 8				
W22	Do you use water from these sources for any income generating activities, for example renting out dwelling, agriculture or commercial business?		Yes = 1 No = 2 → Skip to w25		1 2				
W23	If yes: For what type of income generating activities do you use this water?		Retail shop = 1 Sells food / drinks = 2 Services (laundry, hair salon, car wash etc.)=3 Making blocks or bricks = 4 Crop Irrigation = 5 Animal husbandry = 6 Other = 7, specify:.....		1 2 3 4 5 6 7				
W24	Approximately what proportion of water from these sources is used for these activities per day? Read the options to the respondent		Less than half 50% = 1 50% or more = 2 Do not know = 3		1 2 3				
<p align="center">[Questions for all respondents]</p> <p>Now I want to ask you some questions about other private or household water sources in your household that you mentioned earlier.</p>									
W25			zp						
	Borehole, home = 1 Rainwater harvesting, home= 2	Protected spring = 3 Unprotected spring = 4 Surface water = 5 Bottled water = 6 Cart with small tank/drum = 7 Tanker-truck = 8 Other = 9 (specify)	Water sources used						
W26	When is this source mostly used?	When there is water scarcity = 1 All year through = 2	Zr	1 2	1 2	1 2	1 2	1 2	1 2
W27	For what purpose do you use water from this source? <i>(Interviewer: Please probe most significant response)</i>	Drinking and cooking = 1 Cleaning the house = 2 Washing and taking bath = 3 Provision for animals = 4 Other = 5, specify:..... If the RESPONSE IS NOT 1, SKIP to w29	ua ub uc ud ue	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5	1 2 3 4 5
W28	If drinking and cooking: Do you treat water from this source for drinking? <i>If yes: How?</i>	No = 1 Boiling = 2 Add bleach/chlorine = 3 Strain through cloth = 4 Use water filter (ceramic, sand, composite, etc = 5 Let it stand and settle = 6 Solar disinfection = 7 Other = 8, specify:.....	uf	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7 8	1 2 3 4 5 6 7 8
W29	What type of container do you normally use to collect water?	20 litre containers = 1 Other = 2, specify:.....litres	ug	1 2	1 2	1 2	1 2	1 2	1 2
W30	How many containers does your household use/consume per day?	Probe and record the number of containers <u>GOT TO THE NEXT WATER SOURCE IN THIS TABLE</u>	uh						

Questions for all respondents-Water sources not private

Now I want to ask you some questions about water sources that your household uses but are away from your home

W31			<i>ui</i>						
	Piped into else's yard/plot = 1 Rainwater harvesting, neighbour = 2 Public tap/standpipe = 3 Public borehole = 4 Protected spring = 5 Unprotected spring = 6 Surface water = 7 Bottled water = 8	Cart with small tank/drum = 9 Tanker-truck = 10 Other = 11 (specify)	<i>Water sources used</i>						
W32	When do you mostly use water from this source?	When there is scarcity=1 All year through=2		1	1	1	1	1	1
				2	2	2	2	2	2
W33	For what purpose do you use water from this source? <i>(Interviewer: Please probe most significant response)</i>	Drinking and cooking = 1 Cleaning the house = 2 Washing and taking bath = 3 Provision for animals = 4 Other = 5, specify..... IF THE RESPONSE IS NOT 1 SKIP TO w35		1	1	1	1	1	1
				2	2	2	2	2	2
				3	3	3	3	3	3
				4	4	4	4	4	4
				5	5	5	5	5	5
W34	Do you treat water from this source for drinking? <i>(Interviewer: read the responses to the respondent)</i>	No=1 Boiling=2 Add bleach/chlorine=3 Strain through cloth=4 Use a water filter (ceramic, sand, composite, etc)=5 Let it stand and settle=6 Solar disinfection=7 Other=8 (specify).....		1	1	1	1	1	1
				2	2	2	2	2	2
				3	3	3	3	3	3
				4	4	4	4	4	4
				5	5	5	5	5	5
				6	6	6	6	6	6
				7	7	7	7	7	7
				8	8	8	8	8	8
w35	Who collects the water from this source most of the time?	Mother =1 Father =2 Other adult woman=3 Other adult man=4 Boys under 18=5 Girls under 18=6 Water vendor =7 Use water at source=8 skip w42		1	1	1	1	1	1
				2	2	2	2	2	2
				3	3	3	3	3	3
				4	4	4	4	4	4
				5	5	5	5	5	5
				6	6	6	6	6	6
				7	7	7	7	7	7
				8	8	8	8	8	8
w36	How long does it take to get water ;(going, getting the water and coming back)?	Record number of minutes							
w37	How many times do members of your household collect water from this source in a day?	Record number of times							
w38	What type of container is normally used to collect water from this source?	20 litre=1 Other =2 (specify..... litres)		1	1	1	1	1	1
				2	2	2	2	2	2

w39	If you pay for water from this source, do you pay per month or buy quantity of water collected?	Per month=1 Per container=2 Do not pay=3→ SKIP TO w41		1	1	1	1	1	1
				2	2	2	2	2	2
				3	3	3	3	3	3
W40	How much do you pay per month or per container?	Amount (Maloti) Do not know=9999							
W41	How many containers does your household collect from this source per day?	Record number of containers Do not know=99 GO TO THE NEXT WATER SOURCE IN THIS TABLE							

Now we would like to ask you a few questions about your toilet facilities and hygiene.

W42	Is the water supply more or less reliable compared to one year ago?	Less reliable = 1 Same = 2 More reliable = 3 Unsure = 4 Not applicable = 5	1 2 3 4 5
	Does your family spend more or less time collecting water compared to one year ago?	Less = 1 Same = 2 More = 3 Unsure = 4 Not applicable = 5	1 2 3 4 5
	<i>If less time:</i> Has the time saved in collecting water been used to earn money?	Yes = 1 No = 2 Unsure = 3	1 2 3

Toilet and hand-washing				
W43	What type of toilet does the household use? <i>If more than one, circle the most used by the household</i>	Flush/pour flush to... ...piped sewer system = 1 ...septic tank = 2 ...pit latrine = 3 ...elsewhere = 4 ...unknown place/don't know where = 5 Ventilated improved pit latrine = 6 Pit latrine with slab = 7 Pit latrine without slab/open pit = 8 No facilities = 9 Other = 10, specify :.....	ta	1 2 3 4 5 6 7 8 9 Skip to w54 10
w44	How long have you used the toilet?	Two years or less = 1 Three to five years = 2 More than five years = 3 Don't know = 4	tb	1 2 3 4
w45	How was this built and paid for?	Owner built, family savings and support = 1 Owner built, subsidy from government. or NGO = 2 Government / NGO responsible for building = 3 Other = 4, specify Don't know = 5	tc	1 2 3 4 5
w46	Are you satisfied with the toilet?	Yes = 1 No = 2	td	1 Skip to w48 2
w47	We are dissatisfied with the toilet because... <i>Circle the most important reason</i>	...the top structure is old and broken = 1 ...it is full and overflowing = 2 ...we have to share with others = 3 ...it is too expensive to maintain = 4 Other = 5, specify:.....	ta	1 2 3 4 5
w48	Is the toilet used by all household members?	Yes = 1 No = 2	tb	1 Skip to w50 2
w49	<i>If no: Please mention who is not using it?</i>	Young children = 1 Elderly people = 2 Other = 3, describe:.....	tc	1 2 3
W50	Is the toilet also used by people other than the household members?	Yes = 1 No = 2	td	1 2
W51	How many other households use the toilet on a daily basis?	<i>Enter the number</i>	tehouseholds
W52	How many people use the toilet on a daily basis?	<i>Enter the number</i>	tf people
W53	How often is the toilet cleaned?	Daily = 1 Weekly = 2 Twice a month = 3 Once a month = 4 Less frequently = 5 Never = 6	tg	1 2 3 4 5 6

W54	Does your household have the following <i>Please observe by asking the interviewee to point to these facilities</i>	Hand washing facility, Tippy Hand washing facility, special basin or sink Soak pit Rubbish pit /bin	th	Yes No 1 2 1 2 1 2 1 2
w55	The last time (name of youngest child who is under 5) passed stools, how were these disposed of?	Child used toilet/latrine=1 Put/rinsed into toilet or latrine=2 Put/rinsed into drain or soak-away pit=3 Thrown into garbage=4 Buried=5 Left in the open=6 Other=7, specify..... Not applicable=8	ti	1 2 3 4 5 6 7 8
W56	When do you feel it is important to wash hands? <i>Multiple responses possible.</i>	After using toilet=1 Before eating=2 Before preparing food=3 Waking up =4 Other=5, Specify..... <i>Do not read responses to respondent.</i>	tj	1 2 3 4 5
w57	How often do you feel you need to wash your hands with soap...	...after using toilet? ...before eating? ...before preparing food?	tk	Always Sometimes Never 1 2 3 1 2 3 1 2 3

EXPENDITURE

I will now ask about your expenditures. Include all household members but exclude what is bought for agriculture or business or resale. I will start by asking about the day-to-day consumption like food, hygiene products and cleaning materials. I want you to include everything the household bought during the last seven days. Don't include today. Seven days ago started onday, // ,,,,,,,

Did anyone in the household during the past 7 days buy...		For how much in total (M)?	Comments	
...food, drinks, hygienic products, clothes, footwear or other consumables (<i>paper, matches, cleaning and washing materials, paraffin, etc., but not gasoline</i>)	aa			
How much of that was for maize meal	ab			
How much was for wheat meal	ac			
How much was for rice	ad			
How much was for pasta and samp	ae			
Did anyone in the household during the past 7 days buy any meals and/or drinks outside home	af			
Did anyone in the household during the past 3 months buy or pay for...		For how much in total (M)?		Was any of that incl. in 7 days total in "aa"? If yes: How much
Clothing	ag		ah	
Footwear	ai		aj	
Rent of house or apartment	ak			
House maintenance (excl. improvements)	al			
Water supply	am			
Electricity (<i>Exclude installation</i>)	an			
Gas	ao			
Paraffin	ap		aq	
Alcohol beverages	ar		as	
Cigarette / tobacco	at		au	
Sewerage and garbage collection	av			

Other house services or costs	aw			
Household textiles	ax			
Household utensils and tools	ay			
Medicines	az			
Other costs for health	ba			
Gasoline (petrol and desiel)	bb			
Other costs for cars	bc			
Buses and taxis (all household members)	bd			
Other transport costs	be			
Postal services	bf			
Cell-phone services/calls	bg		bh	
Other phone/fax services	bi		bj	
Gardens, plants and flowers (not for agric.)	bk		bl	
Pets and related costs	bm		bn	
Toys, games and hobbies	bo		bp	
Fees for sports, cinema, etc	bq			
Fees for TV, radio, etc	br			
CD, Video and DVD (hire and purchase)	bs		bt	
Games of chance	bu		bv	
Other recreational services	bw			
Holiday packages	bx			
Newspapers	by		bz	
Books, papers and other stationeries	ca		cb	

Did anyone in the household during the last 3 months buy or pay for...		For how much in total (M)?		Was any of that incl. in 7 days total in "aa"? If yes: How much
Pre-primary education, child care	cc			
Primary education, fees	cd			
Secondary education, fees	ce			
Higher education, fees	cf			
Hotel and other accommodation	cg			
Hairdressing	ch			
Paper, hygiene items and consumables (<i>not in aa</i>)	ci			
Travel and other personal articles (<i>not in aa</i>)	cj			
Dwelling insurances	ck			
Car and travel insurances	cl			
Health insurances	cm			
Bank fees and other financial services	cn			
Funerals Insurance	co			
Actual Funeral Cost	sc			
Did the household during the past month receive any remittances/ or transfers...		For how much in total (M)?		Comments
In cash from household members abroad	cp			
In-kind from household members abroad	cq			
In cash from non-household members abroad	cr			
In kind non-household members abroad	cs			
<i>In cash from household members within the country</i>	ct			
<i>In-kind from household members within the country</i>	cu			
<i>In cash from non-household members within the country</i>	cv			
<i>In kind non-household members within the country</i>	cw			

I just asked you about what the household has bought. That is often not the same as the household's actual consumption. I now want you to answer the following

<div>How much of your total food consumption was coming from</div> <div>-own production</div> <div>-how much has been purchased</div> <div>-from food aid and</div> <div>(last week)</div>	<div>% own food produced</div> <div>% food purchased at any time</div> <div>% food (liphallele)</div> <div>Total food consumption last week</div>	<div>pc</div> <div>x</div>	<div>.....%</div> <div>.....%</div> <div>.....%</div> <div>100 %</div>
<div>Has your household been in arrears or unable to pay at any time for any of the following in the past 3 months; that is, ...</div> <div>Electricity</div> <div>water</div> <div>gas</div> <div>paraffin :</div> <div>Hire purchase instalments or other loan payments:</div>	<div>qc</div> <div>y</div>		<div>Yes = 1 No = 2 N/A = 3</div> <div>1 2 3</div> <div>1 2 3</div> <div>1 2 3</div> <div>1 2 3</div> <div>1 2 3</div>

Household related small scale business

Has anyone in this household sold any goods or services during the last 12 months as own regular business or temporary activities? <i>Don't include sale of farm products or activities as employed in other companies than your own</i>	da	<input type="checkbox"/> 1 Yes <input type="checkbox"/> 2 No → END
Which year did the business start? <i>Note year</i>	db
How many people were engaged in the business/es during last month.... <i>...with salary</i> <i>...without salary</i>	dc
What is the main business activity? Manufacturing = 1 Construction = 2 Retail/wholesale = 3 Services (including renting)= 4 Other = 5	dd	1 2 3 4 5
Where is the business/activity performed At home, no separate space = 1 At home, separates space = 2 Workshop, shop or office = 3 Construction site = 4 Market, trade fair = 5 Street stall, kiosk = 6 No fixed location = 7 Other, specify.....	de	1 2 3 4 5 6 7 8
Is the business... <i>...permanent,</i> <i>...seasonal or</i> <i>...occasional</i>	df	1 2 3
How is the economic result this year compared to previous year? Much better = 1 Some better = 2 Equal or almost = 3 Some worse = 4 Much worse = 5	dg	1 2 3 4 5
What is the legal status? Corporation = 1 Registered partnership = 2 Unregistered partnership = 3 Registered personal business = 4 Unregistered personal business = 5	dh	1 2 3 4 5
Are ther any accounts for this business Formal accounts = 1 Informal accounts = 2 No accounts = 3	di	1 2 3

Social Protection

In this section I will ask you questions about your household's assets, dwelling characteristics and economic activities of the head.

Interviewer: for all questions, write "99" if the response is "I don't know"

Assets Module		
	<i>How many of the following does your household have? (count only the functioning items) Exclude if they not in use for a month.</i>	Number of items (write 0 if no items)
HC1	Cell phones
HC2	TV sets
HC3	Radios and any radio/audio equipment
HC4	Refrigerators or freezers
HC5	Landline phones
HC6	Cameras
HC7	Dishwashers
HC8	Fans
HC9	Generators
HC10	Sewing and knitting machines
<i>Interviewer: Count all animals in your household's possession at the time of interview, including those that are managed, mafisat in, by the household</i>		
HC11	Horses
HC12	Cattle
HC13	Sheep
HC14	Goats
HC15	Donkeys
HC16	Poultry

Economic Characteristics of Household Head			
HC17	What was the main activity of the household head during the past 12 months. <i>Select only one answer.</i>	Employed with salary = 1 Employed without salary=2 Unemployed = 3 Housemaker/housewife = 4 Subsistence farmer= 5 Self-employed = 6 ; Pupil / Student = 7 Retired = 8; Disabled = 9 Employer = 10 Other = 11	1 2 3 4 5 6 7 8 9 10 11
Dwelling characteristics			
HC18	How is the dwelling usually heated? <i>Select only one answer.</i>	Electricity = 1; Gas = 2; Paraffin = 3; Coal = 4; Wood = 5; Cow dung = 6; Crop waste = 7; Other = 8; None = 9	1 2 3 4 5 6 7 8 9
HC19	What is the main material of the roof? <i>Select one answer.</i>	Thatch grass = 1; Wood = 2; Corrugated iron sheet = 3; Brick tiles = 4; Metal (Harvey) tiles = 5; Asbestos sheets = 6; Other = 7	1 2 3 4 5 6 7
HC20	What type of toilet does the household have in the dwelling? <i>Select only one answer.</i>	No toilet = 1; Sewage system = 2; Pit latrine = 3; VIP = 4; Bucket = 5; Pubic or shared toiled = 6; Other = 7	1 2 3 4 5 6 7
HC21	How many rooms in your house are in use? (Including kitchen and bathroom)	Number of roomsroom(s)

Social Protection Module

In this section I will ask you questions about your household's participation in various government social assistance schemes.

SP1	Has any member of this household received Old Age Pension benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP4 99 skip to SP4
SP2	How many members of this household received Old Age Pension benefits in last 12 months?	Note number of personsperson(s)
SP3	What was the total amount of Old Age Pension benefits received by all household members per month?	Note amount in MalotiMaloti
SP4	Has any member of this household received any cash Public Assistance (Social Welfare Assistance) benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP8 99 skip to SP8
SP5	How many household members received cash Public Assistance (Social Welfare Assistance) benefits?	Note number of personsperson(s)
SP6	What was the total amount of Public Assistance (Social Welfare Assistance) benefits received by all household members in last 3 months?	Note amount in MalotiMaloti
SP7	What was the total amount of Public Assistance (Social Welfare Assistance) benefits received by all household members in last 12 months?	Note amount in MalotiMaloti
SP8	Has any member of this household received in-kind Public Assistance (Social Welfare Assistance) benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP10 99 skip to SP10
SP9	What type of in-kind Public Assistance (Social Welfare Assistance) benefits did you or member of your household receive? <i>Mark all items that apply</i>	Food = 1 Assistive devices (spectacles, crutches etc.) = 2 Ritual assistance = 3 (caskets, etc.) Other types of in-kind assistance = 4	1 2 3 4 (specify:)
SP10	Has this household received Child Grants Program (CGP) benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP13 99 skip to SP13
SP11	What was the total amount of CGP benefits received by the household in last 3 months?	Note amount in MalotiMaloti
SP12	What was the total amount of CGP benefits received by the household in last 12 months?	Note amount in MalotiMaloti
SP13	Has any member of this household received free food or nutritional support (such as free food and micronutrients in clinics or hospitals, Mother-Child packets, etc.) benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP15 99 skip to SP15

SP14	What was the total value of these free food or nutritional support benefits received by all household members in last 12 months?	Note amount in MalotiMaloti
SP15	Has any member of this household received Orphans and Vulnerable Children (OVC) bursary in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP17 99 skip to SP17
SP16	How many household members received OVC bursary?	Note number of personsperson(s)
SP17	Have any of your children attended Public primary school over the last 12 month period?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP19 99 skip to SP19
SP18	In the last 12 months, how many of these children received free food or would have received free food at their school if they were hungry?	All = 1 Some = 2 None =3 Don't know = 99	
SP19	Has any member of this household participated in a Public Works program in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP23 99 skip to SP23
SP20	How many household members participated in the Public Works program(s)?	Note number of personsperson(s)
SP21	For all household members how many work days in total was spent in Public Works program in last 12 months?	Note number of daysday(s)
SP22	How much was the household paid for work in Public Works program in last 12 months (<i>for all members that participated</i>)?	Note amount in MalotiMaloti
SP23	Has any member of this household received Tertiary Bursary in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP25 99 skip to SP25
SP24	How many household members received Tertiary Bursary?	Note number of personsperson(s)
SP25	Has any member of this household received civil servant pension benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP28 99 skip to SP28
SP26	How many household members received civil servant pension benefits?	Note number of personsperson(s)
SP27	What was the total amount of civil servant pension benefits received by all household members per month?	Note amount in MalotiMaloti
SP28	Has any member of this household received South African pension benefits in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 skip to SP31 99 skip to SP31
SP29	How many household members received South African pension benefits?	Note number of personsperson(s)
SP30	What was the total amount of South African pension benefits received by all household members per month? <i>Include old age benefit and pension</i>	Note amount in MalotiMaloti

SP31	Has any member of this household received any other social assistance in last 12 months?	Yes = 1 No = 2 Don't know =99	1 2 <i>skip to next module</i> 99 <i>skip to next module</i>
SP32	How many household members received this other social assistance in last 12 months?	Note number of personsperson(s)
SP33	What was the total amount of these other social assistance benefits received by all household members in last 12 months?	Note amount in MalotiMaloti

SHOCKS MODULE part 1

Shock codes		Q1. Did any of the following events happen to you or anyone in your household in the last 3 (three) years? <i>Interviewer: ask this question for each event listed below. Continue to question 2 only after yes/no answers have been given for all events.</i> Yes = 1 No = 2 Don't know = 99	Q2. Which of these events were the two most difficult to deal with (hit you hardest) financially? <i>In the column below write 1 for the most difficult shock and 2 for the second most difficult shock.</i> Most difficult = 1 Second most difficult =2	
S1	Natural disasters	Drought	1 2 99	
S2		Flood	1 2 99	
S3		Crop/Pest disease	1 2 99	
S4		Livestock died	1 2 99	
S5		Land slide/erosion	1 2 99	
S6		Rain/ storm	1 2 99	
S7		Cold waves	1 2 99	
S8		Other natural disaster	1 2 99	
S9	Accidents	Fire	1 2 99	
S10		Other accident	1 2 99	
S11	Crime	Theft of livestock	1 2 99	
S12		Theft/fraud (other than theft of livestock)	1 2 99	
S13		Robbery	1 2 99	
S14		Violence	1 2 99	
S15		Displacement <i>(forced out of your house, land, or other property)</i>	1 2 99	

S16	Economic	Household business failure (non-agric.)	1 2 99	
S17		Loss of employment or non payment of salary	1 2 99	
S18		Large fall in sale prices for crops	1 2 99	
S19		Large rise in price for food	1 2 99	
S20		Large rise in agric. input prices	1 2 99	
S21	Assistance	Significant decrease in (or end of) remittances from relatives or friends not living in this household	1 2 99	
S22		End of regular assistance/aid from government	1 2 99	
S23		End of regular assistance/aid from and NGO	1 2 99	
S24	Household composition	Return of migrants	1 2 99	
S25		Family division/Divorce	1 2 99	
S26		Birth in the household	1 2 99	
S27		Household member jailed	1 2 99	
S28	Health/ Death	Death of head of the household	1 2 99	
S29		Death of a working household member (other than the head)	1 2 99	
S30		Death of a non-working household member (other than the head)	1 2 99	
S31		Death of a family member residing outside of the household	1 2 99	
S32		Short term health problem, including as a result of an accident that did not lead to permanent disability <i>(short term health problem is a health problem likely to be cured in less than 6 months that does not result in permanent disability)</i>	1 2 99	
S33		Household member developed a chronic or long-term sickness or disability	1 2	

		<i>(long term health problem is a health problem likely to last 6 months or longer)</i>	99	
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SHOCKS MODULE - Part 2

		Most difficult	Second most difficult
SS1	Write down the codes of the two most important events in the order of their importance as in SHOCKS MODULE PART 1 Q2.		
SS2	<p>When did each of the two most difficult events occur?</p> <p>1= Less than one year ago; 2= More than one but less than two years ago; 3=More than two but not more than three years ago</p> <p>Please choose one answer for each event.</p>		
<p>After each of the two most difficult events, because of this event or to solve problems caused by the event, did you or anyone in your household do the following?</p> <p>Yes = 1 No = 2</p> <p><i>Interviewer: ask about all coping strategies for one even before moving to the next one</i></p>			
		Most difficult	Second most difficult
SS3	Sell household belongings/ assets	1 2	1 2
SS4	Mortgaging household belongings or assets	1 2	1 2
SS5	Sell livestock and/or poultry	1 2	1 2
SS6	Sell other agricultural assets (tool, equipment, seeds, etc.)	1 2	1 2
SS7	Sell land	1 2	1 2
SS8	Rent out land (e.g. fallow land)	1 2	1 2
SS9	Use savings or investments	1 2	1 2
SS10	Household member(s) moved away	1 2	1 2
SS11	New household member(s) moved into this household	1 2	1 2
SS12	Increased receipt of remittances	1 2	1 2
SS13	Work more /increase production to get more income in the existing job(s)	1 2	1 2
SS14	Take on additional job(s), or start a new business	1 2	1 2
SS15	Reduce quantity of food intake	1 2	1 2
SS16	Switch to lower quality foods	1	1

		2	2
SS17	Spend more time to shop around and to search for better prices	1 2	1 2
SS18	Buy smaller amounts of food at once, rather than buying in bulk	1 2	1 2
SS19	Borrow money from relatives/ friends	1 2	1 2
SS20	Borrow from money-lender/ employer/ trader	1 2	1 2
SS21	Formal borrowing (e.g. from a bank)	1 2	1 2
SS22	Asked and received help / gifts from people in the community (not loans) . Do not include any government assistance.	1 2	1 2
SS23	Withdraw children age 5 to 15 from school?	1 2	1 2
SS24	Send children age 5 to 15 for employment	1 2	1 2
SS25	Send other previously not working household members to work	1 2	1 2
SS26	Reduce non-food expenditure - other than by withdrawing children from school. E.g. reduced other educational expenses, spend less on health, clothes etc.).	1 2	1 2
SS27	Sought help from local/ village/ provincial/ national governments (not including loans)	1 2 skip to SS29	1 2 skip to SS29
SS28	If you sought help from local/ village/ provincial/ national governments (transfers not loans), did you receive it?	1 2	1 2
SS29	Sought help from NGOs or religious organisations (not including loans)	1 2 skip to SS31	1 2 skip to SS31
SS30	If you sought help from NGOs or religious organisations (not including loans), did you receive it?	1 2	1 2
SS31	Delay payments on loans	1 2	1 2
SS32	Compensation for fire/ accidents/ disaster	1 2	1 2
SS33	Other (specify)	1 2	1 2